# **EirGrid Grid Code**

# Version 8.1

Users are directed to the EirGrid Website to view any other modifications that have been approved by the CRU since the issue date.

Users are also directed to the <u>Rate of Change of</u> <u>Frequency Decision Paper</u> published by the CRU on the 4<sup>th</sup> April 2014.

Issue Date: 11/08/2020

#### Demarcation of Requirements

Requirements in the **Grid Code** which are <u>not marked by a symbol and border</u> are applicable to all **Users** (which expression means all persons (other than the **TSO**) to whom any individual section of the **Grid Code** applies).

Requirements in the **Grid Code** which are <u>marked by a symbol and border</u> are appliciable to the corresponding **Users** as per Table 1: Demarcation of Requirements.

| Symbol        | Applicable to               |
|---------------|-----------------------------|
| 0             | <b>RfG Generation Units</b> |
| <del>\$</del> | Non-RfG Generation Units    |

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# GC GENERAL CONDITIONS

# GC.1 INTRODUCTION

- GC.1.1 While each individual section of the Grid Code contains the rules and provisions relating specifically to that section of the Grid Code, there are provisions of more general application, which need to be included in the Grid Code. Such provisions are included in the General Conditions.
- GC.1.2 Terms which are capitalised and in bold type shall be interpreted according to the definition in the **Glossary** of the **Grid Code**. Where the **Glossary** refers to any word or term which is more particularly defined in a part of the **Grid Code**, the definition in that part of the **Grid Code** will prevail over the definition in the **Glossary** in the event of any inconsistency.

# GC.2 OBJECTIVE

GC.2.1 The objectives of the **General Conditions** are as follows:

- (a) to ensure, insofar as it is possible, that the various sections of the Grid Code work together, and work in practice, for the benefit of the operation of the Power System and for the benefit of the TSO and Users;
- (b) to provide a set of principles governing the status and development of the GridCode and related issues, as approved by the CRU;
- (c) to provide an outline of how the TSO, the Other TSO and the Regulatory Authorities will cooperate with regard to Grid Code revisions and derogations to both Sections Under Common Governance and other Grid Code sections which may be considered to be relevant to the operation of the SEM.

#### GC.3 SCOPE

The **General Conditions** apply to the **TSO**, the **CRU**, and to all **Users** (which expression in these **General Conditions** means all persons (other than the **TSO**) to whom any individual section of the **Grid Code** applies).

# GC.4 PURPOSE

GC.4.1 This **Grid Code** is designed to cover all material technical aspects relating to the operation and use of the **Transmission System**, and (insofar as relevant to the operation and use of the **Transmission System**) all material technical aspects relating to the use of **Plant** and or **Apparatus** connected to the **Transmission System** or to the **Distribution System**.

#### GC.5 GRID CODE REVIEW PANEL

- GC.5.1 The **TSO** shall establish and maintain the **Grid Code Review Panel** which shall be a standing body constituted to:
  - (a) generally review and discuss the Grid Code and its workings;
  - (b) review and discuss suggestions for amendments to the Grid Code which the TSO, the CRU, or any User may wish to submit to the TSO for consideration by the Grid Code Review Panel from time to time;
  - (c) discuss what changes are necessary to the **Grid Code** arising out of any unforeseen circumstances referred to it by the **TSO** under GC.12; and
  - (d) publish recommendations and ensure that User consultation upon such recommendations has occurred through Grid Code Review Panel members.
  - (e)
- GC.5.2 The **Grid Code Review Panel** shall be governed by a constitution, which defines its scope, membership, duties, and rules of conduct and operation as approved by the **CRU**.

# GC.6 JOINT GRID CODE REVIEW PANEL

- GC.6.1 The TSO shall, in conjunction with the Other TSO, establish and maintain the Joint
   Grid Code Review Panel. This panel comprises all members of the Grid Code
   Review Panel and Northern Ireland Grid Code Review Panel and it has the following functions:
  - (a) generally review and discuss the Sections Under Common Governance and their workings;

- (b) review and discuss suggestions for amendments to the Sections Under Common Governance of the Grid Code, which the TSO, either Regulatory Authority, or any User may wish to submit to the TSO for consideration by the Joint Grid Code Review Panel from time to time;
- (c) discuss what changes are necessary to the Sections Under Common
   Governance of the Grid Code arising out of any unforeseen circumstances
   referred to it by the TSO under GC.12 and
- GC.6.2 The **TSO** shall publish recommendations and ensure that **User** consultation upon such recommendations has occurred.
- GC6.3 The Joint Grid Code Review Panel shall be governed by its own constitution, which defines its scope, membership, duties, and rules of conduct and operation as approved by both Regulatory Authorities.

#### GC.7 GRID CODE REVISIONS

GC.7.1 All revisions to the Grid Code must be reviewed by the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) prior to application to the CRU by the **TSO**. Where the **TSO** identifies or receives a proposed revision that affects a Section Under Common Governance, it shall bring it to the attention of the Other TSO. All proposed revisions from Users, the CRU, or the TSO will be brought before the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) by the **TSO** for consideration. In the event that the **TSO**, acting reasonably, considers that proposed revisions are frivolous or repeated, the **TSO** may propose to the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) that these proposed revisions are not to be considered. However, in the event that any member of the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) decides that the revision is worthwhile, it shall be reviewed. The **TSO** shall then inform the proposer of the decision, with an accompanying explanation if required. If the proposing **User** is not satisfied with the response from the **TSO**, they can bring it to the attention of the **CRU**.

> The **TSO** will advise the **Grid Code Review Panel** or the **Joint Grid Code Review Panel** (where relevant), all **Users**, and the **CRU** of all proposed revisions to the **Grid Code** with notice of no less than 10 days in advance of the next scheduled meeting

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of the **Grid Code Review Panel** or the **Joint Grid Code Review Panel** (where relevant).

- GC.7.2 Following review of a proposed revision by the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant), the TSO will apply to the CRU for revision of the Grid Code based on the TSO recommendation and shall make representation of all other views or considerations including those of the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant). The TSO, in applying to the CRU, shall also notify each User of the proposed revision and other views expressed by the Grid Code Review Panel or the Joint Grid Code Review Panel or the Joint Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) and Users so that each User may consider making representations directly to the CRU regarding the proposed revision.
- GC.7.3 The CRU shall consider the proposed revision, other views, and any further representations and shall determine whether the proposed revision should be made and, if so, whether in the form proposed or in an amended form. Where amendments to the revision are contemplated by the CRU, the CRU shall consult the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant), the TSO, and Users as appropriate. Determination on a modification to a Section Under Common Governance shall be made by the CRU in accordance with its procedure that is in place to approve modifications to Sections Under Common Governance.
- GC.7.4 Having been so directed by the CRU that the applied for revision or amended revision shall be made, the TSO shall notify each User of the revision at least 14
  Business Days prior to the revision taking effect, and the revision shall take effect (and this Grid Code shall be deemed to be amended accordingly) from (and including) the date specified in such notification or other such date as directed by the CRU. The date may be modified to ensure that the revision is implemented simultaneously in both jurisdictions on the island of Ireland.

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# GC.8 GRID CODE INTERPRETATION

- GC.8.1 In the event that any User requires additional interpretation of the intention and application of any provision of the Grid Code, it may apply to the TSO for such interpretation. Provided that the request is reasonable, the TSO shall provide the User with an interpretation of the relevant provision. If the request refers to a Section Under Common Governance then the TSO shall liaise with the Other TSO prior to the provision of the interpretation to the User.
- GC.8.2 In the event that the **User**, acting reasonably, considers that an interpretation provided by the **TSO** pursuant to GC.8.1 is incomplete, the **User** may request additional clarification from the **TSO**.
- GC.8.3 In the event that the User, acting reasonably, considers that an interpretation provided by the TSO pursuant to GC.8.1 is unreasonable or incorrect, the User may require the TSO to refer the matter for consideration, at the next scheduled meeting of the Grid Code Review Panel or the Joint Grid Code Review Panel (where relevant) (or in the event that there is insufficient time before the next scheduled meeting, then at the meeting immediately following the next scheduled meeting).

# GC.9 DEROGATIONS

- GC.9.1 The following process is for all **Users** except **RfG Generation Units**.
- GC.9.2 If a User finds that it is, or will be, unable to comply with any provision of the Grid
  Code, then it shall without delay report such non-compliance to the TSO and shall, subject to the provisions of GC.9.2.1 make such reasonable efforts as are required to remedy such non-compliance as soon as reasonably practicable. Where the TSO is aware or should reasonably be aware that a non-compliance may have an impact on the Other Transmission System or on the operation of the SEM, the TSO shall provide details of the non-compliance to the Other TSO.

- GC.9.2.1 Where the non-compliance is:
  - (a) with reference to Plant and/or Apparatus connected to the Transmission
     System and is caused solely or mainly as a result of a revision to the Grid Code; or
  - (b) with reference to **Plant** and/or **Apparatus** which is connected, approved to connect, or for which approval to connect to the **Transmission System** is being sought,

and the **User** believes either that it would be unreasonable (including cost and technical considerations) to require it to remedy such non-compliance or that it should be granted an extended period to remedy such non-compliance, it shall promptly submit to the **TSO** a request for a derogation from such provision in accordance with the requirements of GC.9.2.2 and shall provide the **CRU** with a copy of such a request. In consideration of a derogation request by a **User**, the **TSO** may contact the relevant **User** to obtain clarification of the derogation request, or to obtain further information regarding the request, or to discuss changes to the request. Once the derogation request has been validated by the **TSO**, the reference number is assigned.

Where the derogation request may have an impact on the **Other Transmission System** or the operation of the **SEM**, the **TSO** shall liaise with the Other **TSO** prior to providing an assessment to the **CRU**.

The **TSO** will assess the derogation request and provide to the **CRU** an assessment and a recommendation.

On receipt of a derogation assessment from the **TSO**, the **CRU** will consider the derogation request, the **TSO's** assessment and the **TSO's** recommendation.

In its consideration of a derogation request by a **User**, the **CRU** may contact the relevant **User** and/or the **TSO** to obtain clarification of the request, or to obtain further information regarding the request, or to discuss changes to the request.

Where the **CRU** identifies that a derogation request may impact on the **Other Transmission System** or the operation of the **SEM**, the **CRU** shall keep the **Regulatory Authority** in Northern Ireland informed in its consideration of the request. Provided that the **CRU** considers that the grounds for the derogation are reasonable, then the **CRU** shall grant such derogation unless the derogation would, or it is likely that it would, have a materially adverse impact on the security and stability of the **Transmission System** or the **Other Transmission System** or impose unreasonable costs on the operation of the **Transmission System** or on other **Users**.

- GC.9.2.2 A request for derogation from any provision of the **Grid Code** shall contain:
  - (a) the version number of the Grid Code;
  - (b) identification of the Plant and/or Apparatus in respect of which a derogation is sought;
  - (c) identification of the provision with which the User is, or will be, unable to comply;
  - (d) the extent of the non-compliance;
  - (e) the reason for the non-compliance; and
  - (f) the date by which compliance will be achieved (if remedy of the non-compliance is possible) subject to GC.9.2.1.
- GC.9.2.3 If the **TSO** finds that it is, or will be, unable to comply with any provision of the **Grid Code**, then it shall, subject to the remaining provisions of GC.9.1 make such
   reasonable efforts as are required to remedy such non-compliance as soon as
   reasonably practicable.
- GC.9.2.4 In the case where the **TSO** requests a derogation, the **TSO** shall submit the information set out in GC.9.2.2 to the **CRU**.
- GC.9.2.5 To the extent of any derogation granted in accordance with this GC.9.1, the **TSO** and/or the **User** (as the case may be) shall be relieved from its obligation to comply with the applicable provision of the **Grid Code** and shall not be liable for failure to so comply but shall comply with any alternate provisions as set forth in the derogation.

#### GC.9.2.6 The **TSO** shall:

(a) keep a register of all derogations which have been granted, identifying the company and **Plant** in respect of whom the derogation has been granted, the relevant provision of the **Grid Code** and the **Grid Code** version number, the period of the derogation and the extent of compliance to the provision;

- (b) on request from any **User** or **User** of the **Other Grid Code**, provide a copy of such register of derogations to such **User**; and
- (c) publish this register on the **TSO**'s website.
- GC.9.2.7 Where a material change in circumstance has occurred a review of any existing derogations, and any derogations under consideration, may be initiated by the <u>CRU</u> at the request of the CRU, the TSO, or Users.

#### GC.9.3 RfG Generation Unit Derogation Procedure

| 0 |            |   |
|---|------------|---|
| C | GC.9.3.1   | Power to Grant Derogations  |
|   |            | CRU may, at the request of a Generator, or the TSO, grant a Generator or the TSO                |
|   |            | derogations from one or more RfG Generation Unit clauses in the Grid Code for RfG               |
|   |            | Generation Units and Non-RfG Generation Units in accordance with GC.9.3.2,                      |
|   |            | GC.9.3.3 and GC.9.3.4. Derogations may be granted and revoked in accordance with                |
|   |            | GC.9.3.2, GC.9.3.3 and GC.9.3.4 by other authorities than the <b>CRU</b> .                      |
|   |            |   |
| C | GC.9.3.2   | General Provisions  |
| C | GC.9.3.2.1 | The criteria specified by <b>CRU</b> to assess derogations pursuant to GC.9.3.3 and             |
|   |            | GC.9.3.4 are specified in form CNCD1 and CNCD2 respectively.                                    |
| C | GC.9.3.2.2 | If <b>CRU</b> deems that it is necessary due to a change in circumstances relating to the       |
|   |            | evolution of system requirements, it may review and amend at most once every                    |
|   |            | year the criteria for granting derogations as referenced in GC.9.3.2.1. Any changes             |
|   |            | to the criteria shall not apply to derogations for which a request has already been             |
|   |            | made.   |
| C | GC.9.3.2.3 | The <b>CRU</b> may decide that <b>Generation Units</b> for which a request for a derogation has |
|   |            | been filed pursuant to GC.9.3.3 or GC.9.3.4 do not need to comply with <b>RfG</b>               |
|   |            | Generation Unit clauses in the Grid Code from which a derogation has been sought                |
|   |            | from the day of filing the request until the <b>CRU</b> 's decision is issued.                  |
|   |            |   |

| 0          |   |
|------------|---|
| GC.9.3.3   | Request for a Derogation by a Generator   |
| GC.9.3.3.1 | Generators may request a derogation to one or several RfG Generation Unit clauses   |
|            | in the Grid Code for Generation Units within their Facility.  |
| GC.9.3.3.2 | A request for a derogation shall be filed with the <b>TSO</b> using form CNCD1 and include:<br>(a) an identification of the <b>Generator</b> , and a contact person for any communications; |
|            | (b) a description of the <b>Generation Unit(s)</b> for which a derogation is requested;   |
|            | (c) a reference to the <b>RfG Generation Unit</b> clauses in the <b>Grid Code</b> from which a  |
|            | derogation is requested and a detailed description of the requested derogation;   |
|            | (d) detailed reasoning, with relevant supporting documents and cost-benefit analysis;   |
|            | (e) demonstration that the requested derogation would have no adverse effect on   |
|            | cross-border trade.   |
| GC.9.3.3.3 | Within two weeks of receipt of a request for a derogation, the <b>TSO</b> shall confirm to  |
|            | the <b>Generator</b> whether the request is complete. If the <b>TSO</b> considers that the request  |
|            | is incomplete, the Generator shall submit the additional required information within  |
|            | one month from the receipt of the request for additional information. If the  |
|            | Generator does not supply the requested information within that time limit, the   |
|            | request for derogation shall be deemed withdrawn.   |
| GC.9.3.3.4 | The <b>TSO</b> shall assess the request for derogation and the provided cost-benefit  |
|            | analysis, taking into account the criteria determined by the CRU pursuant to GC.9.3.2.  |
| GC.9.3.3.5 | Within six months of receipt of a request for derogation, the <b>TSO</b> shall forward the  |
|            | request to the <b>CRU</b> and submit the assessment(s) prepared in accordance with  |
|            | GC.9.3.3.4. That period may be extended by one month where the <b>TSO</b> seeks further   |
|            | information from the <b>Generator</b> .   |
| GC.9.3.3.6 | The <b>CRU</b> shall adopt a decision concerning any request for derogation within six  |
|            | months from the day after it receives the request. That time limit may be extended by   |
|            | three months before its expiry where the CRU requires further information from the  |
|            | Generator, or from any other interested parties. The additional period shall begin  |
|            | when the complete information has been received.  |
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GC.9.3.3.7 The **Generator** shall submit any additional information requested by the **CRU** within two months of such a request. If the **Generator** does not supply the requested information within that time limit, the request for derogation shall be deemed withdrawn unless, before its expiry:

(a) the CRU decides to provide an extension; or

(b) the **Generator** informs the **CRU** by means of a reasoned submission that the request for a derogation is complete.

- GC.9.3.3.8 The **CRU** shall issue a reasoned decision concerning a request for derogation. Where the **CRU** grants a derogation, it shall specify its duration.
- GC.9.3.3.9 The **CRU** shall notify its decision to the relevant **Generator** and the **TSO**.
- GC.9.3.3.10 The CRU may revoke a decision granting a derogation if the circumstances and underlying reasons no longer apply or upon a reasoned recommendation of the European Commission or reasoned recommendation by ACER pursuant to GC.9.3.6.2.
- GC.9.3.4 Request for a Derogation by the TSO
- GC.9.3.4.1 The **TSO** may request derogations for classes of **Generation Units** connected or to be connected to their **Network**.
- GC.9.3.4.2 The **TSO** shall submit their requests for derogations, using form CNCD2, to the **CRU**.Each request for a derogation shall include:
  - (a) identification of the TSO, and a contact person for any communications;
  - (b) a description of the **Generation Units** for which a derogation is requested and the total installed capacity and number of **Generation Units**;
  - (c) the **RfG Generation Unit** clauses in the **Grid Code** for which a derogation is requested, with a detailed description of the requested derogation;
  - (d) detailed reasoning, with all relevant supporting documents;
  - (e) demonstration that the requested derogation would have no adverse effect on cross-border trade;
  - (f) a cost-benefit analysis.
- GC.9.3.4.3 The **CRU** shall adopt a decision concerning a request for derogation within six months from the day after it receives the request.

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| GC.9.3.4.4 | The six-month time limit referred to in GC.9.3.4.3 may, before its expiry, be extended                 |
|            | by an additional three months where the <b>CRU</b> requests further information from the               |
|            | <b>TSO</b> requesting the derogation or from any other interested parties. That additional             |
|            | period shall run from the day following the date of receipt of the complete                            |
|            | information.   |
|            | The <b>TSO</b> shall provide any additional information requested by the <b>CRU</b> within two         |
|            | months from the date of the request. If the <b>TSO</b> does not provide the requested                  |
|            | additional information within that time limit, the request for derogation shall be                     |
|            | deemed withdrawn unless, before expiry of the time limit:  |
|            | (a) the <b>CRU</b> decides to provide an extension; or   |
|            | (b) the <b>TSO</b> informs the <b>CRU</b> by means of a reasoned submission that the request for       |
|            | derogation is complete.  |
| GC.9.3.4.5 | The <b>CRU</b> shall issue a reasoned decision concerning a request for derogation. Where              |
|            | the <b>CRU</b> grants a derogation, it shall specify its duration.                                     |
| GC.9.3.4.6 | The <b>CRU</b> shall notify its decision to the <b>TSO</b> and ACER.                                   |
| GC.9.3.4.7 | The <b>CRU</b> may lay down further requirements concerning the preparation of requests                |
|            | for derogation by the <b>TSO</b> . In doing so, the <b>CRU</b> shall take into account the delineation |
|            | between the transmission system and the distribution system at the national level and                  |
|            | shall consult with system operators, Generators and stakeholders, including                            |
|            | manufacturers.   |
| GC.9.3.4.8 | The <b>CRU</b> may revoke a decision granting a derogation if the circumstances and                    |
|            | underlying reasons no longer apply or upon a reasoned recommendation of the                            |
|            | European Commission or reasoned recommendation by ACER pursuant to GC.9.3.6.2.                         |
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| GC.9.3.5   | Register of Derogations  |
| GC.9.3.5.1 | The <b>CRU</b> shall maintain a register of all derogations they have granted or refused and |
|            | shall provide ACER with an updated and consolidated register at least once every six         |
|            | months, a copy of which shall be given to ENTSO for Electricity.                             |
| GC.9.3.5.2 | The register shall contain, in particular:   |
|            | (a) the requirement or requirements for which the derogation is granted or refused;          |
|            | (b) the content of the derogation;   |
|            | (c) the reasons for granting or refusing the derogation;                                     |
|            | (d) the consequences resulting from granting the derogation.                                 |
|            |  |
| GC.9.3.6   | Monitoring of Derogations  |
| GC.9.3.6.1 | ACER shall monitor the procedure of granting derogations with the cooperation of the         |
|            | CRU. The CRU shall provide ACER with all the information necessary for that purpose.         |
| GC.9.3.6.2 | ACER may issue a reasoned recommendation to the <b>CRU</b> to revoke a derogation due        |
|            | to a lack of justification. The European Commission may issue a reasoned                     |
|            | recommendation to the <b>CRU</b> to revoke a derogation due to a lack of justification.      |
| GC.9.3.6.3 | The European Commission may request ACER to report on the application of                     |
|            | GC.9.3.6.1 and GC.9.3.6.2 and to provide reasons for requesting or not requesting            |
|            | derogations to be revoked.   |
|            |  |

# GC.10 PLANT FAILURES

- GC.10.1 When partial breakage or partial failure of a Plant and/or Apparatus occurs which causes a non-compliance but does not necessarily prevent the Plant and/or Apparatus being operated safely or securely then the User shall promptly notify the TSO of the non-compliance and the User's proposed programme for remedying the non-compliance.
- GC.10.2 Where time permits and if the **TSO** reasonably considers that a non-compliance of aUser as described in GC.10.1 may have a materially adverse impact on another User

or **Users**, the **TSO** will consult the affected **User** or **Users** as to the impact of the intended non-compliance on the **User** or **Users**. If the **TSO** considers that non-compliance may have an impact on the **SEM**, it shall inform the **Other TSO** of the non-compliance.

- GC.10.3 If the TSO, acting reasonably, and taking into account the operation of the
   Transmission System and the consultation with any affected Users in GC.10.2, is
   satisfied as to the User's programme for remedying the non-compliance, and the
   breakage or failure is not causing or is not likely to cause the Plant and/or Apparatus
   to materially affect the security and stability of the Transmission System or other
   Users and is not likely to impose unreasonable and unforeseen costs on the
   operation of the Transmission System or other Users, then the TSO may, for so long
   as the TSO is so satisfied, treat the User as being in compliance with the relevant
   provision of the Grid Code, and the User will be deemed to be so compliant.
- GC.10.4 If the TSO, at its discretion, taking into account the operation of the Transmission
   System and the consultation with any affected Users in GC.10.2, is not satisfied as to the User's programme for remedying the non-compliance, the User shall apply for a derogation under the terms of 0.
- GC.10.5 When breakage or failure of a Plant and/or Apparatus occurs which causes a non-compliance which prevents the Plant and/or Apparatus being operated safely or securely then the User shall promptly notify the TSO of the non-compliance and reflect such non-compliance in Availability Notices and Technical Parameters
   Notices and other data submitted under SDC1 until such time as the non-compliance has been remedied.
- GC.10.6 Failing agreement between the **User** and the **TSO**, the **User** shall immediately apply for derogation in accordance with **GC.9**.

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#### GC.11 ASSISTANCE IN IMPLEMENTATION

- GC.11.1 The **TSO** has a duty to implement, and comply with, the **Grid Code** as approved by the **CRU**.
- GC.11.2 In order to fulfil its duty to implement the Grid Code the TSO may, in certain cases, need access across boundaries, or may need services and/or facilities from Users. This could, for example, include De-Energising and/or disconnecting Plant and/or Apparatus. It is hoped that these cases would be exceptional and it is not, therefore, possible to envisage precisely or comprehensively what the TSO might reasonably require in order to put it in a position to be able to carry out its duty to implement the Grid Code in these circumstances.
- GC.11.3 Accordingly, all Users are required not only to abide by the letter and spirit of the
   Grid Code, which shall include providing the TSO with such rights of access, services
   and facilities as provided for in appropriate agreements, and complying with such
   instructions as the TSO may reasonably require in implementing the Grid Code.

#### GC.12 UNFORESEEN CIRCUMSTANCES

- GC.12.1 If circumstances arise which the provisions of the Grid Code have not foreseen, the
   TSO shall to the extent reasonably practicable in the circumstances, consult
   promptly and in good faith with all affected Users, and where the TSO deems it
   appropriate, with the Other TSO, in an effort to reach agreement as to what should
   be done.
- GC.12.2 If agreement between the **TSO** and those **Users** as to what should be done cannot be reached in the time available, the **TSO** shall determine what should be done. If the unforeseen circumstance may have an impact on the **SEM**, the **TSO** shall, where possible, consult with the **Other TSO**, with a view to jointly determining what should be done. Whenever the **TSO** makes such a determination it shall have regard wherever practicable in accordance with this GC.12.2 to the views expressed by **Users** and, in any event, the **TSO** will act reasonably and in accordance with **Prudent Utility Practice** in all circumstances. In addition the **TSO** will, following such a determination and upon request, make available to any affected **User** its reasons for the determination.

GC.12.3 Each User shall comply with all instructions given to it by the TSO following such a determination provided the instructions are consistent with the then current technical parameters of the User System as notified under the Grid Code. The TSO shall promptly refer all such unforeseen circumstances, and any such determination, to the Grid Code Review Panel or the Joint Grid Code Review Panel as appropriate for consideration in accordance with GC.5.1(c).

# GC.13 HIERARCHY

In the event of any conflict between the provisions of the **Grid Code** and any contract, agreement, or arrangement between the **TSO** and a **User**, the provisions of the **Grid Code** shall prevail unless the **Grid Code** expressly provides otherwise.

# GC.14 OWNERSHIP OF PLANT AND/OR APPARATUS

References in the **Grid Code** to **Plant** and/or **Apparatus** of a **User** include **Plant** and/or **Apparatus** used by a **User** under any agreement with a third party.

#### GC.15 SYSTEM CONTROL

Where a **User System** (or part thereof) is, by agreement, under the **TSO** control, then for the purposes of communication and the co-ordination of operational time scales the **TSO** can (for these purposes only) treat that **User** System (or part thereof) as part of the Transmission System, but as between the **TSO** and other **Users** it will continue to be treated as the **User System**.

#### GC.16 ILLEGALITY AND PARTIAL INVALIDITY

- GC.16.1 If any provision of the **Grid Code** should be found to be illegal or partially invalid for any reason, the legality and validity of all remaining provisions of the **Grid Code** shall not be affected.
- GC.16.2 If part of a provision of the **Grid Code** is found to be unlawful or invalid but the rest of such provision would remain valid if part of the wording were deleted, the provision shall apply with such modification as may be necessary to make it valid and effective, but without affecting the meaning or validity of any other provision of the **Grid Code**.

# PC PLANNING CODE

# PC.1 INTRODUCTION

Development of the **Transmission System** will arise for a number of reasons including, but not limited to:

- development on a User System already connected to the Transmission
   System;
- (b) the introduction of a new Connection Site or the Modification of an existing
   Connection Site between a User System and the Transmission System;
- (c) changing requirements for electricity transmission facilities due to changes in factors such as **Demand**, **Generation**, technology reliability requirements, and/or environmental requirements; and
- (d) a development on the **NI System;**
- (e) the cumulative effect of a number of such developments referred to in (a),(c) and (d) by one or more Users.

Accordingly, the development of the Transmission System may involve work:

- (a) at a new or existing Connection Site where User's Plant and/or Apparatus is connected to the Transmission System;
- (b) on new or existing transmission circuits or other facilities which join that
   Connection Site to the remainder of the Transmission System; and
- (c) on new or existing transmission circuits or other facilities at or between points remote from that **Connection Site**.

The time required for the planning and subsequent development of the **Transmission System** will depend on the type and extent of the necessary work, the time required for obtaining planning permission and wayleaves, including any associated hearings, and the degree of complexity in undertaking the new work while maintaining satisfactory security and quality of supply on the existing **Transmission System**.

# PC.2 OBJECTIVES

The objectives of the **Planning Code** are to provide for:

- (a) TSO/User interaction in respect of any proposed development on the User
   System that may impact on the performance of the Transmission System or
   the direct connection with the Transmission System;
- (b) the supply of information required by the TSO from Users in order for the TSO to undertake the planning and development of the Transmission
   System in accordance with the Transmission System Security Planning
   Standards and relevant standards;
- (c) the supply of information required by the TSO from Users in order for the
   TSO to participate in the co-ordinated planning and development of both
   the Transmission System and the Other Transmission System; and
- (d) the supply of information required by the **TSO** for the purposes of the **Forecast Statement**.

# PC.3 SCOPE

The Planning Code applies to the TSO and to the following Users:

- Generators and Generator Aggregators with Registered Capacity greater than 10 MW;
- (b) all Generators connected to the Transmission System;
- (c) Interconnector Operators;
- (d) Transmission Asset Owner;
- (e) **Demand Customers**; and
- (f) Demand Side Unit Operators.

The above categories of **User** will become bound by the **Planning Code** prior to generating, distributing or consuming electricity, as the case may be, and references to the various categories (or to the general category) of **User** should, therefore, be taken as referring to a prospective **User** in that role as well as to **Users** actually connected.

# PC.4 PLANNING PROCEDURES FOR CONNECTION

#### PC.4.1 Information

**Users** and prospective **Users** of the **Transmission System** are able to assess opportunities for connecting to, and using, the **Transmission System**, through:

- (a) the statement, prepared by the TSO, enabling prospective Users to assess for each of the seven succeeding years, the opportunities available for connecting to and using the Transmission System and to assess those parts of the Transmission System most suited to new connections and transport of further quantities of electricity;
- (b) a Connection Offer by the TSO to enter into or amend a Connection Agreement; and
- (c) published Use of System Tariffs.

#### PC.4.2 Application for Connection

- PC.4.2.1 Users proposing a new Connection Site or Modification of an existing Connection Site shall send an application form to the TSO.
- PC.4.2.2 The application form to be submitted by a **User** when making an application for a **Connection Offer** shall include:
  - (a) a description of the Plant and/or Apparatus to be connected to the Transmission System or, as the case may be, of the Modification relating to the User's Plant and/or Apparatus already connected to the Transmission System, each of which shall be termed a "User Development" in the Planning Code;
  - (b) the relevant data as listed in the **Planning Code** Appendix; and
  - (c) the desired Connection Date and Operational Date of the proposed UserDevelopment.
- PC.4.2.3 The application form for a **Connection Offer** shall be sent to the **TSO** as more particularly provided in the connection offer process documentation.
- PC.4.2.4 Data supplied in the application form or data submitted along with the application form which is directly relevant to the application and has been submitted in support

of it will be treated as **Preliminary Project Planning Data** until such time as the **Connection Offer** has been made and accepted.

#### PC.4.3 Connection Offer

PC.4.3.1 A **Connection Offer** shall include, but shall not be limited to, the following:

- (a) details of how the connection is to be made, including details of the Plant and Apparatus that will be required to implement the connection;
- (b) a description of any Modification that the applicant User is required to pay for;
- (c) an indication of the **Connection Date** and the **Operational Date**; and
- (d) an estimate of the charges for connection.
- PC.4.3.2 Any **Connection Offer** will provide that it must be accepted by the applicant **User** within the period stated in the **Connection Offer**. Acceptance of the **Connection Offer** shall be effected by execution of the **Connection Agreement** by both parties which renders the connection works relating to that **User Development** committed and binds both parties in accordance with its terms. The **User** shall supply the data pertaining to the **User Development** as listed in the Appendix to this Planning Code in accordance with the terms of the **Connection Agreement**.
- PC.4.3.3 Once a **Connection Offer** has been accepted then all data supplied in the application form and any data submitted along with the application form will be treated as Committed Project Planning Data.

#### PC.4.4 Complex Connections

PC.4.4.1 The magnitude and complexity of any **Transmission System** development will vary according to the nature, location and timing of the proposed **User Development** which is the subject of the application and it may, in certain circumstances, be necessary for the **TSO** to carry out additional or more extensive system studies to evaluate more fully the impact of the proposed **User Development** on the **Transmission System**. A proposed **User Development** may also require system studies to be carried out to evaluate the impact of the proposed **User Development** on the **NI System**. Where the **TSO** judges that such additional or more extensive studies are necessary the **TSO** shall advise the **User** the areas that require more detailed analysis and before such additional studies are carried out, the **User** shall indicate whether it wishes the **TSO** to undertake the work necessary to proceed to make a **Connection Offer** within the period allowed or such extended time as the **TSO**, acting reasonably considers is necessary.

PC.4.4.2 To enable the above detailed system studies to be carried out, the **TSO** may require the **User** to supply some or all of the data items listed in the Appendix to this Planning Code as **Committed Project Planning Data** in advance of the normal timescale, provided that the **TSO** considers that it is relevant and necessary. In the event that such data items are supplied they will be treated as **Preliminary Project Planning Data** submitted in support of the application as outlined in PC.4.2.4.

#### PC.4.5 Notice Required

Any User proposing to de-rate, close, retire, withdraw from service or otherwise cease to maintain and keep available for Dispatch in accordance with Good Industry Practice any Generation Unit or Generation Units or Controllable PPMs with Registered Capacity greater than 50 MW in aggregate shall give the TSO at least 36 calendar months notice of such action and any Generation Unit or Generation Units or Controllable PPMs with Registered Capacity less than or equal to 50 MW in aggregate shall give the TSO at least 24 calendar months notice of such actio

# PC.5 SYSTEM PLANNING

- PC.5.1.1 In order for the TSO to undertake the planning and development of the
  Transmission System, in accordance with the relevant standards as provided for in
  PC.7, and, where appropriate, to participate in the co-ordinated planning and
  development of both the Transmission System and the Other Transmission System,
  the TSO will require Users to provide data and information on a regular basis.
  Information received for this purpose will be treated as System Planning Data.
- PC.5.2 The **TSO** may also require additional data or information from a **User**. Where the **TSO** considers that this information is required then the **User** where reasonable shall submit the information to the **TSO** without delay. Such information may be required so that the **TSO** can:
  - (a) plan and develop the Transmission System in accordance with the relevant standards;
  - (b) undertake co-ordinated planning and development of both the Transmission System and the Other Transmission System;
  - (c) monitor Power System adequacy and Power System performance and project future Power System adequacy and Power System performance; and
  - (d) fulfil its statutory and regulatory obligations.
- PC.5.3 In the planning and development of the Transmission System and, where appropriate, in the co-ordinated planning and development of both the Transmission System and the Other Transmission System, the TSO may require an individual User, or group of Users, to modify or install new Plant or Apparatus, where the TSO can reasonably show that it is prudent or necessary to do so. A User may object on grounds that to modify or install new Plant or Apparatus as required, in accordance with Good Industry Practice, would be technically infeasible. This may include, but shall not be limited to, for example, the installation of Power System Stabilisers.

# PC.6 DATA

- PC.6.1 As far as the **Planning Code** is concerned, there are three relevant types of data; **Preliminary Project Planning Data, Committed Project Planning Data** and **System Planning Data**.
- PC.6.1.1 These three types of data, which relate to differing levels of confidentiality, commitment and validation, are described below.
- PC.6.1.2 Preliminary Project Planning Data and Committed Project Planning Data relate to the data required from a User at various stages during the process for introduction of a new Connection Site or Modification of an existing Connection Site as outlined in PC.4, and more specifically in the application form for a Connection or Modification. System Planning Data relates to the data that must be submitted at regular periods by all Users, or other such data or information as requested by the TSO under 0.
- PC.6.2 An existing User proposing a new Connection Site will need to supply data both in an application for a Connection Offer and under the Planning Code in relation to that proposed new Connection Site and such information will be treated as Preliminary
   Project Planning Data or Committed Project Planning Data (as the case may be), but the data an existing User supplies under the Planning Code relating to its existing
   Connection Sites will be treated as System Planning Data

#### PC.6.3 Preliminary Project Planning Data

PC.6.3.1 At the time the **User** applies for a **Connection Offer** but before such an offer is made by the **TSO**, the data relating to the proposed **User Development** will be considered as **Preliminary Project Planning Data**. This data will be treated as confidential within the scope of the provisions relating to confidentiality in the **TSO** policy on confidentiality. The **TSO** may disclose **Preliminary Project Planning Data** to the **Other TSO**.

The following information shall be published on the **TSO** website:

- (i) **User's** name (legal and project name);
- (ii) **User's** contact details;
- (iii) **User's** date of completed application;

- (iv) Status of application, for example in progress or issued;
- (v) Specific location, including grid co-ordinates;
- (vi) The **Registered Capacity** applied for; and
- (vii) Interacting group where applicable.
- PC.6.3.2 **Preliminary Project Planning Data** contains such data as may be reasonably required by the **TSO or Other TSO** to evaluate the connection application/ as outlined in PC.4.2 and, if applicable, any other data directly relevant to, and submitted in support of, the application.

#### PC.6.4 Committed Project Planning Data

- PC.6.4.1 Once the **Connection Offer** has been formally accepted by the prospective **User**, the data relating to the **User Development**, already submitted as **Preliminary Project Planning Data**, and any subsequent data required by the **TSO** under this **Planning Code**, will become **Committed Project Planning Data**. This data, together with other data held by the **TSO** and the **Other TSO** relating to the **Transmission System** and the **Other Transmission System**, will form the basis from which new applications by any **User** will be considered and from which planning of the **Transmission System** or **Other Transmission System** and power system analysis will be undertaken. Accordingly, **Committed Project Planning Data** will not be treated as confidential to the extent that the **TSO** is obliged:
  - to use it in the preparation of the Forecast Statement and in any further information given pursuant to the Forecast Statement;
  - (b) to use it when considering and/or advising on applications (or possible applications) of other Users (including making use of it by giving data from it, both orally and in writing, to other Users making an application (or considering or discussing a possible application) which is, in the TSO's view, relevant to that other application or possible application);
  - (c) to use it for the **TSO** planning purposes and to use it when participating in co-ordinated planning and development of both the **Transmission System** and the **NI System**;
  - (d) the TSO may disclose it to the Other TSO for the purposes of consideration of developments on the Other Transmission System; and

- the TSO may disclose it to the External TSO for the purposes of consideration of Interconnector developments with the External System.
- PC.6.5 To reflect different types of data, **Preliminary Project Planning Data** and **Committed Project Planning Data** are themselves divided into those items of Data which:
  - (a) will always be forecast, known as **Forecast Data**;
  - (b) upon connection become fixed (subject to any subsequent changes), known as Registered Data; and
  - (c) relate to Plant and/or Apparatus which upon connection will become Registered Data, but which prior to connection will be an estimate of what is expected, known as Estimated Registered Data.

#### PC.6.6 System Planning Data

- PC.6.6.1 The Planning Code requires that, as soon as is practical, and not later than a date which is the earlier of 18 months prior to the scheduled Operational Date or six months after the signing of the Connection Agreement, unless otherwise directed by the CRU, all data requirements as stated in the Appendix to the Planning Code, not previously requested by the TSO and supplied by the User, will be submitted by the User to the TSO. This will include confirming any estimated values assumed for planning purposes or, where practical, replacing them by validated actual values and by updated estimates for the future and by updating forecasts for Forecast Data items such as Demand. As more accurate data becomes available, due to completion of detailed design, test measurements/results or any other sources, this information will be submitted by the User to the TSO as soon as practicable and not later than the Operational Date.
- PC.6.6.2 The **Planning Code** requires that **Users** submit to the **TSO**, each year, the **System Planning Data** as listed in section PC.A3.3 of the Appendix to the Planning Code. This data should be submitted by calendar week 9 of each year and should cover each of the ten succeeding years (and in certain instances the current year). Where from the date of one submission to the/a subsequent date submission there is no change in the data to be submitted for any given year, instead of resubmitting the data, a User

may submit a written statement that there has been no change from the data submitted the previous time, pertaining to the particular year specified.

- PC.6.7 System Planning Data, together with other data held by the TSO and the Other TSO relating to the Transmission System and the Other Transmission System, will form the basis from which new applications by any User will be considered and from which planning of the Transmission System will be undertaken. Accordingly, System Planning Data will not be treated as confidential to the extent that the TSO is obliged:
  - to use it in the preparation of the Forecast Statement and in any further information given pursuant to the Forecast Statement;
  - (b) to use it when considering and/or advising on applications (or possible applications) of other Users (including making use of it by giving data from it, both orally and in writing, to other Users making an application (or considering or discussing a possible application) which is, in the TSO's view, relevant to that other application or possible application);
  - (c) to use it when participating in co-ordinated planning and development of both the Transmission System and the NI System;
  - (d) to use it for the **TSO** planning purposes;
  - to disclose it to the Other TSO so that it can meet its statutory and legal requirements for the NI System and;
  - (f) to disclose it to the External TSO as the case may be, so that it can meet its statutory and legal requirements for the External System.

To reflect the different types of data referred to above **System Planning Data** is itself divided into those terms of data:

- 1) which will always be forecast, known as Forecast Data;
- which upon connection become fixed (subject to any subsequent changes), known as Registered Data; and
- 3) which relate to Plant and/or Apparatus which for the purposes of the Plant and/or Apparatus concerned as at the date of submission are Registered

**Data** but which for the ten succeeding years will be an estimate of what is expected, known as **Estimated Registered Data**.

# PC.7 PLANNING STANDARDS

- PC.7.1 The **TSO** shall apply the **Transmission System Security Planning Standards** and relevant standards in the planning and development of the **Transmission System** and where appropriate when participating in the co-ordinated planning and development of both the **Transmission System** and the **Other Transmission System**.
- PC.7.2 In assessing the technical requirements of a **User's** connection, the **TSO** shall not unfairly discriminate between **Users** of a similar category, location or size although it will not be technically or economically practicable to achieve uniformity of method of connection at all times.
- PC.7.3 The **Transmission System Voltage** level at which a **User's System** will be connected and the busbar configuration which a **User's System** uses will depend upon but shall not be limited to the following:
  - the size of the Generation Units and the number of Generation Units comprising the User's System;
  - (b) the size and rating of the **Interconnector**;
  - (c) proximity to the existing **Transmission System**; and
  - (d) the cost of the proposed connection.
- PC.7.4 The Transmission System Voltage level at which a Demand Customer will be connected to the Transmission System will depend upon but shall not be limited to the following:
  - (a) the size of the **MW Demand** at the **Connection Point**;
  - (b) consistency with future development of the Transmission System or the Other Transmission System;
  - (c) proximity to the existing Transmission System.; and
  - (d) the cost of the proposed connection.

- PC.7.5 The Transmission System Voltage level at which the Distribution System Operator will be connected to the Transmission System will depend upon but shall not be limited to the following:
  - (a) the size of the **MW Demand** at the **Connection Point**;
  - (b) consistency with future development of the Transmission System or the Other Transmission System;
  - (c) consistency with co-ordinated planning of the Transmission System and of the Distribution System;
  - (d) proximity to the existing Transmission System; and
  - (e) the cost of the proposed connection.
- PC.7.6 The method of connection used may exceed the relevant standards where this is required by the **User** and is acceptable to the **TSO**.

# PC.8 VALIDATION AND VERIFICATION OF DATA

- PC.8.1 Where a **User** submits data, which in the opinion of the **TSO** (or in its opinion following referral with the **Other TSO**) is incorrect then the **TSO** may request that that **User** supply such additional information as the **TSO** deems necessary to verify the accuracy of the data.
- PC.8.2 Where, following consideration of such information submitted under PC.8.1, the **TSO** maintains (or maintains following referral with the **Other TSO**), acting reasonably, that the additional information is insufficient to verify the accuracy of the original data then the **TSO** may request that the **User** carry out specific **Tests** to verify the data. Where such a **Test** or **Tests** are requested, they will be subject to the provisions of the relevant operational codes.
- PC.8.3 In the event that the data as submitted by the **User** is verified by the **Test** or **Tests** to be correct then all costs reasonably incurred as a result of such **Test** or **Tests** as agreed will be borne in full by the **TSO**.

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PC.8.4 In the event that any of the data items submitted are shown to be incorrect or inaccurate then the **User** will bear the cost of the **Test** in full and the data values as ascertained by the **Tests** will be the values used in the data. If, as a result of the changes to the data arising from the **Test** or **Tests**, the **TSO** have to redo or perform additional system studies then the **User** will also bear the cost reasonably incurred as a result of this additional work.

# PC.A PLANNING CODE APPENDIX

#### INTRODUCTION

This appendix specifies data to be submitted to the **TSO** by **Users** or prospective **Users** of the **Transmission System**. The requirement to provide data is governed by the Planning Code (PC.4.2, PC.4.3, PC.4.4, 0 and 0).

The specific data requirements depend on whether the **User** is a **Customer** or a **Generator** or **Interconnector** or a **Demand Side Unit Operator** or more than one combined. PC.A1 and PC.A2 apply to all **Users**. PC.A3 applies to demand **Users**. PC.A4 applies to **Generators**. PC.A5 applies to **Controllable PPM.** PC.A6 applies to **Interconnectors**. PC.A7 applies to **Demand Side Unit Operators**. PC.A8 refers to the dynamic **Model** requirements for **Users**. For the avoidance of doubt, PC.A8 may apply to the **DSO**,, where the need for such **Models** is identified.

Any material changes to the data specified in PC.A3, PC.A4, PC.A5, PC.A6 or PC.A7 must be notified to the **TSO** as soon as practicable.

# PC.A1 GENERAL INFORMATION

| PC.A1.1 | Full name of the <b>User</b> (s) |
|---------|----------------------------------|
|         |                                  |

- PC.A1.2 Address of the User(s)\_\_\_\_\_
- PC.A1.3 Contact Person\_\_\_\_\_
- PC.A1.4
   Telephone Number\_\_\_\_\_

   PC.A1.5
   Telefax Number\_\_\_\_\_
- PC.A1.6 Email Address

# PC.A2 NEW CONNECTIONS

PC.A2.1 General Details.

### PC.A2.1.1 Projected or target **Operational Date**.

### PC.A2.1.2 Target Connection Date.

PC.A2.1.3 Reliability of connection requested (number of connecting circuits e.g. one, two?): (subject to technical and system security and reliability standards).

### PC.A2.2 Map and Diagrams

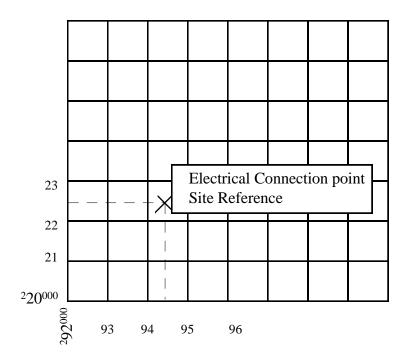
- PC.A2.2.1 Provide a 1:50,000 "Discovery Series" Ordnance Survey map, with the location of the facility clearly marked with an "X". In addition, please specify the Ordnance Survey Grid Co-ordinates of the electrical connection point which is assumed to be at the HV bushings of the Grid Connected Transformer. See Figure 1 for an example of how to correctly specify the grid co-ordinates.
- PC.A2.2.2 Provide a plan of the site (1:200 or 1:500) of the proposed facility, indicating the proposed location for a transmission station compound, location of the connection point, generators, transformers, converter stations, site buildings etc. The plan is to be submitted in hard copy format. A digitised format may be required and should also be provided if available.
- PC.A2.2.3 Provide an electrical single line-diagram of the proposed facility detailing all significant items of plant. The plan is to be submitted in hard copy format. A digitised format may be required and should also be provided if available.
- PC.A2.3 Licensing and Authorisation (For Generation, Interconnector and other applications requested by the TSO)

### PC.A2.3.1 Licence

Details of any **Generation** or **Interconnector** or **Supply Licence** held by the applicant, or of any application for a **Generation** or **Interconnector** or **Supply Licence**.

### PC.A2.3.2 Authorisation

Details of any authorisation or application for authorisation to construct or reconstruct the **Generation** station, **Interconnector** or other applications requested by the **TSO** for which the connection is being sought.



Site co-ordinates: Easting 294500, Northing 222500

Figure 1: Example of a Discovery Series Map grid

Easting and Northing co-ordinates should be stated to six digits.

The co-ordinates should be labelled clearly so as to identify which is Easting and which is Northing.

The numbers on the horizontal axis are Easting co-ordinates.

The numbers on the vertical axis are Northing co-ordinates.

# Example:

The **Easting** site co-ordinates are QYYZZZ, where:

Q refers to the first number (in superscript) of the bottom left hand corner of the map (i.e.  ${}^{2}92^{000}$  Q=2),

YY are the numbers on the horizontal axis directly below the site to the left (i.e. 94)

ZZZ is the actual position in the grid expressed from 0 to 999 (e.g. if half way horizontally within the grid square, the Easting number is approximately 500.

The **Northing** co-ordinates are similarly identified, except the vertical axis rather than the horizontal axis is used.

#### PC.A2.3.3 Exemptions and Policy Documents

Any existing EU exemption applications, pending EU exemption applications, and/or any National or European policy decisions relevant for the application.

# PC.A3 DEMAND DATA REQUIREMENTS

### PC.A3.1 Treatment of Demand Data

At the time the **User** applies for a connection offer but before an offer is made by the **TSO** and accepted by the applicant **User** the above data will be considered as **Preliminary Project Planning Data** as described in PC.6.3.

Once the **Connection Offer** has been formally accepted by the prospective **User** all data shall be provided by the **User** and treated as **Committed Project Planning Data** as discussed in PC.6.4.

Following the **Operational Date** or **Modification Date** as appropriate, all data requirements as listed in this appendix shall be submitted by the **User** to the **TSO** and shall be treated as **System Planning Data** as discussed in PC.6.6. This will include confirming any estimated values assumed for planning purposes and replacing them by validated actual values and by updated estimates for future **Forecast Data**.

### PC.A3.2 Registered Connection Capacity

The registered connection capacity is required in MW and Mvar, corresponding to the maximum MVA. It should be stated whether the **User** is producing or absorbing Mvar.

#### PC.A3.3 Measured and Forecast Data

This section details the measurements of demand and 10-year demand forecasts that are required from each **User** of the **Transmission System** who is a **Demand Customer** in respect of each infeed from the **Transmission System** to the Customer's network(s).. This section applies equally to the **Distribution System Operator (DSO)**.

The **TSO** shall notify each **User** who is a **Demand Customer** in advance of each load reading day. These load reading days are winter peak, summer and summer minimum as specified in sections PC.A3.3.2, PC.A3.3.3 and PC.A3.3.4 respectively.

The measurements of demand and 10-year demand forecasts should be submitted by the end of calendar week 9 of each year.

### PC.A3.3.1 Measurement Point

Demand measurements and forecasts for each infeed from the **Transmission System** shall relate to the appropriate **Measurement Point**.

### PC.A3.3.2 Winter 12.30 and 18.00 - Load Readings and Forecast

A coincident set of measurements of MW and Mvar values both at 12.30 and 18.00 hours on the second Thursday in December is required. If the second Thursday in December is the 8<sup>th</sup>, then the measurements should be taken on the following Tuesday, December 13<sup>th</sup>. The readings should be taken with both the transmission and distribution networks intact, and with normal sectionalising of the **Distribution System**, i.e. under normal feeding conditions. The load reading shall take account of embedded generation as detailed in section PC.A3.3.6.

A forecast of the expected MW and Mvar winter peak demand at the **Measurement Point** at 12.30 and 18.00 hours for the next ten (10) years is required. For example, the forecasts to be received by calendar week 9 of 2003 should cover years 2003 through 2012. The load forecast shall take account of sections PC.A3.3.6 through PC.A3.3.9 dealing with embedded generation, description of forecast methodology, transformer reinforcements and permanent load transfer.

The **DSO**, in preparing the forecast for winter peak load, shall bear in mind that the coincident load readings taken on the second Thursday in December may be lower than at system peak.

### PC.A3.3.3 Summer 12.30 - Load Readings and Forecast

A coincident set of measurements of MW and Mvar values at 12.30 hours on the fourth Thursday in June is required. The load reading shall take account of embedded generation as detailed in section PC.A3.3.6.

A corresponding 10-year forecast of the MW and Mvar demand at the **Measurement Point** in June at 12.30 hours for the next ten (10) years is also required. For example, the forecasts to be received by calendar week 9 of 2003 should cover years 2003 through 2012. The load forecast shall take account of sections PC.A3.3.6 through PC.A3.3.9 dealing with embedded generation, description of forecast method, transformer reinforcements and permanent load transfer.

### PC.A3.3.4 Summer Night Valley - Load Reading and Forecast

A coincident set of measurements of MW and Mvar values at 06.00 hours on the Sunday preceding the early August Monday Public Holiday is required. The load readings shall take account of embedded generation as detailed in section PC.A3.3.6.

A corresponding 10-year forecast of the MW and Mvar minimum demand at the **Measurement Point** in August at 06.00 hours for the next ten (10) years is also required. For example, the forecasts to be received by calendar week 9 of 2003 should cover years 2003 through 2012. The load forecast shall take account of sections PC.A3.3.6 through PC.A3.3.9 dealing with embedded generation, description of forecast method, transformer reinforcements and permanent load transfer.

### PC.A3.3.5 Non Coincident Peak Demand - Load Reading and Forecast

Each **User** of the **Transmission System** who is a **Demand Customer**, including the **DSO**, is required to specify by calendar week 9 of each year, the MW and Mvar values corresponding to the maximum MVA demand which occurred at the **Measurement Point** during the previous year. <u>The date and time of the occurrence</u> of this maximum demand should be stated by the **User**.

#### PC.A3.3.6 Embedded Generation

All load readings shall specify, separately, the MW and Mvar contribution from significant embedded generation. The **User** should indicate whether the generator is producing or absorbing Mvar from the system. The type or types of significant embedded generation should be specified – hydro, wind, CHP, biomass, diesel or other.

All load forecasts shall specify, separately, the installed capacity of existing and projected significant embedded generation. Both MW and Mvar capability should be given, indicating the Mvar limits both for production and absorption. The type or types of embedded generation should be specified – hydro, wind, CHP, biomass, diesel or other.

#### PC.A3.3.7 Load Forecast - Methodology

The **User** shall provide, with the forecast data, a brief description of the basis for the forecast.

### PC.A3.3.8 Load Forecast - Transformer Reinforcements

The **User** shall provide, with the forecast data, details of planned changes in transformer capacity between the **Measurement Point** and the **Connection Point**.

#### PC.A3.3.9 Load Forecast - Permanent Load Transfer

There are two separate characteristics of **Distribution System** load forecasts; expected load growth and expected permanent load transfer between infeeds from the **Transmission System**. In order to identify separately the load growth, the **DSO** demand forecasts shall be prepared for the load at each **Measurement Point** without taking account of any proposed load transfers.

Then, in order to identify separately the proposed load transfer, the **DSO** shall provide, with the forecast data, details of each planned load transfer as follows:

- (a) Date of transfer
- (b) Reason for transfer e.g. proposed 110kV station, transformer capacity, etc.
- (c) MW and Mvar at each of the measurement points expected to be transferred
- (d) Existing source of supply for the load to be transferred.

Only proposed permanent load transfers for which there is a firm commitment should be included. For example, proposed 110kV stations should be included only where there is a connection agreement between the **TSO** and the **DSO**.

For a proposed new 110kV station which will supply new load and for which there is a connection agreement between the **TSO** and the **DSO**, a load forecast is required.

#### PC.A3.3.10 Special Load Reading

The DSO shall continue to provide the TSO with the DSO special load readings.

### PC.A3.3.11 Data Templates

For uniformity of data capture, and to facilitate **Users** of the **Transmission System** who are **Demand Customers**, the **TSO** will provide to each such **User** prepared templates with data validation to facilitate entry of the required data.

**Users** shall provide data to the **TSO** using these data templates or in such other form as may be agreed by the **TSO**.

### PC.A3.4 User Network Data

Single-line diagram of user network to a level of detail to be agreed with the **TSO**. Electrical characteristics of all 110 kV circuits and equipment (R, X, B, R<sub>0</sub>, X<sub>0</sub>, B<sub>0</sub>,) continuous and overload ratings.

Contribution from **User** network to a three-phase short circuit at connection point. Connection details of all 110 kV-connected transformers, shunt capacitors, shunt reactors etc. (star, delta, zigzag, etc.)

Electrical characteristics of all 110kV circuits and equipment at a voltage lower than 110 kV that may form a closed tie between two connection points on the **Transmission System**.

### PC.A3.5 Standby Supply Data

For each **User** who is a **Demand Customer**, that can take supply from more than one supply point, the following information is required:

Source of the standby supply (alternative supply point(s)) Standby capacity required (MW and Mvar)

### PC.A3.6 Fluctuating Loads

For each demand that can fluctuate by more than 5 MVA at the point of connection to the **Transmission System**, the following information is required:

- PC.A3.6.1 Rate of change of **Active Power** and **Reactive Power**, both increasing and decreasing (kW/s. kvar/s).
- PC.A3.6.2 The shortest repetitive time interval between fluctuations in Active Power and Reactive Power Demand (Seconds).
- PC.A3.6.3 The magnitude of the largest step changes in Active Power and Reactive Power Demand (kW, Kvar).
- PC.A3.7 Disturbing Loads

Description of any **Disturbing Load** to be connected to the **Transmission System**.

# PC.A3.8 Grid Connected Transformer

|   | Symbol | Units                |
|---|--------|----------------------|
| Number of windings                        |        |                      |
| Vector Group                              |        |                      |
| Rated current of each winding             |        | A                    |
| Transformer Rating                        |        | MVA <sub>Trans</sub> |
| Transformer nominal LV voltage            |        | kV                   |
| Transformer nominal HV voltage            |        | kV                   |
| Tapped winding                            |        |                      |
| Transformer Ratio at all transformer taps |        |                      |

| Transformer Impedance (resistance R and reactance X) at all taps | R+jX                | % on rating $MVA_{Trans}$        |
|--|---------------------|----------------------------------|
| For 3 winding transformers, where there are external             | Z <sub>HV:LV1</sub> | % on rating MVA <sub>Trans</sub> |
| connections to all 3 windings, the impedance (resistance R and   | Z <sub>HV:LV2</sub> | % on rating MVA <sub>Trans</sub> |
| reactance X) between each pair of windings is required,          | -10.202             |                                  |
| measured with the third set of terminals open-circuit.           | $Z_{LV1:LV2}$       | % on rating MVA <sub>Trans</sub> |

### Transformer zero sequence impedances at nominal tap

Zero Phase Sequence impedance measured between the HV  $Z_{HT0}$  Ohm terminals (shorted) and the neutral terminal, with the LV terminals open-circuit.

Zero Phase Sequence impedance measured between the HV  $Z_{HL0}$  Ohm terminals (shorted) and the neutral terminal, with the LV terminals short-circuited to the neutral.

Zero Phase Sequence impedance measured between the LV  $Z_{LT 0}$  Ohm terminals (shorted) and the neutral terminal, with the HV terminals open-circuit.

Zero Phase Sequence impedance measured between the LV  $Z_{LH 0}$  Ohm terminals (shorted) and the neutral terminal, with the HV terminals short-circuited to the neutral.

Zero Phase Sequence leakage impedance measured between the  $Z_{L0}$  Ohm HV terminals (shorted) and the LV terminals (shorted), with the Delta winding closed.

Earthing Arrangement including LV neutral earthing resistance & reactance

Core construction (number of limbs, shell or core type)

Open circuit characteristic

Graph

### PC.A3.9 Shunt Capacitor / Reactor Data

For each shunt capacitor or reactor with a rating in excess of 1 Mvar connected to or capable of being connected to a user network, the following information shall be provided.

- PC.A3.9.1 Rating (Mvar).
- PC.A3.9.2 Resistance / Reactance / Susceptance of all components of the capacitor or reactor bank.
- PC.A3.9.3 Fixed or switched.
- PC.A3.9.4 If switched, control details (manual, time, load, voltage, etc.).
- PC.A3.9.5 If automatic control, details of settings.

# PC.A4 GENERATOR DATA REQUIREMENTS

### PC.A4.1 General Details

Each **Generator** shall submit to the **TSO** detailed information as required to plan, design, construct and operate the **Transmission System**.

| Station Name   |  |
|--|--|
| Number of Generating Units                             |  |
| Primary Fuel Type / Prime Mover (e.g. gas, hydro etc.) |  |
| Secondary Fuel Type (e.g. oil)                         |  |
| Generation Export Connection Capacity Required (MW)    |  |

### PC.A4.2 Treatment of Generator Data

\* data item which must be provided by the applicant and which shall be treated as **Preliminary Project Data** as discussed in PC.6.3.

§ data item which, if not provided by the applicant as **Preliminary Project Data**, will be estimated by the **TSO** at the applicant's sole risk. The **TSO** puts the applicant on notice that this data estimate shall be treated as **Preliminary Project Data** as discussed in PC.6.3.

Once the **Connection Offer** has been formally accepted by the prospective **User** all data shall be provided by the **User** and treated as **Committed Project Planning Data** as discussed in PC.6.4.

Following the **Operational Date** or **Modification Date** as appropriate, all data requirements as listed in this appendix shall be submitted by the **User** to the **TSO** and shall be treated as **System Planning Data** as discussed in PC.6.6. This will include confirming any estimated values assumed for planning purposes and replacing them by validated actual values and by updated estimates for future **Forecast Data**.

### PC.A4.3 Generator Operating Characteristics and Registered Data

Minimum requirements for generator operating conditions are specified in the **Connection Conditions**.

For thermal plant, provide a functional block diagram of the main plant components, showing boilers, alternators, any heat or steam supplies to other processes etc. indicate whether single shaft or separate shaft.

For each individual unit, on **Primary Fuel** and on **Secondary Fuel where applicable**, fill in the following:
Unit Number
Registered Capacity (MW)
Fuel

|  | Symbol | Units |
|--|--------|-------|
| * Normal Maximum Continuous Generation Capacity:     |        | MW    |
| * Normal Maximum Continuous Export Capacity          |        | MW    |
| Primary Fuel Switchover Output                       |        | MW    |
| Secondary Fuel Switchover Output                     |        | MW    |
| * Power Station Auxiliary Load                       |        | MW    |
| § Power Station Auxiliary Load                       |        | Mvar  |
| * Maximum (Peaking) Generating Capacity              |        | MW    |
| * Maximum (Peaking) Export Capacity                  |        | MW    |
| * Normal Minimum Continuous Generating Capacity      |        | MW    |
| * Normal Minimum Continuous Export Capacity          |        | MW    |
| * Generator Rating:                                  | Mbase  | MVA   |
| * Normal Maximum Lagging Power Factor                |        | Mvar  |
| * Normal Maximum Leading Power Factor                |        | Mvar  |
| § Governor Droop                                     | R      |       |
| § Forbidden Zones                                    |        | MW    |
| § Terminal Voltage adjustment range                  |        | kV    |
| § Short Circuit Ratio                                |        |       |
| § Rated Stator Current                               |        | Amps  |
| * Number of available hours of running at Registered |        |       |

Capacity from on-site fuel storage stocked to its full capacity

# Description

| § Capability Chart showing full range of operating capabili    | ty of the generat | tor Diagram |
|--|-------------------|-------------|
| including thermal and excitation limits.                       |                   |             |
| § Open Circuit Magnetisation Curves                            |                   | Graph       |
| § Short Circuit characteristic                                 |                   | Graph       |
| § Zero power factor curve                                      |                   | Graph       |
| § V curves   |                   | Diagram     |
|  | Symbol            | Units       |
| § Time to synchronise from warm                                |                   | Hour        |
| § Time to synchronise from cold                                |                   | Hour        |
| § Minimum Up Time  |                   | Hour        |
| § Minimum Down Time  |                   | Hour        |
| § Normal loading rate  |                   | MW / min    |
| § Normal deloading rate  |                   | MW / min    |
| § Can the G <b>enerator</b> start on each fuel                 |                   |             |
| § Ability to change fuels on-load                              |                   |             |
| § Available modes (lean burn, etc.)                            |                   |             |
| § Time to change modes on-load                                 |                   |             |
| § Control range for AGC operation                              |                   | MW          |
| Other relevant operating characteristics not otherwise provide | ed                |             |

### § Reserve Capability

Primary Spinning Reserve Secondary Spinning Reserve Tertiary Reserve

Give details of reserve capability of the **Generator** in different operating modes: Unit co-ordinating, turbine follow, recirculation, base load, etc.

What reserve, if any, is available when the unit is off load?

### **CCGT Installation Matrix**

This matrix is a look up table determining which **CCGT Unit** will be operating at any given MW **Dispatch** level. This information will be applied for planning purposes and for scheduling, **Dispatch** and control purposes as covered in the **SDC**s unless by prior agreement with the **TSO**.

As an example of how the matrix might be filled out, consider a sample unit with a total capacity of 400 MW made up of two 150 MW combustion turbines and one 100 MW steam turbine. In this case, the following ranges might be specified:

| 0 MW to 50 MW    | GT1                |
|------------------|--------------------|
| 50 MW to 170 MW  | GT1 and ST         |
| 170 MW to 400 MW | GT1 and GT2 and ST |

Please insert MW ranges and tick the boxes to indicate which units are synchronised to deliver each MW range at the following atmospheric conditions: Temperature 10°C, Pressure 1.01 bar and 70% Humidity.

| CCGT INSTALLATION                  | CCGT UNIT AVAILABLE |     |     |     |     |     |
|------------------------------------|---------------------|-----|-----|-----|-----|-----|
| OUTPUT USABLE                      | 1st                 | 2nd | 3rd | 1st | 2nd | 3rd |
|                                    | GT                  | GT  | GT  | ST  | ST  | ST  |
|                                    | OUTPUT USABLE       |     |     |     |     |     |
| <u>Unit</u> MW Capacity →          | e.g. 150            | 150 | -   | 100 | -   | -   |
| Total MW Output Range $\downarrow$ |                     |     |     |     |     |     |

| [ ] MW to [ ] MW |  |  |  |
|------------------|--|--|--|
| [ ] MW to [ ] MW |  |  |  |
| [ ] MW to [ ] MW |  |  |  |
| [ ] MW to [ ] MW |  |  |  |
| [ ] MW to [ ] MW |  |  |  |
| [ ] MW to [ ] MW |  |  |  |

# PC.A4.4 Generator Parameters

|   | Symbol                            | Units       |
|---|-----------------------------------|-------------|
| * direct axis Synchronous reactance   | X <sub>d</sub>                    | % on rating |
| * direct axis Transient reactance saturated                                       | X <sup>′</sup> <sub>d sat</sub>   | % on rating |
| * direct axis Transient reactance unsaturated                                     | X <sup>'</sup> <sub>d unsat</sub> | % on rating |
| * Sub-transient reactance unsaturated   | $X_{\tt d}^{"}=X_{\tt q}^{"}$     | % on rating |
| § quad axis Synchronous reactance   | X <sub>q</sub>                    | % on rating |
| § quad axis Transient reactance unsaturated                                       | X <sup>'</sup> <sub>q unsat</sub> | % on rating |
| § Negative Phase Sequence Synchronous reactance                                   | X <sub>2</sub>                    | % on rating |
| § Zero phase sequence reactance   | X <sub>o</sub>                    | % on rating |
| <ul> <li>* Turbine generator Inertia constant for entire rotating mass</li> </ul> | Н                                 | MW s/MVA    |
| § Stator resistance   | Ra                                | % on rating |
| § Stator Leakage reactance  | XL                                | % on rating |
| § Poiter reactance  | X <sub>P</sub>                    | % on rating |

#### **Generator Time Constants**

| Tdo' | sec                                 |
|------|-------------------------------------|
|      |                                     |
| Tdo" | sec                                 |
| Τqo' | sec                                 |
| Τqo" | sec                                 |
| Td'  | sec                                 |
| Td"  | sec                                 |
| Tq'  | sec                                 |
| Tq"  | sec                                 |
|      | Τqo'<br>Τqo''<br>Τd'<br>Τd''<br>Τq' |

### PC.A4.5 § Mechanical Parameters:

Provide mechanical parameters of the **Generator** that affect the dynamic performance of the **Generator**. This may include the stiffness of the shaft, a multimass model of the **Plant** components, torsional modes, mechanical damping or parameters as specified by the **TSO**.

### PC.A4.6 § Excitation System:

Provide parameters and supply a Laplace-domain control block diagram (or as otherwise agreed with the **TSO**) completely specifying all time constants and gains to fully explain the transfer function from the compensator or generator terminal voltage and field current to generator field voltage. These parameters may include but are not limited to:

| Description                                     | Symbol | Units |
|---|--------|-------|
| Excitation system type (AC or DC)               |        | Text  |
| Excitation feeding arrangement (solid or shunt) |        | Text  |
| Excitation system Filter time constant          | Tr     | sec   |
| Excitation system Lead time constant            | Тс     | sec   |
| Excitation system Lag time constant             | Tb     | sec   |
| Excitation system Controller gain               | Ка     |       |
| Excitation system controller lag time constant  | Та     | sec   |
| Excitation system Maximum controller output     | Vmax   | p.u.  |
| Excitation system minimum controller output     | Vmin   | p.u.  |
| Excitation system regulation factor             | Кс     |       |
| Excitation system rate feedback gain            | Kf     |       |
| Excitation system rate feedback time constant   | Tf     | sec   |

### PC.A4.7 § Speed Governor System

Supply a Laplace-domain control block diagram and associated parameters of prime mover models for thermal and hydro units (or as otherwise agreed with the **TSO**) completely specifying all time constants and gains to fully explain the transfer function for the **Governor Control System** in relation to **Frequency** deviations and setpoint operation.

#### PC.A4.8 § Control Devices (including Power System Stabilisers) and Protection Relays

Supply any additional Laplace domain control diagrams and associated parameters for any outstanding control devices including **Power System Stabiliser** or special protection relays in the generating unit, which automatically impinge on its operating characteristics within 30 seconds following a system disturbance and which have a minimum time constant of at least 0.02 seconds.

| CO <sub>2</sub> ton | ne $CO_2$ / tonne fuel                  |
|---------------------|---|
| Uni                 | t CO <sub>2</sub> removal efficiency    |
| SO <sub>2</sub> ton | ne SO <sub>2</sub> / tonne fuel         |
| Uni                 | t SO <sub>2</sub> removal efficiency    |
| NO <sub>x</sub> ton | ne NO <sub>x</sub> / exported MWh curve |

### PC.A4.10 § Pumped Storage

| Reservoir Capacity                      | MWh pumping |
|---|-------------|
| Max Pumping Capacity                    | MW          |
| Min Pumping Capacity                    | MW          |
| Efficiency (generating / pumping ratio) | %           |

# PC.A4.11 § Generator Transformer

|   | Symbol         | Units                     |
|---|----------------|---------------------------|
| Number of windings  |                |                           |
| Vector Group  |                |                           |
| Rated current of each winding   |                | Amps                      |
| Transformer Rating  |                | MVA <sub>Trans</sub>      |
| Transformer nominal LV voltage  |                | kV                        |
| Transformer nominal HV voltage  |                | kV                        |
| Tapped winding  |                |                           |
| Transformer Ratio at all transformer taps                             |                |                           |
| Transformer Impedance at all taps <sup>1</sup>                        |                | % on rating $MVA_{Trans}$ |
| Transformer zero sequence impedance at nominal tap                    | Z <sub>0</sub> | Ohm                       |
| Earthing Arrangement including neutral earthing resistance & reactand | ce             |                           |
| Core construction (number of limbs, shell or core type)               |                |                           |
| Open circuit characteristic   |                | Graph                     |

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<sup>&</sup>lt;sup>1</sup> For Three Winding Transformers the HV/LV1, HV/LV2 and LV1/LV2 impedances together with associated bases shall be provided.

| PC.A4.12   | Generator Forecast Data                           |  |  |
|--|---|--|--|
| PC.A4.12.1   | § Expected Maintenance Requirements               |  |  |
|  | Expected Maintenance Requirements weeks/year      |  |  |
| PC.A4.12.2   | § Forecast Availability of Registered Capacity    |  |  |
| Apart from the expected scheduled maintenance requirements |   |  |  |
| Availability<br>Capacity                                   | of Registered Reason Available Exported MW Time % |  |  |
| Registered Ca  | pacity  |  |  |
| Restricted Rat   | ing   |  |  |
| Forced Outage Probability                                  |   |  |  |

| Total | 100% |  |
|-------|------|--|
|       |      |  |

Reasons for restricted rating might include poor fuel, loss of mill, loss of burners, hydro flow restrictions, etc.

# PC.A4.12.3 § Energy Limitations

| Daily   | GWh |
|---------|-----|
| Weekly  | GWh |
| Monthly | GWh |
| Annual  | GWh |
|         |     |

| January   | GWh |
|-----------|-----|
| February  | GWh |
| March     | GWh |
| April     | GWh |
| May       | GWh |
| June      | GWh |
| July      | GWh |
| August    | GWh |
| September | GWh |
| October   | GWh |
| November  | GWh |
| December  | GWh |

### PC.A4.13 § Generator Aggregators

For each **Generator Aggregator**, the following information shall be provided:

- (i) Name of **Generator Aggregator** group;
- (ii) Total Generation Capacity at their Connection Points of all Generation
   Units being aggregated (MW) (Aggregated Maximum Export Capacity);

For each **Generator Site** within the **Generator Aggregator** group, the following information shall be provided:

- (iii) Location;
- (iv) Registered Capacity; and
- (v) Name of the Transmission Station to which the Generation Site is normally connected.

# PC.A5 Controllable PPM Data Requirements

All information for **Controllable PPM** connection applications shall include details of the **Transmission System Connection Point**. This shall include details listed in **PC.A2.1**, **PC.A2.2** for the **Connection Point**. The minimum technical, design and operational criteria to be met by **Controllable PPM** are specified in the **Connection Conditions**.

### PC.A5.1 § Wind Turbine Generators and Mains Excited Asynchronous Generators

State whether turbines are Fixed Speed or Variable Speed: Provide manufacturer details on electrical characteristics and operating performance with particular reference to Flicker and Harmonic performance.

For Mains Excited Asynchronous Generators, state:

|   | Units |
|---|-------|
| How the generator is run up to synchronous speed            |       |
| Magnitude of inrush / starting current                      | Amps  |
| Duration of inrush / starting current                       | ms    |
| Starting / paralleling frequency                            | Hz    |
| Power factor on starting                                    |       |
| Reactive power demand at zero output ('no load')            | kvar  |
| Give details of reactive power compensation to be installed |       |

### PC.A5.2 Wind Turbine Generator parameters

Provide electrical parameters relative to the performance of the **Wind Turbine Generator**. This may include but is not limited to parameters of electrical generator, power electronic converters, electrical control and/or protection systems. Laplace diagrams and associated parameters shall be provided to the **TSO** where appropriate.

#### PC.A5.3 Mechanical parameters of the WTG

Provide mechanical parameters relative to the performance of the **Wind Turbine Generator**. This may include but is not limited to the drive train characteristics of the **WTG**, the stiffness of the shaft of the **WTG** and/or a multi-mass model of the **WTG** components. Laplace diagrams and associated parameters shall be provided to the **TSO** where appropriate.

#### PC.A5.4 Aerodynamic performance of WTG

Provide details on the aerodynamic performance of the **Wind Turbine Generator**. This may include but is not limited to variation of power co-efficient with tip speed ratio and **WTG** blade pitch angle, aerodynamic disturbance from **WTG** tower, **WTG** blade pitch control and high and low wind speed performance of the **WTG**. Laplace diagrams and associated parameters shall be provided to the **TSO** where appropriate.

### PC.A5.5 Wind Turbine Generator transformer

Provide details of the transformer that connects the **WTG** with the internal **Controllable PPM** network. This may include but is not limited to the rating of **WTG** transformer (MVA or kVA), the **WTG** transformer voltage ratio (kV) or the **WTG** transformer impedance (%).

### PC.A5.6 Reactive Compensation

Provide details of any additional reactive compensation devices and control systems employed by the **Controllable PPM**. This shall include **Mvar** capability, the number of stages in the device and the **Mvar** capability switched in each stage and any control or protection systems that influence the performance of the **Controllable PPM** at the **Connection Point**. Laplace diagrams and associated parameters shall be provided to the **TSO** where appropriate.

### PC.A5.7 Controllable PPM control and protection systems

Provide details of any control or protection systems that affect the performance of the **Controllable PPM** at the **Connection Point.** This shall include any systems or modes of operation that activate during system **Voltage** or **Frequency** excursions including Low **Voltage** Ride Through (FRT), High **Voltage** Ride Through, Low **Frequency** Response and High **Frequency** Response. The transition between **Controllable PPM** control modes shall also be specified. Laplace diagrams and associated parameters shall be provided to the **TSO** where appropriate.

#### PC.A5.8 Grid connection transformer of Controllable PPM

Provide details of the transformer that connects the **Controllable PPM** site with the **Distribution/Transmission System (equivalent to the Generator Transformer of a conventional power station)**. This shall include but is not limited to rating of grid transformer (MVA or kVA), transformer **Voltage** ratio (kV), transformer impedance (%), transformer tap changing control and no-load losses.

#### PC.A5.9 Internal network of Controllable PPM

Provide details of the **Controllable PPM's** internal network structure (**Collector Network**) and lay out (by means of a single-line diagram or other description of connections). This shall include but is not limited to a breakdown of how the individual **WTGs** are connected together as well as how they are connected back to the **Controllable PPM** substation. Please specify different cable or overhead line types and the individual length of each section of circuit.

|                         | Type1 | Type2 | Туре3 | Extend      |
|-------------------------|-------|-------|-------|-------------|
| Total length (m)        |       |       |       | Table as    |
| Conductor cross section |       |       |       | appropriate |
| area per core (mm)      |       |       |       |             |
| Conductor type          |       |       |       |             |
| (Al, Cu, etc)           |       |       |       |             |
| Type of insulation      |       |       |       |             |
| Charging capacitance    |       |       |       |             |
| (μF/km)                 |       |       |       |             |
| Charging current        |       |       |       |             |
| (Ampere/km)             |       |       |       |             |
| Positive sequence       |       |       |       |             |

#### resistance

(R1 Ohm/km)

Positive sequence reactance (X1 Ohm/km)

#### PC.A5.10 Flicker and harmonics

Provide details of harmonic or flicker contribution from the **Controllable PPM** that may affect the performance of the **Controllable PPM** at the **Connection Point.** This may include harmonic current injections and phase angles associated with the **Controllable PPM**. Details of any additional AC filter devices shall also be provided by the **Controllable PPM** to the **TSO**.

#### PC.A5.11 Short Circuit Contribution

Provide details of the single-phase to ground, phase-phase and three-phase to ground short circuit contribution from the **Controllable PPM** at the **Connection Point.** The **Controllable PPM** shall provide the **TSO** with the single-phase and three-phase short circuit contribution for rated conditions, i.e. maximum output from the **Controllable PPM** with all **WTGs** and any additional devices in the **Controllable PPM** contributing to the short circuit current. The **Controllable PPM** shall also provide the single-phase to ground, phase-phase and three-phase to ground short circuit contribution from an individual **WTG.** Signature plots of the short circuit contribution from an individual **WTG** shall also be supplied by the **Controllable PPM**.

### PC.A6 Interconnector Data Requirements

All information for **Interconnector** connection applications shall include details of the **Transmission System Connection Point** and external **Transmission System Connection Point**. This shall include details listed in PC.A2.1, PC.A2.2 for each **Connection Point**. The minimum technical, design and operational criteria to be met by **Interconnectors** are specified in the **Connection Conditions**.

### PC.A6.1 Interconnector Operating Characteristics and Registered Data

- (i) Interconnector Registered Capacity
  - (a) Interconnector Registered Import Capacity for import to the Transmission System (MW);
  - (b) Interconnector Registered Export Capacity for export from the Transmission System (MW).

Interconnector Registered Capacity figures (a) and (b) above shall include transmission power losses for the Interconnector and be considered Registered Data.

- (ii) General Details
  - (a) single line diagram for each converter station;
  - (b) proposed **Transmission** connection point;
  - (c) **Control Facility** location;
  - (d) Interconnector Operator details.
- (iii) Technology details
  - (a) Interconnector technology type (i.e. current or voltage source technology);
  - (b) DC network cable or overhead line type & characteristics i.e. length, resistance (R), reactance (X), susceptance (B);
  - (c) rated DC Network Voltage/pole (kV);
  - (d) number of poles and pole arrangement;
  - (e) Earthing / return path arrangement;
  - (f) short circuit contribution (three phase to ground, single line to ground, phase to phase);
  - (g) Interconnector losses (MW/Mvar);
    - i. converter station;
    - ii. line circuits;
    - iii. house load demand;
    - iv. losses on de-block at minimum transfer;
    - v. total losses at max import / export.
  - (h) overload capability including details of any limitations i.e. time, temperature;

- (iv) AC filter reactive compensation equipment parameters
  - (a) total number of AC filter banks;
  - (b) type of equipment (e.g. fixed or variable);
  - (c) single line diagram of filter arrangement and connections;
  - (d) Reactive Power rating for each AC filter bank, capacitor bank, or operating range of each item or reactive compensation equipment, at rated voltage;
  - (e) performance chart (PQ), showing Reactive Power capability of the Interconnector, as a function of Interconnector Registered Capacity transfer.
  - (f) harmonic and/or flicker contribution from the Interconnector that may affect the performance of the Interconnector at the Connection Point.
- (v) Interconnector power electronic converter and control systems
  - (a) parameters related to the power electronic converters.
     Interconnector converter characteristics to be represented may include but is not limited by the following; converter firing angle, modulation index, Valve winding voltage, DC Voltage, DC Current as the output variables;
  - (b) transfer function block diagram representation including parameters of the **Interconnector** transformer tap changer control systems, including time delays;
  - (c) transfer function block diagram representation including parameters of AC filter and reactive compensation equipment control systems, including any time delays;
  - (d) transfer function block diagram representation including parameters of any Frequency and/or load control systems;

- (e) transfer function block diagram representation including parameters of any small signal modulation controls such as power oscillation damping controls or sub-synchronous oscillation damping controls, which have not been submitted as part of the above control system data;
- (f) transfer block diagram representation including parameters of the Active Power control, DC Voltage control, AC Voltage control and Reactive Power control at converter ends for a voltage source converter for both the rectifier and inverter modes.
- (g) transfer block diagram representation including parameters of any control modes that affect the performance of the **Interconnector** at the **Connection Point** which have not been submitted as part of the above control system data. Features to be represented shall include but are not limited to the following; start-up sequence, shutdown sequence, Normal operating mode, VSC control mode, Island mode and Emergency Power control.

|   | Symbol | Units                |
|---|--------|----------------------|
| number of windings                        |        |                      |
| vector Group                              |        |                      |
| rated current of each winding             |        | A                    |
| transformer rating                        |        | MVA <sub>Trans</sub> |
| transformer nominal LV voltage            |        | kV                   |
| transformer nominal HV voltage            |        | kV                   |
| tapped winding                            |        |                      |
| transformer ratio at all transformer taps |        |                      |

### (vi) Interconnector Transformer;

| transformer impedance at all taps <sup>1</sup>                         |                | % on rating $MVA_{Trans}$ |
|--|----------------|---------------------------|
| transformer zero sequence impedance at nominal tap                     | Z <sub>0</sub> | Ohm                       |
| Earthing arrangement including neutral Earthing resistance & reactance |                |                           |
| core construction (number of limbs, shell or core type)                |                |                           |
| open circuit characteristic  |                | graph                     |

# PC.A7 Demand Side Unit Operators

For each **Demand Side Unit Operator**, the following information shall be provided:

- (a) General Details
  - (i) name of **Demand Side Unit**;
  - (ii) address of the **Demand Side Unit Control Facility**;
  - (iii) address of each Individual Demand Site(s) comprising the Demand
     Side Unit;
  - (iv) Irish Grid Co-ordinates of the Connection Point of each Individual
     Demand Site comprising the Demand Side Unit;
  - (v) Meter Point Reference Number for each Individual Demand Site comprising the Demand Side Unit;
  - (vi) classification of operation of each **Individual Demand Site** comprising the **Demand Side Unit** as one of:
    - avoided **Demand** consumption only,
    - combination of avoided Demand consumption and Shaving Mode operation of Generation Units,
    - combination of avoided **Demand** consumption and **Continuous Parallel Mode** operation of **Generation Units**,
    - combination of avoided Demand consumption and Lopping
       Mode operation of Generation Units,

<sup>&</sup>lt;sup>1</sup> For Three Winding Transformers the HV/LV1, HV/LV2 and LV1/LV2 impedances together with associated bases shall be provided.

- combination of avoided **Demand** consumption and **Standby** Mode operation of **Generation Units**,
- combination of avoided **Demand** consumption and **Automatic** Mains Failure Mode operation of Generation Units,
- Shaving Mode operation of Generation Units only,
- Continuous Parallel Mode operation of Generation Units only,
- Lopping Mode operation of Generation Units only,
- Standby Mode operation of Generation Units only,
- Automatic Mains Failure Mode operation of Generation Units only;
- (vii) current classification of operation of each Individual Demand Site
   comprising the Demand Side Unit if different to above;
- (viii) details of all Generation Units used as part of the Demand Side Unit
   operated in Continuous Parallel Mode, Shaving Mode or Lopping
   Mode, including the make, model, Capacity, MVA rating, fuel type,
   and protection settings;
- (ix) whether a change is required to the current Maximum Export
   Capacity or Maximum Import Capacity of Individual Demand Sites
   comprising the Demand Side Unit;
- (x) whether the operation of Embedded Generator Interface
   Protection trips a DSO-operated interface circuit breaker, DSO
   Demand Customer main incomer, Generation Unit LV circuit
   breaker, Generation Unit HV transformer circuit breaker or other on
   a Distribution System-connected Individual Demand Site
   comprising a Demand Side Unit containing Generation;
- (xi) the current operation Embedded Generator Interface Protection if different to above;
- (xii) details of all **Demand** loads with **Demand** reduction capability of 5
   MW or greater, including size in MW and demand reduction capability from load;
- (xiii) whether the Distribution System Operator has been informed about the intention of the Demand Side Unit Operator to operate a Demand Side Unit (the Demand Side Unit Operator is obliged to inform the Distribution System Operator);

- (xiv) whether each Individual Demand Site comprising the Demand Side
   Unit is currently participating as or part of any Aggregated
   Generator Unit or other Demand Side Unit;
- (xv) proposed effective date in Single Electricity Market for first-time applicants; and
- (xvi) proposed date for Grid Code Testing.
- (b) Technical Details
  - total Demand Side Unit MW Capacity (MW) of the Demand Side
     Unit;
  - Demand Side Unit MW Capacity (MW) of each Individual Demand
     Site comprising the Demand Side Unit;
  - total Demand Side Unit MW Capacity of the Demand Side Unit available from on-site Generation (MW) operated in Shaving Mode or Continuous Parallel Mode;
  - (iv) Demand Side Unit MW Capacity of each Individual Demand Site
     comprising the Demand Side Unit available from on-site Generation
     (MW) operated in Shaving Mode or Continuous Parallel Mode;
  - (v) total Demand Side Unit MW Capacity of the Demand Side Unit available from avoided Demand consumption (MW) and on-site Generation (MW) operated in Lopping Mode and on-site Generation (MW) operated in Standby Mode;
  - (vi) Demand Side Unit MW Capacity of each Individual Demand Site comprising the Demand Side Unit available from avoided Demand consumption (MW) or on-site Generation (MW) operated in Lopping Mode or on-site Generation (MW) operated in Standby Mode;
  - (vii) Demand Side Unit MW Response Time of the Demand Side Unit;
  - (viii) Demand Side Unit Notice Time of the Demand Side Unit;
  - (ix) Minimum Down Time of the Demand Side Unit;
  - (x) Maximum Down Time of the Demand Side Unit;
  - (xi) Minimum off time of the Demand Side Unit;
  - (xii) Maximum Ramp Up Rate of the Demand Side Unit;

#### (xiii) Maximum Ramp Down Rate of the Demand Side Unit;

### PC.A8 Modelling Requirements for Users

#### PC.A8.1 Introduction

The **TSO** requires suitable and accurate dynamic **Models** for all **Users** connected to, or applying for a connection to, the **Transmission System**, in order to assess the impact of the proposed installation on the transient and dynamic performance, and security and stability of the **Power System** for a range of timeframes, disturbances and system conditions. The **TSO** bases the safe and secure design and operation of the **Power System** on the **Models** provided by the **Users**. All **Users** of the **Power System** shall provide suitable **Models** of their **Plant** in a timeframe and manner specified by the **TSO** in this **Grid Code**.

#### PC.A8.2 Model Capabilities

The **Users** shall supply **Models** that shall be capable of representing the behaviour of the **Plant** in balanced, root mean-square, positive phase-sequence, time-domain studies and where specified, electromagnetic transient and harmonic studies. The detail to be represented in the **Models** shall be specified by the **TSO** in this **Grid Code**.

The balanced, root mean-square positive phase-sequence time-domain **Model** shall include all material elements that affect the **Active Power** and **Reactive Power** output of the **Plant** with respect to changes or excursions in **Voltage** and **Frequency** at the **Connection Point.** The **Model** shall include all electrical and mechanical phenomena, where applicable, that impact on the **Active Power** and **Reactive Power** output of the **Plant** for sub-transient, transient and synchronous dynamics up to and including **Primary Operating Reserve** and **Secondary Operating Reserve** timeframe.

The three-phase electromagnetic transient **Model** shall include all material aspects of the **Plant** that affect the symmetrical and asymmetrical voltage and current outputs from the **Plant**. The **Model** shall represent phenomena that materially affect the **Voltage** and **Frequency** at the **Connection Point** over timeframes of sub-cycles

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up to 500 cycles including but not limited to switching of power electronic devices, transformer saturation or equipment energisation.

### PC.A8.2.1 Model Aggregation

The **TSO** requires the **Model** to represent the operation of the **User's Plant** at the **Connection Point** and therefore it is essential that the **Models** of individual **Generation Units** can be aggregated into a smaller number of **Models**, each representing a number of **Generation Units** at the same **Site**. If all **Generation Units** in the **User Site** are not identical, the **Model** shall account for this by accurately representing the overall performance of the **User's Plant** at the **Connection Point**. A representation of the collector network and any additional equipment such as **Reactive Power** compensation may be included in the aggregate **Model** of the **User's Plant**. **Models** for the simulation studies must be single lumped **Models**, scalable for different **Active Power** outputs as seen at the **Connection Point**.

#### PC.A8.3 Model Documentation and Source Code

Users are obliged to provide appropriate balanced, root mean-square positive-phase sequence time-domain Models and three-phase electromagnetic transient Models in accordance with specifications in this Grid Code. The TSO requires that sufficient information be provided by the User to allow for Models to be redeveloped in the event of future software environment changes or version updates. All Models must be accompanied with appropriate documentation with sufficient detail as specified by the TSO, such agreement not to be unreasonably withheld. The User shall provide information including, but not limited to, a full description of the Model structure and functionality, Laplace diagrams or other suitably understandable information. The User may also choose to provide the TSO with detailed Model source code. The Models shall be provided in a software format as specified by the TSO. Alternatively, the User may provide an unambiguous reference to a standard open-source Model, such as a standard IEEE Model, or to a dynamic Model previously submitted to the TSO provided this Model accurately reflects the User's Plant.

The **TSO** may, when necessary to ensure the proper operation of its complete system representation or to facilitate its understanding of the results of a dynamic simulation, request additional information concerning the **Model**, this may include

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**Model** documentation or the source code of one or more routines in the model. The **User** shall comply with any such request without delay.

#### PC.A8.4 Confidentiality

The dynamic **Models**, supporting documentation and associated data are provided to the **TSO** in order to carry out its duties to meet its statutory and legal requirements. In that regard the **TSO** is entitled to share the information with third party consultants, other **TSO**s or **DSO**s working for or with the **TSO** to perform coordinated operational and/or planning studies.

Where the **User** or any other party, acting reasonably, designates such information as confidential on the basis that it incorporates trade secrets, the obligation will be with the **TSO** to ensure the confidentiality of data shared with other **TSO**s or **DSO**s working for or with the **TSO** to perform co-ordinated operational and/or planning studies. Where such data is shared with third party consultants working for or with the **TSO** such third party consultants will be obliged to carry out any activities will be subject to stringent confidentiality agreements.

It is the responsibility of the **User** to provide the dynamic **Models**, supporting documentation and associated data to the **TSO**. Where the **User** or any other party, acting reasonably, designates such information as confidential on the basis that it incorporates trade secrets, the **TSO** will accept the dynamic **Models**, supporting documentation and associated data from a third party manufacturer provided the third party manufacturer agrees to enter into the **TSO's** standard confidentiality agreement for **Users**. In the event that the manufacturer cannot agree to this confidentiality contract, the **User** shall be responsible for the provision of the dynamic **Models**, supporting documentation and associated data to the **TSO**.

### PC.A8.5 Time to comply

The User shall provide a Model of the User's Plant in accordance with PC.6.6.1. Where a User requires reasonable time to develop the necessary Model or Models so as to comply fully with all the provisions in this section, PC.A8.2 and PC.A8.3, the User may apply to the TSO to be deemed compliant with the provisions of PC.A8.2 and PC.A8.3 on the basis of GC.10.1.3 of the General Conditions of the Grid Code. The TSO shall consider any such application in accordance with GC.10.1.3, and if the **TSO** is satisfied as to the **User's** programme for developing and testing the necessary dynamic model, the **TSO** may, for so long as the **TSO** is so satisfied, treat the **User** as being in compliance with the provisions of this section. If the **TSO** decides, acting reasonably, that it is not satisfied as to the **User's** programme for developing and testing the necessary dynamic **Model** and that the **User** cannot be deemed to be in compliance with **PC.A8.2** and **PC.A8.3**, the provisions of **GC.10.1.4** shall apply and the **User** shall apply for a derogation under the terms of **GC.9**.

#### PC.A8.6 Validation of Model

All **Models** provided to the **TSO** for use in dynamic simulations must be validated. The **TSO** must be satisfied that the behaviour shown by the **Model** under simulated conditions is representative of the behaviour of the real equipment under equivalent conditions.

For validation purposes the **User** shall ensure that appropriate tests are performed and measurements taken to assess the validity of the dynamic **Model**. Where the validity of the **Model** has not been confirmed prior to the commissioning of the **User's Plant**, appropriate tests shall be carried out and measurements taken at the **User's** site to assess the validity of the dynamic **Model**. The tests and measurements required shall be agreed between the **User** and the **TSO**.

The **User** shall provide the **TSO** with all available information showing how the predicted behaviour of the dynamic **Model** to be verified compares with the actual observed behaviour of a prototype or production **User System** under laboratory conditions and/or actual observed behaviour of the real **User System** as installed and connected to a transmission or distribution network.

The User shall simulate the dynamic Models such that Model outputs can be compared against measurements from Grid Code compliance testing to ensure appropriate responses from the Model. Tests may include but are not limited to Steady State Reactive Capability, Voltage Control & Reactive Power Stability, Low Voltage Ride Through (FRT), High Voltage Ride Through, Low Frequency Response and High Frequency Response. The tests and measurements required shall be agreed between the User and the TSO. The TSO shall provide sufficient information on system conditions at the User's Connection Point to allow for the User to conduct their studies.

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After commissioning, the **User** shall provide the **TSO** with documentation comparing the predicted behaviour of the balanced, root mean square, positive phasesequence time-domain **Model** against the tested performance. If no significant changes are required to the **Model** structure the **TSO** shall update the three-phase electromagnetic transient **Model** based on the parameters submitted by the **User** provided the **TSO** has sufficient access to update the relevant **Model** parameters. The **TSO** shall also perform studies and ongoing validation to ensure that **Models** submitted by the **User** are representative of the **User's Plant** throughout its operational lifetime.

If the on-site measurements, **Grid Code** compliance tests or other information provided indicate that the dynamic **Model** is not valid in one or more respects, the **User** shall provide the revised dynamic **Model**, source code and documentation whose behaviour corresponds to the observed on-site behaviour as soon as reasonably practicable, but in any case no longer than 90 **Business Days** after the conclusion of the **Grid Code** compliance tests.

#### PC.A8.7 Maintenance of Model

All Models provided to the TSO must be maintained and updated to accurately reflect the operational performance of the User's Plant over the lifetime of the Plant. The User shall inform the TSO of any changes to the Plant which may materially affect the accuracy of the dynamic Model in predicting the Active Power and Reactive Power output of the Plant with respect to changes or excursions in Voltage and Frequency at the Connection Point. In this case the User shall re-submit the parameters associated to the dynamic Model or fully re-submit the dynamic Model of the Plant. Changes which shall be reported to the TSO may include but are not limited to alterations in Plant protection settings, modifications to Plant controller settings and alterations to Governor Droop or Plant Frequency response. In the event of scheduled Plant outages or maintenance the User must provide appropriate Model updates in advance of the scheduled outage.

Updates of the dynamic **Model** version shall be supplied by the **User** to the **TSO** in a timeframe agreed with the **TSO**.

The **TSO** is entitled to alter, modify and adjust **Model** parameters or data for the purposes of better reflecting **Plant** behaviour with respect to observed operational

performance over the life of the **Plant**. The **TSO** shall inform the **User** of any **Model** changes prior to implementing these in their **System Models**.

#### PC.A8.8 Software Environment and Model Usability

The User must provide Models in software packages as defined by the TSO. Details of the current software version, computer platform, compiler version, and Model usability guidelines, will be provided by the TSO upon request and shall be published on the TSO's website. The TSO may from time to time request that the Models be updated to be compatible with changes in the TSO's computing environment, namely software version and/or compiler version. Each User shall ensure that such updated Models are provided without undue delay or in any event, within 90 Business Days of the date of the request. The User shall provide Models in the software formats as defined by the TSO, or additionally in such other format as may be agreed between the User and the TSO. Changes in the software format fo

All **Models**, irrespective of software format, shall be accompanied by a sample case such that the **Model** can be tested before being integrated into the **Model** of the Irish network in the respective software environment. The sample case shall include the **User's Plant** model, grid transformer and any other associated equipment connected to an infinite bus via an impedance that is appropriate to represent the **Connection Point**.

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# CC CONNECTION CONDITIONS

# CC.1 INTRODUCTION

- CC.1.1 For the protection of the **Transmission System** and **Users' Plant** and **Apparatus** directly connected to the **Transmission System**, and in order to maintain, insofar as is possible by **Good Industry Practice**, stable and secure operation of the **Transmission System** for the benefit of all **Users**, it is necessary to require certain minimum technical, design and operational criteria to be met by **Users' Plant** and **Apparatus.**
- CC.1.2 These **Connection Conditions** establish certain principles and standards relating to connection, method of connection, **Plant** and **Apparatus** designation and nomenclature, technical standards, performance standards, data requirements.
- CC.1.3 These **Connection Conditions** supply information as to the performance characteristics of the **Transmission System** at the **Connection Point**, in order to enable **Users** and prospective **Users** to design their **Plant** and **Apparatus** and to provide appropriate control systems and **Plant** protection schemes.
- CC.1.4 In addition to the **Connection Conditions**, there are **Connection Agreements**, which are bilateral agreements between the **TSO** and each **User** and which contain the detail specific to each **User's** connection to the **Transmission System**. The **Connection Agreement** requires the **User** and the **TSO** to comply with the terms of the **Grid Code**, except to the extent that a derogation has been granted under the **General Conditions**.

# CC.2 OBJECTIVE

- CC.2.1 The **Connection Conditions** define the minimum standards for the method of connection to the **Transmission System**.
- CC.2.2 The **Connection Conditions** define the technical, design and operational standards which must be complied with by any **User** connecting to the **Transmission System**.

- CC.2.3 The **Connection Conditions** define the normal **Transmission System** performance standards at the **Connection Point**.
- CC.2.4 The **Connection Conditions** outline the types of signals and indications that will be required to be made available to the **TSO** by each **User**.
- CC.2.5 The Connection Conditions detail requirements for the designation and nomenclature of all User Plant and Apparatus connected to the Transmission System.

## CC.3 SCOPE

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The Connection Conditions apply to the TSO and to the following Users:

- (a) Generators with Registered Capacity greater than 2MW;
- (b) Interconnectors;
- (c) **Demand Customers**;
- (d) **Demand Side Unit Operators**; and

(e) Generators connected to the Transmission System.

# CC.4 TRANSMISSION STATION COMPOUND

- CC.4.1 The User shall provide a Transmission Station compound, as provided for in the Connection Agreement, immediately adjacent to the User's facility and otherwise acceptable to the TSO for the erection of a Transmission Station, as necessary, for the TSO and for installing other equipment required for connecting the User's System to the Transmission System.
- CC.4.2 Connection to the Transmission System must meet the standards defined in the Planning Code and in these Connection Conditions. The method of connection used may exceed the standards where this is required by the User and is acceptable to the TSO.

# CC.5 PLANT DESIGNATIONS

- CC.5.1 The name of the **User Site** shall be designated by the **User** and subsequently agreed with the **TSO**, such agreement not to be unreasonably withheld.
- CC.5.2 The designation and proposed nomenclature of User Plant and Apparatus connected to the Transmission System shall be in accordance with the TSO standard practice which, in particular, is designed to ensure that designation and nomenclature avoids confusion. The User shall notify the designation and proposed nomenclature of Users' Plant and/or Apparatus to the TSO who may, if the TSO determines that such proposed designation may lead to confusion or does not conform with the TSO standard practice, notify substitute designation which shall apply to such User Plant and/or Apparatus.
- CC.5.3 The **TSO's** standard practice currently requires that, unless otherwise agreed with the **TSO**, the following standard designations apply:
  - (a) Generation Units: for hydro and wind: G1, G2 etc. for thermal: U1, U2 etc.
  - (b) Interconnectors: for: I1, I2 etc
  - (c) Generator transformers and
    Interconnector Transformers: at 400 kV; T4001, T4002 etc.
    (i.e. transformers for at 220 kV; T2001, T2002 etc.
    Generation Unit production) at 110 kV; T101, T102 etc.
  - (d) Power Station transformers: at 400 kV; ST4001, ST4002 etc.
     (i.e. dedicated transformers at 220 kV; ST2001, ST2002 etc.
     supplying both the Generation at 110 kV; ST101, ST102 etc.
     Unit and the Power Station auxiliaries from the HV busbar)

| (e) Unit transformers: | UT1, UT2 etc. |
|------------------------|---------------|
|------------------------|---------------|

(i.e. transformers supplying

auxiliaries of a Generation Unit)

- (f) Load transformers: for 400/110 kV; T4101, T4102 etc.
   for 220/110 kV; T2101, T2102 etc.
   for 110/38kV; T141, T142 etc.
   for 110/20kV; T121, T122 etc.
   for 110/11kV and below; T101, T102 etc.
- (g) Bus sections, conventional
   busbars: single bus; A1, A2 etc.
   double bus; A1, A2, B1, B2 etc.
- (h) Bus sections, ring busbars: each section identified by designation of **Plant** and/or
   Apparatus item connected to it.
- (i) Bus Couplers: K1, K2 etc.
- (j) Lines and cables:
   each line or cable at a station identified by name of station or stations at the remote end or ends of the line or cable in alphabetical order.
- (k) Circuit Breakers CB.
- (I) Main Earth Disconnects DE.
- (m) Line Disconnect DL.

- (n) Busbar Disconnects DA, DB, etc.
- (o) Coupler Disconnects DA, DB, etc.
- CC.5.4 Every **User** shall be responsible for the provision, erection and maintenance of clear and unambiguous labelling showing the designation and nomenclature of its **Plant** and **Apparatus** at the **User Site**.

# CC.6 RELEVANT TECHNICAL STANDARDS APPLYING TO USER PLANT AND APPARATUS

- CC.6.1 All User Plant and Apparatus associated with the connection to the Transmission System shall comply with the:
  - (a) Irish and EU Law and
  - (b) the relevant European standards; or
  - (c) if there is no relevant European standards, such other relevant standard which is in common use in the European Union;

in each case as current at the date of the User's applicable Connection Agreement. Where the TSO, acting reasonably, determines that in order to ensure safe and coordinated operation of a User's Plant and/or Apparatus with the Transmission System, there is a requirement for supplemental specifications and/or standards to apply to the design of a User's Plant and/or Apparatus, the TSO shall notify the User and the User shall comply with the additional requirements. On request from the User, the TSO shall provide reasonable evidence as necessary to demonstrate the need for the supplemental specifications and/or standards.

CC.6.2 In the event that any standard or specification with which a User's Plant and/or Apparatus is required to comply under PC.6.1 is amended, the TSO will, having consulted with the affected Users and with the Grid Code Review Panel, make a recommendation to the CRU as to what action should be taken.

# CC.7 SPECIFIC DESIGN AND PERFORMANCE STANDARDS

- CC.7.1 In order to facilitate secure and stable operation of the **Transmission System** for the benefit of all **Users**, it is necessary that **Users' Plant** and **Apparatus** is designed to be capable of sustained operation within a range of **Transmission System** conditions.
- CC.7.2 All Users
- CC.7.2.1 Earthing
- CC.7.2.1.1 The **Earthing** of all **Users Plant** and **Apparatus** and provision of an **Earthing** system shall as a minimum requirement be in accordance with the recommendations contained in the "Guide for Safety in Alternating Current Substations", ANSI/IEEE No. 80, 1986.
- CC.7.2.1.2 The **TSO** shall consult with each **User** regarding the specification for the **Earthing** grid to be provided.
- CC.7.2.1.3 Each **User's** earth disconnecFts must be earthed directly to the main station earth grid.
- CC.7.2.1.4 The **User** will be obliged to certify (by a competent body) that remote earths have been isolated from the **User's** site plus any other affected third parties sites and that adequate precautions shall be taken by the **User** to ensure that dangerous grid potential rises are not transferred outside the **Earthing** zone. The **Transmission Station** cannot be energised until this certification has been received by the **TSO**.
- CC.7.2.1.5 Each User's Earthing system shall be bonded to the Transmission Station earth grid so that both Earthing systems are effectively integrated.
- CC.7.2.1.6 Each **User** shall ensure that all staff working on the **User's Earthing** system shall be adequately trained to perform such work in a safe manner.

### CC.7.2.2 Design

CC.7.2.2.1 User Plant and Apparatus shall be designed with the following minimum capabilities (at the applicable Voltage levels):

| Parameter (Minimum)                          | 110kV | 220kV | 400kV |
|--|-------|-------|-------|
| Insulation Level (kV);                       |       |       |       |
| - Lightning Impulse (1.2/50 μsec.)           | 550   | 1050  | 1550  |
| - Switching Impulse (0.25/2.5 ms)            | -     | -     | 1175  |
| - Power Frequency (50 Hz, for 1 min)         | 230   | 460   | -     |
| Clearance outdoor in air of live metal parts | 1100  | 2400  | 4100  |
| (mm) phase to earth                          |       |       |       |
| Height of live parts above pedestrian        | 3400  | 4700  | 6400  |
| passageways (mm)                             |       |       |       |
| Height of bottom of unscreened live          | 2300  | 2300  | 2300  |
| bushings above ground (mm)                   |       |       |       |
| Height of live conductors above roadways     | 8000  | 9000  | 10500 |
| (mm)   |       |       |       |

CC.7.2.2.2 User Plant and Apparatus at the Connection Point shall be designed taking account of the short circuit current levels specified in CC.8.6. User circuit breakers shall be capable of safely making and interrupting currents due to faults, taking account of the current levels specified in CC.8.6. Circuit breakers with a higher rating than the current levels specified in CC.8.6 may be necessary for a number of reasons, including, but not limited to the need to provide an adequate safety margin or to cater for a high DC component in the fault current. It shall be the responsibility of the User to determine, what safety margin if any to apply when selecting the User's Plant and Apparatus.

#### CC.7.2.3 LV cables and wiring

- CC.7.2.3.1 All multi-core control and protection cables shall be provided with a suitable metallic screen. Facilities for **Earthing** these screens at the base of cabinets shall be provided.
- CC.7.2.3.2 LV supply cable and auxiliary wiring shall be routed from the **Transmission Station** to each **User's** control building through a mutually agreed cable corridor. The cables will be laid in concrete troughs with reinforced concrete covers, or as mutually agreed, to the **User's** marshalling rack, which will be situated near the **Transmission Station.**

#### CC.7.2.4 Locking

- CC.7.2.4.1 The facility to lock in the open/closed position and interlocking facilities shall be provided by each **User** on appropriate disconnects and/or circuit breakers (with withdraw facilities) in order to ensure that the incoming feeder(s) to the facility can be safely isolated when required by the **TSO**. The specific details of this requirement will be outlined at the design phase.
- CC.7.2.4.2 Existing **Power Stations** with **ESB Power Generation Electrical Safety Rules**, in accordance with OC11, in operation will be deemed to comply with CC.7.2.4.1 subject to review by the **TSO**.
- CC.7.2.5 Grid Connected Transformers
- CC.7.2.5.1 Generators shall provide on-load tap-changing (OLTC) facilities for all Generator Transformers. Demand Customers are advised to provide on-load-tap-changing (OLTC) facilities for all Grid Connected Transformers. All Users shall liase with the TSO on the design specification for the performance of the tap-chaning facility on Grid Connected Transmformers.
- CC.7.2.5.2 Generator Transformer windings shall be connected in star (with the star point or neutral brought out) on the higher Voltage side and in delta on the lower Voltage side.

Other Grid Connected Transformers may be connected either:

- (a) in delta on the lower voltage side and in star (with the star point or neutral brought out) on the higher Voltage side; or
- (b) in star on both higher and lower **Voltage** sides with a delta tertiary winding provided.
- CC.7.2.5.3 Demand Customers and Generators are advised that provision should be made for the Earthing of the neutral of each of their Transformers connected to the 110kV System by bringing out the neutral and ensuring that the insulation is such that the Transformers can be operated unearthed.

The **TSO** will consider on a case by case basis the required treatment of the 110kV neutral connection of these **Transformers**. A 110 kV neutral earth switch may be required to be installed in specific instances and **Demand Customers** or **Generators**, as applicable, will be advised of this at the time of the **Connection Offer**. The **TSO** will be responsible for the status of the 110 kV neutral earth switch on these **Transformers**. For the avoidance of doubt, this clause does not apply to **Transformers** located at the **TSO-DSO**, boundary where such issues are agreed between the **TSO** and **DSO**.

- CC.7.2.5.4 Demand Customers and Generators are advised that provision should be made for the Earthing of the neutral of each of their Transformers connected to the 220 kV System by bringing out the neutral and ensuring that the insulation is such that these Transformers can be operated unearthed. The TSO will consider on a case by case basis the required treatment of the 220kV neutral connection of these Transformers. A 220 kV neutral earth switch may be required to be installed in specific instances and Demand Customers or Generators, as applicable, will be advised of this at the time of the Connection Offer. The TSO will be responsible for the status of the 220 kV neutral earth switch on these Transformers. For the avoidance of doubt, this clause does not apply to Transformers located at the TSO-DSO boundary, where such issues are agreed between the TSO and DSO.
- CC.7.2.5.5 The **HV** neutrals of all **Transformers** connected to the 400 kV **System** should be solidly earthed. The capability of being operated unearthed is unnecessary.

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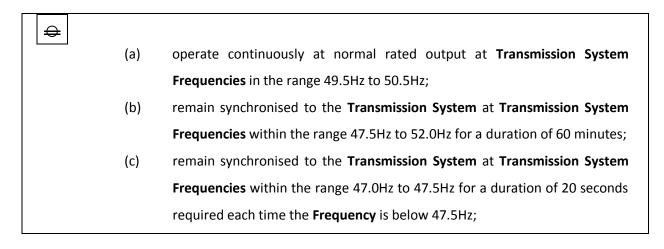
- CC.7.2.5.6 The **TSO** will provide the facility for the tripping of **Grid Connected Transformer HV** circuit breakers from the **User's** transformer protection.
- CC.7.2.5.7 An Interconnector Transformer shall be designed such that the Reactive Power capability is possible over the full range of Transmission System Voltages (specified in (f) The TSO and an Interconnector owner will liaise on matters related to the Interconnector Transformer at the design stage.
- CC.7.2.5.8 Interconnector Transformer windings shall be connected in star (with the star point or neutral brought out) on the higher Voltage side and in delta on the lower Voltage side or as agreed with the TSO.

#### CC.7.3 GENERATORS

CC.7.3.1 The conditions specified in this section of the code apply to all **Generation Units** connected to or connecting to the **Transmission System**. Unless explicitly stated all conditions specified apply over the full operating capabilities of the **Generation Unit** at the **Connection Point**.

For all **Generation Units** where **Secondary Fuel Registered Capacity** is different than **Primary Fuel Registered Capacity** all appropriate **Connection Conditions** must be met or agreed with the **TSO**.

CC.7.3.1.1 Each **Generation Unit**, shall, as a minimum, have the following capabilities:



(d)

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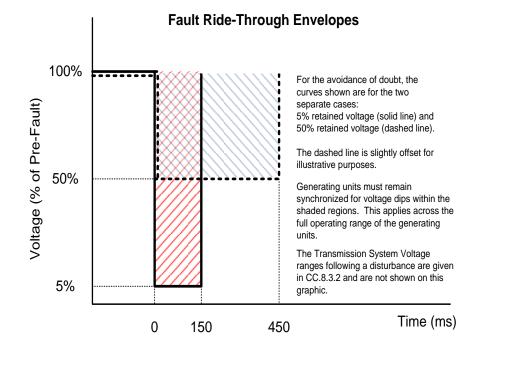
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- (i) remain synchronised to the Transmission System during rate of change of Transmission System Frequency of values up to and including 0.5 Hz per second;
- (ii) remain synchronised to the Transmission System for a Rate of Change of Frequency up to and including 1 Hz per second as measured over a rolling 500 milliseconds period. Voltage dips may cause localised Rate of Change of Frequency values in excess of 1 Hz per second for short periods, and in these cases, the Fault-Ride Through clause CC.7.3.1.1(y) supersedes this clause (CC.7.3.1.1(d)). For the avoidance of doubt, this requirement relates to the capabilities of Generating Units only and does not impose the need for Rate of Change of Frequency protection nor does it impose a specific setting for anti-islanding or loss-of-mains protection relays;
- (e) sustained operation at the specified Minimum Generation within the range 49.8 to 51.0 Hz;
- (f) remain synchronised to the **Transmission System** at normal rated output at **Transmission System Voltages** within the ranges specified in CC.8.3.2 for step changes in **Transmission System Voltage** of up to 10%.
  - (g) sustained operation in accordance with the Reactive Power capability as required by CC.7.3.6 at Transmission System Voltages within the ranges specified in CC.8.3.2, unless otherwise specified;

(h) remain synchronised during and following any Fault Disturbance anywhere on the Power System which could result in Voltage dips at the HV terminals of the Generator Transformer of no greater than 95% of nominal Voltage (5% retained) for fault durations up to and including the Fault Ride-Through Times as defined in the table below and Voltage dips of no greater than 50% of nominal Voltage (i.e. 50% retained) for fault durations up to and including the Fault Ride-Through Times as defined in the table below (see also Fault Ride-Through Envelopes below). Following the fault clearance the Generation Unit should return to prefault conditions subject to its normal Governor Control System and Automatic Voltage Regulator response. The use of Extraordinary Governor Response and/or Extraordinary AVR Response to remain synchronised during and following a fault is prohibited unless specifically agreed with the TSO, such agreement not be unreasonably withheld.

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| VOLTAGE DIP MAGNITUDE | Fault Ride-Through Times |               |               |
|-----------------------|--------------------------|---------------|---------------|
|                       | 400 kV System            | 220 kV System | 110 kV System |
| 95% (5% retained)     | 150 ms                   | 150 ms        | 150 ms        |
| 50% (50% retained)    | 450 ms                   | 450 ms        | 450 ms        |



- (i) remain synchronised to the **Transmission System** during a negative phase sequence load unbalance in accordance with IEC 60034-1.
- (j) The short circuit ratio of each **Generation Unit** shall be in accordance with IEC 60034-1.
- (k) Minimum Load not greater than 50% of Registered Capacity for CCGT Installations and not greater than 35% of Registered Capacity for all other Generation Units. For CCGT Installations whilst operating in Open Cycle Mode as a result of combined cycle plant capability being unavailable, the Minimum Load of each Combustion Turbine Unit must be not greater than 35% of the Registered Capacity divided by the number of Combustion Turbine Units.
- Ramp up capability not less than 1.5% of Registered Capacity per minute when the Unit is in the Normal Dispatch Condition.
- (m) Ramp down capability not less than 1.5% of Registered Capacity per minute when the Unit is in the Normal Dispatch Condition.
- (n) Minimum up-time not greater than 4 hours for Thermal Units.
- (o) Minimum down-time not greater than 4 hours for Thermal Units.
- (p) Forbidden Zones within the range between normal Minimum Load plus 5% and Registered Capacity less 10%, not more than 2 specified zones each not greater than 10% of Registered Capacity.
- (q) Block Loading not greater than 10% of Registered Capacity.
- (r) Time off-load before going into longer standby conditions remain in a hot condition for at least 12 hours and remain in a warm condition for at least 60 hours.

- (s) Time to Synchronise from instruction:
   hot: not greater than 3 hours
   warm: not greater than 8 hour
   cold: not greater than 12 hours
  - (t)

#### (i) Time from **Synchronising** to **Minimum Load**:

hot: not greater than 40 minutes

warm: not greater than 90 minutes

cold: not greater than 180 minutes

(ii) Time to deload from **Minimum Load** to **De- Synchronising**:

not greater than 40 minutes, except where agreed with the TSO.

#### (u) **Operating Reserve**

#### (i) **POR** not less than 5% **Registered Capacity**

To be provided, at a minimum, at **MW Outputs** in the range from 50% to 95% **Registered Capacity**, with provision in the range of 95% to 100% **Registered Capacity** to be not less than that indicated by a straight line with unity decay from 5% of **Registered Capacity** at 95% output to 0 at 100% output.

#### (ii) **SOR** not less than 5% **Registered Capacity**

To be provided, at a minimum, at **MW Outputs** in the range from 50% to 95% **Registered Capacity**, with provision in the range of 95% to 100% **Registered Capacity** to be not less than that indicated by a straight line with unity decay from 5% of **Registered Capacity** at 95% output to 0 at 100% output.

#### (iii) **TOR1** not less than 8% Registered Capacity

To be provided, at a minimum, at **MW Outputs** in the range from 50% to 92% **Registered Capacity**, with provision in the range of 92% to 100% **Registered Capacity** to be not less than that indicated by a

straight line with unity decay from 8% of Registered Capacity at 92% output to 0 at 100% output

#### (iv) TOR2 not less than 10% Registered Capacity

To be provided, at a minimum, at **MW Outputs** in the range from 50% to 90% **Registered Capacity**, with provision in the range of 90% to 100% **Registered Capacity** to be not less than that indicated by a straight line with unity decay from 10% of Registered Capacity at 90% output to 0 at 100% output.

- (v) The TSO may request Generation Units of Registered Capacity greater than or equal to 60MW to have the capacity to operate under AGC at all loads between AGC Minimum Load and AGC Maximum Load
- (w) Remain synchronised to the **Transmission System** and operate within the frequency ranges and time periods specified in *Table CC.7.3.1.1*.

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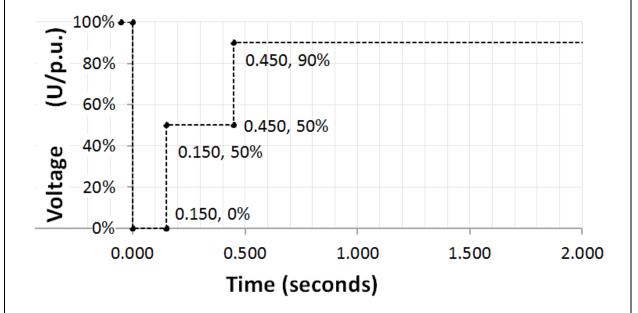
| Table CC.7.3.1.1: Minimum Time Periods for Generation Units to Remain |
|---|
| Operational without Disconnecting                                     |

|                 | 5           |
|-----------------|-------------|
| Frequency Range | Time Period |
| 47 – 47.5 Hz    | 20 seconds  |
| 47.5 – 48.5 Hz  | 90 minutes  |
| 48.5 – 49 Hz    | 90 minutes  |
| 49 – 51 Hz      | Unlimited   |
| 51 – 51.5 Hz    | 90 minutes  |
| 51.5 – 52 Hz    | 60 minutes  |

- (x) Remain synchronised to the Transmission System and operate within the ranges of the Transmission System Voltage at the connection point, for an unlimited time period, as specified below:
  - (i) 400 kV system: 360 kV to 420 kV (0.9 p.u. 1.05 p.u.)
  - (ii) 220 kV system: 198 kV to 245 kV (0.9 p.u. 1.114 p.u.)
  - (iii) 110 kV system: 99 kV to 123 kV (0.9 p.u. 1.118 p.u.)

(y) Remain synchronised to the Transmission System and continue to operate stably during and following any Fault Disturbance anywhere on the Power System which could result in Voltage Dips at the Connection Point. The voltage-against-time profile specifies the required capability as a function of voltage and Fault Ride-Through Time at the Connection Point before, during and after the Fault Disturbance. That capability shall be in accordance with the voltage-against-time profile as specified in *Figure CC.7.3.1.1.y.*

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*Figure CC.7.3.1.1.y: Voltage-against-time profile at the connection point for fault conditions* 

Following the fault clearance the **Generation Unit** should return to prefault conditions subject to its normal **Governor Control System** and **Automatic Voltage Regulator** response. The use of **Extraordinary Governor Response** and/or **Extraordinary AVR Response** to remain synchronised during and following a fault is prohibited unless specifically agreed with the **TSO**, such agreement not be unreasonably withheld.

(z) Capable of disconnecting automatically from the Transmission System in order to help preserve system security or to prevent damage to the Generation Unit. The Generator and the TSO shall agree on the criteria for detecting loss of angular stability or loss of control.

- (aa) Enter into an agreement with the TSO regarding technical capabilities of the Generation Units to aid angular stability under fault conditions.
- (bb) Equipped with a facility to provide fault recording and monitoring of dynamic system behaviour. This facility shall record the following parameters:
  - voltage;

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- active power;
- reactive power; and
- frequency.

The **TSO** will specify the quality of supply parameters for fault recording and monitoring of dynamic system behaviour. The settings of the fault recording equipment and the communications protocols for the recorded data shall be agreed between the **Generator** and the **TSO**. The dynamic system behaviour monitoring shall include an oscillation trigger specified by the **TSO**, with the purpose of detecting poorly damped power oscillations. The facilities for quality of supply and dynamic system behaviour monitoring shall include arrangements for the **Generator** and the **TSO** to access the information.

- (cc) With regard to the installation of devices for system operation and devices for system security, if the **TSO** considers that it is necessary for a **Generator** to install additional devices in order to preserve or restore system operation or security, the **TSO** and the **Generator** shall investigate that matter and agree on an appropriate solution.
- (dd) The maximum admissible Active Power reduction from Registered Capacity with falling frequency shall be no greater than;
  - Steady State Domain: 2% of the **Registered Capacity** at 50 Hz, per 1
     Hz frequency drop, below 49.5 Hz; and
  - (ii) Transient Domain: 2% of the Registered Capacity at 50 Hz, per 1 Hz
     frequency drop, below 49 Hz.

and subject to the ambient condition correction curves as provided by each individual **Generation Unit** as well as other relevant technical factors as agreed between the **TSO** and the **Generator**.

For **Generation Units** using gas as a fuel source at the time of the **Low Frequency Event**, the standard ambient conditions for the measurement of admissible **Active Power** reduction will be 10°C, 70 % relative humidity and 1013 hPa. For all applicable Generation Units:

- (ee) The Generation Unit must be capable of starting up on Secondary Fuel. The Generation Unit must be capable of carrying out an online fuel changeover from Primary Fuel to Secondary Fuel at Primary Fuel Switchover Output in no greater than five hours. When operating on Secondary Fuel, the generator must be capable of operating on Secondary Fuel at no less than 90% of Primary Fuel Registered Capacity. The Generation Unit must also be capable of carrying out an online fuel changeover from Secondary fuel to Primary Fuel at Secondary Fuel Switchover Output.
- (ff) The Generation unit must store sufficient stocks of Secondary Fuel equivalent to one, three or five days of continuous running at Primary Fuel Registered Capacity as defined by Clause CC.7.3.1.2. A minimum of one day of running at Primary Fuel Registered Capacity on Secondary Fuel must be stored at the Generator Site. The remainder of the Secondary Fuel stock requirement can be stored at an Off-Site Storage Location.
- CC.7.3.1.2 A Generation Unit is designated as high merit or low merit by the CRU. The Generation Unit that is designated as high merit will be required to hold 5 days of Secondary Fuel stocks, low merit designated Plant will be required to hold 3 days of Secondary Fuel stocks. Other Plant as designated by the CRU will be required to hold Secondary Fuel stocks equivalent to one day continuous running at Primary Fuel Registered Capacity.
- CC.7.3.1.3 Users shall install Generation Unit governors that comply with OC.4.3.4. Users shall not change frequency or load related control settings of Unit governors without agreement with the TSO.
- CC.7.3.1.4 Notwithstanding CC.7.3.1.1 combustion turbine, hydro, or other technology based **Generation Units** shall as appropriate, register and perform to **Operating Characteristics** giving maximum flexibility of operation, consistent with their type and model of generation plant, in accordance with **Good Industry Practice**. Where

appropriate, **Operating Characteristics** and in particular start times, should be registered separately for normal (planned) starts, and for starts required under conditions of system stress, such as following the loss of a **Generation Unit**. The **Generator** will maintain operational procedures and practices, which ensure that there are no unnecessary delays in responding to **Dispatch** instructions in accordance with the technical capabilities of the **Generation Plant**.

CC.7.3.1.5 Where the **TSO** approaches a **Generator**, the **Generator** will co-operate with the **TSO** in the development of procedures and facilities to improve the response of each **Generation Unit** during conditions of system stress, including, for example, automatic start-up of fast-start **Generation Units** following a loss of **Generation Unit(s)** or in advance of an anticipated loss of **Generation Unit(s)**. This shall be subject to the agreement of the **Generator** that the procedures are consistent with secure operation of the **Generator's Plant**, such agreement not to be unreasonably withheld.

# CC.7.3.2 Where start-up time of **Generation Units** exceeds thirty minutes, they shall be designed to have the capability, where supply from the **Transmission System** is lost, to reduce output to match house load and sustain operation (i.e. tripping to **Auxiliaries**).

CC.7.3.2.1 In case of disconnection of the **Generation Unit** from the **Transmission System**, the **Generation Unit** shall be capable of quick re-synchronisation as agreed between the **TSO** and the **Generator**.

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CC.7.3.2.2 Where start-up time of **Generation Units** exceeds fifteen minutes, they shall be designed to have the capability, where supply from the **Transmission System** is lost, to reduce output to match house load and sustain operation (i.e. tripping to **Auxiliaries**). **Generation Units** must be designed to trip to house load from any operating point in its **Reactive Power** capability. In this case, the identification of house load operation must not be based solely on the system operator's switchgear position signals.

# CC.7.3.2.3 Generation Units shall be capable of continuing operation for 4 hours following tripping to house-load, irrespective of any auxiliary connection to the external Transmission System.

- CC.7.3.3 **Control Synchronising** shall be provided by **Generators** at circuit breakers identified by the **TSO**, which, depending on the **Plant** configuration may include:
  - (a) the **Generation Unit** circuit breaker;
  - (b) the Generator Transformer LV and HV circuit breakers;

The **TSO** will provide to the **Generator** signals from the **TSO** operated **Plant** and **Apparatus** as are required to facilitate synchronising on the **Generator Transformer HV** circuit breaker, in accordance with the relevant provisions of the **Connection Agreement**.

CC.7.3.4 The **Synchronising** facilities in CC.7.3.3 shall facilitate **Synchronising** under the following conditions:

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- O (b) Transmission System Frequency within the limits 47.0 to 52.0 Hz;
  - (c) Transmission System Voltage within the limits as specified in CC.8.3.2, notwithstanding CC.7.3.6;
- CC.7.3.5 Each Generation Unit shall be designed, where practicable, to mitigate the risk of common mode failure with other Generation Units. In particular each Generation Unit shall be designed so that it can operate with its essential auxiliaries supplied through a unit transformer which shall be connected between the Generation Unit circuit breaker and the Generator Transformer LV terminals, or from another secure source as agreed with the TSO. Auxiliary supplies may, provided that they are in accordance with Good Industry Practice, be taken from an alternative source during commissioning, testing, start-up or emergencies.

In the case of a **CCGT Installation** this applies to the **Combustion Turbine Units** only.

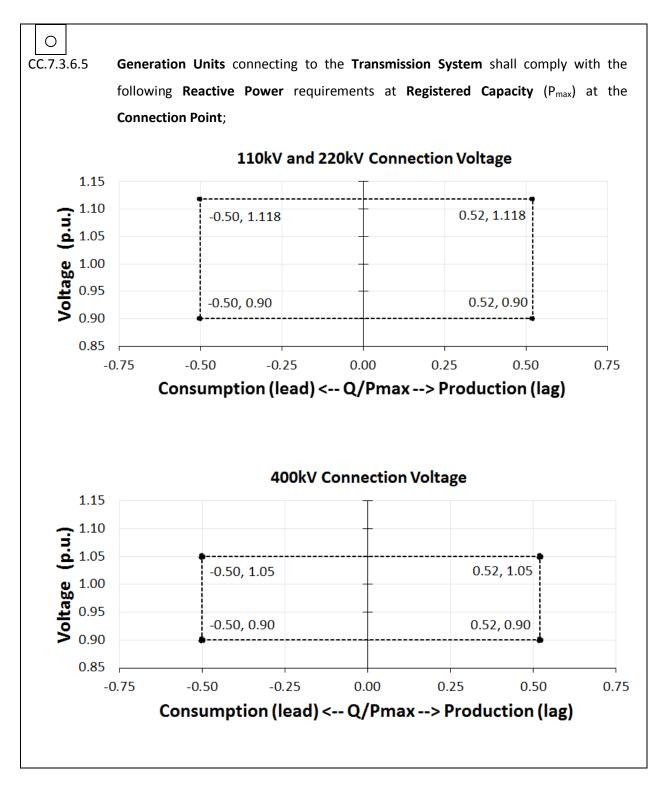
#### CC.7.3.6 **Reactive Power** capability

CC.7.3.6.1 Each **Generation Unit** shall have the following **Reactive Power** capability as measured at their alternator terminals:

| Valtaga                  | Connected at: | At Registered Capacity    | At 35% of Registered |
|--------------------------|---------------|---------------------------|----------------------|
| Voltage<br>Range         |               | between:                  | Capacity between:    |
| 99kV ≤ <b>V</b> ≤ 123kV  |               | 0.93 power factor leading | 0.7 power factor     |
|                          |               | to 0.85 power factor      | leading to 0.4 power |
|                          | 110kV         | lagging                   | factor lagging       |
| 85kV ≤ <b>V</b> < 99kV   |               | Unity power factor to     | 0.7 power factor     |
|                          |               | 0.85 power factor lagging | leading to 0.4 power |
|                          |               |                           | factor lagging       |
| 200kV ≤ <b>V</b> ≤ 245kV |               | 0.93 power factor         | 0.7 power factor     |
|                          |               | leading to 0.85 power     | leading to 0.4 power |
|                          | 220kV         | factor lagging            | factor lagging       |
| 190kV ≤ <b>V</b> < 200kV | -             | Unity power factor to     | 0.7 power factor     |
|                          |               | 0.85 power factor lagging | leading to 0.4 power |
|                          |               |                           | factor lagging       |
| 360kV ≤ <b>V</b> ≤ 420kV |               | 0.93 power factor         | 0.7 power factor     |
|                          |               | leading to 0.85 power     | leading to 0.4 power |
|                          | 400kV         | factor lagging            | factor lagging       |
| 350kV ≤ <b>V</b> < 360kV |               | Unity power factor to     | 0.7 power factor     |
|                          |               | 0.85 power factor lagging | leading to 0.4 power |
|                          |               |                           | factor lagging       |

CC.7.3.6.2 At between **Registered Capacity** and 35% **Registered Capacity**, Mvar capability to be not less than indicated by a straight line drawn between the two points derived from the above, on a plot of Mvar capability against MW output.

- CC.7.3.6.3 At below 35% **Registered Capacity**, Mvar capability to be not less than that at 35% **Registered Capacity**.
- CC.7.3.6.4 The **Generator Transformer** shall be designed such that the **Reactive Power** capability is possible over the full range of **Transmission System Voltages** (specified in CC.7.3.6.1).



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The **Generation Unit** shall be capable of moving to any operating point with its U- $Q/P_{max}$  profile in appropriate timescale to target values. The appropriate timescale shall be identified during the **TSO's Connection Offer** process.

- CC.7.3.6.6 The **TSO** and the **Generator** will liaise on matters related to CC.7.3.6 at the design stage.
- CC.7.3.6.7 For Generation Units where the Connection Point is remote from the Grid Connected Transformer, any supplementary Reactive Power compensation required to offset the Reactive Power demand of the HV line, or cable, between the Connection Point and the Generation Unit shall be identified during the TSO's Connection Offer process.
- CC.7.3.6.8 Generation Units shall be capable of providing Reactive Power at least down to Minimum Generation.

Even at reduced **Active Power** output, **Reactive Power** supply at the **Connection Point** shall correspond fully to the **Reactive Power** capability of that **Generation Unit**, taking the auxiliary supply power and the **Active** and **Reactive Power** losses of the step-up transformer, if applicable, into account.

In the event of power oscillations, **Generation Units** shall retain steady-state stability when operating at any operating point of the **Reactive Power** capability.

CC.7.3.7

Each **Generation Unit** must be fitted with a fast acting proportional turbine speed governor and unit load controller or equivalent control device to provide **Frequency** response under normal operating conditions in accordance with OC.4. The governor must be designed and operated to the appropriate

- (a) European Standards; or
- (b) In the absence of a relevant European Standards, such other standard which is in common use within the European Union

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as at the time when the installation of which it forms a part was designed. Normal governor regulation shall be between 3% and 5%.

**Generation Units** shall be capable of setting governor regulation between 2% and 12%. The default governor regulation setting shall be 4%.

- CC.7.3.8 All Generation Units shall be capable of contributing to control of Transmission System Voltage by continuous modulation of Generator Voltage by means of a suitable continuously acting Automatic Voltage Regulation (AVR) which shall comply with BS4999 part 140, or equivalent European Standards and the characteristics of which have been accepted by the TSO prior to the Connection Date, such acceptance not to be unreasonably withheld.
- CC.7.3.9 Each **Generator Transformer** shall have on-load tap changing facilities (OLTC). The tap step shall not alter the **Voltage** ratio at the **HV** terminals by more than:
  - (a) 2.5% on the 110kV system
  - (b) 1.6% on the 220kV and 400kV systems or as agreed with the **TSO**.

CC.7.4 Each Demand Side Unit shall, as a minimum, have the following capabilities:

- (a) Able to provide Demand Side Unit MW Response between 0 MW and the Demand Side Unit MW Capacity;
- (b) Maximum Ramp Up Rate not less than 1.67% per minute of Demand Side
   Unit MW Response as specified in the Dispatch Instruction;
- Maximum Ramp Down Rate not less than 1.67% per minute of Demand
   Side Unit MW Response as specified in the Dispatch Instruction;
- (d) Minimum Down Time not greater than 30 minutes;
- (e) Maximum Down Time not less than 2 hours;
- (f) **Minimum off time** not greater than 2 hours;
- (g) **Demand Side Unit MW Response Time** of not greater than 1 hour;
- (h) maintain Demand Side Unit MW Response at Transmission System

Frequencies in the range 49.5Hz to 50.5Hz;

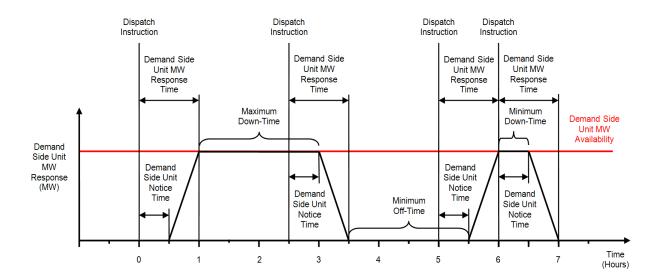
- (i) maintain Demand Side Unit MW Response at Transmission System
   Frequencies within the range 47.5Hz to 49.5Hz and 50.5Hz to 52Hz for a duration of 60 minutes;
- (j) maintain Demand Side Unit MW Response at Transmission System
   Frequencies within the range 47.0Hz to 47.5Hz for a duration of 20 seconds
   required each time the Frequency is below 47.5Hz; and
- (k) maintain Demand Side Unit MW Response for a rate of change of Transmission System Frequency up to and including 0.5 Hz per second as measured over a rolling 500 milliseconds period.

On-site **Generation** operated in **Continuous Parallel Mode** or **Shaving Mode** that forms part of a **Demand Side Unit**, shall, as a minimum, have the following capabilities:

- (I) operate continuously at normal rated output at Transmission System
   Frequencies in the range 49.5Hz to 50.5Hz;
- (m) remain synchronised to the Transmission System at Transmission System
   Frequencies within the range 47.5Hz to 52.0Hz for a duration of 60 minutes;
- (n) remain synchronised to the Transmission System at Transmission System
   Frequencies within the range 47.0Hz to 47.5Hz for a duration of 20 seconds
   required each time the Frequency is below 47.5Hz; and
- (o) remain synchronised to the Transmission System during a rate of change of Transmission System Frequency of values up to and including 0.5 Hz per second.

On-site **Generation** operated in **Lopping Mode** or **Automatic Mains Failure Mode** that forms part of a **Demand Side Unit**, shall, as a minimum, have the following capabilities:

(p) operate continuously at normal rated output at Transmission System
 Frequencies in the range 49.5Hz to 50.5Hz;



#### CC.7.5 INTERCONNECTOR

- CC.7.5.1 The conditions specified in this section of the **Grid Code** apply to all **Interconnectors** connected to or connecting to the **Transmission System**. The provision of services affecting the **Transmission System** shall be in accordance with the **Interconnector Operating Protocol** as agreed with the **TSO** and the **External System Operator**.
- CC.7.5.1.1 Each Interconnector, shall have the following minimum capabilities, for the avoidance of doubt, additional performance capabilities are required from OC.4 System Services:
  - (a) operate continuously at MW Output at Transmission System Frequencies in the range 49.5Hz to 50.5Hz;
  - (b) operate and remain connected to the Transmission System at Transmission
     System Frequencies within the range 47.5Hz to 52.0Hz;
  - (c) remain connected to the Transmission System at Transmission System
     Frequencies within the range 47.0Hz to 47.5Hz for a duration of 30 seconds
     required each time the Frequency is below 47.5Hz;
  - (d) remain connected to the Transmission System during rate of change of Transmission System Frequency of values up to and including 1 Hz per second;
  - (e) remain connected to the Transmission System at MW Output at Transmission System Voltages within the ranges specified in CC.8.3.2 for

step changes in Transmission System Voltage of up to 10%;

- (f) sustained operation in accordance with the Reactive Power capability referred to in CC.7.5.10 at Transmission System Voltages within the ranges specified in CC.8.3.2, unless otherwise specified;
- (g) remain connected during and following Voltage dips at the HV terminals of the Interconnector Transformer of 95% of nominal Voltage (5% retained) for duration 0.2 seconds and Voltage dips of 50% of nominal Voltage (i.e. 50% retained) for duration of 0.6 seconds. Following the fault clearance the Interconnector should return to prefault conditions subject to normal Frequency Response and Voltage Regulation;
- (h) operate within all normal operating characteristics at a minimum short circuit level at the **Connection Point** of 1000 MVA;
- (i) remain connected to the Transmission System during a negative phase sequence load unbalance in accordance with IEC 60034-1;
- (j) have support triggers to allow the Interconnector to provide System Services as outlined in OC.4;
- (k) in Emergency capable of reversing the power flow on the Interconnector at a rate which shall be no less than the Interconnector Registered Capacity within five seconds, up to ten times during the life of the plant and no more than two times in any given twelve months;
- (I) Interconnector Minimum Load: not greater than the lesser of 3% of the Interconnector Registered Capacity or 50 MW;
- Interconnector Ramp-up Capability: not less than the greater of 10% of the Interconnector Registered Capacity per minute or 50 MW per minute, when the Interconnector is in the Normal Dispatch Condition;
- Interconnector Ramp-down Capability: not less than the greater of 10% of the Interconnector Registered Capacity per minute or 50 MW per minute, when the Interconnector is in the Normal Dispatch Condition;

- (o) Forbidden Zones: within the lesser range of between + and 3% of the Interconnector Registered Capacity or 30 MW in
   either flow direction and not more than 2 specified zones.
- (p) Block Load for an Interconnector: not greater than the lesser of 3% of theInterconnector Registered Capacity or 30 MW in either flow direction.
- (q) Time from off-line to Interconnector Minimum Load in either flow direction: Not greater than 30 minutes.
- (r) Time from Interconnector Minimum Load in either flow direction to off-line: Not greater than 30 minutes.
- (s) The TSO may request Interconnectors to have the capacity to operate under
   AGC at all loads between AGC Minimum Load and AGC Maximum Load.
- CC.7.5.1.2 Where the **TSO** approaches an **Interconnector Operator**, the **Interconnector Operator** will co-operate with the **TSO** in the development of procedures and facilities to improve the response of each **Interconnector** during conditions of system stress. This shall be subject to the agreement of the **Interconnector Operator** that the procedures are consistent with secure operation of the **Interconnector Operator's Plant**, such agreement not to be unreasonably withheld.
- CC.7.5.2 The Interconnector Operator must ensure that the reversal of flow capabilities is provided for such that the average Interconnector Ramp Rate from Interconnector Registered Export Capacity to Interconnector Minimum Import Load or Interconnector Registered Import Capacity to the Interconnector Registered Export Capacity of at least 50 MW per minute. For the avoidance of doubt this aggregate Interconnector Ramp Rate will include any time needed to pass through deadbands or Forbidden Zones of operation.
- CC.7.5.3 The **Interconnector** will be able to connect to the **Transmission System** under the following conditions:

- (a) Transmission System Frequency within the limits 48.0 to 52.0 Hz;
- (b) **Transmission System Voltage** within the limits as specified in CC.8.3.2, notwithstanding CC.7.5.9;
- (c) **Transmission System** Short Circuit Level at the point of connection no less than 1000 MVA.

Where supply from the **Transmission System** is temporarily lost, **Interconnectors** must be able to reconnect to the **Transmission System** and reach **Minimum Load** within 30 minutes of the **Transmission System** supply being restored.

#### CC.7.5.4 Each Interconnector:

- Must ensure that they do not cause any sub synchronous resonance, undamped oscillations or harmful shaft torsional oscillations to Users on the Transmission System. This shall be demonstrated by simulation prior to connection by the Interconnector using best industry practice as agreed by the TSO.
- (b) Where it is determined by the **TSO** that the **Interconnector** does cause such harmful oscillations or resonances the operation of the **Interconnector** shall cease until a solution is agreed with the **TSO**;
- (c) Where further studies are required to examine an oscillation or resonance issue there shall be an exchange of the necessary data between the **Interconnector Operator** and the **TSO**, such exchange of data shall not be unreasonably withheld. The **Interconnector Operator** shall provide a report to show the contribution that the **Interconnector** control system design will have on the torsional mode frequencies.
- (d) Input provisions for addition of a future sub synchronous damping controller shall be made by the Interconnector Operator;

#### CC.7.5.5 Each Interconnector must be capable of:

(a) contributing to Frequency Control by continuous modulation of Active
 Power supplied to the Transmission System;

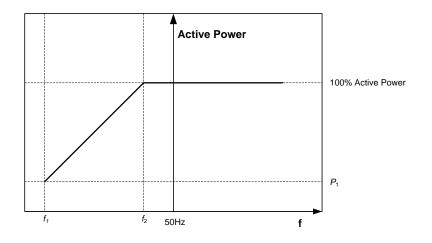
- (b) contributing to Voltage Control by continuous changes to the Reactive
   Power supplied to the Transmission System;
- CC.7.5.6 Users shall install Interconnector controllers that comply with OC.4 System Services.
   Users shall not change frequency or load related control settings of the
   Interconnector controllers without agreement with the TSO.

#### CC.7.5.7 Standards for Frequency Response

- CC.7.5.7.1 Each Interconnector must be fitted with a fast acting control device to provide
   Frequency Response under normal operating conditions in accordance with OC.4.3.
   The control device must be designed and operated to the appropriate:
  - (a) European Standards; or
  - (b) In the absence of a relevant European Standards, such other standard which is in common use within the European Union; and
  - (c) Interconnection Agreement.

#### CC.7.5.8 Interconnector Frequency Response

- CC.7.5.8.1 An Interconnector must be capable of maintaining its Active Power output (i.e. when operating in Import mode) to the Transmission System at a level not less than the amount determined by the linear relationship shown in the figure below for System Frequency changes within the range  $f_1$  to  $f_2$  Hz, such that if the System Frequency drops to  $f_1$  Hz the Active Power output shall not decrease by more than 100  $P_1$  where  $P_1$  is the upper active power limit as a percentage of the Active Power output before the frequency change event, where;
  - (i) f<sub>2</sub>≥f<sub>1</sub>
  - (ii)  $48Hz \le f_1 \le 50Hz$
  - (iii)  $48Hz \le f_2 \le 50Hz$
  - (iv)  $95\% \le P_1 \le 100\%$  Active Power

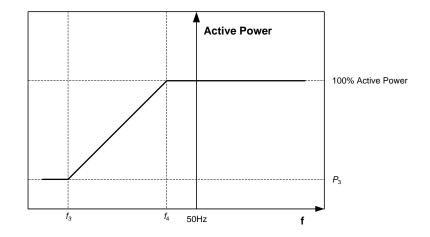


Settings for each of  $f_1$ ,  $f_2$  and  $P_1$  shall be specified by the **TSO** at least 120 **Business Days** prior to the **Interconnector's** scheduled **Operational Date**. The **Interconnector Operator** shall be responsible for implementing the appropriate settings during **Commissioning**.

Alterations to these settings may be requested in real-time by the **TSO** and the implementation of the settings shall commence within 10 seconds of receipt of the signal from the **TSO**.

Note: This clause is in addition to any other obligations for **Frequency** Performance that the **Interconnector** may already have.

- CC.7.5.8.2 An Interconnector must be capable of maintaining its Active Power input (i.e. when operating in Export mode) from the Transmission System at a level not greater than the amount determined by the linear relationship shown in the figure below for System Frequency changes within the range  $f_3$  to  $f_4$  Hz, such that if the System Frequency drops to  $f_3$  Hz the Active Power input decreases by more than 100 -  $P_3$ where  $P_3$  is the lower Active Power limit as a percentage of the Active Power output before the frequency change event, where;
  - (i) f<sub>4</sub>≥f<sub>3</sub>
  - (ii) 48Hz≤f<sub>3</sub>≤50Hz
  - (iii) 48Hz≤f₄≤50Hz
  - (iv)  $0\% \le P_3 \le 100\%$  Active Power



Settings for each of  $f_3$ ,  $f_4$  and  $P_3$  shall be specified by the **TSO** at least 120 **Business Days** prior to the **Interconnector's** scheduled **Operational Date**. The **Interconnector Operator** shall be responsible for implementing the appropriate settings during **Commissioning**.

Alterations to these settings may be requested in real-time by the **TSO** and the implementation of the settings shall commence within 10 seconds of receipt of the signal from the **TSO**.

Note: This clause is in addition to any other obligations for **Frequency** Performance that the **Interconnector** may already have.

- CC.7.5.8.3 At the **Grid Connection Point** the **Active Power** output under steady state conditions of any **Interconnector** directly connected to the **Transmission System** should not be affected by **Voltage** changes in the normal operating range specified by more than the change in **Active Power** losses at reduced or increased **Voltage**. The **Reactive Power** output under steady state conditions should be fully available at normal operating range.
- CC.7.5.8.4 The **Frequency Deadband** for all **Interconnectors** should be no greater than 0.03Hz (for the avoidance of doubt, ±0.015Hz).

#### CC.7.5.9 Automatic Voltage Regulation

CC.7.5.9.1 All Interconnectors (excluding Current Source Technology) shall be capable of contributing to control of Transmission System Voltage by continuous modulation of Interconnector Voltage by means of a suitable continuously acting Automatic Voltage Regulation (AVR) which shall be in accordance with European Standards and the characteristics of which have been accepted by the **TSO** prior to the **Connection Date**, such acceptance not to be unreasonably withheld.

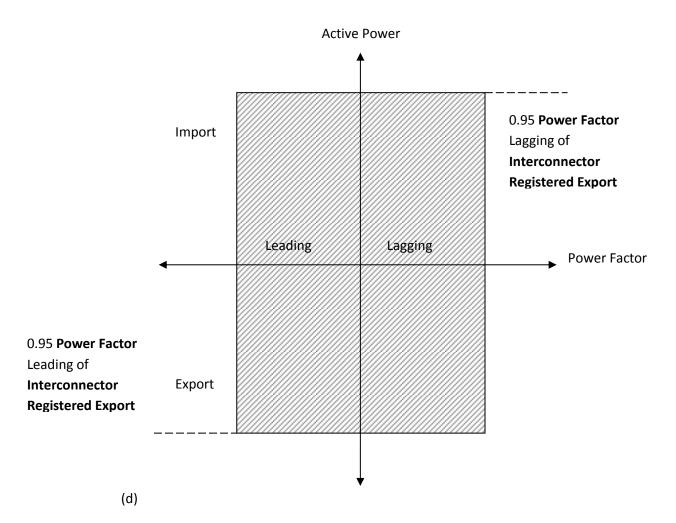
Interconnectors using Current Source Technology shall be capable of meeting the Reactive Power requirements to satisfy unity Power Factor at rated MW import / export at the Connection Point.

- CC.7.5.9.2 The Voltage Regulation System shall be capable of receiving a Voltage Regulation Set-point for the Voltage at the Connection Point. The Voltage Regulation System shall act to regulate the Voltage at this point by continuous modulation of the Interconnector's Reactive Power output, within its Reactive Power range. A change to the Voltage Regulation Set-point shall be implemented by the Interconnector within 20 seconds of receipt of the appropriate signal from the TSO.
- CC.7.5.9.3 The Voltage Regulation System Slope Setting shall be capable of being set to any value between 1% and 10%. The setting shall be specified by the TSO at least 120 Business Days prior to the Interconnector's scheduled Operational Date. The Interconnector shall be responsible for implementing the appropriate settings during Commissioning. The slope setting may be varied from time to time depending on Transmission System needs. The TSO shall give the Interconnector a minimum of 1 Business Days notice if a change is required. The Interconnector shall formally confirm that any requested changes have been implemented within 1 Business Days of receiving the TSO's formal request.
- CC.7.5.9.4 The speed of response of the Voltage Regulation System shall be such that, following a step change in Voltage at the Connection Point the Interconnector shall achieve 90 % of its steady-state Reactive Power response within 1 second. The response may require a transition from maximum Mvar production to maximum Mvar absorption or vice-versa.

#### CC.7.5.10 Interconnector Reactive Power

(a) There is a requirement for a continuously-acting automatic control system to provide control of the Voltage (or zero transfer of Reactive Power as applicable for Current Source Technology) at the Grid Connection Point without instability over the entire operating range of the Interconnector.

- (b) An Interconnector must be capable of maintaining zero transfer of Reactive
   Power at the Grid Connection Point at all Active Power output levels under
   steady state Voltage conditions. The steady state tolerance on Reactive
   Power transfer to and from the Transmission System expressed in Mvar
   shall be no greater than 5% of the rated MW.
- (c) An Interconnector (excluding Current Source Technology) must be capable of supplying rated MW import / export at any point between the limits 0.95
   Power Factor lagging and 0.95 Power Factor leading based on the Interconnector Registered Export Capacity at the Connection Point. For the avoidance of doubt, Interconnectors shall be capable of operating at any point within the shaded section for the Power Factor ranges for Registered Import Capacity or Registered Export Capacities illustrated in Figure CC.7.5.10. The box shape is defined by the higher figure between Interconnector Registered Import Capacity.



# CC.7.5.11 Interconnection Agreement

CC.7.5.11.1 The Interconnection Agreement shall consist of an Interconnector Operating Protocol between at least, the TSO and the External System Operator but may include the Interconnector Operator. The agreement shall provide operational details; requirements and services affecting the System and the External System. For clarity, this shall not supersede any other agreements and is required to ensure System Security when operating the Interconnector. The Interconnection Agreement shall be agreed not less than 6 months prior to operation.

# CC.8 TRANSMISSION SYSTEM PERFORMANCE

- CC.8.1 The **TSO** shall in accordance with **Prudent Utility Practice** plan, design and operate the **Transmission System** so as to endeavour to maintain the performance targets at the **Connection Point** as set out in this CC.8.
- CC.8.2 Transmission System Frequency
- CC.8.2.1 The Transmission System Frequency is nominally 50 Hz:
  - (a) Normal operating range: 49.8 to 50.2 Hz.
  - (b) During **Transmission System** disturbances: 48.0 to 52.0 Hz.
  - (c) During exceptional **Transmission System** disturbances: 47.0 to 52.0 Hz.
- CC.8.3 Transmission System Voltages
- CC.8.3.1 The **Transmission System Voltages** are nominally 400kV, 220kV and 110kV. Normal operating ranges are:
  - (a) 400kV system: 370kV to 410kV;
  - (b) 220kV system: 210kV to 240kV;
  - (c) 110kV system: 105kV to 120kV.
- CC.8.3.2 During **Transmission System** disturbances or following transmission faults:
  - (a) 400kV system: 350kV to 420kV;
  - (b) 220kV system: 200kV to 245kV;
  - (c) 110kV system: 99kV to 123kV.

Some **Transmission System** disturbances (e.g. earth faults, lightning strikes) will result in short-term **Voltage** deviations outside the above ranges.

- CC.8.4 The negative phase-sequence component of the phase **Voltage** of the **Transmission System Voltages** will generally not exceed 1% under normal operating conditions.
- CC.8.5 The **Transmission System** is an effectively earthed neutral system with an earth fault factor less than 1.4.
- CC.8.6 The Transmission System is designed and operated to maintain the Initial Symmetrical Short-Circuit Current below the following:
  - (a) 50kA on the 400kV system;
  - (b) 40kA on the 220kV system;
  - (c) 25 kA on the 110 kV system generally;
  - (d) 31.5 kA at designated locations on the 110kV system.

The **TSO** shall notify any **User** with a connection to the **Transmission System** at a location to which item (d) above applies that the location is so designated.

The **TSO** shall publish annually a list of locations designated in accordance with item (d) above.

# CC.9 METERING

CC.9.1 Metering Equipment shall be installed at User Sites in accordance with the provisions of the Connection Agreement and to the standards defined in the Metering Code.

# CC.10 USER PROTECTION AND POWER QUALITY

CC.10 shall apply to the DSO, Generators, Interconnectors and Demand Customers.

- CC.10.1 Every **User** shall, acting in accordance with **Good Industry Practice**, be responsible, insofar as is reasonably practicable, for ensuring that faults on **Plant** and **Apparatus** cause minimal disturbance to the **Power System**. Faults on **Plant** and/or **Apparatus** connected to the **Transmission System** should be cleared as soon as possible with no deliberate time delay introduced and in any event should be cleared within a maximum time of:
  - (a) 120 milliseconds for the 110 kV system;
  - (b) 100 milliseconds for the 220 kV system; and
  - (c) 80 milliseconds for the 400 kV system.

These clearance times are from primary protection systems only. Without limiting this obligation, a **User** shall as a minimum prior to connection of the **User's System** to the **Transmission System** install and maintain, in accordance with **Good Industry Practice**, the protection equipment specified in CC.10.8 and CC.10.9.

- CC.10.2 For the avoidance of doubt, the adequacy of protection equipment installed by the User for protecting the User's Plant and Apparatus against Transmission System disturbances is for the User to determine. It should also be noted that the TSO's requirements are primarily intended to protect the Transmission System facilities, although they afford a level of protection to Users they are not primarily designed to protect User's facilities.
- CC.10.3 For the purpose of CC.10.1 the minimum protection requirements for a **User** facility connecting to the **Transmission System** will vary according to type, size, **Earthing** and method of connection. **User** protection required by the **TSO** should always be in service when associated plant is in service.
- CC.10.4 It should be noted that high speed automatic reclosing (HSAR) is a feature of **Transmission System** operation. This feature is characterised by the sudden re-

energisation of the power supply after a dead time of approximately 600 milliseconds. All tripping and high speed reclosing on the 110 kV **System** is three pole. Tripping and high speed reclosing on the 220 kV and 400 kV Systems is a combination of single pole and three pole.

CC.10.5 It is recommended that **Users** take precautions against disturbances on the **Transmission System** including without limitation protection against:

- (a) load unbalance (negative sequence) protection;
- (b) over/under-voltage;
- (c) over/under-frequency;
- (d) a combination of (b) and (c) that may result in overfluxing; and
- (e) high speed automatic reclosing (HSAR), where applicable.

Users may consult with the TSO with respect to protection adequacy.

- CC.10.6 In order to ensure secure operation of the **Transmission System** and correct coordination and discrimination between faults on the **Transmission System** and the **Distribution System** and **User Systems**, settings for **User's** protection systems that may have an **Operational Effect**, shall be notified to the **TSO** and it will be necessary for the **TSO** to, and the **TSO** may, prohibit the settings of some **User** protection systems within certain ranges. Protection systems where such limitations will apply include, but are not limited to:
  - (a) **Generation Unit** under-frequency, over-current, or distance protection;
  - (b) transformer over-fluxing, over-current, or distance protection;
  - (c) loss-of-mains protection.

A mechanism for the notification, and where applicable approval and determination, of such settings will be set out in the **User's Connection Agreement** or other agreements.

- CC.10.7 The **TSO** shall provide to the **User** the information and signals necessary for the interface co-ordination and operation of the **User's** protection, in accordance with the relevant provisions of the **Connection Agreement**, other agreements and CC.7.2.5.6.
- CC.10.8 Where it is feasible to do so, the **TSO** shall provide circuit breaker fail protection on

Grid Connection Point circuit breakers installed in new transmission stations.

CC.10.9 Generators

- CC.10.9.1 **Generators** shall provide:
  - (a) differential protection on the Generator Transformer. The connections between the Grid Connection Point circuit breaker and the HV terminals of the Generator Transformer shall be included in the protected zone of this differential protection.
  - (b) backup protection (to the Transmission System) on Generation Units. The TSO acting reasonably shall require one or more of the following to be installed: generator overcurrent protection, voltage controlled generator overcurrent protection or generator distance protection;
  - (c) under frequency protection; and
  - (d) Generation Unit loss of excitation protection.
- CC.10.9.2 The **TSO** may require an individual **Generator**, or group of **Generators**, to install additional protection and/or control schemes, where the **TSO** can reasonably show that it is prudent or necessary to do so. These schemes may include but are not limited to the following:
  - (a) **Generation Unit** over/under-voltage protection.
  - (b) **Generation Unit** over-frequency protection.
  - (c) Generation Unit transformer neutral displacement voltage detection.
  - (d) loss-of-mains protection (rate of change of frequency or vector shift).
  - (e) **Generation Unit** pole slip protection.
  - (f) **Power System** stabiliser.
- CC.10.9.3 Distance protection shall be provided by the **TSO** on the **Grid Connection Point** circuit breaker of **Generator Transformers**.

# CC.10.9.4 The schemes and settings of the different control devices of the Generation Units that are necessary for Transmission System stability and for taking emergency action shall be coordinated and agreed between the TSO and the Generator. Any changes to the schemes and settings, of the different control devices of the Generation Units shall be coordinated and agreed between the TSO and the Generator.

CC.10.9.5

- (a) The TSO shall specify the schemes and settings necessary to protect the Transmission System, taking into account the characteristics of the Generation Units. The protection schemes needed for the Generation Units and the Transmission System as well as the settings relevant to the Generation Units shall be coordinated and agreed between the TSO and the Generator. The protection schemes and settings for internal electrical faults must not jeopardise the performance of a Generation Unit.
- (b) Electrical protection of the Generation Units shall take precedence over operational controls, taking into account the security of the system and the health and safety of staff and of the public, as well as mitigating any damage to the Generation Units.
- (c) Changes to the protection schemes needed for the Generation Unit and the Transmission System and to the settings relevant to the Generation Unit shall be agreed between the TSO and the Generation Unit.
- (d)
- CC.10.9.6 The **Generator** shall organise its protection and control devices in accordance with the following priority ranking (from highest to lowest):
  - (i) Transmission System and Generation Unit protection;
  - (ii) Synthetic inertia (if applicable);
  - (iii) Frequency Control;
  - (iv) Power restriction; and
  - (v) Power gradient constraint.
  - (vi)
- CC.10.9.7 **Generators** shall be capable of exchanging information with the **TSO** in real time or periodically with time stamping, as specified by the **TSO**. The **TSO** shall specify the content of information exchanges including a precise list of data to be provided by the **Generator**.
- CC.10.9.8 **Generators** shall be capable of remaining connected to the **Network** during singlephase or three-phase auto-reclosures on meshed **Network** lines. The details of that capability shall be subject to coordination and agreements on protection schemes and settings as referred to in CC.10.9.5.

### CC.10.10 **DSO**

# CC.10.10.1 The **DSO** shall provide differential-protection on **Grid Connected Transformers.**

- CC.10.10.2 The **TSO** may require the **DSO** to install additional protection schemes, where the **TSO** can reasonably show that it is prudent or necessary to do so, such schemes may include but are not limited to the following:
  - (a) directional overcurrent protection or distance protection on User's transformer(s);
  - (b) direct intertripping between the Grid Connection Point circuit breaker and the User Connection Point circuit breaker;
  - (c) neutral voltage displacement protection on **HV** side of **User's** transformer(s);
  - (d) loss of mains protection;
  - (e) under/over voltage protection;
  - (f) under/over frequency protection;
  - (g) unit (differential) type protection on **Distribution System** lines or cables;
  - (h) distance protection on the User Connection Point circuit breaker on
     Distribution lines or cables;
  - (i) bus zone protection on 110 kV Distribution System busbars; and
  - (j) teleprotection channels (for use with distance protection) between the GridConnection Point circuit breaker and User Connection Point circuit breaker.
- CC.10.10.3 Distance protection or over-current protection shall be provided by the **TSO** on **Grid Connection Point** circuit breaker(s) unless where otherwise agreed by the **TSO** and **DSO**.

# CC.10.11 Demand Customers

CC.10.11.1 Demand Customers shall provide differential-protection on Grid Connected Transformers.

- CC.10.11.2 The **TSO** may require **Demand Customers** to install additional protection schemes, where the **TSO** can reasonably show that it is prudent or necessary to do so, which may include the following:
  - (a) directional overcurrent protection or distance protection on Grid Connected Transformer(s) where the User System contains Embedded Generation;
  - (b) neutral voltage displacement protection on HV side of Grid Connected Transformer(s);
  - (c) loss of mains protection where the User System contains Embedded Generation;
  - (d) under/over voltage protection where the User System contains Embedded Generation; and
  - (e) under/over frequency protection where the User System contains Embedded Generation.
- CC.10.11.3 Distance protection or over-current protection shall be provided by the **TSO** on the **Grid Connection Point** circuit breaker(s).

# CC.10.12 Interconnectors

# CC.10.12.1 Interconnectors shall provide:

- (a) differential protection on the Interconnector Transformer. The connections between the Grid Connection Point circuit breaker and the HV terminals of the Interconnector Transformer shall be included in the protected zone of this differential protection, or in the event that other plant such as filter banks or reactors are connected to these connections they shall be protected by a suitable buszone or differential protection scheme.
- (b) primary and backup protection for any plant (e.g. filter banks, reactors, damping resistors) which may be connected between the **Grid Connection Point** circuit breaker and the **Interconnector Transformer**
- (c) backup protection (to the Transmission System) on Interconnectors. The TSO acting reasonably shall require one or more of the following to be installed: Interconnector overcurrent protection, Voltage controlled Interconnector overcurrent protection or Interconnector distance protection;
- (d) over/ under **Frequency** protection; and over/under-voltage protection.

- CC.10.12.2 The **TSO** may require an individual **Interconnector Operator,** to install additional protection and/or control schemes, where the **TSO** can reasonably show that it is prudent or necessary to do so. These schemes may include but are not limited to the following:
  - (a) **Power System Stabiliser;**
  - (b) Ability to accept set points and commands (e.g. to ramp up or ramp down or reverse MW and or Mvar flows) from external System Integrity Protection schemes.
- CC.10.12.3 Distance protection shall be provided by the **TSO** on the **Grid Connection Point** circuit breaker of **Interconnector Transformers**.

### CC.10.13 Power Quality

- CC.10.13.1
- (a) Harmonic Voltage Distortion

**Users** shall ensure that their connection to the **Transmission System** does not result in an increase in the level of harmonic distortion of the supply **Voltage** on the **Transmission System**, at the **Connection Point**, exceeding that allocated to them. These incremental limits will be determined by the **TSO** for each **User's** connection, to ensure compliance with IEC/TR 61000-3-6 and by default, CENELEC Standard EN 50160, on the **Transmission System**.

The necessary data will be exchanged between both parties and the exchange of data shall not be unreasonably withheld. This data may consist of but is not limited to; **Impedance Loci** at the **Connection Point**, background distortion levels and **Allocated Harmonic Distortion Limits (AHDL)**.

(b) Voltage Fluctuations

Users shall ensure that their connection to the Transmission System does not result in the level of fluctuation of the supply Voltage on the Transmission System at the Connection Point exceeding limits set out below. Any necessary data will be exchanged between both parties and the exchange of data shall not be unreasonably withheld.

# (i) Voltage Flicker

**Users** shall take responsibility for limiting **Voltage Flicker** caused by their **Plant** to remain within the maximum permissible **Voltage Flicker** limits at the **Connection Point** as allocated to them by the **TSO** or, as a minimum, those defined in Table 5 of IEC/TR 61000-3-7.

# (ii) Rapid Voltage Change

| Type of rapid Voltage change    | $\frac{\Delta U}{U_N}$ Limit (%) | Timeframe   |
|---------------------------------|----------------------------------|---|
| Temporary Voltage<br>Depression | 5                                | Must recover to<br>nominal <b>Voltage</b> in 3<br>seconds |
| Step Change                     | 3                                | One cycle   |

**Users** shall ensure that the disturbance levels introduced by their **Plant** and/or **Apparatus** do not promote rapid **Voltage** changes exceeding those specified in the above table or alternative limit allocated to them by the **TSO** during normal system operation.

The **User** can be connected to the **Transmission System** provided that the required studies have been completed by the **User** to show compliance with the limits outlined in CC.10.13.1 (a) and CC.10.13.1 (b) and have been reviewed by the **TSO.** Following consultation with the **TSO**, a conditional connection may be allowed to **Users** where modelling of the connection shows a breach of the limits to be marginal or only occurring during contingencies as defined by the **TSO**. This may allow the **User** to verify that the installation is compliant by monitoring, or to implement a mitigation solution.

The **User's Allocated Harmonic Distortion Limits** and any special conditions pertaining to power quality will be referenced in the **Connection Agreement**. These are subject to verification of compliance by the **TSO** and through an ongoing monitoring programme as described in OC10.2.2 (c).

In the event that a **User** causes any such limits in CC.10.13.1 (a) and CC.10.13.1 (b) to be breached, the **TSO** shall be entitled to require the **User** to take such steps as the **TSO** reasonably considers to be necessary in order to prevent such breach from continuing and the **User** shall comply with the **TSO's** instructions without delay.

CC.10.13.2 The aggregate power factor for a **Demand Customer** is calculated in accordance with the following formula:

Sum P

APF = \_\_\_\_\_

 $((Sum P)^2 + (Sum Q)^2)^{0.5}$ 

where:

APF is the Aggregate Power Factor for the Demand Customer

Sum P is the Energy exchanged with the **Demand Customer** at the **Connection Point** for any half-hour period; and

Sum Q is the Reactive Energy exchanged with the **Demand Customer** at the **Connection Point** for the same half-hour period.

CC.10.13.3 A **Demand Customer** shall ensure that at any load above 50% of **Maximum Import Capacity** the aggregate power factor as determined at the **Connection Point** in any half-hour period shall be within the range 0.90 lagging to unity.

# CC.11 COMMUNICATION FACILITIES

CC.11.1 The communication facilities required to be provided by **Users** are addressed in the **Operating Codes.** 

# CC.12 SIGNALS TO BE PROVIDED BY USERS

CC.12.1 Each User shall provide such signals and indications in relation to the User's Plant and Apparatus as are required by the TSO (acting reasonably) in accordance with the Connection Agreement.

- CC.12.2 Signals and indications required to be provided by **Users** will include but shall not be limited to the following:
  - (a) LV switchgear positions pertinent to the status of each Grid Connected Transformer through a set of two potential free auxiliary contacts (one contact normally open and one contact normally closed when circuit breaker is open) for each circuit breaker;
  - (b) kV at transformer low Voltage terminals; and
  - (c) a minimum of four sets of normally open potential free auxiliary contacts in each transformer LV bay for fault indication.

# (*d*), (*e*), (*f*), (*g*), (*h*), (*i*) and (*j*) are applicable to **Generators** only:

- (d) MW and +/-Mvar at alternator terminals of each Generation Unit;
- (e) kV at Generator Transformer LV terminals;
- (f) Generator Transformer tap position;

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- (g) Measured or derived MW output on each fuel, from Generation Units that can continuously fire on more than one fuel simultaneously;
- (h) Where it is agreed between the TSO and the Generator that signals are not available on the HV terminals, +/- MW and +/- Mvar shall be provided at the Grid Connected Transformer low Voltage terminals; and
- Remaining Secondary Fuel capability (where applicable) in MWh equivalent when running at Registered Capacity;

(j) With regard to real-time monitoring of Frequency Sensitive Mode, as described in OC.1, the Generator shall be equipped to transfer in real time and in a secured manner, at least the following signals:
 — status signal of Frequency Sensitive Mode (on/off);

- actual parameter settings for Active Power frequency response; and
- Governor Droop and Frequency Response Deadband.

The **TSO** shall specify additional signals to be provided by the **Generator** by monitoring and recording devices in order to verify the performance of the active power frequency response provision of participating **Generation Units**.

(k) and (l) are applicable to **Demand Customers** only:

- (k) MW and +/- Mvar at the HV terminals of the Grid Connected Transformer; and
- (I) Grid Connected Transformer tap position.

(*m*), (*n*), (*o*), (*p*), (*q*), (*r*), (*s*) and (*t*) are applicable to **Demand Side Unit Operators** who represent a **Demand Side Unit**:

- (m) Demand Side Unit MW Response from Generation operating in Continuous Parallel Mode or Shaving Mode;
- (n) Demand Side Unit MW Response from avoided Demand consumption and Generation operating in Lopping Mode, Standby Mode or Automatic Mains Failure Mode;
- (o) Remaining Demand Side Unit MW Availability;
- (p) Demand Side Unit MW Response from each Individual Demand Site with a Demand Side Unit MW Capacity of greater than or equal to 5 MW;
- (q) MW Output from Generation Units with a Capacity greater than or equal to 5 MW;
- (r) Mvar Output from Generation Units with a Capacity greater than or equal to 5 MW at Individual Demand Sites with a Maximum Export Capacity specified in the Connection Agreement or DSO Connection Agreement as applicable, as required by the TSO;
- (s) Aggregate MW Output from Generation Units with a combined Capacity of greater than or equal to 5 MW on an Individual Demand Site, as required by the TSO; and
- (t) Demand Side Unit MW Response from each Individual Demand Site that comprises the Demand Side Unit, as required by the TSO.
- (u), (v), (w), (x) and (y) are applicable to **Interconnectors** only:
- (u) +/-MW and +/-Mvar at the high Voltage terminals of the Interconnector Transformer;
- (v) kV at Interconnector Transformer high Voltage terminals;
- (w) Interconnector Transformer tap position;
- (x) Interconnector status; and
- (y) Frequency.

- CC.12.3 Where signals or indications required to be provided by the User under CC.12.2 become unavailable or do not comply with applicable standards due to failure of the Users' technical equipment or any other reason under the control of the User, the User shall, acting in accordance with Good Industry Practice, restore or correct the signals and/or indications as soon as possible.
- CC.12.4 Signals to be provided by **Users** shall be presented in such form as is nominated by the **TSO**.
- CC.12.5 Where, the **TSO**, acting reasonably, determines that because of a **Modification** to the **Transmission System** or otherwise to meet a **Transmission System** requirement, additional signals and/or indications in relation to a **User's Plant** and **Apparatus** are required, the **TSO** shall notify that requirement to the **User**. On receipt of such a notification the **User** shall promptly, and in accordance with **Good Industry Practice**, ensure that such signals and/or indications are made available at the relevant marshalling rack.
- CC.12.6 **Demand Side Unit Operators** and **Generator Aggregators** shall provide the **TSO** the specification of the method of aggregation of SCADA from multiple sites. The minimum specifications shall be agreed with the **TSO** in advance and shall include:
  - (a) signals from Demand Side Unit Operators shall be relayed to the TSO Telecommunication Interface Cabinet which reflect the Demand Side Unit MW Response to an accuracy of within 1 MW of the actual Demand Side Unit MW Response within 15 seconds of change occurring to the Demand Side Unit MW Response; and
  - (b) a single failure of an item of the **Demand Side Unit Operator's** equipment will not result in:
    - (i) loss of control of more than one Individual Demand Site;
    - (ii) loss of Demand Side Unit MW Response of more than one Individual Demand Site; or
    - (iii) the Demand Side Unit MW Response from Generation or Demand Side Unit MW Response from avoided Demand consumption signals being incorrect by more than the Demand Side Unit MW Capacity of the Individual Demand Site with the highest Demand Side Unit MW Capacity comprising the Demand Side Unit.

# CC.13 POWER SUPPLIES

CC.13.1 Each **User** shall provide:

- (a) 400 V ac / 230 V ac power supplies as required by the TSO for Transmission Station facilities, the capacity and detail of which shall be as specified by the TSO and provided for in the User's Connection Agreement;
- (b) a standby supply for all ac power supplies for Transmission Station facilities by a diesel generator, unless alternative means are agreed with the TSO, such agreement not to be unreasonably withheld. In the event of loss of mains, standby supplies shall be capable of being sustained for a minimum of 10 hours.

# CC.14 RESPONSIBILITY FOR SAFETY

- CC.14.1 For each User Site and in consultation with the User, the TSO shall detail in the Operation Instructions the demarcation of responsibility for safety of persons carrying out work or testing at the User's Connection Site and on circuits which cross the User's Site at any point.
- CC.14.2 More detailed information on procedures and responsibilities involved in safety procedures is set out in OC.11.

# CC.15 COMMISSIONING AND NOTIFICATION

- CC.15.1 The **TSO** and the **User** shall, in accordance with the provisions set out in the **Connection Agreement**, meet to discuss **Commissioning**, including **Commissioning Tests** and **Grid Code Tests**. The **User's** obligations in relation to **Testing** set out in this CC.15 are in addition to the requirements under the **Connection Agreement**.
- CC.15.2 Users are required to carry out such tests (which are defined to be Grid Code Tests) as are required in order to confirm that the User's Plant and Apparatus meets all the requirements of the Grid Code which must be met prior to the Operational

**Date**. The **TSO** may, under the **Connection Agreement**, notify to the **User** such **Grid Code Tests** as it requires the **User** to carry out. The **TSO** may not necessarily test for CC.7.3.1.1, (b), (c), (d)(i) and (e) but reserve the right to test to establish design and operational compliance. For the avoidance of doubt it is the responsibility of **Users** at all times to ensure their compliance with the **Grid Code** and testing successfully or otherwise shall not in any way diminish or reduce such responsibilities.

- CC.15.3 Where Commissioning is likely to involve a requirement for Dispatch for Test purposes, the User shall, as soon as possible, notify the TSO of this requirement, including reasonable details as to the duration and type of Testing required. Users shall give the TSO reasonable advance notice (being not less than fifteen (15)
  Business Days) of the time of carrying out of the Commissioning Tests. The time and date of such commissioning shall be reconfirmed not less than three (3)
  Business Days before the time of carrying out such tests. In the event that, having given such confirmation the User (acting reasonably) determines that such tests must be carried out prior to the time and the date previously confirmed, then provided the User gives the TSO reasonable notice of the re-scheduled tests, he shall not be deemed to have failed to give the notices required. The User shall as soon as it becomes aware of the same, subsequently notify the TSO of any material changes in the requirement and details so notified.
- CC.15.4 The information provided under CC.15.3 is for indicative purposes only, and the User shall subsequently make a formal request to the TSO for a Commissioning Test requiring Dispatch in accordance with the following provisions of this CC.15, and shall not carry out such a Commissioning Test except as Dispatched in accordance with this CC.15.
- CC.15.5 Users shall make a request in writing to the **TSO** for every **Commissioning Test** requiring **Dispatch**, in accordance with CC.15.4. Such request to include the following information:
- CC.15.5.1 details of the proposed **Commissioning Test**;

# CC.15.5.2 Dispatches, where necessary, required by Users for completion of the Commissioning Test, if any, including the duration of the Dispatch. Where the User may not know the entire Dispatches required for completion of the Test until part of the Test is completed then the User when proposing the Test shall:

- (a) divide the Commissioning Test into sections as appropriate;
- (b) indicate and discuss which sections of the **Commissioning Test** can be completed in stages and which cannot;
- (c) indicate possible variations of the **Commissioning Test** for the sections which can be completed in stages.
- CC.15.5.3 the preferred time or times for the **Commissioning Test**;
- CC.15.5.4 the milestones for individual sections of the Commissioning Test (if any) which can be completed separately, and/or do not require to be repeated if the
   Commissioning Test is interrupted by the TSO after completion of each section.
- CC.15.6 Generators and Interconnectors will be subject to SDC1 and SDC2 a minimum of seven (7) days prior to the Operational Date and the Generation Unit or Interconnector will be available for Dispatch from the Operational Date.
- CC.15.7 Following the **Connection Date** but not later than the **Operational Date Users** shall verify (by giving the **TSO** such evidence as it may reasonably require including, without limitation, the results of the relevant **Commissioning Test** or **Grid Code Test**) technical data provided under the **Planning Code** and other technical data which the **TSO** reasonably requires to be verified to assess compliance with the **Grid Code** or the **Connection Agreement**.
- CC.15.8 The values as confirmed or verified under CC.15 shall be included in the User's Registered Operating Characteristics and Registered Data.

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| CC.15.9    | The Generator shall demonstrate to the TSO that it has complied with Generation  |
|            | Unit requirements by successfully completing the Operational Notification Procedure  |
|            | for connection of each Generation Unit.  |
|            |  |
| CC.15.10   | Operational Notification Procedure   |
|            | The Operational Notification Procedure for connection of each <b>Generation Unit</b> requires the completion of three sequential processes, consisting of: |
|            | Energisation Operational Notification (EON);   |
|            | <ul> <li>Interim Operational Notification (ION); and</li> </ul>  |
|            | Final Operational Notification (FON).  |
| 00.45.40.4 |  |
| CC.15.10.1 | Energisation Operational Notification  |
|            | The <b>TSO</b> will issue an EON to the <b>Generator</b> for a <b>Generation Unit</b> , subject to   |
|            | completion of the EON checklist. This checklist will require agreement on the  |
|            | protection and control settings relevant to the <b>Connection Point</b> .  |
|            | Upon receipt of the EON, a <b>Generator</b> may energise its internal network and  |
|            | auxiliaries for the associated Generation Unit by using the grid connection that is  |
|            | specified for the <b>Connection Point</b> .  |
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| 00.15.10.0   |  |
| CC.15.10.2   | Interim Operational Notification   |
|              | The <b>TSO</b> will issue an ION to the <b>Generator</b> for a <b>Generation Unit</b> , subject to   |
|              | completion of the ION checklist.   |
|              | Upon receipt of the ION, a <b>Generator</b> may operate the associated <b>Generation Unit</b>        |
|              | and generate power for a limited period of time, by using the grid connection that is                |
|              | specified for the <b>Connection Point</b> . The limited period of time shall be agreed with the      |
|              | TSO and shall not be longer than 24 months. An extension to this period of time may                  |
|              | be granted via a derogation undertaken according to GC.9.3, if the <b>Generator</b> can              |
|              | demonstrate sufficient progress towards full compliance and outstanding issues are                   |
|              | clearly identified.  |
| CC.15.10.3   | Final Operational Notification   |
| CC.15.10.3.1 | The <b>TSO</b> will issue a FON to the <b>Generator</b> for a <b>Generation Unit</b> , subject to    |
|              | completion of the FON checklist.   |
|              | Upon receipt of the FON, a <b>Generator</b> may operate the associated <b>Generation Unit</b>        |
|              | and generate power by using the grid connection that is specified for the <b>Connection</b>          |
|              | Point.   |
| 00.15.10.2.2 |  |
| CC.15.10.3.2 | If the <b>TSO</b> identifies a reason not to issue a FON, the <b>Generator</b> may seek a derogation |
|              | via the process described in GC.9.3.   |
|              | Where a request for a derogation is rejected, the <b>TSO</b> shall have the right to refuse to       |
|              | allow the operation of the Generation Unit until the Generator and the TSO resolve                   |
|              | the incompatibility and the <b>TSO</b> considers that the <b>Generation Unit</b> is compliant with   |
|              | Grid Code.   |
|              | If the <b>TSO</b> and the <b>Generator</b> do not resolve the incompatibility within a reasonable    |
|              | time frame, but in any case not later than 6 months after the notification of the                    |
|              | rejection of the request for a derogation, each party may refer the issue for decision               |
|              | to the <b>CRU</b> .  |
|              |  |

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| CC.15.11   | A <b>Generator</b> issued with a FON shall inform the <b>TSO</b> immediately in the following circumstances:   |
|            | <ul> <li>(a) the facility is temporarily subject to either significant modification or loss of<br/>capability affecting its performance; or</li> </ul> |
|            | (b) equipment failure leading to non-compliance with some relevant   |
|            | requirements.  |
| CC.15.12   | Limited Operational Notification   |
| CC.15.12.1 | A Generator shall apply to the relevant system operator for a Limited Operational  |
|            | Notification (LON), if the <b>Generator</b> reasonably expects the circumstances described   |
|            | in CC.15.11 to persist for more than three months.   |
| CC.15.12.2 | The <b>TSO</b> will then issue a LON containing the following information:   |
|            | (a) the unresolved issues justifying the granting of the LON;  |
|            | (b) the responsibilities and timescales for the expected solution; and   |
|            | (c) a maximum period of validity which shall not exceed 12 months. The initial   |
|            | period granted may be shorter with the possibility of an extension if evidence   |
|            | is submitted to the satisfaction of the <b>TSO</b> demonstrating that substantial  |
|            | progress has been made towards achieving full compliance.  |
| CC.15.12.3 | The FON shall be suspended during the period of validity of the LON with regard to   |
|            | the items for which the LON has been issued.   |
| CC.15.12.4 | A further extension of the period of validity of the LON may be granted upon a   |
|            | request for a derogation, via the process described in GC.9.3, made to the <b>TSO</b> before   |
|            | the expiry of that period.   |
| CC.15.12.5 | The <b>TSO</b> shall have the right to refuse to allow the operation of the <b>Generation Unit</b> ,   |
|            | once the LON is no longer valid. In such cases, the FON shall automatically become   |
|            | invalid.   |
| CC.15.12.6 | If the <b>TSO</b> does not grant an extension of the period of validity of the LON in  |
|            | accordance with CC.15.12.4 or if it refuses to allow the operation of the Generation   |
|            | Unit once the LON is no longer valid in accordance with CC.15.12.5, the Generator  |
|            | may refer the issue for decision to the <b>CRU</b> within six months after the notification of   |
|            | the decision of the <b>TSO</b> .   |
|            |  |

# OC Operating Conditions

# OC.1 Demand Forecasts

# OC.1.1 Introduction

- OC.1.1.1 OC.1 is concerned with **Demand** forecasting for operational purposes. In order to match **Generation** with **Demand** for electricity it is necessary to undertake **Demand** forecasting. It is also necessary to undertake **Demand** forecasting of **Reactive Power**.
- OC.1.1.2 In the **Operational Planning Phase**, **Demand** forecasting shall be conducted by the **TSO** taking account of **Demand** forecasts furnished by **Users** who shall provide the **TSO** with **Demand** forecasts and other information in the form set out in this OC.1.
- OC.1.1.3 In the **Programming Phase** and **Control Phase**, the **TSO** will conduct its own **Demand** forecasting taking into account information to be furnished by **Users** and the other factors referred to in OC.1.6.1.
- OC.1.1.4 In OC.1.4, which relates to data required from Users in the Operational Planning Phase, Demand means demand of MW and Mvar of electricity relating to each Grid Supply Point plus that to be met by Embedded Generation Plant. Reactive Power Demand includes the series Reactive Power losses of the User's System but excludes any network susceptance and any Reactive Power compensation on the User's System. The TSO will obtain the lumped network susceptance and details of reactive compensation from the requirements to submit data under the Planning Code.
- OC.1.1.5 OC.1 deals with the provision of data on **Demand Control** in the **Operational Planning Phase**, the **Programming Phase**, the **Control Phase** and the **Post Control Phase**.
- OC.1.1.6 In this OC.1, Year 0 means the current year at any time, Year 1 means the next year at any time, Year 2 means the year after Year 1, etc.
- OC.1.1.7 The reference in this OC.1 to a "day" shall mean the period covered by the **Trading Day**, even though that may not be a calendar day.

OC.1.1.8 References in OC.1 to data being supplied on a half hourly basis refer to it being supplied for each period of 30 minutes ending on the hour and half hour in each hour.

# OC.1.2 Objectives

The objectives of OC.1 are to:

- OC.1.2.1 Ensure the provision of data to the **TSO** by **Users** in the **Operational Planning Phase**, **Programming Phase**, **Control Phase** and **Post Control Phase**; and
- OC.1.2.2 Describe the factors to be taken into account by the **TSO** when **Demand** forecasting in the **Programming Phase** and **Control Phase**.

# OC.1.3 Scope

OC1 applies to the **TSO** and to all **Users**, which term in this OC.1 means:

- (a) Generators;
- (b) The Distribution System Operator;
- (c) **Suppliers**; and
- (d) Demand Customers.

# OC.1.4 Data Required by the TSO in the Operational Planning Phase

- OC.1.4.1 Each **User** shall provide the **TSO** with the data requested in the relevant parts of OC.1.4 below (except **Demand** solely related to **Power Station Auxiliary Plant** when fed in accordance with pre-agreed feeding arrangements).
- OC.1.4.2 For year 1 the following shall be supplied to the **TSO In Writing** by week 22 in year 0:
  - (a) The DSO and Demand Customers shall supply typical profiles of the anticipated Demand (averaged over any half hour on any Grid Supply Point) on half hourly and Grid Supply Point basis for defined categories of day type as determined by the TSO;
  - (b) The DSO and Demand Customers shall supply MW profiles of the amount and

duration of anticipated **Demand Control** which may result in a **Demand** change of 10MW or more (averaged over any half hour on **any Grid Supply Point**) on half hourly and **Grid Supply Point** basis;

- (c) The DSO shall supply typical MW profiles for the operation, or Availability as appropriate, of Embedded Generation where the total Registered Capacity of Generation Units on a single Site exceeds 5MW for defined categories of day type as determined by the TSO. The method for submitting MW schedules and/or Availability shall be agreed between the TSO and the DSO, such agreement not to be unreasonably withheld;
- (d) Notwithstanding OC.1.4.2(c), the DSO shall supply typical MW profiles for the operation, or Availability as appropriate, of Embedded Generation where the total Registered Capacity of Generation Units on a single Site exceeds 2MW, for defined categories of day type as determined by the TSO, if the TSO considers the Site to be critical for Transmission System operation. The method for submitting MW schedules and/or Availability shall be agreed between the TSO and the DSO, such agreement not to be unreasonably withheld.
- OC.1.4.3 The **DSO** and **Demand Customers** shall inform the **TSO** of any changes to the information supplied under OC.1.4.2 as soon as this information is available. This information will be provided **In Writing**, or as otherwise agreed between the **DSO** or **Demand Customers** and the **TSO**, such agreement not to be unreasonably withheld.
  - In particular, the DSO and Demand Customers shall provide to the TSO In
     Writing information pertaining to new connections greater than 2MW
     immediately this information is available. This information must include:
     anticipated connection date, location of connection, size of connection
     category of connection (e.g. residential, industrial etc.) and the typical
     profiles of the anticipated Demand on half hourly basis for defined
     categories of day type as determined by the TSO;

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- (b) In particular, the DSO and Demand Customers shall provide to the TSO In Writing information pertaining to disconnection of existing Demand immediately this information is available. This information must include: anticipated disconnection date, location of connection, size of connection, and the revised typical profiles of the anticipated Demand on a half hourly basis at the Grid Supply Point for defined categories of day type as determined by the TSO;
- OC.1.4.4 On the 5<sup>th</sup> last **Business Day** of every month the **DSO** and **Demand Customers** shall verify **In Writing** that the most recently submitted MW **Demand** profiles for the following two months are in accordance with their current best estimate of these values.

### OC.1.5 Post Control Phase

The following is required by the **TSO In Writing** (or by such electronic data transmission facilities as have been agreed with the **TSO**) by 14.00 hours each day in respect of **Active Power** data and **Reactive Power** data:

- a) MW profiles for the previous Trading Day of the amount and duration of Demand reduction achieved from the use of Demand Control of 10MW or more (averaged over any half hour on any Grid Supply Point), on a half hourly and Grid Supply Point basis, from the DSO;
- b) MW profiles of the amount and duration of Demand reduction achieved from the use of Customer Demand Management of 10MW or more on a half hourly basis during the previous Trading Day, from Suppliers and Demand Customers;
- c) Details of half hour Active Power output and Reactive Power produced or absorbed by Embedded Generation, with a single Site with Registered Capacity in excess of 2MW, during the previous Trading Day, from the DSO;
- d) (Where requested by the TSO), details of half hour Active Power output and
   Reactive Power produced or absorbed by Generation Plant during the

### previous Trading Day, from Generators.

## OC.1.6 The TSO Demand Forecast

- OC.1.6.1 The following factors will be taken into account by the **TSO** when conducting **Demand** forecasting in the **Programming Phase** and **Control Phase**:
  - (a) Historic **Demand** data;
  - (b) Weather forecasts and the current and historic weather conditions;
  - (c) The incidence of major events or activities which are known to the **TSO** in advance;
  - (d) Transmission System losses;
  - (e) Embedded Generation;
  - (f) Demand Control of 10MW or more (averaged over any half hour at any Grid Supply Point) proposed to be exercised by the DSO and of which the TSO has been informed,
  - (g) Customer Demand Management of 10MW or more (averaged over any half hour) proposed to be exercised by Suppliers and of which the TSO has been informed,
  - (h) Other information supplied by Users, and
  - (i) Growth rates.
- OC.1.6.2 Taking into account the factors specified in OC.1.6.1 the **TSO** uses **Demand** forecast methodology to produce forecasts of **Demand**.
- OC.1.6.3 The methodology will be based upon factors (a), (b), (c) and (d) above to produce, by statistical means, unbiased forecasts of **Demand** including that to be met by **Embedded Generation**. **Demand** will be calculated from these forecasts but will also take into account factors (e), (f), (g), (h) and (i) above.

# OC.2 Operational Planning

# OC.2.1 Introduction

Secure operation of an electricity system requires that maintenance of production facilities (Generation Units, Interconnectors, Aggregated Generating Units and Demand Side Units) should be carried out in a timely and orderly fashion. This is essential in order to enable the TSO to fulfil its obligations relating to operation of the Transmission System, and to enable Generators, Interconnector Operators, Generator Aggregators or Demand Side Unit Operators to plan their Outages in an orderly way with due regard to Plant requirements and resource limitations. The mechanisms by which this is achieved are formalised in this Operational Planning Code (Generation).

### OC.2.2 Objective

The primary objective of OC.2 is to promote the development and implementation of a co-ordinated **Generation Outage Programme**, consistent with security of supply and requirements for the secure and economic operation of the **Transmission System** and the **Other Transmission System**, and with the needs of **Generators**, **Interconnector Operators**, **Generator Aggregators** or **Demand Side Unit Operators** in respect of **Plant** maintenance requirements and resource limitations.

In order to achieve this objective, OC.2 defines:

- (a) the procedure for formal notification of Outages by Generators, Interconnector Operators, Generator Aggregators and Demand Side Unit Operators to the TSO;
- (b) the procedures by which the Indicative, Provisional and Committed Outage
   Programmes are reviewed by the TSO, in consultation with Generators,
   Interconnector Operators, Generator Aggregators or Demand Side Unit
   Operators;
- the co-ordination of Outage planning and the interchange of Outage schedules with the Other TSO; and
- (d) the procedure for formal notification by Generators, Generator Aggregatorsor Demand Side Unit Operators of:

- (i) a decision to cancel a major **Outage** of a **Generating Unit**;
- (ii) the findings during or following a major **Outage** of a **GeneratingUnit**;
- (iii) an unexpected and unplanned failure of a **Generating Unit**.

OC.2 shall apply to all proposed **Outages** that may affect the ability of a **Generation Unit, Interconnector, Aggregated Generating Unit** and **Demand Side Unit** to achieve, in accordance with its **Registered Operating Characteristics**, either its full **Registered Capacity**, appropriate to each **Registered Fuel**, **Interconnector Registered Capacity** or its **Demand Side Unit MW Capacity** as the case maybe.

OC.2.7 also requires **Generators**, **Interconnector Operators**, **Generator Aggregators** and **Demand Side Unit Operators** to inform the **TSO** of other proposed maintenance of a **Generation Unit**, **Interconnector**, **Aggregated Generating Unit**, **Demand Side Unit** or any associated **Plant** or **Apparatus**, where such maintenance will affect the availability of **Ancillary Services** in respect of that **Generation Unit**.

# OC.2.3 Scope

Operational Planning applies to the **TSO** and to the following, each of which is a **User** under this OC.2:

- (a) Generators which for the purposes of OC.2 includes all Generators with Registered Capacity greater than 5 MW or which are subject to Central Dispatch;
- (b) Interconnector Operators;
- (c) Generator Aggregators;
- (d) Demand Side Unit Operators; and
- (e) The Distribution System Operator (DSO).

### OC.2.4 Outage Scheduling

- OC.2.4.1 Throughout OC.2 the current year shall be defined as year 0, the following year as year 1, and so on. The **Outage** planning process in respect of a **Generation Unit**, **Interconnector**, **Aggregated Generating Unit** and **Demand Side Unit** shall commence not later than one (1) year prior to the **Scheduled Operational Date** or from the date of the relevant agreements, whichever is the later.
- OC.2.4.2 In rolling over the **Generation Outage Programme** from one year to the next, for every year except the first year of the planning process:
  - (a) submissions by the Generator, Interconnector Operator, Generator Aggregator and/or Demand Side Unit Operator for year 2 should reflect the current Provisional Outage Programme for year 3; and
  - (b) submissions by the Generator, Interconnector Operator, Generator Aggregator and/or Demand Side Unit Operator for year 1 should reflect the current Provisional Outage Programme for year 2.

except, in any such case, to the extent that the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator is reasonably responding to changed circumstances. This does not require Generators, Interconnector Operators, Generator Aggregators or Demand Side Unit Operators to explain changes unless required to do so by the TSO. The aggregate of all Generators' Outage Programmes is the Generation Outage Programme that will comprise the COP and POP.

- OC.2.4.3 By the end of March in year 0, Generators, Generator Aggregators and Demand Side Unit Operators shall submit to the TSO, for each Generation Unit, Aggregated Generating Unit or Demand Side Unit, details of Outages and estimates of the Forced Outage Probabilities for inclusion in:
  - (a) the **Committed Outage Programme (COP)** for year 1. Other than in the first year after the planning process has commenced, this will be based on the

previous year's **Provisional Outage Programme** for year 2, which period through the passage of time has now become year 1, and any changes may only reflect the **Generator's**, **Interconnector Operator's**, **Generator Aggregator's**, and **Demand Side Unit Operator's** reasonable response to changed circumstances;

(b) the **Provisional Outage Programme (POP)** for years 2 and 3.

In the case of Aggregated Generating Units, and Demand Side Units which consist of Aggregated Demand Sites, the Generator Aggregator or Demand Side Unit Operator shall provide the aggregated Outages, and upon request from the TSO the Generator Aggregator or Demand Side Unit Operator shall provide the Outage for each individual site, in a reasonable time period.

Generators, Interconnector Operators, Generator Aggregators and Demand Side Unit Operators shall specify with regard to each of their Generation Units, Interconnector, Aggregated Generating Units or Demand Side Units, the start date and time and the duration of each Outage.

OC.2.4.4 In scheduling Outages, and in relation to all other matters under OC.2, the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator must act reasonably and in good faith. Without limitation to such obligation, each Generator, Interconnector Operator, Generator Aggregator and Demand Side Unit Operator should act in accordance with Good Industry Practice in planning their Outages and, in particular, so as to avoid a situation arising in which a Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator is obliged to schedule an Outage at short notice by reason of obligations imposed upon the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator by statute as a consequence of the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator not having planned in accordance with Good Industry Practice, for example, by not having planned sufficiently in advance its Outages for any statutory time limit.

- OC.2.4.5 When submitting proposed **Outages** for inclusion in the **COP**, **POP** and **IOP**, **Generators**, **Interconnector Operators**, **Generator Aggregators** and **Demand Side Unit Operators** shall, unless they reasonably substantiate that an **Outage** is inflexible, specify:
  - (a) an alternative preferred window, or alternative preferred windows, of opportunity within each year for any **Outage**;
  - (b) the minimum **Outage** duration which would be acceptable, if less than the scheduled **Outage** duration;
  - (c) situations where the paralleling of Outages of two or more of its Generation
     Units, Interconnectors, Aggregated Generating Units, Demand Side Units or
     Aggregated Demand Side Units may be required, desirable, undesirable or not possible;
  - (d) a priority order associated with the various Outages scheduled by the Generator, Interconnectors, Generator Aggregators and Demand Side Unit Operator;
  - (e) any **Outages** where it is particularly desirable that they should take place within the year scheduled; or
  - (f) any **Outage** where its timing is dependent on **Generation Unit** run hours, equivalent run hours or starts.
- OC.2.4.6 Generators, Interconnector Operators, Generator Aggregators, Demand Side Unit Operators are required to signal adequately in advance major Outages which could impact on capacity adequacy or on the TSO's transmission outage maintenance and development. In rolling over the Generation Outage Programme from one year to the next each Generator, Generator Aggregator and Demand Side Unit Operator shall not be constrained in making any submission by any previous Provisional Outage Programme.
- OC.2.4.7 Between March and June of year 0, the **TSO** shall carry out a security analysis of years 1 to 7 in light of proposed **Outages** and other relevant matters including:

- (a) Outages of other Generation Units, Aggregated Generating Units and Demand Side Units;
- (b) Outages of Generation Units, Aggregated Generating Units and Demand Side Units on the Other Transmission System;
- (c) Interconnectors and Inter-jurisdictional Tie Line; and
- (d) Transmission outages, Load growth and fuel security.

In the event that a proposed Generator's, Interconnector Operator's and Generator Aggregator's, Demand Side Unit Operator's Outage has a detrimental effect on Capacity Adequacy or system security either in the Transmission System or in the Other Transmission System, the relevant TSO will highlight the shortfall to all Generators, Interconnector Operators, Generator Aggregators, Demand Side Unit Operators and Suppliers.

- OC.2.4.8 Any concerns which the **TSO** may have with the **Generation Outage Programme** must be notified to all **Generators**, **Interconnector Operators**, **Generator Aggregators** and **Demand Side Unit Operators** by the end of June in year 0.
- OC.2.4.9 Between the end of June in year 0 and the end of September in year 0 any concerns raised by the **TSO** shall be notified to **Generators**, **Interconnector Operators**, **Generator Aggregators** and **Demand Side Unit Operators**. The **TSO** will enter into discussions with **Generators**, **Interconnector Operators**, **Generator Aggregators** and **Demand Side Unit Operators** to find a resolution. If by the end of September in year 0 no resolution has been agreed and in the opinion of the **TSO** there is a capacity shortfall in year 1, the **TSOs** will jointly issue a **System Capacity Shortfall Warning**.
- OC.2.4.10 The **TSO** shall issue to each **Generator**, **Interconnector Operator**, **Generator Aggregator** and **Demand Side Unit Operator** a **Generation Outage Programme** for that **Generator**, **Interconnector**, **Generator Aggregator**, **Demand Side Unit Operator** for years 1 to 3 by the last **Business Day** of September in year 0, including the **COP** for year 1.
- OC.2.4.11 Information relating to the **COP** and **POP** shall be exchanged on a regular basis with the **Other TSO**.

### OC.2.5 Not Used

# OC.2.6 Changes to the Committed Outage Programme within the Implementation Year (Year 0)

- OC.2.6.1 A request for a change to an **Outage** included in the **Committed Outage Programme** or an additional **Outage** may be initiated either by the **TSO** or by a **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** at any time.
- OC.2.6.2 Request initiated by the TSO
- OC.2.6.2.1 The **TSO** may at any time request from a **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** a change in the timing or duration of any **Outage** of one of the **Generator's Generation Units**, **Interconnectors, Demand Side Unit Operator's Demand Side Units** or an **Individual Demand Site** which constitutes the **Demand Side Unit** in the **Committed Outage Programme**.
- OC.2.6.2.2 A Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator may respond either by declining the request, or by agreeing to the request (in which case the COP shall be deemed to be amended accordingly). Generators, Interconnector Operators, Generator Aggregators and Demand Side Unit Operators shall make every reasonable effort to co-operate with changes requested by the TSO.
- OC.2.6.2.3 If a Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator responds by agreeing to the request subject to specific conditions, the TSO may respond by either confirming agreement to those conditions, in which case the conditions specified by the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator shall be deemed to have been accepted, or by declining agreement. Where the TSO agrees to the conditions the COP shall be deemed to be amended accordingly. Where the TSO declines to agree to the conditions, then the TSO may negotiate with the Generator, Interconnector

**Operator, Generator Aggregator** or **Demand Side Unit Operator** as to revised or alternative conditions, which would be acceptable.

- OC.2.6.3 Outage Change Initiated by a Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator
- OC.2.6.3.1 Generators, Interconnector Operators, Generator Aggregators or Demand Side Unit Operators may at any time request the TSO for a change in the timing or duration of any Outage of one of the Generator's Generation Units, Interconnectors or Demand Side Unit Operator's Demand Side Units or an Individual Demand Site which constitutes the Demand Side Unit in the Committed Outage Programme.
- OC.2.6.3.2 Where a change to the **COP** is proposed by a **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator**, the **TSO** shall evaluate whether the change is likely to have a detrimental effect on **Capacity Adequacy** or on the secure operation of the **Transmission System**. This shall be done within a reasonable time frame, taking into consideration the extent of the change and the timing of the **Outage**.
- OC.2.6.3.3 Where the request is not likely to have a detrimental effect on **Capacity Adequacy** or the secure operation of the **Transmission System** then the **TSO** shall amend the **COP** accordingly. The **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** shall be advised by the **TSO** that the change has been accepted.
- OC.2.6.3.4 Where the **Outage** change is likely to have a detrimental effect on **Capacity** Adequacy or requirements for the secure operation of the **Transmission System** then the **TSO** shall not amend the **COP**. The **TSO** shall contact the **Generator**, **Generator Aggregator** or **Demand Side Unit Operator** and inform the **Generator**, **Interconnector Operator's, Generator Aggregator** or **Demand Side Unit Operator** that the change to the **COP** has not been accepted, the **TSO** shall at the **Generator's**, **Interconnector Operator, Generator Aggregator's** or **Demand Side Unit Operator's** request enter into discussions with the **Generator, Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** to facilitate an alternative

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modification which may meet the requirements of the **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** while not having an unacceptable effect on **Capacity Adequacy** or requirements for secure operation of the **Transmission System**. In the event that the **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** wishes to avail of an alternative modification, it shall submit a change request in accordance with OC.2.6.3.1.

OC.2.6.3.5 Where the Generator, Interconnector Operator, Generator Aggregator or Demand
 Side Unit Operator has been notified that the change to the COP has not been
 accepted, but in the view of the Generator, Interconnector Operator, Generator
 Aggregator or Demand Side Unit Operator it must force the Generation Unit,
 Interconnector or Demand Side Unit to be unavailable due to technical or safety
 issues, then the Generator, Interconnector Operator, Generator Aggregator,
 Demand Side Unit Operator shall inform the TSO immediately in accordance with
 the requirements to submit an Availability Notice.

### OC.2.7 Other Information to be Noted

- OC.2.7.1 Generators and Interconnector Operators will inform the TSO of any proposed maintenance, in addition to Outages, which will, or is likely to, affect the capability of the Generation Unit or Interconnector to provide Ancillary Services, as soon as is reasonably possible.
- OC.2.7.2 The TSO may, where security of supply or the secure operation of the Transmission
   System or the Other Transmission System would be at risk, request alterations to
   maintenance notified under Section OC.2.7.1. The TSO shall make reasonable
   endeavours to give as much notice as possible for such requests for alterations.
   Where the TSO makes such a request, the Generator, Interconnector Operator,
   Generator Aggregator or Demand Side Unit Operator shall use reasonable
   endeavours to comply with the request in arriving at the User's final programme for
   such maintenance.

- OC.2.7.3 The **DSO** shall co-operate with the **TSO** and **Embedded Generators** and **Demand** Side Unit Operators in all phases of Outage planning to promote Capacity Adequacy and ensure system security.
- OC.2.7.4 Generators must immediately notify the TSO on making the decision to cancel a major Outage of a Generation Unit.
- OC.2.7.4.1 Following the decision by a **Generator** to cancel a major **Outage** of a **Generation Unit** the **Generator** must report to the **TSO**, on an on-going basis, practical, useful, and proportionate information to allow the **TSO** to make the necessary assessments and propose mitigation measures in relation to security of supply.
- OC.2.7.4.1.1 The reports should cover the following:
  - (a) an explanation for the cancellation of a major **Outage**;
  - (b) annual notification of major changes to the operational intentions of the Generator and/or characteristics of the Generation Unit as a result of the OC2.7.4.1.1 decision not to proceed with the major Outage;
  - (c) assessments covering the risks of sudden and catastrophic failure.
- OC.2.7.5 A **Generator** must immediately notify the **TSO** in the event of sudden and catastrophic failure of a **Generation Unit**.
- OC.2.7.5.1 The **Generator** must report to the **TSO** the impact of the failure of the **Generation Unit** and the future operation of the **Generation Unit**.
- OC.2.7.5.2 The **TSO** must notify the **Regulatory Authority** if the assessments covering the sudden and catastrophic failure of a **Generation Unit** highlight the emergence of potential risks to the security of supply and the operation of the **System.**

OC.3 Not Used

# OC.4 System Services

# OC.4.1 Introduction

**System Services** refers to the services essential to the proper functioning of the **Power System** which electricity utilities collectively provide for their customers in addition to the provision of electrical power, the supply of electric energy, and the transmission and distribution of this energy, and which thus determine **Power Quality**:

- (a) Frequency Control;
- (b) Voltage Control;
- (c) Network Control;
- (d) **Operating Margin; and**
- (e) Black Start.
- (f)

In order to ensure secure operation, the **TSO** shall have control over all **System Services**; i.e. the **TSO** shall specify what **System Services** are to be provided when and by whom.

# OC.4.2 Scope

- OC.4.2.1 OC.4 applies to the **TSO** and to the following, each of which is a **User** under this OC.4:
  - (a) Grid Connected Generators with Registered Capacity greater than 2MW;
  - (b) **Demand Customers**
  - (c) The **Distribution System Operator (DSO)**; and
  - (d) Interconnector Operators.

# OC.4.3 Frequency Control

# OC.4.3.1 Introduction

OC.4.3.1.1 In order to maintain the security and integrity of the **Transmission System** it is necessary that the **TSO** operates the **Transmission System** and **Dispatches** in such a manner as to provide adequate **Frequency Control** so as to achieve operation within applicable **Frequency** limits at all times.

# OC.4.3.2 Objective

- OC.4.3.2.1 The objectives of OC.4.3 are:
  - (a) to set out the procedures required to ensure that adequate Frequency
     Control capability is provided on the Transmission System to enable operational Frequency Control by the TSO so as to achieve the applicable limits; and
  - (b) to set out the procedures required to enable the TSO to control the Transmission System Frequency and (insofar as possible) to maintain Frequency within the limits set out in CC.8.2.1.
- OC.4.3.3 Description of Frequency Control
- OC.4.3.3.1 **Frequency Control** occurs in two time scales, namely:
  - (a) **Primary Frequency Control**; and
  - (b) Secondary Frequency Control.
- OC.4.3.3.2 Primary Frequency Control
- OC.4.3.3.2.1 **Primary Frequency Control** takes place in the period of up to 30 seconds after a change in **Frequency** and is achieved by automatic corrective responses to **Frequency** deviations occurring on the **Transmission System**. This automatic correction arises from:

- (a) natural frequency demand relief of motor load;
- (b) automatic MW output adjustment of Generation Units initiated by Governor Droop or other responses including peaking of Combustion Turbine Units, condensate stop or frequency triggered response of pumped storage units;
- (c) automatic load shedding (see OC.5: Demand Control).
- OC.4.3.3.2.2 Automatic **Primary Frequency Control** actions in response to normal **Frequency** fluctuations, within the levels specified in CC.8.2.1(a), on the **Transmission System** can be termed as "**Frequency Regulation**". Inadequate **Frequency Regulation** can result in:
  - (a) unscheduled operation because Generation Units are moving away from their Dispatched MW levels due to Frequency drift;
  - (b) poor External Interconnection tie-line control; and
  - (c) failure to meet the applicable Frequency limits.
- OC.4.3.3.2.3 Frequency deviations, outside the levels specified in CC.8.2.1(a) such as those that may occur on the loss of Generation Unit(s), Interconnectors or other MW input into, the Transmission System or the Distribution System are corrected through the use of Operating Reserve.
- OC.4.3.3.3 Secondary Frequency Control
- OC.4.3.3.3.1 Secondary Frequency Control takes place in the time scale from 5 seconds up to 10 minutes after the change in Frequency. It is provided by a combination of automatic and manual actions.
- OC.4.3.3.3.2 Improved Secondary Frequency Control can be achieved by use of a Secondary Frequency Regulation System which acts directly on the MW Outputs of participating Generation Units and on the Active Power transfer to or from External Systems by Interconnectors. This automatic action facilitates more frequent MW output adjustments than is practicable by means of Dispatch

**Instructions** and manual setpoint adjustment, thus allowing more frequent and rapid **Frequency** correction.

OC.4.3.4 Frequency Response Systems

OC.4.3.4.1 Requirements of Generation Unit Governor Systems

- OC.4.3.4.1.1 In order that adequate **Frequency Regulation** is maintained on the **Transmission System** at all times, **Generators** are required to comply with the provisions of OC.4.3.4.1.
- OC.4.3.4.1.2 Other than as permitted in accordance with OC4.3.4.1.3:
  - (a) Generation Units when Synchronised to the Transmission System shall operate at all times under the control of a Governor Control System, unless otherwise specified by the TSO, with characteristics within the appropriate ranges as specified in Connection Conditions;
  - (b) no time delays other than those necessarily inherent in the design of the Governor Control System shall be introduced;
- OC.4.3.4.1.3 The **Generator** may only restrict governor action in such a manner as to contravene the terms of OC.4.3.4.1.2 where:
  - (a) the action is essential for the safety of personnel and/or to avoid damage to Plant, in which case the Generator shall inform the TSO of the restriction without delay; or
  - (b) in order to (acting in accordance with Good Industry Practice) secure the reliability of the Generation Unit; or
  - (c) the restriction is agreed between the TSO and the Generator in advance; or
  - (d) the restriction is in accordance with a **Dispatch Instruction** given by the **TSO**.
- OC.4.3.4.1.4 In the event that the **TSO** in accordance with OC.4.3.4.1.3 either agrees to a restriction on governor action or instructs such a restriction, the **TSO** shall record the nature of the restriction, the reasons, and the time of occurrence and duration of the restriction.

# OC.4.3.4.1.5 Frequency Sensitive Mode

A **Frequency Deadband** of no greater than +/- 15mHz may be applied to the operation of the **Governor Control System**. The design, implementation and operation of the **Frequency Deadband** shall be agreed with the **TSO** prior to the **Commissioning**.

# OC.4.3.4.1.6 Action required by **Generators** in response to low **Frequency**:

- (a) If System Frequency falls to below 49.80 Hz each Generator will be required to check that each of its CDGUs is achieving the required level of response including that required from the Governor Control System, where applicable in order to contribute to containing and correcting the low System Frequency.
- (b) Where the required level of response is not being achieved appropriate action should be taken by the **Generator** without delay and without receipt of instruction from the **TSO** to achieve the required levels of response, provided the **Generator's** local security and safety conditions permit.

# OC.4.3.4.1.7 Action required by **Generators** in response to **High Frequency Events**:

If **System Frequency** rises to or above 50.2 Hz, each **Generator** will be required to ensure that its **CDGUs** have responded in order to contribute to containing and correcting the high **System Frequency** by automatically or manually reducing **MW Output** without delay and without receipt of instruction from the **TSO** to achieve the required levels of response, provided the **Generator's** local security and safety conditions permit.

# Ο OC.4.3.4.1.8 Limited Frequency Sensitive Mode – Over-frequency The following shall apply for **Generators** operating in Limited Frequency Sensitive Mode – Over Frequency: Generation Units shall be capable of providing Active Power Frequency (a) Response when the Transmission System Frequency rises to or above 50.2 Hz. (b) The Active Power Frequency Response shall be capable of having a Governor Droop between 2% and 12%. The default Governor Droop setting shall be 4%. Where the required level of response is not being achieved appropriate action should be taken by the **Generator** without delay and without receipt of instruction from the TSO to achieve the required levels of response,

provided the **Generator's** local security and safety conditions permit.

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- (c) Generators shall be capable of providing a power decrease down to Minimum Load. Stable operation shall be ensured.
- (d) Generation Units shall be capable of continuous stable operation when MW Output is reduced to Minimum Load. This response will prevail over any other Active Power control mode.

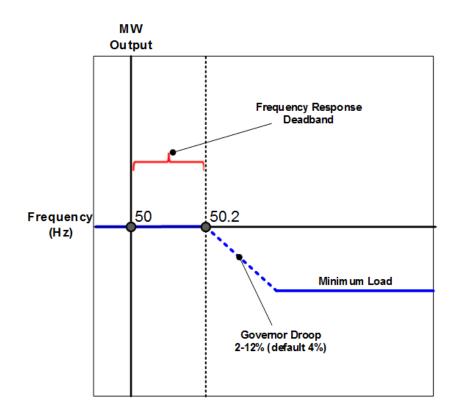


Figure OC.4.3.4.1.8: Limited Frequency Sensitive Mode – Over-frequency

## OC.4.3.4.1.9 Limited Frequency Sensitive Mode – Under-frequency

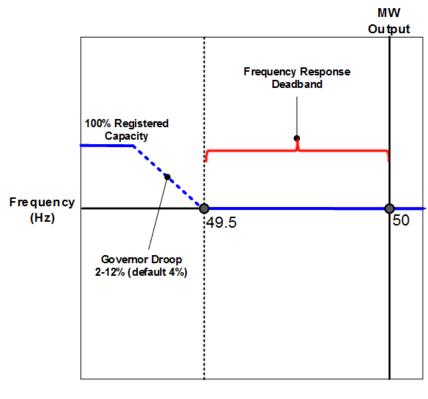
The following shall apply for **Generators** operating in Limited Frequency Sensitive Mode – Under-frequency:

- (a) Generation Units shall be capable of providing Active Power Frequency Response when the Transmission System Frequency falls to or below 49.5 Hz.
- (b) The Active Power Frequency Response shall be capable of having a Governor Droop between 2% and 12%. The default Governor Droop setting shall be 4%.

| 0 |  |   |  |
|---|--|---|--|
|   | (c)  | Where the required level of response is not being achieved appropriate        |  |
|   |  | action should be taken by the Generator without delay and without             |  |
|   |  | receipt of instruction from the TSO to achieve the required levels of         |  |
|   | response, provided the <b>Generator's</b> local security and safety conditions permit. |   |  |
|   |  |   |  |
|   | (d)  | Generators shall take into account the;                                       |  |
|   |  | (i) ambient conditions when the response is triggered;                        |  |
|   |  | (ii) operating conditions of each <b>Generation Unit</b> ; and                |  |
|   |  | (iii) availability of the <b>Primary Fuel</b> .                               |  |
|   | (e)  | Generators shall be capable of providing a power increase up to               |  |
|   |  | Registered Capacity. Stable operation shall be ensured.                       |  |
|   | (f)  | Concrators canable of acting as a load including <b>Dumped Storage Diants</b> |  |

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(f) Generators capable of acting as a load, including Pumped Storage Plants, shall be capable of disconnecting their load. This requirement does not extend to auxiliary supplies.



#### Figure OC.4.3.4.1.9: Limited Frequency Sensitive Mode – Under-frequency

# OC.4.3.4.1.10 Frequency Sensitive Mode

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The following shall apply for Generators operating in Frequency Sensitive Mode operation:

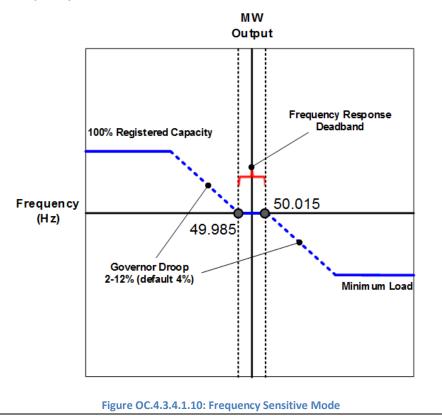
- (a) A Frequency Deadband of no greater than +/- 15mHz may be applied to the operation of the Governor Control System. The design, implementation and operation of the Frequency Deadband shall be agreed with the TSO prior to the Commissioning.
- (b) Generation Units shall be capable of setting Governor Droop between2% and 12%. The default Governor Droop setting shall be 4%.
- (c) Generation Units shall be capable of providing Active Power Frequency Response in accordance with the parameters specified in Table OC.4.3.4.1.10(a).

Table OC.4.3.4.1.10(a): Parameters for Active Power Frequency Response

| Parameter  | Value |
|--|-------|
| <b>Frequency Response Insensitivity</b> $(\Delta f)$ | 15mHz |
| <b>Frequency Response Insensitivity</b> (\Delta f/f) | 0.03% |

Upon request from the **TSO**, the **Frequency Response Deadband** and **Governor Droop** must be able to be reselected repeatedly.

The maximum combined effect of **Frequency Response Insensitivity** and **Frequency Deadband** cannot exceed a value of +/- 15 mHz.



# OC.4.3.4.1.11 The Frequency Response System shall be required to change between Limited Frequency Sensitive Mode – Under-frequency, Limited Frequency Sensitive Mode – Over-frequency, and Frequency Sensitive Mode within one minute from receipt of the appropriate signal from the TSO. Generators may be instructed to be in both Limited Frequency Sensitive Mode – Under-frequency and Limited Frequency Sensitive Mode – Over-frequency at the same time. Generators shall only operate in Frequency Sensitive Mode when they are not operating in Limited Frequency Sensitive Mode – Under-frequency or Limited Frequency Sensitive Mode – Over-frequency or Limited

#### OC.4.3.4.2 Requirement of Interconnector Frequency Response Systems

- OC.4.3.4.2.1 In order that adequate **Frequency Control** is maintained on the **Transmission System** at all times, **Interconnectors** are required to comply with the provisions of OC.4.3.4.2.
- OC.4.3.4.2.2 Other than as permitted in accordance with OC.4.3.4.2.3:
  - (a) Interconnectors when Energised shall operate at all times in Frequency Control mode, unless otherwise specified by the TSO, with characteristics within the appropriate ranges as specified in Connection Conditions;
  - (b) The Interconnector Frequency Droop shall normally be 4% and shall be settable between 2% and 7%;
  - (c) No intentional time delays other than those agreed with the **TSO** shall be introduced into the frequency response system;
  - (d) The Frequency Deadband shall normally be zero. Any non-zero deadband must be agreed in advance with the TSO and shall not exceed +/-15mHz.
  - (e) Interconnectors shall not act to control the frequency in an External System unless agreed in advance with the TSO and the External System Operator.
- OC.4.3.4.2.3 The **Interconnector Operator** may only restrict the action of the **Frequency Control** mode in such as a manner as to contravene the terms of OC.4.3.4.2.2 where:

- (a) The action is essential for the safety of personnel and/or to avoid damage to Plant, in which case the Interconnector Operator shall inform the TSO of the restriction without undue delay; or
- (b) in order to (acting in accordance with Good Industry Practice) secure the reliability of the Interconnector, in which case the Interconnector Operator shall inform the TSO of the restriction without undue delay; or
- the restriction is agreed between the **TSO** and the **Interconnector Operator** in advance; or
- (d) the restriction is in accordance with a **Dispatch Instruction** given by the **TSO**.
- OC.4.3.4.2.4 In the event that the **TSO** in accordance with OC.4.3.4.2.3 either agrees to a restriction on the control action or instructs such a restriction, the **TSO** shall record the nature of the restriction, the reasons, and the time of occurrence and duration of the restriction.
- OC.4.3.4.2.5 Action required by Interconnector Operators in response to low Frequency:
  - (a) If System Frequency falls to below 49.80 Hz each Interconnector Operator will be required to ensure that it has responded in order to contribute to containing and correcting the low System Frequency by automatic or manually increasing the Active Power transfer from an External System or decreasing the Active Power transfer from the Transmission System without delay and without receipt of instruction from the TSO to achieve the required levels of response, provided the Interconnector's local security and safety conditions permit;
  - (b) Any such action shall be in accordance with the Interconnector Operating Protocol agreed between the Interconnector Operator, the TSO and the External System Operator.
- OC.4.3.4.2.6 Action required by Interconnector Operators in response to high Frequency:
  - (a) If System Frequency rises above 50.2 Hz each Interconnector Operator will be required to ensure that it has responded in order to contribute to containing and correction of the high System Frequency by automatic or manually decreasing the Active Power transfer from an External System or increasing the Active Power transfer from the Transmission System without delay and without receipt of instruction from the TSO to achieve the required levels of response, provided the Interconnector's local security and safety conditions permit.

- (b) Any such action shall be in accordance with the Interconnector Operating Protocol agreed between the Interconnector Operator, the TSO and the External System Operator.
- OC.4.3.4.2.7 Action required by Interconnector Operators in response to External System Frequency Events: Automatic MW setpoint changes of Interconnectors triggered by Frequency Events on the External System shall be agreed between the Interconnector Operator, the TSO, and the External System Operator in accordance with the Interconnector Operating Protocol.
- OC.4.3.4.2.8 The **TSO** having due regard to system security may instruct the **Interconnector Operator** to disable the **Frequency Control** mode of an **Interconnector** at any time, and this instruction must be carried out without delay.

# OC.4.3.5 Dispatch Instructions

When the **TSO** determines it is necessary, by having monitored the **System Frequency**, it may, as part of the procedure set out in SDC2, issue a **Dispatch Instruction** (including **Target Frequency** where applicable) in order to seek to regulate **Frequency** to meet the requirements for **Frequency Control**. The **TSO** will give, where applicable, 15 minutes notice to each relevant **User** of variation in **Target Frequency**.

- OC.4.3.6 Automatic Generator Control (AGC)
- OC.4.3.6.1 The secondary **Frequency** regulation system operational on the **Transmission System** is known as the "**Automatic Generator Control**" (AGC).
- OC.4.3.6.2 Generation Units and Interconnectors with a Registered Capacity of 60MW or greater are, under Connection Conditions, required to be connected to AGC, the AGC Control Range being a Registered Operating Characteristic.
- OC.4.3.6.3 Other than as provided for in OC.4.3.6.4 and OC.4.3.6.5 all **Generation Units** and Interconnectors fitted with AGC shall operate under the control of AGC when within their AGC Control Range.

- OC.4.3.6.4 In the event that the Generator or Interconnector Operator (acting in accordance with Good Industry Practice) considers that it is necessary to secure the reliability of a Generation Unit or Interconnector, or for the safety of personnel and/or Plant, to prevent a Generation Unit or Interconnector from operating under AGC and commences to control the MW output manually, then the Generator or Interconnector Operator shall inform the TSO of this without delay. Generators and Interconnector Operators shall also inform the TSO of the reasons for not operating the Generating Unit or Interconnector under AGC, and the course of action being taken to rectify the problem forthwith. When the problem has been rectified, the Generator or Interconnector Operator shall contact the TSO to arrange for the Generation Unit or Interconnector to return to operation under the control of AGC.
- OC.4.3.6.5 The **TSO** may issue a **Dispatch Instruction** to a **Generator** or **Interconnector Operator** to prevent a **Generation Unit** or **Interconnector** (fitted with **AGC**) from operating under **AGC**, in accordance with **SDC2**.
- OC.4.3.6.6 Generation Units or Interconnectors not operating under AGC for reasons set out in OC.4.3.6.4 and OC.4.3.6.5 shall nevertheless continue to follow MW Dispatch Instructions as required by SDC2.

# OC.4.4 Voltage Control

- OC.4.4.1 Introduction
- OC.4.4.1.1 In order to maintain security and integrity of the **Transmission System**, to avoid damage to the **Transmission System** and to **User Plant**, and to maintain **Voltages** at **User Connection Points** within the limits specified in the **Connection Conditions**, it is necessary for the **TSO** to control **Transmission System Voltages**.
- OC.4.4.1.2 Voltage control of power systems requires that a Mvar demand is met and that sufficient dynamic Voltage control capability is available on the Transmission System to cover changes in the Mvar demand such as result from Demand variations, to facilitate controlled Voltage adjustment and to limit the duration and extent of Voltage fluctuations under fault conditions. In order to do this, static and dynamic

reactive reserve capability is required. To control **Transmission System Voltages**, the **TSO** will utilise a variety of methods of dynamic and static control.

- OC.4.4.1.3 Voltage control strategies used by the **TSO** include:
  - a) transformer tap-changing, cable switching, reactor and capacitor switching, and other control methods which involve utilisation of Transmission System Plant only;
  - b) tap-changing on Generator Transformers;
  - c) **Demand** power factor correction;
  - d) utilisation of Generation Unit Reactive Power capability, both by means of AVR control and also Mvar Dispatch Instructions issued by the TSO to Generators;
  - e) utilisation of Interconnector Reactive Power capability by means of suitably acting AVR control and/or Mvar Dispatch Instructions issued by the TSO to Interconnector Operators.

## OC.4.4.2 Objectives

- OC.4.4.2.1 The objective of OC.4.4 is to set out the control strategies used by the **TSO**, in conjunction with **Users** where appropriate, in controlling **Transmission System Voltages**.
- OC.4.4.2.2 OC.4.4 sets out the procedures required (in conjunction with those in SDC2 to enable the **TSO** to:
  - (a) maintain voltage stability of the Transmission System;
  - (b) maintain Transmission System Voltages at User Connection Points within operational limits as specified in the Connection Conditions.
- OC.4.4.2.3 OC.4.4 sets out the procedures for the utilisation of **User Plant** or facilities by the **TSO** for the purposes of **Transmission System Voltage** control, where appropriate.
- OC.4.4.2.4 Some procedures for implementation of **Voltage** control strategies (e.g. **Generation Unit** Mvar **Dispatch**, **Interconnector Mvar Dispatch**) are addressed under the provisions of SDC2 and therefore this OC.4.4 shall be read in conjunction with these provisions.

#### OC.4.4.3 Description of Voltage Control

- OC.4.4.3.1 Voltage Control is achieved by ensuring sufficient availability of dynamic and static reactive power from contributions listed in OC.4.4.3.2. The factors, which are obviously most readily subject to control by the **TSO**, are the Mvar produced/absorbed by **Generation Units, Interconnectors** and installed dedicated Voltage Control facilities.
- OC.4.4.3.2 The **TSO** shall endeavour to maintain sufficient availability of dynamic and static reactive power in order to operate **Transmission System Voltages** at **Connection Points** within the levels specified in CC.8.3, at all times. Factors, which will influence the required Mvar capacity, include the following:
- OC.4.4.3.2.1 The charging capacitance of the **Transmission System**.
- OC.4.4.3.2.2 Customer Mvar Demand.
- OC.4.4.3.2.3 Transmission System Mvar losses.
- OC.4.4.3.2.4 Generation Unit Mvar production or absorption.
- OC.4.4.3.2.5 Interconnector Mvar production or absorption.
- OC.4.4.3.2.6 Voltage Control facilities, such as capacitor banks and reactors.
- OC.4.4.3.3 The effects of **Transmission System** capacitance can be controlled and to some extent utilised by controlled variation of the **Transmission System Voltage**. Thus at times of high Mvar **Demand** (normally times of high MW **Demand**), the **Transmission System Voltage** may be operated towards the upper portion of the allowable control range, and at times of low Mvar **Demand** (normally times of low MW **Demand**), the **Transmission System Voltage** may be operated towards the lower portion of the allowable control range. This daily variation is typically required for operation of the **Transmission System**.

OC.4.4.3.4 Due to the electrical characteristics of a **Transmission System**, the **Voltage** (for **Plant** operated at the same nominal **Voltage**) will not be the same at all points on the **Transmission System**.

### OC.4.4.4 Voltage Control Policy

- OC.4.4.4.1 The **TSO** shall control system voltage in order to minimise system losses and cost of use of **Ancillary Services**. The **TSO** shall determine and modify as appropriate general procedures for its use in controlling **Voltage** on the **Transmission System**. The procedures shall be formulated having due regard to relevant economics of **Transmission System** operation and **Power System** reliability. In particular, the **Voltage Control** shall take cognisance of daily, weekly and seasonal factors and the **TSO** shall determine:
  - (a) suitable target **Voltages** in order to limit/control the effect of transmission capacitance;
  - (b) best utilisation of dedicated Voltage Control facilities; and
  - (c) Mvar dynamic reserve requirements.
- OC.4.4.5 Methods Utilised in Exercising Voltage Control
- OC.4.4.5.1 **Transmission System Voltages** shall be continuously monitored by the **TSO**. Appropriate **Voltage** operating points shall be determined by the **TSO**, taking account of OC.4.4.4 and in particular of **System** conditions pertaining at the time of operation.
- OC.4.4.5.2 The **TSO** shall adjust **System Voltages**, using control facilities that are available so as to achieve the Mvar capacity necessary in order to operate **Transmission System Voltages** at **Connection Points** within the levels specified in CC.8.3 and retain a dynamic Mvar capability to deal with changing **System** conditions which result from changes in **Demand** or changes in transmission or generation configuration, whether as a result of control actions or faults. This may necessitate the modification of **Generation Unit MW** output or

Interconnector(s) Active Power transfer from an External System or Active Power transfer to the Transmission System.

- OC.4.4.5.3 The excitation system of each **Generation Unit** shall normally be operated under the control of a continuously acting **AVR**, which shall be set so as to maintain a constant terminal voltage. The **Generator** may not disable or restrict the operation of the **AVR** except in accordance with OC.4.4.5.5, in which event the **Generator** shall notify the **TSO** without delay.
- OC.4.4.5.4 Each Interconnector shall control the voltage at the Grid Connection Point by means of a suitable continuously acting AVR. The voltage control mode shall be as agreed under the Interconnector Operating Protocol. The Interconnector Operator may not disable or restrict the operating of the AVR except in accordance with OC.4.4.5.5, in which event the Interconnector Operator shall notify the TSO without undue delay.
- OC.4.4.5.5 The **Generator** or **Interconnector Operator** may only disable or restrict **AVR** action where:
  - (a) the action is essential for the safety of personnel and/or **Plant**; or
  - (b) in order to (acting in accordance with Good Industry Practice), secure the reliability of the Generation Unit or Interconnector; or
  - (c) the restriction is agreed between the **TSO** and the **Generator** or Interconnector Operator in advance.
- OC.4.4.5.6 In the event of a Generation Unit not operating under AVR, the TSO may impose restrictions on the operation of the Generation Unit in accordance with Prudent Utility Practice, to the extent necessary to provide for safe and secure operation of the Transmission System and operation within prescribed standards, including where necessary instructing the Generator to De-Energise the Generation Unit. Where the TSO takes such action, the TSO shall consult with the Generator as soon as practicable in order to determine a safe operating regime, which causes minimum restriction on the operation of the Generation Unit.

- OC.4.4.5.7 In the event of an **Interconnector** not operating under **AVR**, the **TSO** may impose restrictions on the operation of the **Interconnector** in accordance with **Prudent Utility Practice**, to the extent necessary to provide for safe and secure operation of the **Transmission System** and operation within prescribed standards, including where necessary instructing the **Interconnector Owner** to **De-Energise** the **Interconnector**.
- OC.4.4.5.8 The **TSO** shall, by means of **Dispatch Instructions** (as provided in SDC2), instruct **Generators** and **Interconnectors** to adjust the **Reactive Power** output of **Generation Units** and **Interconnectors**, and the relevant provisions of SDC2 shall apply.
- OC.4.4.5.9 Other facilities which shall be utilised by the **TSO**, where appropriate, in order to exercise **Voltage Control** shall include:
  - (a) switching in or out of dedicated Voltage Control facilities, such as capacitor banks and reactors;
  - (b) tap-changing on 400/220kV and 220/110kV **Transmission System** transformers;
  - (c) switching out of transmission HV cables (and occasionally transmission lines) in order to reduce the capacitive contribution of the Transmission System.
- OC.4.4.5.10 The extent to which **Voltage Control** mechanisms can be utilised may be limited by **System** conditions and other limitations of **Plant** and **Apparatus**.
- OC.4.4.5.11 On some occasions it shall be necessary to reschedule **Generation Units** or Interconnectors away from their desired output in order to achieve Transmission System Voltages at Connection Points within the levels specified in CC.8.2.
- OC.4.4.6 Emergency or Exceptional Voltage Control
- OC.4.4.6.1 Additional Voltage Control mechanisms may be utilised in the event of System Emergency Conditions. These shall include the following:

- OC.4.4.6.1.1 Generators may be requested to operate Generation Units at Mvar production or absorption levels outside their currently declared Technical Parameters. This will be done by agreement between the Generator and the TSO and Generators will not be penalised for non-compliance with this clause.
- OC.4.4.6.1.2 Changes in System Voltage can be achieved by instructing, as a form of Dispatch Instruction under OC.4.4, Generators to carry out a Simultaneous Tap Change. In the event that the TSO considers it necessary to carry out a Simultaneous Tap Change, Generators shall comply with the TSO's instructions.
- OC.4.4.6.1.3 **Demand** shedding may be used to prevent **Voltage** from contravening low **Voltage** limits (as further provided in OC.5) at **Connection Points**.
- OC.4.4.6.1.4 Interconnector Operators may be requested to operate Interconnectors at Mvar production or absorption levels outside their currently declared Technical Parameters. This will be done by agreement between the Interconnector Operator and the TSO and Interconnector Operators will not be penalised for non-compliance with this clause.

# OC.4.5 Network Control

#### OC.4.5.1 Introduction

- OC.4.5.1.1 In implementing the **Transmission Outage Programme**, in routine operation of the **Transmission System** and in responding to emergency and fault situations on the **Transmission System**, the **TSO** needs to carry out network switching and **Control Actions** which may from time to time affect the operations of **Users** or security of supply to **Users**.
- OC.4.5.1.2 The purpose of this OC.4.5 is to set out the actions which may be taken by the **TSO** in controlling the **Transmission System**, to set out the procedures whereby the **TSO** shall inform **Users**, where practicable, as to network **Control Actions** which will or may be likely to significantly affect a **User's** operations

and to identify where the **TSO** shall, insofar as reasonably practicable, consult with **Users** and take into consideration **Users'** reasonable requirements.

#### OC.4.5.2 Objective

- OC.4.5.2.1 The first objective of OC.4.5 is to identify the **Control Actions** that may be taken by the **TSO**, in order that the **TSO** may carry out maintenance and operation of the **Transmission System** and respond to **Transmission System** faults and emergencies.
- OC.4.5.2.2 The second objective of OC4.5 is to establish procedures whereby the **TSO** will:
  - (a) where practicable, inform Users who will be or are likely to be significantly affected by network Control Actions of relevant details of intended Control Actions and the effect of those Control Actions;
  - (b) consult with Users as appropriate in order to find out and take into consideration reasonable objections raised by Users so affected.

#### OC.4.5.3 Network Control Actions

- OC.4.5.3.1 The **TSO** needs to carry out operational network switching for a number of purposes, which will include:
  - (a) Outages of transmission Plant and Apparatus for the purposes of maintenance, new works, System Tests, protection testing and work by Users;
  - (b) Outages of transmission Plant due to suspected or potential faults and emergency repairs;
  - (c) Voltage Control;
  - (d) limiting power flows on the Transmission System to levels consistent with the capabilities of the transmission Plant and system security.
- OC.4.5.3.2 Additionally, network switching may occur automatically and without advance warning due to operation of protection equipment in isolating or clearing

faults on transmission **Plant** or on **User's Plant** which is connected to the **Transmission System.** 

OC.4.5.3.3 Automatic switching sequences may also be established to limit power flows or **Voltage** or **Frequency** deviations in the event of faults elsewhere on the **System**.

# OC.4.5.4 Notification to Users of Network Control

- OC.4.5.4.1 All network **Control Actions** carried out on the **Transmission System** have the potential in a given set of circumstances to affect **Users**. To attempt to inform **Users** of every **Control Action** is not practicable and in most cases the information will not be of value to the **User** as the **User** will not invoke any specific action as a result of receipt of the information.
- OC.4.5.4.2 Where it is identified and agreed, in accordance with the terms of the **Connection Agreements** and/ or Operating Agreements, between the **TSO** and a **User** that a specific **Control Action** (usually an action affecting the **Transmission System** configuration) has an **Operational Effect** on a **User** and that there is merit in notifying the **User** in advance of the **Control Action**, then the **TSO** will notify the **User** of the **Control Action** (if planned and where time permits), in accordance with any standing agreement that may be agreed with the **User**.
- OC.4.5.4.3 Typical examples of actions notified in accordance with OC.4.5.4.2 may include:
  - (a) notification to the DSO of a significant reduction in supply security to a Grid
     Supply Point, where the DSO may arrange standby feeding arrangements at lower Voltages;
  - (b) notification to a **Demand Customer** of a significant reduction in supply security to a **Grid Supply Point** (such as the **Outage** of one of two transmission connections) where the **Demand Customer** may arrange standby supply or run in-house **Generation**.

OC.4.5.4.4 Where it is necessary to carry out urgent switching or other network **Control Actions** resulting from a **System** condition or fault, then it may not be possible for the **TSO** to inform **Users** in advance of the switching or other **Control Actions**. The **TSO** shall endeavour to inform **Users** where time permits, but this shall not delay timely implementation of **Control Actions** as required. Where the **TSO** is unable to inform **Users** prior to the **Control Actions**, then the provisions of OC.4.5.5 shall apply.

#### OC.4.5.5 Control Under Fault or Emergency Conditions

- OC.4.5.5.1 In the event of a **System** fault or protection operation or other automatic operation, it will not be possible to invoke standing procedures in accordance with OC.4.5.4 prior to the occurrence of the **Control Action.**
- OC.4.5.5.2 In the circumstances referred to in OC.4.5.5.1 or in the event that the **TSO** needs to implement **Control Actions** urgently and without informing **Users**, then unless the situation is of a temporary nature and has been rectified to normal, the **TSO** shall inform **Users** of the occurrence of the actions.
- OC.4.5.5.3 The **TSO** shall also inform **Users** as to the likely duration of the condition and shall update this prognosis as appropriate. The **TSO** shall additionally inform **Users** when the condition has ended.
- OC.4.5.5.4 Emergency Assistance to and from External Systems will be detailed in the Interconnector Operating Protocol agreed between the Interconnector Operator, the TSO and the External System Operator, and shall include the following actions:
  - (a) An External System Operator may request that the TSO take any available action to increase the Active Power transferred into its External System, or reduce the Active Power transferred into the Transmission System. Such request must be met by the TSO providing this does not require a reduction of Demand on the Transmission System, or lead to a reduction in security on the Transmission System.

(b) An External System Operator may request that the TSO take any available action to increase the Active Power transferred into its External System, or reduce the Active Power transferred into the Transmission System. Such request must be met by the TSO providing this does not require a reduction of Demand on the Transmission System, or lead to a reduction in security on the Transmission System.

#### OC.4.5.6 De-Energisation of Users by the TSO

- OC.4.5.6.1 **De-Energisation** of a **User's Plant** and **Apparatus** may be effected at any time and from time to time if and to the extent that the **TSO** reasonably considers it necessary in order to provide for safe and secure operation of the **Transmission System** within prescribed standards, including in circumstances which otherwise cause or in the **TSO's** view are likely to cause one or more of the following:
  - (a) risk to the safety of personnel;
  - (b) risk to the stability of the **Transmission System**;
  - (c) risk to the Transmission System or any User's Plant or Apparatus;
  - (d) Transmission System elements to become loaded beyond their emergency limits;
  - Voltage excursions on the Transmission System outside the ranges specified in CC.8.3;
  - (f) any behaviour causing sustained operation outside the normal
     Transmission System operating Frequency range;
  - (g) any material breach of a Connection Condition; and
  - (h) any action or inaction which places the **TSO** in breach of any legal or statutory or regulatory obligation.

# OC.4.6 Operating Margin

#### OC.4.6.1 Introduction

OC.4.6.1.1 In order to cater for **Demand** forecast variations and to cover against a sudden loss of generation from the **Transmission System**, it is necessary that an **Operating Margin** is maintained through the **Operational Control Phase**.

- OC.4.6.1.2 The **Operating Margin** is the amount of reserve (provided by additional **Generation** or **Demand** reduction measures) available above that required to meet the expected **System Demand**. **Prudent Utility Practice** requires that a continuum of **Operating Margin** is provided to adequately limit, and then correct, the potential **Frequency** deviation which may occur due to a **Generation/Demand** imbalance.
- OC.4.6.1.3 OC.4.6 describes different types of reserve, as provided in a number of reserve time scales, which the **TSO** expect to utilise in the provision of the **Operating Margin**.
- OC.4.6.1.4 Minimum connection and operating requirements for **Generators** and **Interconnectors** are outlined in the **Connection Conditions**.
- OC.4.6.1.5 Procedures for the **Monitoring** and **Testing** of **Operating Reserve** are outlined under OC.10.

#### OC.4.6.2 Objective

OC.4.6.2.1 The objective of OC.4.6 is to describe the various time scales for which reserves are required, to describe the policy which will govern the dispatch of the reserves, and to describe the procedures for monitoring the performance of **Generation Units**, participating **Interconnectors** and other reserve providers.

## OC.4.6.3 Constituents of Operating Margin

OC.4.6.3.1 The **Operating Margin** consists of **Operating Reserve** (which is further broken down into 4 time-scales), **Replacement Reserve**, **Substitute Reserve** and **Contingency Reserve**.

#### OC.4.6.3.2 Operating Reserve

OC.4.6.3.2.1 Operating Reserve is additional MW output provided from Generation plant, reduction of Active Power transfer to an External System or increase of Active Power transfer to the Transmission System by Interconnectors, or reduction in Customer Demand, which must be realisable in real time operation to contain and correct any potential Transmission System Frequency deviation to an acceptable level.

- OC.4.6.3.2.2 **Operating Reserve** definitions relate to the time elapsed from the occurrence of an event which has initiated a **Frequency** disturbance. The definition of the time at which the event is deemed to have occurred and other associated definitions are addressed in OC.4.6.4.
- OC.4.6.3.3 Primary Operating Reserve (POR)
- OC.4.6.3.3.1 **Primary Operating Reserve (POR)** is the additional MW output (and/or reduction in **Demand**) required at the **Frequency** nadir (minimum), compared to the pre-incident output (or **Demand**), which is fully available and sustainable between 5 seconds and 15 seconds after the **Event** and where the nadir occurs between 5 and 15 seconds after the **Event**.
- OC.4.6.3.3.2 If the actual **Frequency** nadir occurs less than 5 seconds or more than 15 seconds after the **Event**, then for the purpose of **POR** monitoring (in accordance with OC.10.4.4) the nadir is deemed to be the lowest Frequency which did occur between 5 and 15 seconds after the **Event**.
- OC.4.6.3.4 Secondary Operating Reserve (SOR)
- OC.4.6.3.4.1 Secondary Operating Reserve (SOR) is the additional MW output (and/or reduction in **Demand**) required compared to the pre-incident output (or **Demand**), which is fully available and sustainable over the period from 15 to 90 seconds following an **Event**.
- OC.4.6.3.5 Tertiary Operating Reserve
- OC.4.6.3.5.1 **Tertiary Operating Reserve band 1 (TOR1)** is the additional MW output (and/or reduction in **Demand**) required compared to the pre-incident output (or **Demand**) which is fully available and sustainable over the period from 90 seconds to 5 minutes following an **Event**.
- OC.4.6.3.5.2 **Tertiary Operating Reserve band 2 (TOR2)** is the additional MW output (and/or reduction in **Demand**) required compared to the pre-incident output (or **Demand**) which is fully available and sustainable over the period from 5 minutes to 20 minutes following an **Event**.

- OC.4.6.3.6 **Replacement Reserve** is the additional MW output (and/or reduction in **Demand**) required compared to the pre-incident output (or **Demand**) which is fully available and sustainable over the period from 20 minutes to 4 hours following an **Event.**
- OC.4.6.3.7 **Substitute Reserve** is the additional MW output (and/or reduction in **Demand**) required compared to the pre-incident output (or **Demand**) which is fully available and sustainable over the period from 4 hours to 24 hours following an **Event**.
- OC.4.6.3.8 **Contingency Reserve** is the margin of Availability over forecast **Demand**, which is required in the period from 24 hours ahead down to real time, to cover against uncertainties in availability of generation capacity and also against weather forecast and **Demand** forecast errors. **Contingency Reserve** is provided by generation plant which is not required to be **Synchronised**, but which must be held available to **Synchronise** within a limited time scale.

#### OC.4.6.4 Definitions Associated with an Operating Reserve Incident

- OC.4.6.4.1 Following the occurrence of a significant **Frequency** disturbance, the **TSO** shall monitor, in accordance with OC.10.4, and analyse the adequacy of the provision of **Operating Reserve**. For the purposes of this performance analysis, the following criteria have been defined.
- OC.4.6.4.2 A significant **Frequency** disturbance event is deemed to have occurred if the **Frequency** falls below 49.70 Hz.
- OC.4.6.4.3 The time of occurrence of the event is defined as the last time at which the **Frequency** fell through the level of 49.80 Hz, prior to the occurrence of the **Frequency** nadir.
- OC.4.6.4.4 The pre-incident **Frequency** value is the average **Transmission System Frequency** between 60 and 30 seconds prior to the **Event.**
- OC.4.6.4.5 The pre-incident value of MW output of a **Generation Unit**, MW **Demand** of a **Customer**, is the appropriate MW value averaged over the period between 60 and 30 seconds prior to the **Event**.

## OC.4.6.5 Operating Margin Policy

#### OC.4.6.5.1 Contingency Reserve

- OC.4.6.5.1.1 The **TSO** shall determine the amount of **Contingency Reserve** required for each time scale up to 24 hours ahead, taking due consideration of relevant factors, including but not limited to the following:
  - (a) historically **Availability Factor** and reliability performance of individual **Generation Units;**
  - (b) notified risk to the reliability of individual Generation Units; and
  - (c) **Demand** forecasting uncertainties; and
  - (d) status and availability of Interconnectors.

## OC.4.6.5.2 Operating Reserve

- OC.4.6.5.2.1 The **TSO** shall determine the amount of **Primary Operating Reserve**, **Secondary Operating Reserve**, **Tertiary Operating Reserve** and **Replacement Reserve** to be carried at any time to ensure system security. This will not be constrained by the **Trading and Settlement Rules.** Due consideration will be taken of relevant factors, including but not limited to the following:
  - (a) the relevant **TSO** operating policy in existence at that time;
  - (b) the extent to which Customer disconnections allowed under the relevant standard have already occurred within the then relevant period;
  - (c) the elapsed time since the last **Customer** disconnection incident;
  - (d) particular events of national or widespread significance, which may justify provision of additional **Operating Reserve**;
  - (e) the cost of providing **Operating Reserve** at any point in time;
  - (f) the magnitude and number of the largest generation infeeds to the Transmission System at that time, including infeeds over External Interconnections and also over single transmission feeders within the Transmission System and also the amount of Generation that could be lost following a single Contingency;

- (g) ambient weather conditions, insofar as they may affect (directly or indirectly) Generation Unit and/or Transmission System reliability;
- (h) the predicted Frequency drop on loss of the largest infeed as may be determined through simulation using a dynamic model of the Power System;
- (i) constraints imposed by agreements in place with Externally Interconnected Parties;
- (j) uncertainty in future **Generation** output.
- OC.4.6.5.3 The **TSO** shall keep records of significant alterations to the **Operating Reserve** policy so determined under OC.4.6.6.2.

## OC.4.6.6 Responsibilities of the TSO in Respect of Operating Reserve

- OC.4.6.6.1 The **TSO** shall in accordance with **Prudent Utility Practice** make reasonable endeavours to **Dispatch** generation and otherwise operate the system in compliance with the **TSO's** determinations as to **Operating Margin** policies made from time to time.
- OC.4.6.6.2 The **TSO's** sole responsibility, having met its obligations under the preceding provisions of OC.4.6, shall be to, acting in accordance with **Prudent Utility Practice**, **Dispatch** such **Generation Units** as are available required to meet:
  - (a) **System Demand**; and
  - (b) the level of **Operating Reserve** required by the **TSO's** then **Operating Reserve** policies.

# OC.4.7 Black Start

- OC.4.7.1 Introduction
- OC.4.7.1.1 In order to recover the **Transmission System** from a **Partial Shutdown** or **Total Shutdown**, it is necessary to have certain **Power Stations** ("**Black Start Stations**") available which have the ability for at least one of its **Generation**

Units to Start-Up from Shutdown and to energise a part of the Total System, or be Synchronised to the System, upon instruction from the TSO, without an external electrical power supply as detailed in the Interconnector Operating Protocol agreed between the Interconnector Operator, the TSO and the External System Operator.

#### OC.4.7.2 Objective

OC.4.7.2.1 The objectives of OC.4.7 is to set out the requirements of **Black Start Stations** to enable recovery of the **Transmission System** from a **Partial Shutdown** or **Total Shutdown**:

#### OC.4.7.3 Requirements of Black Start Stations

- OC.4.7.3.1 In order that adequate security is maintained on the **Transmission System** at all times, **Black Start Stations** are required to comply with the provisions of OC.4.7.3.
- OC.4.7.3.2 Other than as permitted in accordance with OC.4.7.3.3:

During a **Black Start** situation, instructions in relation to **Black Start Stations** will be in the format required for instructions to **Units** in **SDC1** and **SDC2**, and will recognise any differing **Black Start** operational capabilities (however termed) set out in the relevant **Ancillary Services Agreement** in preference to the declared operational capability as registered pursuant to **SDC1** (or as amended from time to time in accordance with **SDC1** and **SDC2**). For the purposes of these instructions the **Black Start** will be an emergency circumstance. For **Power Stations** or **Interconnectors** which are not **Black Start Stations**, **Dispatch** instructions will recognise each **Unit's** declared operational capability as registered pursuant to **SDC1** (or as amended from time to time in accordance with **SDC1** and **SDC2**).

OC.4.7.3.3 If during the **Demand** restoration process any **Generation Unit** or **Interconnector** that is part of a **Black Start Station** cannot, because of the **Demand** being experienced, keep within its safe operating parameters, the **Generator** or Interconnector Operator shall inform the TSO. The TSO will, where possible, either instruct Demand to be altered or will re-configure the Transmission System or will instruct a User to re-configure its System in order to alleviate the problem being experienced by the Generator or Interconnector Operator. However, the TSO accepts that any decision to keep a Unit operating, if outside its safe operating parameters, is one for the Generator or Interconnector Operator concerned alone and accepts that the Generator or Interconnector Operator may change generation on that Unit if it believes it is necessary for safety reasons (whether relating to personnel or Plant and/or Apparatus). If such a change is made without prior notice, then the Generator or Interconnector Operator shall inform the TSO as soon as reasonably practical.

# OC.5 Demand Control

# OC.5.1 Introduction

- OC.5.1.1 OC5 is concerned with the provisions to be made by the **DSO** and, by the **TSO** in relation to **Demand Customers**, to permit the reduction of **Demand** in the event of available **Generating Plant** and transfers from **External Interconnections** being insufficient to meet **Demand**, or in the event of breakdown or operating problems such as in respect of **System Frequency**, **Voltage** levels or **Thermal Overloads** on any part of the **Transmission System**. The **Demand Control** arrangements may also apply where there are insufficient **Generating Plant** or transfers to meet **Demand** in all or any part of the **Other Transmission System** and/or in the event of problems on the **Other Transmission System** in circumstances where the **TSO** is able to assist the **Other TSO** and where doing so would not have a detrimental effect on the security of the **Transmission System**.
- OC.5.1.2 OC5 deals with the following:
  - (a) **Customer Demand** reduction instructed by the **TSO**;
  - (b) **Customer Demand** reconnection instructed by the **TSO**;
  - (c) Automatic low Frequency Demand Disconnection;
  - (d) Automatic low Voltage Demand Disconnection; and
  - (e) Automatic Frequency Restoration.

The term "**Demand Control**" is used to describe any or all of these methods of achieving **Demand** reduction, or in the case of (b) and (e), a **Demand** increase.

- OC.5.1.3 The procedures set out in OC.5 includes a system of **Alerts**, issued to **Users**, to give advance notice of **Demand Control** that may be required by the **TSO** under this **OC5**.
- OC.5.1.4 Data relating to **Demand Control** shall include details relating to MW.
- OC.5.1.5 **Demand Control** shall not, so far as is possible, be exercised in respect of **Priority Customers**. OC.5, therefore, applies subject to this exclusion.

OC.5.1.6 **Demand Control** shall be exercised equitably in respect of **Customers** connected to the **Distribution System** and **Demand Customers**.

#### OC.5.1.7 Explanation

- OC.5.1.7.1 Demand Control is exercised through operation of the Distribution System or of the Transmission System (in the case of Demand Customers). Demand Control in relates to the physical organisation of the total System, and not to any contractual arrangements that may exist. Where Demand Control is needed in a particular area, the TSO would not know which Supplier to contact and (even if it were to) the resulting Demand Control implemented, because of the diversity of contracts, may not produce the required result.
- OC.5.1.7.2 Therefore, in most instances of **Demand Control**, **Demand Control** will be exercisable by the **DSO**. **Suppliers** should note, however, that, although implementation of **Demand Control** in respect of their **Customers** may not be exercisable by them, their **Customers** may be affected by **Demand Control**.

# OC.5.2 Objective

OC.5.2.1 The overall objective of OC.5 is to require the provision of facilities by **DSO** and **Demand Customers** to enable the **TSO** to achieve the reduction in **Demand** that will either avoid or relieve operating problems on the **Transmission System**, and subject to the circumstances set out in OC.5.1.1, the **Other Transmission System**, in whole or in part, and thereby to enable the **TSO** to instruct **Demand Control** in a manner that does not unduly discriminate against, or unduly prefer, any one or any group of **Users**. It is also to ensure that the **TSO** is notified of any **Demand Control** utilised by **Users** other than following an instruction from the **TSO**.

# OC.5.3 Scope

OC.5 applies to the TSO and to all Users, which term in this OC.5 means:

- (a) The Distribution System Operator;
- (b) Suppliers; and
- (c) **Demand Customers**.
- OC.5.4 Procedure for the Implementation of Demand Control on the Instructions of the TSO
- OC.5.4.1 Where a shortage of generation capacity or other reason for the exercising of **Demand Control** is foreseen, the **TSO** will alert the **DSO** by means of a **Demand Control Alert**.
- OC.5.4.2 Where reasonable notice of the need for **Demand Control** is available, the **TSO** will initiate the **Rota Load Shedding Plan** and **Demand Control** will be implemented in accordance with the **Rota Load Shedding Plan**. The **TSO** and the **DSO** will each be responsible for maintaining procedures and will co-operate with each other so as to provide for the implementation of **Demand Control** in accordance with the **Rota Load Shedding Plan**.
- OC.5.4.3 Where the requirement for **Demand Control** arises at short notice, it may be necessary for practical reasons to implement **Demand Control** other than in accordance with the **Rota Load Shedding Plan**. The **TSO** and the **DSO** will each maintain procedures (and will co-operate in forming such procedures) to provide that **Demand Control** can be exercised rapidly when required, in accordance with the **TSO's** instructions.
- OC.5.4.4 In the event of **Demand Control** being exercised other than in accordance with the **Rota Load Shedding Plan** (due to reasons of short notice or otherwise), and if the **Demand Control** is expected to be sustained, then the **TSO** will arrange for the **Rota Load Shedding Plan** to be implemented as soon as practicable. The **TSO** may instruct certain modifications in the application of **the Rota Load Shedding Plan** to provide for those **Customers** which have been subject to shedding in the initial phase prior to the initiation of **Planned Rota Load Shedding**.

- OC.5.4.5 The **Rota Load Shedding Plan** provides for disconnection and reconnection of defined blocks of demand on instruction from the **TSO**, In this way the **TSO** can instruct the necessary level of disconnection (and reconnection) required by the circumstances at the time. The **DSO** shall comply with instructions issued by the **TSO** in accordance with the **Rota Load Shedding Plan**, and in particular will not reconnect **Demand** other than in accordance with the **TSO's** instructions.
- OC.5.4.6 The **Rota Load Shedding Plan** shall also provide for the issue of information to **Customers** through the media of the expected duration of **Demand Control**, and which blocks of **Customers** are at most risk of disconnection at which times.
- OC.5.4.7 Both the **TSO** and the **DSO** will maintain records of the disconnection and reconnection of customers exercised under the **Rota Load Shedding Plan**, (and, for the avoidance of doubt, of any **Demand Control** exercised in accordance with OC.5.4.3).

# OC.5.5 Automatic Low Frequency Demand Disconnection

- OC.5.5.1 The **DSO** shall make arrangements that will enable automatic low **Frequency Disconnection** of a percentage of its total peak **Customer Demand** (based on **Annual SLR Conditions**) as specified by the **TSO**, in order to seek to limit the consequences of a major loss of **Generation** or an event on the total system which leaves part of the total system with a **Generation** deficit, provided that, so far as possible, **Demand** of **Generation Units** which is required to enable the **Generation Units** to start-up shall not be subject to automatic low **Frequency Disconnection**. The **TSO** retains the right to specify the **Frequency** settings on percentages of **Demand** subject to automatic low **Frequency Disconnection**.
- OC.5.5.2 The **Demand** of the **DSO** which is subject to automatic low **Frequency Disconnection** will be split into discrete MW blocks. The number, location, size and the associated low **Frequency** settings of these blocks, will be as specified by the **TSO** by week 39 in every three calendars year following discussion with the **DSO** and will be reviewed every three years by the **TSO**. The distribution of the blocks will be such as to give

reasonably uniform **Disconnection** within the **Distribution System** across all **Grid Supply Points**.

OC.5.5.3 Demand Customers shall provide automatic low Frequency Disconnection, which will be split into discrete blocks. The number and size of blocks and the associated low Frequency settings will be as specified by the TSO by week 39 every three calendar years following discussion with the Demand Customers. In the case of a User, it is not necessary for it to provide automatic low Frequency Disconnection under OC.5.5 if it is providing low Frequency Disconnection at a higher level of Frequency as an Ancillary Service.

## OC.5.6 Automatic Frequency Restoration

- OC.5.6.1 The **DSO** will make arrangements that will enable automatic **Frequency** restoration of **Demand** that is subject to automatic low **Frequency Demand Disconnection**. The **TSO** retain the right to specify the **Frequency** settings on blocks of **Demand** subject to automatic **Frequency** restoration.
- OC.5.6.2 Once an automatic low **Frequency Demand Disconnection** has taken place, the **DSO** shall not reconnect **Customers** until instructed by the **TSO**, or otherwise in accordance with agreed procedures.
- OC.5.6.3 Where conditions are such that, following automatic low Frequency Demand Disconnection, it is not possible to restore a large proportion of the total Demand so Disconnected within a reasonable period of time, the TSO may instruct the DSO to implement additional Demand Disconnection manually, and restore an equivalent amount of the Demand that had been Disconnected automatically. The purpose of such action is to ensure that a subsequent fall in Frequency will again be contained by the operation of automatic low Frequency Demand Disconnection. If the requirement for Demand Control is expected to continue for a sustained period of time, then the TSO will initiate the implementation of the Rota Load Shedding Plan in accordance with OC.5.4.
- OC.5.6.4 Once the **Frequency** has recovered, the **DSO** will abide by the instructions of the **TSO** with regard to reconnection, and/or shall implement agreed procedures for **Demand** reconnection, without undue delay.

## OC.5.7 Automatic Low Voltage Demand Disconnection

- OC.5.7.1 The **TSO** may from time to time determine that there is a requirement for automatic low **Voltage Disconnection** of **Customer Demand**, in order to limit the consequences of the loss of a **Generation Unit(s)**, or an event on the total **System**, which otherwise would result in part of the total **System** with **Voltages** outside the levels specified in CC.8.3.
- OC.5.7.2 The **TSO** may exercise the required **Automatic Low Voltage Demand Disconnection** (**ALVDD**) at the level of the **Transmission System**. However, depending on the extent of **ALVDD** required, and in order not to disconnect more **Customer Demand** than reasonably required in response to a specific incident or set or circumstances, it may be preferable that **ALVDD** is carried out at the level of the **Distribution System**.
- OC.5.7.3 On request by the **TSO**, the **DSO** will co-operate with the **TSO** as to the design and implementation of **ALVDD** at locations on the **Distribution System**, where the requirement is indicated in accordance with OC.5.7.2. The **TSO** will retain full control over the enabling/disabling of the **ALVDD**, and the **Voltage** settings at which **ALVDD** will be initiated in each circumstance. In general, the settings will be specified by the **TSO** by week 39 in every three calendars year following discussion with the **DSO**, but the specification of settings may be altered by the **TSO** at other times to address specific circumstances pertaining at the time. The **DSO** shall respond to any change in specification by altering the settings without undue delay.

# OC.6 Small Scale Generator Conditions

# OC.6.1 Introduction

- OC.6.1.1 Secure operation of an electricity system requires that maintenance of production facilities (Generation Units) should be carried out in a timely and orderly fashion. This is essential in order to enable the TSO to fulfil its obligations relating to operation of the Transmission System, and to enable Generators to plan their Outages in an orderly way with due regard to Plant requirements and resource limitations.
- OC.6.1.2 OC6 formalises the mechanism for scheduling outages of **Users** specified in OC.6.3.
- OC.6.1.3 In implementing the **Transmission Outage Programme**, in routine operation of the **Transmission System** and in responding to emergency and fault situations on the **Transmission System**, the **TSO** needs to carry out network switching and **Control Actions** which may from time to time affect the operations of **Users** or security of supply to **Users**.
- OC.6.1.4 OC6 sets out the actions which may be taken by the **TSO** in controlling the **Transmission System**, to set out the procedures whereby the **TSO** shall inform **Users**, where practicable, as to network **Control Actions** which will or may be likely to significantly affect a **User's** operations and to identify where the **TSO** shall, insofar as reasonably practicable, consult with **Users** and take into consideration **Users'** reasonable requirements.
- OC.6.1.5 In addition to 0, the Users specified in OC.6.3 are required to comply with:
  - Planning Conditions
  - General Conditions
  - Connection Conditions (Excluding CC.7.3.1.1(k) to (v) inclusive, CC.7.3.5, CC.7.3.7, CC.7.3.8, CC.12.2 (e) to (g) inclusive)
  - OC.7
  - OC.10 (excluding sections OC.10.5.6; OC.10.7.1; OC.10.7.2; OC.10.7.3; OC.10.7.4; OC.10.7.6), and
  - OC.11

## OC.6.2 Objective

- OC.6.2.1 0 ensures the development and implementation of a Generation Outage Programme, consistent with security of supply and requirements for the secure and economic operation of the Transmission System, and with the needs of Small Scale Generators in respect of Plant maintenance requirements and resource limitations.
- OC.6.2.2 In order to achieve this objective, 0 defines the procedure for formal notification of **Outages** by **Generators** to the **TSO**.
- OC.6.2.3 0 identifies the **Control Actions** that may be taken by the **TSO**, in order that the **TSO** may carry out maintenance and operation of the **Transmission System** and respond to **Transmission System** faults and emergencies.
- OC.6.2.4 0 establishes procedures whereby the **TSO** will:
  - (a) where practicable, inform Users, who will be or are likely to be significantly affected by network Control Actions, of relevant details of intended Control Actions and the effect of those Control Actions;
  - (b) consult with Users, as appropriate, in order to find out and take into consideration reasonable objections raised by Users so affected.

# **OC.6.3 Scope**

OC6 applies to the **TSO**, and to the following **Users**:

- (a) **Generators** with **Registered Capacity** of 2MW or less (on a single **Site**);
- (b) Generators with Registered Capacity less than 5MW (on a single Site) and greater than 2MW (on a single Site) where the TSO consider that the Generator is in a location that does not make its operation particularly critical to the operation of the transmission system.

#### OC.6.4 Outage Scheduling

- OC.6.4.1 Throughout 0 the current year shall be defined as year 0, the following year as year 1, and so on.
- OC.6.4.2 By the end of March in year 0, Small Scale Generators shall submit to the TSO, for each Generation Site, plant Capacity Available for each week for year 1 for inclusion in the Committed Outage Programme (COP) for year 1 and estimated weekly Load Factors for year 1. Generators shall specify the start date and time and the duration of each Outage. This information shall be supplied on a Unit basis if so requested by the TSO.
- OC.6.4.3 In scheduling **Outages**, and in relation to all other matters under 0, the **Generator** must act reasonably and in good faith. Without limitation to such obligation, each **Generator** should act in accordance with **Good Industry Practice** in planning their **Outages** and, in particular, so as to avoid a situation arising in which a **Generator** is obliged to schedule an **Outage** by reason of obligations imposed upon the **Generator** by statute as a consequence of the **Generator** not having planned in accordance with **Good Industry Practice**, for example, by not having planned sufficiently in advance its **Outages** for any statutory time limit.
- OC.6.4.4 Any concerns which the **TSO** may have with the **Generation Outage Programme** must be notified to all **Generators** by the end of June in year 0.
- OC.6.4.5 Between the end of June in year 0 and the end of September in year 0 any concerns raised by the **TSO** shall be notified to **Generators**. The **TSO** will facilitate discussions by **Generators** to find a resolution.

# OC.6.5 Changes to the Committed Outage Programme within the Implementation Year

A request for a change to an **Outage** included in the **Committed Outage Programme** or an additional **Outage** may be initiated either by the **TSO** or by a **Generator** at any time.

#### OC.6.5.1 Request Initiated by the TSO

- OC.6.5.1.1 The **TSO** may at any time request from a **Generator** a change in the timing or duration of any **Outage** of one of the **Generator's Generation Units** in the **Committed Outage Programme**.
- OC.6.5.1.2 A Generator may respond by either declining the request, or by agreeing to the request (in which case the COP shall be deemed to be amended accordingly).
   Generators shall make every reasonable effort to co-operate with changes requested by the TSO.
- OC.6.5.1.3 If a **Generator** responds by agreeing to the request subject to specific conditions, the **TSO** may respond by either confirming agreement to those conditions, in which case the conditions specified by the **Generator** shall be deemed to have been accepted, or by declining agreement. Where the **TSO** agrees to the conditions the **COP** shall be deemed to be amended accordingly. Where the **TSO** declines to agree to the conditions, then the **TSO** may negotiate with the **Generator** as to revised or alternative conditions, which would be acceptable.

# OC.6.5.2 **Outage change Initiated by a Generator**

- OC.6.5.2.1 Generators may at any time request the TSO for a change in the timing or duration of any Outage of one of the Generator's Generation Units in the Committed Outage Programme.
- OC.6.5.2.2 Where a change to the **COP** is proposed by a **Generator**, the **TSO** shall evaluate whether the change is likely to have a detrimental effect on the secure operation of the **Transmission System**. This shall be done within a reasonable time frame, taking into consideration the extent of the change and the timing of the **Outage**. The **Generator** shall be advised by the **TSO** whether or not the change has been accepted.
- OC.6.5.2.3 Where, in accordance with OC6.5, the request is not likely to have a detrimental effect on the secure operation of the **Transmission System** then the **TSO** shall amend the **COP** accordingly.

OC.6.5.2.4 Where, in accordance with OC.6.5, the **Outage** change is likely to have a detrimental effect on requirements for the secure operation of the **Transmission System** then the **TSO** shall not amend the **COP**. The **TSO** shall contact the **Generator** requesting the change to establish that it is still required.

## OC.6.6 Other Information to be Notified

- OC.6.6.1 Generators will inform the TSO of any proposed maintenance, in addition to Outages, which will, or is likely to, affect the capability of the Generation Unit to provide Ancillary Services.
- OC.6.6.2 The **TSO** may, where security of supply or the secure operation of **the Transmission System** would be at risk, request alterations to maintenance notified under Section OC.6.6.1. The **TSO** shall make reasonable endeavours to give as much notice as possible for such requests for alterations. Where the **TSO** makes such a request, the **Generator** shall use reasonable endeavours to comply with the request in arriving at the **Generator's** final programme for such maintenance.

# OC.6.7 Network Control

# OC.6.7.1 Network Control Actions

- OC.6.7.1.1 The **TSO** needs to carry out operational network switching for a number of purposes, which will include:
  - (a) Outages of transmission Plant and Apparatus for the purposes of maintenance, new works, System Tests, protection testing and work by Users;
  - (b) Outages of transmission Plant due to suspected or potential faults and emergency repairs;
  - (c) Voltage Control;
  - (d) limiting power flows on the Transmission System to levels consistent with the capabilities of the transmission Plant and system security;
  - (e) High Speed reclosing is a feature of the network.

OC.6.7.1.2 Additionally, network switching may occur automatically and without advance warning

due to operation of protection equipment in isolating or clearing faults on transmission **Plant** or on **User's Plant** which is connected to the **Transmission System**.

OC.6.7.1.3 Automatic switching sequences may also be established to limit power flows or **Voltage** or **Frequency** deviations in the event of faults elsewhere on the **System**.

## OC.6.7.2 Notification to Users of Network Control

- OC.6.7.2.1 All network **Control Actions** carried out on the **Transmission System** have the potential in a given set of circumstances to affect **Users**. To attempt to inform **Users** of every **Control Action** is not practicable and in most cases the information will not be of value to the **User** as the **User** will not invoke any specific action as a result of receipt of the information.
- OC.6.7.2.2 Where it is identified and agreed, in accordance with the terms of the Connection Agreements and/ or Operating Agreements, between the **TSO** and a **User** that a specific **Control Action** (usually an action affecting the **Transmission System** configuration) has an **Operational Effect** on a **User** and that there is merit in notifying the **User** in advance of the **Control Action**, then the **TSO** will notify the **User** of the **Control Action** (if planned and where time permits), in accordance with any standing agreement that may be agreed with the **User**.
- OC.6.7.2.3 Where it is necessary to carry out urgent switching or other network **Control Actions** resulting from a **System** condition or fault, then it may not be possible for the **TSO** to inform **Users** in advance of the switching or other **Control Actions**. The **TSO** shall endeavour to inform **Users** where time permits, but this shall not delay timely implementation of **Control Actions** as required. Where the **TSO** is unable to inform **Users** prior to the **Control Actions**, then the provisions of OC.6.7.3 shall apply.

#### OC.6.7.3 Control Under Fault or Emergency Conditions

OC.6.7.3.1 In the event of a **System** fault or protection operation or other automatic operation, it will not be possible to invoke standing procedures in accordance with OC.6.7.2 prior to the occurrence of the **Control Action.** 

- OC.6.7.3.2 In the circumstances referred to in OC.6.7.3.1 or in the event that the **TSO** needs to implement **Control Actions** urgently and without informing **Users**, then unless the situation is of a temporary nature and has been rectified to normal, the **TSO** shall inform **Users** of the occurrence of the actions.
- OC.6.7.3.3 The **TSO** shall also inform **Users** as to the likely duration of the condition and shall update this prognosis as appropriate. The **TSO** shall additionally inform **Users** when the condition has ended.

#### OC.6.7.4 De-Energisation of Users by the TSO

- OC.6.7.4.1 **De-Energisation** of a **User's Plant** and **Apparatus** may be effected at any time and from time to time if and to the extent that the **TSO** reasonably considers it necessary in order to provide for safe and secure operation of the **Transmission System** within prescribed standards, including in circumstances which otherwise cause or in the **TSO's** view are likely to cause one or more of the following:
  - (a) risk to the safety of personnel;
  - (b) risk to the stability of the **Transmission System**;
  - (c) risk to the Transmission System or any User's Plant or Apparatus;
  - (d) Transmission System elements to become loaded beyond their emergency limits;
  - Voltage excursions on the Transmission System outside the ranges specified in CC.8.3;
  - (f) any behaviour causing sustained operation outside the normal TransmissionSystem operating Frequency range;
  - (g) any material breach of a **Connection Condition**; and
  - (h) any action or inaction which places the **TSO** in breach of any legal or statutory or regulatory obligation.
- OC.6.7.4.2 Instructions to **De-energise** a **User** connected to the distribution system under the terms of OC.6.7.4.1 may be issued by the **TSO** to the **DSO** who shall act on the instruction without undue delay.

# OC.7 Information Exchange

## OC.7.1 Notification of Events and Operations

## OC.7.1.1 Introduction

- OC.7.1.1.1 OC.7.1 sets out the requirements for the exchange of information in relation to **Operations** and/or **Events** on the **Power System** which have had (or may have had) or will have (or may have) an **Operational Effect**, and thereby have become:
  - (a) Significant System Incidents on the Transmission System in the case of an
     Operation and/or Event occurring on a User System; and
  - (b) Significant System Incidents on a User System in the case of an Operation and/or Event occurring on the Transmission System.
  - (c) significant system incidents on the Other Transmission System in the caseof an Operation and/or Event occurring on the Transmission System.
- OC.7.1.1.2 The requirement to notify in OC.7.1 relates generally to notification of what is expected to happen or what has happened. However, as OC.7.1 provides, when an **Event** or **Operation** has occurred on the **Transmission System** which itself has been caused by (or exacerbated by) an **Operation** or **Event** on a **User System**, the **TSO** in reporting the **Event** or **Operation** on the **Transmission System** to another **User** or **External System Operator** as the case may be, can pass on what it has been told by the **User** under OC.7.1 in relation to the **Operation** or **Event** on the first **User System**.
- OC.7.1.1.3 Much of the information that the **TSO** will require to analyse **Significant System Incidents** may be available by means of:
  - (a) the **TSO's SCADA** system(s) and other data collection systems; and
  - (b) information provided to the TSO by Users under other codes of the GridCode.
- OC.7.1.1.4 In order to ensure that the **TSO** receives as rapidly as practicable the information it needs to operate the **Transmission System**, and to ensure that no information is

missed, this OC.7.1 sets out a comprehensive set of requirements. It also provides for information to be submitted to **Users**, in order to assist **Users**. Where an obligation exists elsewhere to provide data to the **TSO** the **User** is not required also to provide data under OC.7.1.

## OC.7.1.2 *Objective*

The objective of OC.7.1 is to provide for the exchange of information so that the implications of an **Operation** and/or **Event** can be considered, possible risks arising from it can be assessed and appropriate action taken by the relevant party in order to assist in maintaining the integrity of the **Power System**.

## OC.7.1.3 *Scope*

- OC.7.1.3.1 OC.7.1 applies to the **TSO** and to **Users**, which term in OC7.1 means:
  - (a) **Generators**;
  - (b) Interconnector Operators;
  - (c) **Dispatchable PPMs**;
  - (d) **Distribution System Operator**;
  - (e) **Demand Customers**; and
  - (f) Demand Side Unit Operators.
- OC.7.1.3.2 It is required that as part of the fulfilment of obligations under this OC.7.1, both the **TSO** and **Users** will take into account information they have received from third parties in determining whether an **Operation** or an **Event** is to occur, or has, occurred.

## OC.7.1.4 *Requirement to Notify*

OC.7.1.4.1 While in no way limiting the general requirements to notify set out in this OC.7.1, the **TSO** and **Users** shall agree to review from time to time which **Operations** and **Events** are required to be notified.

# OC.7.1.5 Notification of an Operation

- OC.7.1.5.1 The **TSO** will notify the **User**, (save in circumstances as provided for under
   OC.7.1.5.2), of **Operations** on the **Transmission System**, which will have (or may have), in the reasonable opinion of the **TSO**, an **Operational Effect** on the **User**.
   Except as agreed with the **TSO**, the **User** may not pass on the information contained in a notification to it from the **TSO** under this OC.7.1 to any other person.
- OC.7.1.5.2 In circumstances where it is not possible to invoke standing procedures prior to the occurrence of an **Operation** or in the event that the **TSO** needs to implement
   **Operations** urgently and without informing the **User** then, unless the situation is of a temporary nature, i.e. less than 15 minutes, and has been rectified to normal, the **TSO** shall inform the **User** of the occurrence of the **Operations**, without undue delay. The **TSO** shall also inform the **User** as to the likely duration of the condition and shall update this prognosis as appropriate. The **TSO** shall additionally inform the **User** as soon as reasonably possible when the condition has ended.
- OC.7.1.5.3 The User will notify the TSO of Operations on the User's System which will have (or may have) an Operational Effect on the Transmission System. The TSO may use this information in notifying any other User(s) on whose System(s) the operation will have, or may have, in the reasonable opinion of the TSO, an Operational Effect, in accordance with this OC.7.1.

## OC.7.1.6 Form of Notification of an Operation

- OC.7.1.6.1 A notification (and any response to any questions asked under OC.7.1.6.3), of an **Operation** shall be of sufficient detail to describe the **Operation** (although it need not state the cause) and to enable the recipient of the notification reasonably to consider and assess the implications and risks arising.
- OC.7.1.6.2 A notification will include the name (and job title) of the individual reporting the **Operation** on behalf of the **TSO** or the **User**, as the case may be.
- OC.7.1.6.3 The recipient of the notification may ask questions to clarify the notification and the giver of the notification will, insofar as he is able, answer any questions raised.

### OC.7.1.7 *Recording of an Operation*

The notification shall be given **In Writing** whenever possible before carrying out an **Operation**. If there is insufficient time before the **Operation** is scheduled to take place for notification to be given **In Writing**, then the notification shall be given orally and if either the **User** or the **TSO** requests, it shall be submitted **In Writing**.

#### OC.7.1.8 Timing in Respect of an Operation

A notification under Section OC.7.1.5 will be given as far in advance as possible and in any event shall be given in sufficient time as will reasonably allow the recipient to consider and assess the implications and risks arising.

#### OC.7.1.9 Notification of Events

- OC.7.1.9.1 The **TSO** will notify the **User** of **Events** which in the reasonable opinion of the **TSO** are **Significant System Incidents** having an **Operational Effect** on the **User**. Except as agreed with the **TSO**, the **User** may not pass on the information contained in a notification to it from the **TSO** to any other person.
- OC.7.1.9.2 The User will notify the TSO of Events which may be Significant System Incidents affecting the Transmission System. The TSO may use this information in notifying any other Users on whose System(s) the Significant System Event will have, or may have, in the reasonable opinion of the TSO, an Operational Effect.
- OC.7.1.9.3 The **TSO** will notify the **Other TSO** of **Events** which in the reasonable opinion of the **TSO** are **Significant System Incidents** having an Operational Effect on the **Other TSO**. Except as agreed with the **TSO**, the **Other TSO** may not pass on the information contained in a notification to it from the **TSO** to any other person.

### OC.7.1.10 Form of Notification of an Event

OC.7.1.10.1 A notification (and any response to any questions asked under Section OC.7.1.10.3) of an **Event**, will describe the **Event**, pursuant to Section OC.7.1.9 although it need not state the cause of the **Event**, and, subject to that, will be of sufficient detail to

enable the recipient of the notification reasonably to consider and assess the implications and risks arising.

- OC.7.1.10.2 A notification will include the name (and job title) of the individual reporting the **Event** on behalf of the **TSO** or the **User**, as the case may be.
- OC.7.1.10.3 The recipient of the notification may ask questions to clarify the notification and the giver of the notification will, insofar as he is able (although he need not state the cause of the **Event**) answer any questions raised.

#### OC.7.1.11 **Provision of Further Information**

When an **Event** has been reported to the **TSO** by a **Generator** or **Interconnector Operator** under OC.7.1 and it is necessary in order for the **Generator** or **Interconnector Operator** to assess the implications of the **Event** on their system more accurately, the **Generator** or **Interconnector Operator** may ask the **TSO** for details of the fault levels from the **Transmission System** to their **Generation Unit** or **Interconnector** at the time of the **Event**, and the **TSO** will, as soon as reasonably practicable, give the **Generator** or **Interconnector** that information provided that the **TSO** has that information.

## OC.7.1.12 *Recording of an Event*

Notification of an **Event** pursuant to Section OC.7.1.9 shall be given orally in the first instance. **Significant System Incidents** must be reported **In Writing** if requested by either the **User** or the **TSO**.

## OC.7.1.13 *Timing in Respect of an Event*

A notification of an **Event** under section OC7.1.9 shall be given as soon as practicable after the occurrence of the **Event**, or time that the **Event** is known of or anticipated by the giver of the notification under OC.7.1, and in any event, except in an emergency ,within fifteen minutes of such time.

#### OC.7.2 Operational Communication and Data Retention

#### OC.7.2.1 Introduction

OC.7.2.1.1 It is necessary that adequate communication facilities and procedures are established between the **TSO** and **Users** to allow the timely transfer of information, in order that the **TSO** may fulfil its obligations with regard to the operation of the **Transmission System**.

#### OC.7.2.2 **Objective**

- OC.7.2.2.1 The objectives of OC.7.2 are:
  - (a) to establish contact locations for the **TSO** and each class of **User**;
  - (b) to detail the communication facilities required between the TSO and each class of User;
  - (c) to establish the general procedures (notwithstanding any specific procedures which may be established in other sections of this Grid Code) for communication of information between the TSO and Users; and
  - (d) to establish the general procedures (notwithstanding any specific procedures which may be established in other sections of this Grid Code) for the authorisation of the TSO personnel to act on behalf of the TSO and User personnel to act on behalf of the User in the communication of information between the TSO and Users.
  - (e) to establish the general procedures (notwithstanding any specific procedures which may be established in other sections of this **Grid Code**) for the retention of data.
- OC.7.2.2.2 Pursuant to this OC.7.2 both the **TSO** and **Users** will be obliged to adopt the use of new technologies and methodologies for communication of information, where there is a recognisable benefit from doing so, and to do so would be reasonable in the circumstances.
- OC.7.2.2.3 This OC.7.2 covers the general procedures for all forms of communication of operational information between the **TSO** and **Users**, other than pre-connection

communication that is dealt with in the **Connection Conditions**. Data relating to **Commercial (Energy) Metering** is specifically not covered by this OC.7.2.

#### 0C.7.2.3 **Scope**

- OC.7.2.3.1 OC.7.2 applies to the **TSO** and to **Users**, which term in OC.7.2 means:
  - (a) Generators;
  - (b) Interconnector Operators;
  - (c) **Dispatchable PPM**;
  - (d) **Distribution System Operator**;
  - (e) **Demand Customers**; and
  - (f) Demand Side Unit Operator.

## OC.7.2.4 Contact Locations

- OC.7.2.4.1 The TSO
- OC.7.2.4.1.1 Other than where specifically provided for under Section OC.7.2.4.1.2 or in other sections of the **Grid Code**, the contact location within the **TSO** for communication on matters pertaining to the real time operation of the **Transmission System** shall be the **National Control Centre (NCC)** or if designated under Section OC.7.2.6.2 the **Emergency Control Centre (ECC)**.
- OC.7.2.4.1.2 The **TSO** will, from time to time, notify to **Users** the relevant points of contact in the **TSO** (and their contact details) and any changes to such points of contact and/or details for the purposes of each section of this **Grid Code** (including, where appropriate, for specific purposes under each section), and the **User** shall, as required, contact the relevant notified points of contact.
- OC.7.2.4.1.3 The **TSO** shall from time to time distribute to each **User** an organisational chart and list of personnel and contact numbers (consistent with the notification given under Section OC.7.2.4.1.2) in order to assist the **User** in communicating with the **TSO**.

#### OC.7.2.4.2 Generators

- OC.7.2.4.2.1 The **Generator** contact locations and personnel referred to in this Section OC.7.2.4.2 shall be notified by the **Generator** to the **TSO** prior to connection and thereafter updated as appropriate.
- OC.7.2.4.2.2 The **Generator** is required to provide a **Control Facility**. The **Generator** shall ensure acting in accordance with **Good Industry Practice** that the **Control Facility** is staffed at appropriate staffing levels at all times.
- OC.7.2.4.2.3 The **Control Facility** shall be staffed by a **Responsible Operator(s)** who shall respond to communications from the **TSO** without undue delay (except where otherwise provided for by agreement between the **Generator** and the **TSO**, such agreement not to be unreasonably withheld) and are of suitable experience and training and are authorised to perform the following functions on behalf of the **Generator**:
  - (a) to accept and execute **Dispatch Instructions**;
  - (b) to receive and acknowledge receipt of requests, for amongst other matters, operation outside the Declared values of Availability, Ancillary Service capability, or Operating Characteristics of the Generation Units during System Emergency Conditions.
- OC.7.2.4.2.4 At any point in time, a single person shall be designated by the **Generator** and notified to the **TSO** as the **Responsible Manager**. The **Responsible Manager** shall be responsible for dealing with the **TSO** on matters relating to the **Grid Code** other than as provided for in OC.7.2.4.2.2 and OC.7.2.4.2.3. In the event that the **Responsible Manager** is not a person on duty at the **Control Facility**, then the **Responsible Manager** must be capable of being contacted from the **Control Facility** at all times, and in the event that the **TSO** issues a request to the **Control Facility** requiring the **Responsible Manager** to contact the **NCC**, the **Responsible Manager** shall comply with the request without undue delay and in any case within 15 minutes of the request.

OC.7.2.4.2.5 The Responsible Manager shall be authorised by the Generator to perform the

following functions on behalf of the Generator:

- (a) to make estimates in accordance with Good Industry Practice as to the available Availability, Ancillary Service capability and Operating Characteristics of each Generation Unit;
- (b) to make **Declarations** for each **Generation Unit**;
- to communicate with respect to issues regarding **Outages** of each **Generation** Unit.

The **Generator** may, from time to time, notify a replacement contact location and personnel which meets the foregoing requirements.

## OC.7.2.4.3 Demand Customers

- OC.7.2.4.3.1 The **Demand Customers** contact locations and personnel referred to in this Section OC.7.2.4.3 shall be notified by the **Demand Customer** to the **TSO** prior to connection and thereafter updated as appropriate.
- OC.7.2.4.3.2 The **Demand Customer** is required to provide the **TSO** with the contact information of a **Responsible Operator(s)** who shall respond to communications from the **TSO** without undue delay (except where otherwise provided for by agreement between the **Demand Customer** and the **TSO**, such agreement not to be unreasonably withheld) and are of suitable experience and training and are authorised to perform functions on behalf of the **Demand Customer**.
- OC.7.2.4.3.3 The **Responsible Operator** shall have the ability to attend the **Site** of the **Demand Customer** within 60 minutes of an instruction to do so being issued by the **TSO**.
- OC.7.2.4.3.4 At any point in time, a single person shall be designated by the **Demand Customer** and notified to the **TSO** as the **Responsible Manager**. The **Responsible Manager** shall be responsible for dealing with the **TSO** on matters relating to the **Grid Code** other than as provided for in OC.7.2.4.3.2 and OC.7.2.4.3.3. In the event that the **Responsible Manager** is not a person on duty at the **Site** of the **Demand Customer**, then the **Responsible Manager** must be capable of being contacted from the **Site** of

the **Demand Customer** at all times, and in the event that the **TSO** issues a request to the **Site** of the **Demand Customer** requiring the **Responsible Manager** to contact the **NCC**, the **Responsible Manager** shall comply with the request without unreasonable delay and in any case within 15 minutes of the request.

### OC.7.2.4.4 DSO

- OC.7.2.4.4.1 The **DSO** contact locations and personnel referred to in this Section OC.7.2.4.4 shall be notified by the **DSO** to the **TSO** prior to connection and thereafter updated as appropriate.
- OC.7.2.4.4.2 The **DSO** is required to provide a **Control Facility**. The **DSO** shall ensure acting in accordance with **Good Industry Practice** that the **Control Facility** is staffed at appropriate staffing levels at all times.
- OC.7.2.4.4.3 The **DSO** shall operate its **Control Facility** according to the provisions agreed with the **TSO** in any agreements between the **DSO** and the **TSO**, such agreement not to be unreasonably withheld.

#### OC.7.2.4.5 Dispatchable PPMs

- OC.7.2.4.5.1 The **Dispatchable PPM's** contact locations and personnel referred to in this Section OC.7.2.4.5 shall be notified by the **Dispatchable PPM** to the **TSO** prior to connection and thereafter updated as appropriate.
- OC.7.2.4.5.2 The **Dispatchable PPM** is required to provide a **Control Facility**. The **Dispatchable PPM** shall ensure acting in accordance with **Good Industry Practice** that the **Control Facility** is staffed at appropriate staffing levels at all times.
- OC.7.2.4.5.3 The **Control Facility** shall be staffed by a **Responsible Operator(s)** who shall respond to communications from the **TSO** without undue delay (except where otherwise provided for by agreement between the **Dispatchable PPM** and the **TSO**, such agreement not to be unreasonably withheld) and are of suitable experience and training and are authorised to perform the following functions on behalf of the **Dispatchable PPM**:

- (a) to accept and execute **Dispatch Instructions** as per **SDC2**; and
- (b) to receive and acknowledge receipt of requests, for amongst other matters, operation outside the **Declared** values of **Availability**, **Ancillary Service** capability, or operation of the **Dispatchable PPM** during **System Emergency Conditions**.
- OC.7.2.4.5.4 At any point in time, a single person shall be designated by the **Dispatchable PPM** and notified to the **TSO** as the **Responsible Manager**. The **Responsible Manager** shall be responsible for dealing with the **TSO** on matters relating to the **Grid Code** other than as provided for in OC.7.2.4.2.2 and OC.7.2.4.2.3. In the event that the **Responsible Manager** is not a person on duty at the **Control Facility**, then the **Responsible Manager** must be capable of being contacted from the **Control Facility** at all times, and in the event that the **TSO** issues a request to the **Control Facility** requiring the **Responsible Manager** to contact the **NCC**, the **Responsible Manager** shall comply with the request without undue delay and in any case within 15 minutes of the request.
- OC.7.2.4.5.5 The **Responsible Manager** shall be authorised by the **Dispatchable PPM** to perform the following functions on behalf of the **Dispatchable PPM**:
  - to submit and revise an Availability Notice and other data under SDC1 for the
     Dispatchable PPM;
  - (b) to communicate with respect to issues regarding **Outages** of the **Dispatchable PPM**.

The **Dispatchable PPM** may, from time to time, notify a replacement contact location and personnel which meets the foregoing requirements.

## OC.7.2.4.6 Interconnector Operators

OC.7.2.4.6.1 The **Interconnector Operator** contact locations and personnel referred to in this section OC.7.2.4.6 shall be notified by the **TSO** prior to connection and thereafter updated as appropriate.

- OC.7.2.4.6.2 The Interconnector Operator is required to provide a Control Facility. The Interconnector Operator shall ensure acting in accordance with Good Industry Practice that the Control Facility is staffed at appropriate levels at all times.
- OC.7.2.4.6.3 The **Control Facility** shall be staffed by a **Responsible Operator(s)** who shall respond to communications from the **TSO** without undue delay (except, where otherwise provided for by agreement between the **Interconnector Operator** and the **TSO**, such agreement not to be unreasonably withheld) and are of suitable experience and training and are authorised to perform the following functions on behalf on the **Interconnector Operator**:
  - (a) to accept and execute **Dispatch Instructions**;
  - (b) to receive and acknowledge receipt of requests, for amongst other matters, operation outside the Declared values of Availability, Ancillary Service capability, or Operating Characteristics of the Interconnectors during System Emergency Conditions.
- OC.7.2.4.6.4 At any point in time, a single person shall be designated by the Interconnector Operator and notified to the TSO as the Responsible Manager. The Responsible Manager shall be responsible for dealing with the TSO on matters relating to the Grid Code other than as provided in OC.7.2.4.6.2 and OC.7.2.4.6.3. In the event that the Responsible Manager is not the person on duty at the Control Facility, then the Responsible Manager must be capable of being contactable from the Control Facility at all times, and in the event that the TSO issues a request to the Control Facility requiring the Responsible Manager to contact the NCC, the Responsible Manager will comply without undue delay and in any case within 15 minutes of the request.

- OC.7.2.4.6.5 The **Responsible Manager** shall be authorised by the **Interconnector Operator** to perform the following functions on behalf of the **Interconnector Operator**:
  - to make estimates in accordance with Good Industry Practice as to the Availability, Ancillary Service capability and Operating Characteristics of the Interconnector;
  - (b) to make **Declarations** for the **Interconnector**;
  - (c) to communicate with respect to issues regarding **Outages** of the **Interconnector.**

The **Interconnector Operator** may, from time to time, notify a replacement contact location and personnel which meets the foregoing requirements.

- OC.7.2.4.7 Demand Side Unit Operators
- OC.7.2.4.7.1 Demand Side Unit Operators are required to provide a Control Facility. The Demand Side Unit Operator shall ensure acting in accordance with Good Industry Practice that the Control Facility is staffed with appropriate staffing levels at all times.
- OC.7.2.4.7.2 For **Demand Side Unit Operator**, the **Control Facility** shall be staffed by a **Responsible Operator(s)** who shall respond to communications from the **TSO** without undue delay (except where otherwise provided for by agreement between the **Demand Side Unit Operator** and the **TSO**, such agreement not to be unreasonably withheld) and are of suitable experience and training and are authorised to perform functions on behalf of the **Demand Side Unit Operator** as follows:
  - (a) to accept and execute **Dispatch Instructions**;
  - (b) to receive and acknowledge receipt of requests, for amongst other matters, operation outside the **Declared** values of **Demand Side Unit MW** Availability.

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- OC.7.2.4.7.3 At any point in time, a single person shall be designated by the **Demand Side Unit** Operator and notified to the **TSO** as the **Responsible Manager**. The **Responsible Manager** shall be responsible for dealing with the **TSO** on matters relating to the **Grid Code**. In the event that the **Responsible Manager** is not a person on duty at the **Control Facility** of the **Demand Side Unit Operator**, then the **Responsible Manager** must be capable of being contacted from the **Control Facility** of the **Demand Side Unit Operator** at all times, and in the event that the **TSO** issues a request to the **Control Facility** of the **Demand Side Unit Operator** requiring the **Responsible Manager** to contact the **NCC**, the **Responsible Manager** shall comply with the request without unreasonable delay and in any case within 15 minutes of the request.
- OC.7.2.4.7.4 The **Responsible Manager** shall be authorised by the **Demand Side Unit Operator** to perform the following functions on behalf of the **Demand Side Unit Operator**:
  - to make estimates in accordance with Good Industry Practice as to the Demand Side Unit MW Availability;
  - (b) to make Declarations of the Demand Side Unit MW Availability for Demand
     Side Unit Operator; and
  - (c) to communicate with respect to issues regarding **Outages** of each **IndividualDemand Site** within the **Demand Side Unit**.

The **Demand Side Unit Operator** may, from time to time, notify a replacement contact location and personnel which meets the foregoing requirements.

OC.7.2.4.7.5 Unless otherwise agreed with the **TSO**, each **Individual Demand Site** comprising a **Demand Side Unit** shall have a **Responsible Operator** that must be capable of being contacted from the **Control Facility** of the **Demand Side Unit Operator** at all times and is capable of being at the **Individual Demand Site** within 1 hour of request to respond to any query or issue from the **Responsible Operator** at the **Control Facility** of the **Demand Site** Operator at the **Control Facility** of the **Demand Site** Operator at the **Control Facility** of the **Demand Site** Operator at the **Control Facility** of the **Demand Site** Operator at the **Control Facility** of the **Demand Side Unit Operator** or the **TSO**.

#### OC.7.2.5 Communication Facilities

- OC.7.2.5.1 The minimum communications facilities which are to be installed and maintained between the **TSO** and the **User** are defined in this Section OC.7.2.5.
- OC.7.2.5.2 All equipment to be provided by **Users** under this Section OC.7.2.5 shall comply with the applicable International Telecommunications Union (ITU) and International Electrotechnical Commission (IEC) standards for SCADA and communications equipment and shall meet such standards as notified by the **TSO**, acting reasonably, in advance of their design or procurement (whichever is later) and shall be provided at the cost of the **User**, except where otherwise specified.

#### OC.7.2.5.3 Supervisory Control and Data Acquisition (SCADA)

- OC.7.2.5.3.1 SCADA remote terminal equipment shall be required in the control room of the **Transmission Station** at the **User Site** for the transmission of signals and indications to and from the **NCC**. The signals and indications which must be provided by **Users** for transmission by SCADA equipment to the **NCC** are the signals and indications referred to under **Connection Conditions** together with such other information as the **TSO** may from time to time by notice to **Users** reasonably require.
- OC.7.2.5.3.2 For **Demand Side Unit Operators**, **SCADA** remote terminal equipment shall also be required at the **Control Facility** for the transmission of signals and indications to and from the **NCC**. The signals and indications which must be provided by **Demand Side Unit Operators** for transmission by **SCADA** equipment to the **NCC** are the signals and indications referred to under **Connection Conditions** together with such other information as the **TSO** may from time to time, by notice to **Demand Side Unit Operators**, reasonably require.
- OC.7.2.5.3.3 Interface cabinets shall be installed in the control room of the **Transmission Station** at the **User Site** and also on the **User's Site** or, in the case of a **Demand Side Unit Operator**, in the **User's Control Facility**. Provision and maintenance of wiring and signalling from the **User's Plant** and **Apparatus** to the **User's** interface cabinet shall be the responsibility of the **User**. The **TSO** shall provide the cables to interconnect these interface cabinets.

#### OC.7.2.5.4 **Computer Equipment**

- OC.7.2.5.4.1 Each **User** shall comply with the **TSO** requirements and provide appropriate computer and data networking equipment to allow data exchange such as electronic mail, dispatch instructions etc between the **TSO** and the **User**. The equipment shall only be used by the **User** for operational communications with the **TSO**.
- OC.7.2.5.4.2 Each **User** shall be responsible for optimising the reliability and security of the computer equipment, referred to in OC.7.2.5.4.1, including the provision, at no charge, of an uninterruptible power supply.
- OC.7.2.5.5 Telephone/Fascimile
- OC.7.2.5.5.1 Each **User** shall be responsible for the provision and maintenance (at the cost of the **User**) of telephone and facsimile equipment as required by this Section OC.7.2.5.5.
- OC.7.2.5.5.2 The **TSO** may arrange for the provision of one or more telephone extensions to be connected to the **OPTEL** system. This facility shall be reserved for operational purposes only, and shall be continuously attended by a person meeting the requirements of OC.7.2.4.2.3, OC.7.2.4.3.3, or OC.7.2.4.4.3 (as appropriate) and answered without undue delay. **Users** shall be responsible for the provision at no charge of an uninterruptible power supply.
- OC.7.2.5.5.3 Users shall provide a Public Switched Telephone Network circuit to the Communications and Control Room.
- OC.7.2.5.5.4 Users shall provide no fewer than two separate Public Switched Telephone Network circuits to the Control Facility.
- OC.7.2.5.5.5 Users shall provide no fewer than one telefacsimile unit, connected to a dedicated Public Switched Telephone Network circuit at the **Control Facility**.
- OC.7.2.5.6 Access and Security
- OC.7.2.5.6.1 All SCADA, metering equipment, computer and communications equipment that interfaces with the **TSO** and the information carried by it must be secure from unauthorised access. Procedures governing security and access shall be agreed with **Users** pursuant to the **Operation Instructions**, but shall allow for adequate access to the equipment and information by the **TSO** or its service providers for the purposes of maintenance, repair, testing and the taking of readings.

#### OC.7.2.5.7 Time Standards

OC.7.2.5.7.1 Time will be set by a standard determined by the **TSO**. The time standard will be broadcast to relevant telecommunications devices in order to maintain time coherence.

## OC.7.2.6 **Communications**

- OC.7.2.6.1 Communication Between the TSO and the User
- OC.7.2.6.1.1 Other than where specifically provided for in other sections of the **Grid Code**, communication between the **TSO** and **Users** on matters pertaining to the real time operation of the **Transmission System** shall take place between the **NCC** and the **User's Control Facility**.
- OC.7.2.6.1.2 If the **NCC** is to be moved to a different location the **TSO** shall ordinarily notify **Users** not more than one **Business Day** after the move, but in the event of an emergency it may instead notify **Users** as soon as practicable after the move.
- OC.7.2.6.1.3 Unless otherwise specified in the **Grid Code**, all instructions given by **NCC** and communications between **NCC** and the **User's Control Facility** shall be given by means of the facilities described in OC.7.2.5.
- OC.7.2.6.1.4 Any automatic recording (by whatever means) of communications given by means of telephony, electronic means, facsimile transfer or telex will be accepted by the **TSO** and **Users** as evidence of those instructions or communications.

# OC.7.2.6.2 Communication Between the TSO and External TSO

- OC.7.2.6.2.1 For Interconnectors, the procedure for operational liaison by the TSO with the External TSO is set out in the Interconnector Operating Protocol.
- OC.7.2.6.2.2 Communication between the **TSO** and **External TSO** on the real time operation of the **Transmission System** regarding **Interconnectors** shall take place between the **NCC** and the **External System's Control Facility**.

#### OC.7.2.7 Data and Notices

- OC.7.2.7.1 Data and notices to be submitted to the **TSO** or to **Users** under the **Grid Code** (other than data and notices which are the subject of a specific requirement of the **Grid Code** as to the manner of their delivery) shall be **In Writing** and shall be delivered by hand or sent by pre-paid post, by telex, receipted email or telefacsimile transfer.
- OC.7.2.7.2 Data and notices to be submitted to the **TSO** under the **Grid Code** shall be addressed to the person, and at the address, notified by the **TSO** to **Users** for such purpose, following entry into the **Connection Agreement** or for a **Demand Side Unit** prior to issuance of the Operational Certificate, or to such other person or address, as the **TSO** may notify to **Users** from time to time.
- OC.7.2.7.3 Data and notices to be submitted to **Users** under the **Grid Code** shall be addressed to the **User's** nominated representative (at the address notified by **Users** to the **TSO** following entry into the **Connection Agreement** or for a **Demand Side Unit** prior to issuance of the Operational Certificate for such purpose (and failing such notification to the principal office of the addressee)), or to such other person or address as **Users** may notify to the **TSO** from time to time.
- OC.7.2.7.4 All data items, where applicable, will be referenced to nominal **Voltage** and **Frequency** unless otherwise stated.
- OC.7.2.7.5 All **Operational Data** is to be supplied in accordance with the timetables set out in the **Grid Code**.

# OC.7.2.8 Data Retention

- OC.7.2.8.1 **Operational Data** is all data required to be supplied by either the **TSO** or **Users** under the **Grid Code** any other data expressly provided to be **Operational Data** under the **Grid Code**. **Operational Data** to be supplied by the **User** must be submitted to the department or address as the **TSO** may from time to time advise.
- OC.7.2.8.2 The **TSO** and **Users** will keep all **Operational Data** confidential.

- OC.7.2.8.3 The **TSO** shall maintain a complete and accurate record of all **Operational Data** supplied or maintained under the **Grid Code**. The format for the retention of records shall be as the **TSO** may reasonably determine (provided such format shall not prejudice its accessibility and comprehension by the **User** under OC.7.2.8.4). All **Operational Data** shall be so maintained for a period of not less than six (6) years commencing from the date the **Operational Data** was first supplied (or first created, if earlier).
- OC.7.2.8.4 The **TSO** shall afford **Users** access to its records (and copies thereof) of **Operational Data** and/or data required to be maintained under OC.7.2.8.3 on reasonable notice.

# OC.8 Operational Testing

# OC.8.1 Introduction

- OC.8.1.1 OC.8 deals with the responsibilities and procedures for arranging and carrying out **Operational Tests** which may have an effect on the **Systems** of the **TSO** and **Users.**
- OC.8.1.2 By their nature, **Operational Tests** may impinge on either or both of:
  - (a) the TSO's responsibilities in respect of the Transmission System, including
     Dispatch of generation, Interconnectors and Demand Side Unit MW
     Availability; and
  - (b) the operations of Users and the quality and continuity of supply of electricity to Users.
- OC.8.1.3 To minimise disruption to the operation of the **Transmission System** and to the **Systems** of other **Users**, it is necessary that tests which affect the operation of the **Transmission System** or **Users' Systems** as under OC.8.1.2, are subject to central co-ordination and control.
- OC.8.1.4 To achieve the primary objective as outlined in OC.8.2.1, OC.8 sets out procedures for establishing and reporting **Operational Tests.**

# OC.8.2 Objective

- OC.8.2.1 The primary objective of OC8 is to establish procedures for central co-ordination and control of an **Operational Test** required by the **TSO** or a **User**, where such test will or may:
  - (a) affect the secure operation of the **Transmission System**; or
  - (b) have a significant effect on the operation of the Transmission System, the
     Other Transmission System or a User's System; or
  - (c) affect the economic operation of the Transmission System or a User's System;or

- (d) affect the quality or continuity of supply of electricity to **Users**.
- OC.8.2.2 By way of example, tests that are typical of those which may be expected to be covered by OC.8 are listed in OC.8.4 to OC.8.5. This list is not intended to be exhaustive and other tests which fall within the definition of **Operational Tests** shall also be covered by the procedures outlined in this OC.8.
- OC.8.2.3 OC.8 is not intended to deal with tests which may be called routinely by the **TSO** in order to assess compliance of **Users** with their design, operating and connection requirements as specified in the **Grid Code** and in each **User's Connection Agreement**, **Ancillary Services Agreements** and **System Support Agreement**, or to assess that **Generators or Interconnector Operators** are in compliance with their **Registered Data** as notified by **Declarations**, where appropriate, or to determine that **Generation Units** or **Interconnectors** are in compliance with **Dispatch Instructions**. These issues are covered under OC.10 (**Monitoring, Testing** and **Investigation**).

#### OC.8.3 Scope

OC8 applies to the **TSO** and to all **Users**, which term in this OC.8 means:

- Generators which includes all Generators with units with Registered Capacity greater than 5 MW and Generator Aggregators;
- (b) Interconnectors;
- (c) **Demand Side Unit Operators**;
- (d) The Distribution System Operator; and
- (e) **Demand Customers**.

# OC.8.4 Tests Required by the TSO

OC.8.4.1 The TSO as operator of the **Transmission System** will in accordance with **Prudent Utility Practice**, needs to carry out **Operational Tests** in order to maintain and develop operational procedures, to train staff, and to acquire information in respect of **Power System** behaviour under abnormal system conditions. The **TSO** will endeavour to limit the frequency of occurrence, scope, extent of effects and type of **Operational Tests** to those that are required by **Prudent Utility Practice**.

- OC.8.4.2 **Operational Tests** required by the **TSO** from time to time shall include, but shall not be limited to the following:
  - Tests involving the controlled application of Frequency and/or Voltage variations aimed at gathering information on Power System behaviour;
  - (ii) **Power System Restoration Tests**;
  - (iii) Testing of standing procedures for **System Emergency Conditions** and **Alert** conditions
  - (iv) Testing or monitoring of Power Quality under various Power System conditions and Dispatch configurations.
- OC.8.4.3 The provisions of OC.8.6, OC.8.7, OC.8.8, OC.8.10.4 and OC.8.11 shall not apply to **Operational Tests** required by the **TSO** under this OC.8.4.
- OC.8.4.4 Where the **TSO** intends to carry out an **Operational Test** in accordance with this OC.8.4 and, in the **TSO's** reasonable opinion, such an **Operational Test** will or may have an **Operational Effect** on a **User's System**, the **TSO** shall, in accordance with OC.7 (Information Exchange) provide such notice to the **User** of the scheduled time and effect of the **Operational Test** as is reasonable in all the circumstances and shall keep the **User** informed as to any changes to the scheduled time and nature of the **Operational Test**.
- OC.8.4.5 Where a **User**, having been informed about an **Operational Test** under OC.8.4.4 may, acting reasonably, contact the **TSO** to request additional time to consider the impact of the test on the **User**. The **TSO** shall co-operate with the **User** to assess the risks. The test shall not proceed until all **Users** are satisfied unless, in the **TSO**'s view, a **User** is acting unreasonably.

# OC.8.5 Tests Required by the Users

OC.8.5.1 Operation of Users' Plant in accordance with Good Industry Practice requires Operational Testing in order to maintain and develop operational procedures, develop and measure Plant performance, comply with statutory or other industry obligations and to train staff.

- OC.8.5.2 In accordance with **Good Industry Practice** each **User** shall endeavour to limit the frequency of occurrence of **Operational Tests** and to limit the effects of such **Operational Tests** on the **Transmission System**.
- OC.8.5.3 **Operational Tests** can comprise of a **Significant Test** and**/**or a **Minor Test.**

## OC.8.6 Procedure for Requesting Operational Tests

- OC.8.6.1 Users shall submit proposals for an Operational Test in a timely fashion in accordance with OC7 (Information Exchange) or alternative procedures agreed with the TSO. Notwithstanding the other requirements in OC7, in the case of a Significant Test, Users shall submit proposals to the TSO at least five Business Days before the test start date or, with the agreement of the TSO, no later than 09:00 two Business Days before the test start date.
- OC.8.6.2 As part of the proposal **Users**, when requesting an **Operational Test**, shall supply sufficient detail to the **TSO** to allow any operational consequences of the test to be adequately assessed. This shall include the following information:
- OC.8.6.2.1 the reason for the proposed test indicating whether the **Operational Test** is a test required by statute, required for compliance with licence conditions, statutory regulations, or safety codes, which may require that execution of the **Operational Test** be expedited and given priority over other **Operational Tests**.
- OC.8.6.2.2 the preferred time or times for the test;
- OC.8.6.2.3 the milestones for individual stages of the **Operational Test** (if any) which can be completed separately, and/or do not require to be repeated if the **Operational Test** is interrupted by the **TSO** after completion of each stage;
- OC.8.6.2.4 whether there may be an adverse material impact on the **User** if the **Operational Test** is cancelled at short notice or delayed (reasonable detail being given by the **User** to the **TSO** of the impact).

- OC.8.6.2.5 where the User is a Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator, the Dispatch or Dispatches required by the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator for completion of the test, if any, including the duration of Dispatch shall be supplied to the TSO as part of the proposal. Where the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator may not know the entire Dispatches required for completion of the test until part of the test is completed then the Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator when proposing the test shall:
  - (a) divide the test into sections as appropriate;
  - (b) indicate and discuss with the **TSO** which sections of the test can be completed in stages and which cannot; and
  - (c) indicate possible variations of the test for the sections that can be completed in stages.

Additionally, the factors that influence the completion of the stages should be outlined to the **TSO**, namely, if the procedure to be followed for a certain stage depends on the outcome of a previous stage.

OC.8.6.3 A request by the **Generator**, **Interconnector Operator**, **Generator Aggregator** or **Demand Side Unit Operator** for an **Operational Test** requiring a **Generation Unit**, **Interconnector** or **Demand Side Unit** to be **Dispatched** to a particular **MW Output** or operating condition shall not be considered a **Re-declaration** of **Availability**, **Ancillary Service** capability or **Operating Characteristics**.

# OC.8.7 Evaluation of Proposed Operational Tests

OC.8.7.1 The **TSO** shall, on receipt of an **Operational Test** request from the **User**, assess the impact of the proposed test on the operation of the **Power System**. The **TSO** may request additional information from the **User** required to evaluate the impact or impacts of the test.

- OC.8.7.2 The **TSO** will evaluate the impact (in terms of continuity and quality of supply only) of the **Operational Test** with significantly affected **Users**. Any reasonable objections from any such **Operationally Affected Users** shall be considered. When discussing the **Operational Test** with any affected **User**, the **TSO** shall not disclose what it reasonably believes to be commercially sensitive or otherwise potentially sensitive information to **Users** without the consent of the **User** requesting the test.
- OC.8.7.3 Where an Operational Test proposed by a Generator, Interconnector Operator, Generator Aggregator or Demand Side Unit Operator in respect of one of its Generation Units, Interconnector or Demand Side Units requires a Dispatch that exceeds the currently declared values of Availability, Ancillary Service capability where applicable, or Operating Characteristics of the Generation Unit, Interconnector or Demand Side Units, then the TSO may so Dispatch the Generation Unit, Interconnector or Demand Side Units for the period required for the Operational Test, in accordance with the relevant provisions of the Grid Code.

# OC.8.8 Approval for Operational Testing

OC.8.8.1 Following receipt of an **Operational Test** proposal and evaluation of the **Operational Test's** likely impact, including discussions of test requirements with the **User** requesting the **Operational Test** and with **Operationally Affected Users** as appropriate, the **TSO** will decide if approval for the requested **Operational Test** is granted.

#### OC.8.8.2 The criteria for approving **Operational Test** include:

- the impact of the **Operational Test** on **Transmission System** operation security;
- (b) the impact of the Operational Test on Transmission System operation economics or on the economics of the operation of the Other Transmission System;
- (c) the impact of the **Operational Test** on other **Users**' Systems;
- (d) the effect of the **Operational Test** on continuity and quality of electricity supply.

- OC.8.8.3 On approval by the **TSO** of an **Operational Test** proposed by a **User**, the **TSO** shall contact the **User** outlining the proposed **Dispatch** procedure and schedule.
- OC.8.8.3.1 On receipt of the proposed **Dispatch** procedure and schedule of the **Operational Test**, the **Test Proposer** shall notify the **TSO** without undue delay, of the **Test Proposer's** acceptance or rejection of the proposed **Dispatch** procedure and schedule for the test.
- OC.8.8.3.2 On notification of rejection of the proposed **Dispatch** procedure and schedule for the **Operational Test** by the **Test Proposer**, then the **Operational Test** shall not take place. The **Test Proposer** may enter into discussions with the **TSO** as to an alternative schedule for the **Operational Test**, or may request a different **Operational Test** or may request the **Operational Test** at an alternative time.
- OC.8.8.3.3 On notification of acceptance of the proposed **Dispatch** procedure and schedule for the **Operational Test** by the **Test Proposer**, the **TSO** shall inform other **Users** as to the scheduled time and nature of the test, if in the opinion of the **TSO** those **Users** will or may be significantly affected by the test, or otherwise as dictated by standing arrangements.
- OC.8.8.3.4 If **Operationally Affected Users** are not satisfied with the proposed **Operational Test**, they shall advise the **TSO** of their concerns. The **TSO** shall not cancel proposed **Operational Test** unless these objections are reasonable. If **Operationally Affected Users** are still not satisfied with the Operational Test being approved, then they may appeal the decision to the **CRU** in accordance with 0.
- OC.8.8.3.5 Notification by the **TSO** to the **Test Proposer** of the proposed **Dispatch** procedure and schedule for an **Operational Test**, or notification by the **Test Proposer** to the **TSO** of acceptance of the proposed **Dispatch** procedure and schedule, does not constitute a **Dispatch Instruction** from the **TSO** to the **Test Proposer**.
- OC.8.8.4 On rejection of the proposed **Operational Test** by the **TSO**, the **Test Proposer** may enter into discussions with the **TSO** as to an alternative schedule for the **Operational Test**, or may request a different **Operational Test** or may request the **Operational Test** at an alternative time. If the amended proposal for an **Operational Test** is

approved by the **TSO**, and the **User** requesting the **Operational Test** is a **Generator**, then OC.8.8.3 shall apply.

OC.8.8.5 If the **Test Proposer** is not satisfied that there are reasonable grounds for rejecting the proposed **Operational Test**, then they may appeal to the **CRU** according to 0.

## OC.8.9 Scheduling of Operational Tests

- OC.8.9.1 **Operational Tests** will usually, but not necessarily, be scheduled by the **TSO** in accordance with SDC1.
- OC.8.9.2 Where an **Operational Test** is requested by a **User**, the **User** shall submit **Physical Notifications** consistent with planned **Operational Tests** in accordance with **SDC1** and the **Trading and Settlement Code** consistent with planned **Operational Tests**. The **User** shall also submit all other data as normal as required under the **SDC1**.
- OC.8.9.3 The **TSO** shall use reasonable endeavours to prioritise **Operational Tests** where the **Test Proposer** has notified the **TSO** that **Operational Tests** are required in accordance with licence conditions, statutory regulations or safety codes or a delay in the execution of the tests may have an adverse material impact on a **User**.
- OC.8.10 Dispatching of Operational Tests
- OC.8.10.1 **Dispatch Instructions** for **Operational Tests** shall be issued by the **TSO** in the normal manner for issuing **Dispatch Instructions** in accordance with **SDC2**.
- OC.8.10.2 The **TSO** shall use reasonable endeavours to ensure that scheduled **Operational Tests** are dispatched in accordance with the agreed **Dispatch** procedures.
- OC.8.10.3 Where the **TSO** foresees a requirement or likely requirement to cancel, postpone or otherwise significantly alter an agreed **Dispatch** procedure and schedule, then the **TSO** shall inform the **Test Proposer** as soon as reasonably possible. In this case the provisions of OC.8.10.4 and OC.8.10.5 apply.

- OC.8.10.4 Where the **TSO** assesses that the impact of an **Operational Test** on **Transmission System** security or on the continuity and quality of supply or operation of a **User** may or is likely to be significantly greater than originally estimated, the **TSO** may contact the **Test Proposer** to discuss a revised test procedure or schedule.
- OC.8.10.5 The **TSO** may where it considers it necessary cancel, interrupt or postpone an **Operational Test** at any time, but shall where possible utilise the procedures outlined under OC.8.10.4 prior to taking such action where the cancellation, interruption or postponement is for other than technical reasons.
- OC.8.10.6 If the **Test Proposer** wishes to cancel an **Operational Test** either before commencement of the test or during the test, the **TSO** must be notified by the **Test Proposer**, in accordance with OC.7.

# OC.8.11 Test Reporting

- OC.8.11.1 Upon conclusion of the scheduled time for an **Operational Test** the **Test Proposer** shall notify the **TSO** as to whether the test has been completed, or sections of the test if divided into sections under OC.8.6.2.3 have been completed.
- OC.8.11.2 At the conclusion of the **Operational Test**, the **Test Proposer** shall be responsible for preparing a written report on the **Operational Test** (the "**Final Report**") which shall be available within three months of the conclusion of the **Operational Test** to the **TSO**, **Operationally Effected Users** and the **CRU** on request.
- OC.8.11.3 The **Final Report** shall not be submitted to any person who is not a representative of the **TSO** or the **Test Proposer** unless the **TSO** and the **Test Proposer** having reasonably considered the confidentiality issues arising, shall have unanimously approved such submission.
- OC.8.11.4 The **Final Report** shall include a description of the **Plant** and/or **Apparatus** tested and a description of the **System Test** carried out together with the results, conclusions and recommendations as they relate to the **TSO** and **Operationally Effected Users**.

# OC.8.12 Disputes

- OC.8.12.1 **Operationally Affected Users** who consider that the implementation of the proposed **Operational Test** will have a significant negative impact on them may appeal to the **CRU** providing details of their objections.
- OC.8.12.2 The **Test Proposer** has right of appeal to the **CRU** if it considers that rejection of the proposed **Operational Test** is unreasonable.

# OC.9 Emergency Control and Power System Restoration

## OC.9.1 Introduction

- OC.9.1.1 Normal operation of the **Transmission System** by the **TSO** is in accordance with the principles and procedures as set out in this **Grid Code** and obligations under the Electricity Supply Act 1927. There will be emergency situations when security of the **Transmission System** is subject to abnormal levels of risk (e.g. during major lightning storms) and this OC.9 provides for specific requirements to address such situations.
- OC.9.1.2 Experience has shown that electricity supply systems can suffer **Partial Shutdown** or **Total Shutdown**. Collapses can result from a number of root causes but might most typically be due to a high number of **Plant** failures (**Generation** and/or transmission) resulting from severe weather conditions and/or maloperation of protection systems.
- OC.9.1.3 It is therefore necessary in the **Grid Code** to provide for how to deal with a **Partial Shutdown** or **Total Shutdown** of the **Transmission System**, and to ensure that the necessary procedures and facilities are in place to support rapid re-establishment of the shutdown parts and restore supply to **Customers**.
- OC.9.1.4 A **Partial Shutdown** or **Total Shutdown** represents one of the most serious fault situations liable to occur on the **Transmission System**, having a major effect on both **Users** and **Customers**. Due to the significance of such an incident and the urgency in restoring supply to all **Customers**, it is imperative that all **Users** should maintain a high level of awareness and training in respect of **Power System Restoration**.

# OC.9.2 Objective

OC.9.2.1 The objective of OC.9 is to ensure that in the event of a **Partial Shutdown** or **Total Shutdown** of the **Transmission System**, normal supply is restored to all **Customers** as quickly and as safely as practicable in accordance with **Prudent Utility Practice**. This objective can be subdivided:

- (a) to outline the general restoration strategy which will be adopted by the TSO in the event of a Partial Shutdown or Total Shutdown of the Transmission
   System;
- (b) to establish the responsibility of the TSO to produce and maintain a comprehensive Power System Restoration Plan, covering both Partial Shutdowns and Total Shutdowns;
- to establish the responsibility of Users to co-operate with the formation and execution of the Power System Restoration Plan,
- (d) to ensure that the **TSO** and **User** personnel who will potentially be involved with the **Power System Restoration Plan**, should be adequately trained and fully familiar with the relevant details of the plan.

# OC.9.3 Scope

OC9 applies to the **TSO** and to all **Users**, which term in this OC.9 means:

- Generators which for the purposes of OC.9 includes all Generators with Registered Capacity greater than 5 MW;
- (b) Interconnector Operators;
- (c) The Distribution System Operator;
- (d) **Demand Customers**; and
- (e) Demand Side Unit Operators.

# OC.9.4 System Alerts

- OC.9.4.1 In the event of a **System Emergency Condition** or imminent shortfall of MW capacity, the **TSO** may issue any of several **Alerts** to the Generator, key **Transmission Stations, Distribution Control Centres** and **Demand Side Unit Operators**. These **Alerts** may include an **Amber Alert, Red Alert** or **Blue Alert**, or other **Alerts** as may be agreed from time to time.
- OC.9.4.2 In the event of a **System Emergency Condition** or imminent shortfall of MW capacity, the **TSO** may issue any of several **Alerts** to the Generator, key **Transmission**

**Stations, Distribution Control Centres** and **Demand Side Unit Operators**. These **Alerts** may include an **Amber Alert, Red Alert** or **Blue Alert**, or other **Alerts** as may be agreed from time to time.

#### OC.9.4.3 Amber Alerts

- OC.9.4.3.1 An **Amber Alert** may be issued when a single **Event** would give rise to a reasonable possibility of failure to meet the **Power System Demand**, or of **Frequency** or **Voltage** departing significantly from normal, as per CC.8.2.1(a) and CC.8.3.1(a), or if multiple **Events** are probable due to prevailing weather conditions.
- OC.9.4.3.2 Standing procedures to be activated in response to an **Amber Alert** will be developed by the **TSO**, in consultation with **Users**, and notified to each **User** as appropriate. These standing procedures will not impose obligations on the **User** which are not already implicit in the **Grid Code**.
- OC.9.4.3.3 Each **User** is responsible for internal procedures necessary to execute the standing procedures.

#### OC.9.4.4 Red Alerts

- OC.9.4.4.1 A **Red Alert** may be issued when, other than as provided for in OC.10, the **Frequency** or **Voltage** has deviated significantly from normal, or **User's Demand** has been disconnected, or, in the period immediately ahead there is a high probability of failing to meet the **Power System Demand** or to maintain normal **Voltage**.
- OC.9.4.4.2 Standing procedures to be activated in response to a **Red Alert** will be developed by the **TSO**, in consultation with **Users**, and notified to each **User** as appropriate.
- OC.9.4.4.3 Standing procedures to be activated in response to a **Red Alert** will be developed by the **TSO**, in consultation with **Users**, and notified to each **User** as appropriate.

#### OC.9.4.5 Blue Alerts

- OC.9.4.5.1 The issuing of a **Blue Alert** other than as provided for in OC.9.5.4, by the **TSO** signifies that either a **Partial Shutdown** or a **Total Shutdown** of the **Power System** has taken place.
- OC.9.4.5.2 Standing procedures to be activated in response to a **Blue Alert** will be developed by the **TSO**, in consultation with **Users**, and notified to each **User** as appropriate. These standing procedures will not impose obligations on the **User** which are not already implicit in the Grid **Code**.
- OC.9.4.5.3 Each **User** is responsible for internal procedures necessary to execute the standing procedures. In developing internal procedures to apply following the activation of **Blue Alert** standing procedures, each **User** shall consult with the **TSO**.

## OC.9.5 Power System Restoration

- OC.9.5.1 The **Power System Restoration Plan** will be developed and maintained by the **TSO** in consultation with the **DSO** and other **Users** as appropriate. The **TSO** will promulgate the **Power System Restoration Plan** in accordance with **Prudent Utility Practice.**
- OC.9.5.2 The procedure for **Power System Restoration** shall be that notified by the **TSO** to the **User** at the time of a **Partial Shutdown** or **Total Shutdown**. Each **User** shall abide by the **TSO's** instructions during the restoration process, subject to safety of personnel and the **TSO's** and the **User's Plant** and **Apparatus**.
- OC.9.5.3 It shall be the responsibility of the **User** to ensure that any of its personnel who may reasonably be expected to be involved in **Power System Restoration** are familiar with, and are adequately trained and experienced in their standing instructions and other obligations so as to be able to implement the procedures and comply with any procedures notified by the **TSO** under OC.9.5.2.
- OC.9.5.4 The **TSO** shall in consultation with each **User** and on at least one occasion each year, issue a **Blue Alert** to the **User** for the purposes of assisting training. The content of the tests shall be notified in advance to the **User**, and a date and time for execution

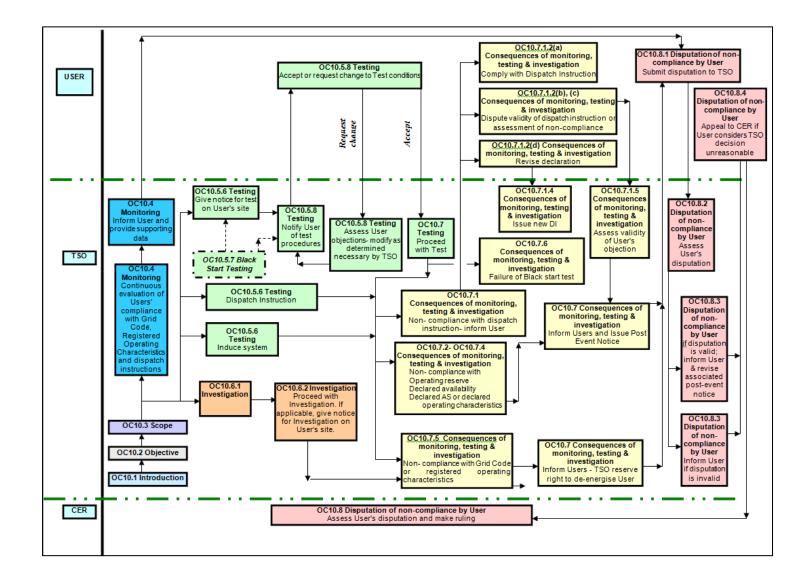
of the tests shall be agreed. The **User** must, acting in accordance with **Good Industry Practice**, co-operate with any such testing.

Generators shall not be permitted to reconnect to the Transmission System after an incidental disconnection caused by a Transmission System disturbance, unless specified otherwise by the TSO. Generators shall not be permitted to install automatic reconnection systems, unless specified otherwise by the TSO.

# OC.9.6 De-Energisation of the User's plant by the TSO

OC.9.5.5

- OC.9.6.1 **De-Energisation** of a **User's Plant** and **Apparatus** is also provided for in OC.4.5.6. It may be effected at any time and from time to time if and to the extent that the **TSO**, acting in accordance with **Prudent Utility Practice**, considers it necessary in order to provide for safe and secure operation of the **Transmission System** within prescribed standards, including:
  - (i) during a **System Emergency Condition**;
  - (ii) during **Power System Restoration**; and
  - (iii) following the issue of a **Blue Alert.**



# OC.10 Monitoring, Testing and Investigation

#### OC.10.1 Introduction

- OC.10.1.1 In order to discharge its responsibilities in respect of the safe, secure and economic operation of the **Transmission System** and in respect of generation **Dispatch**, the **TSO** will need to carry out certain **Monitoring**, **Testing** and **Investigation** in respect of the performance of **Users' Plant**.
- OC.10.1.2 OC.10 does not apply to **Operational Tests**, which may be required by the **TSO** or by **Users**. The procedures by which **Operational Tests** are notified, and approved, executed and reported, are covered under **Operational Testing** (OC.8).

#### OC.10.2 Objective

- OC.10.2.1 The primary objectives of OC.10 are to establish procedures for **Testing** that **Users** are operating within their design, operating and connection requirements, as specified in the **Grid Code**, **Connection Agreements**, **Ancillary Services Agreements and System Support Agreements** between **Users** and the **TSO**.
- OC.10.2.2 In order to achieve the primary objective set out in OC.10.2.1, OC.10 establishes procedures for **Monitoring**, **Testing** and **Investigation**. In particular, this facilitates adequate assessment of each of the following:
  - (a) whether Centrally Dispatched Generation Units (CDGU), Interconnectors and
     Demand Side Units comply with Dispatch Instructions;
  - (b) whether Generators, Interconnectors, Demand Side Unit Operators and Generator Aggregators are in compliance with Declarations of Availability, Ancillary Services capabilities, Operating Characteristics and any other data required to be registered by those Generators, Interconnectors and Demand Side Unit Operators under the Grid Code;
  - (c) whether **Power Quality** of **Users** conforms with CC.10.13.1;
  - (d) whether Users are in compliance with protection requirements and protection settings under the Grid Code, Users' Connection Agreements, Ancillary Service Agreements and System Support Agreements between Users and the TSO;
  - (e) whether Generators have the ability to generate on Primary Fuel and

**Secondary Fuel** (where applicable) and have the ability to carry out on on-line fuel changeover ; and

(f) whether **Generators** have the required **Secondary Fuel** stock levels at the **Generator Site** and **Off-Site Storage Location**.

#### **OC.10.3 Scope**

OC.10 applies to the TSO and to the following Users

- (a) Generators which, for the purposes of OC.10, include all Generators with Generation Unit(s) subject to Central Dispatch or with Generation Unit(s) that have a total Registered Capacity greater than 4 MW on a single Site;
- (b) Interconnector Operators;
- (c) The Distribution System Operator;
- (d) Suppliers;
- (e) **Demand Customers**;
- (f) Demand Side Unit Operators in respect of their Demand Side Units; and;
- (g) **Generator Aggregators** in respect of the **Generation Units** which they represent.

# OC.10.4 Monitoring

- OC.10.4.1 **Monitoring** is normally continuous or continuous for periods of time, and is carried out by monitoring, data recording and analysis or by such other methods as the **TSO** shall reasonably determine are appropriate in the circumstances. It does not require advance notification from the **TSO** to **Users**.
- OC.10.4.2 Where a data recording and analysis system is used for **Monitoring**, the **TSO** shall inform the **User** that such data recording and analysis system is being used and, on request from the **User**, shall make available to the **User** reasonable information in respect of the data recording and analysis system.
- OC.10.4.3 **Monitoring** may be carried out at any time by the **TSO** and may result, without the application of further **Testing**, in the evaluation by the **TSO** of **User** non-compliance. Where the **User** disputes a finding of non-compliance, the **TSO** shall provide the

**User** with any data collected during **Monitoring** over the period of alleged noncompliance and such other documentation as is reasonably necessary to show evidence of non-compliance.

- OC.10.4.4 Performance parameters that the **TSO** shall **Monitor** may include, but are not limited to, the following:
- OC.10.4.4.1 Compliance with **Dispatch Instructions**;
- OC.10.4.4.2 Compliance with **Declarations** including, without limitation, in respect of:
  - Primary, Secondary and Tertiary Operating Reserve provided by each of a Generator's Generation Units, following a Low Frequency Event on the Transmission System;
  - (b) Frequency Regulation provided by each Generation Unit (to confirm that it is consistent with the Declared Governor Droop); and
  - (c) Tertiary Operating Reserve 2 and Replacement Reserve provided by each of a Generator's Generation Units.
- OC.10.4.4.3 Compliance with IEC Power Quality standards; and
- OC.10.4.4.4 Provision of static and dynamic Reactive Power; and
- OC.10.4.4.5 Monitoring of **Primary Fuel** and **Secondary Fuel** capability, on-line changeover capability and fuel storage levels.
- OC.10.4.5 Monitoring systems and procedures
- OC.10.4.5.1 Procedures and systems used for assessment of compliance will be either generic procedures (which will be provided by the **TSO**) or otherwise agreed between the **TSO** and the **User**, such agreement not to be unreasonably withheld.

## OC.10.4.5.2 Compliance of **Demand Side Units** with **Dispatch Instructions**

A Demand Side Unit shall be deemed compliant with a Dispatch Instruction if:

- (i) the Demand Side Unit MW Response of the Dispatch Instruction is achieved in the Demand Side Unit MW Response Time and maintained until the subsequent Dispatch Instruction or until the Maximum Down-Time of the Demand Side Unit has elapsed; and
- (ii) the Demand Side Unit Performance Monitoring Percentage Error is less than 5% for each full quarter-hour Meter period of the Demand Side Unit MW Response for 90% of the last ten Dispatches or 90% of the Dispatches in a three-hundred and sixty-five day period

or

the **Demand Side Unit Performance Monitoring Error** is less than 0.250 **MWh** for each full quarter-hour **Meter** period of the **Demand Side Unit MW Response** in 90% of the last ten **Dispatches** or 90% of the **Dispatches** in a three-hundred and sixty-five day period; and

 (iii) the Demand Side Unit Performance Monitoring Percentage Error is less than 10% for each full quarter-hour Meter period of the Demand Side Unit MW Response

or

the **Demand Side Unit Performance Monitoring Error** is less than 0.250 **MWh** for each full quarter-hour **Meter** period of the **Demand Side Unit MW Response**; and

(iv) the Demand Side Unit Performance Monitoring Percentage Error is on average less than 5% for each full quarter-hour Meter period of the Demand Side Unit MW Response

or

the **Demand Side Unit Performance Monitoring Error** is on average less than 0.250 MWh for each full quarter-hour **Meter** period of the **Demand Side Unit MW Response**; and

(v) the **Demand Side Unit SCADA Percentage Error** is less than 5% or the **Demand Side Unit SCADA Error** is less than 0.250 **MWh**.

#### OC.10.5 Testing

- OC.10.5.1 Testing may involve attendance by the **TSO** or the **TSO** representative at **User Sites** in order to carry out **Tests** in accordance with the testing procedures set out in OC.10.5.7.
- OC.10.5.2 For the purposes of this OC10 a **Test** shall be carried out pursuant to a **Dispatch** Instruction from the **TSO** or by such alternative procedure as is required or permitted by this OC10.
- OC.10.5.3 A **Test** may require the **User** to carry out specific actions in response to a **Dispatch** Instruction.
- OC.10.5.4 The results of a **Test** may be derived from the **Monitoring** of performance during the **Test**.
- OC.10.5.5 The **TSO** may, from time to time, carry out **Tests** in order to determine that a **User** is complying with its **Connection Conditions**, **Registered Operating Characteristics** and **Declarations**. The **TSO** may:
  - (a) from time to time and for the purposes of Testing, issue a Dispatch
     Instruction;
  - (b) induce controlled Power System Frequency or Voltage conditions or variations for the purpose of determining that the Generation Unit's response is in accordance with its Declared Availability, Ancillary Service capabilities and Operating Characteristics; and
  - (c) having given three Business Days notice, or less where agreed, (identifying the Ancillary Service and/or Operating Characteristic to be tested), send a representative to the Generator's Site to verify by Testing in accordance with the Test procedures specified in OC.10.5.8, that the Generator is in compliance with its Declared values;
  - (d) request Start-Up on Secondary Fuel, or on-line changeover at Primary Fuel
     Switchover Output from Primary Fuel to Secondary Fuel or from Secondary
     Fuel to Primary Fuel at Secondary Fuel Switchover Output; and

- (e) having given the Generator one Business Day's notice send a representative to the Generator's Site to verify the Secondary Fuel stock levels both at the onsite Secondary Fuel storage location and if required at the Off-Site Storage Location.
- OC.10.5.6 If the **TSO** subcontracts **Testing** work on a **User's Site**, then the **User** and the **TSO** must be in agreement on the selection of a suitable subcontractor.
- OC.10.5.7 Black Start Testing
- OC.10.5.7.1 The **TSO** may require a **Generator** with a **Black Start Station** to carry out a test (a "**Black Start Test**") on a **CDGU** in a **Black Start Station** either while the **Black Start Station** remains connected to an external alternating current electrical supply (a "**Black Start Unit Test**") or while the **Black Start Station** is disconnected from all external alternating current electrical supplies (a "**Black Start Station Test**"), in order to demonstrate that a **Black Start Station** has a **Black Start Capability**.
- OC.10.5.7.2 Where the **TSO** requires a **Generator** with a **Black Start Station** to carry out a **Black Start Unit Test**, the **TSO** shall not require the **Black Start Test** to be carried out on more than one **CDGU** at that **Black Start Station** at the same time, and would not, in the absence of exceptional circumstances, expect any other **CDGU** at the **Black Start Station** to be directly affected by the **Black Start Unit Test**.
- OC.10.5.7.3 The **TSO** may require a **Generator** or **Interconnector Operator** with a **Black Start Station** to carry out a **Black Start Unit Test** at any time (but will not require a **Black Start Unit Test** to be carried out more than once in each calendar year in respect of any particular **CDGU** or **Interconnector** unless it can justify on reasonable grounds the necessity for further tests or unless the further test is a re-test, and will not require a **Black Start Station Test** to be carried out more than once in every two calendar years in respect of any particular **CDGU** unless it can justify on reasonable grounds the necessity for further tests or unless the further test is a re-test).
- OC.10.5.7.4 When the **TSO** wishes a **Generator** or **Interconnector Operator** with a **Black Start Station** to carry out a **Black Start Test**, it shall notify the relevant **Generator** or

Interconnector Operator at least 7 Business Days prior to the time of the Black Start Test with details of the proposed Black Start Test.

OC.10.5.7.5 All **Black Start Tests** shall be carried out at the time specified by the **TSO** in the notice given under OC.10.5.7.4 and shall be undertaken in the presence of a reasonable number of representatives appointed and authorised by the **TSO**, who shall be given access to all information relevant to the **Black Start Test**.

#### OC.10.5.8 Test Procedures

The proposed procedure for a **Test** will be notified to the **User** by the **TSO** in advance of the **Test**. For an existing procedure, three **Business Days** notice shall be given. For a new procedure, seven days notice shall be given and following receipt of such notification the **User**, acting in good faith, may, by five days notice to the **TSO**, reasonably object to the proposed procedure on the grounds that there will be a material risk to the safety of the **User's Plant** or personnel, or that the proposed procedure is technically infeasible or inappropriate to the purpose (in accordance with **Good Industry Practice**), giving full details of its concerns. In the event that the **User** so objects, the **TSO** shall, as it considers necessary, modify the procedure and re-notify the **User**.

- OC.10.5.8.1 The **TSO** shall treat information collected from **Users** during **monitoring** and **testing** as confidential.
- OC.10.5.9 Results of **Testing** shall be dealt with in accordance with OC.10.7.

#### OC.10.6 Investigation

OC.10.6.1 The **TSO** may, if it reasonably considers that there may be an issue of noncompliance by the **User**, carry out an **Investigation** to acquire or verify information relevant to **Users' Plant** and/or **Apparatus** design, operation or connection requirements under the **Grid Code**, **Connection Agreements**, **Ancillary Service Agreements and System Support Agreements** between **Users** and the **TSO**.

OC.10.6.2 Investigation by the TSO usually applies to information not collected on a regular basis by means of Monitoring and Testing. The TSO may, having given reasonable notice, send a representative or subcontractor to a User's Site in order to Investigate any equipment or operational procedure on or applicable to the User Site insofar as the condition of that equipment or operational procedure is relevant to compliance with the Grid Code, Connection Agreements, and/or other agreements between Users and the TSO.

#### OC.10.7 Consequences of Monitoring, Testing and Investigation

- OC.10.7.1 Non-compliance with a Dispatch Instruction issued by the TSO to a Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator.
- OC.10.7.1.1 When the **TSO** considers that a **Generator**, **Interconnector Operator**, a **Demand Side Unit Operator** or a **Generator Aggregator** is not in compliance with a **Dispatch Instruction** then the **TSO** shall inform the **Generator**, the **Interconnector Operator**, the **Demand Side Unit Operator** or the **Generator Aggregator** by agreed methods, identifying the relevant **Generation Unit**, **Interconnector or Demand Side Unit**, and identifying the **Dispatch Instruction** and the time of issue of the **Dispatch Instruction** with which the **TSO** considers the **Generator Aggregator** is not in compliance. This shall be known as a "**Warning** for non-compliance with a **Dispatch Instruction**". The **Warning** is to contain a **Dispatch Instruction** which may be identical to the original **Dispatch Instruction** or which may differ from it. The occurrence of the **Warning** shall be logged by the **TSO** and by the **Generator**, the **Interconnector Operator**, the **Demand Side Unit Operator** or the **Generator**, the **Interconnector Operator**, the
- OC.10.7.1.2 On receipt of a Warning for non-compliance with a Dispatch Instruction, the Generator, the Interconnector Operator, the Demand Side Unit Operator or the Generator Aggregator must as soon as possible, and in any case within ten (10) minutes of the receipt of the Warning:

- (a) commence to comply with the Dispatch Instruction included with the
   Warning (this may be the original or a modified Dispatch Instruction as outlined in OC.10.7.1.1); or
- (b) reply to the **TSO**, disputing in good faith the validity of the original **DispatchInstruction**, detailing the grounds on which the validity is being disputed; or
- (c) reply to the **TSO**, disputing in good faith the validity of the assessment of non-compliance. In this event the **Generator**, the **Interconnector Operator**, the **Demand Side Unit Operator** or the **Generator Aggregator** must as soon as is reasonably practicable, inform the **TSO** in detail of the grounds on which the assessment of non-compliance is being disputed; or
- (d) reply to the TSO, giving a reason for inability to comply with the Dispatch
   Instruction, and making a revised Declaration in respect of the Availability,
   Ancillary Service capabilities or Operating Characteristics, as appropriate.
- OC.10.7.1.3 If the **Generator**, **Interconnector Operator**, **Demand Side Unit Operator** or **Generator Aggregator** complies in accordance with OC.10.7.1.2 (a), no further action shall arise.
- OC.10.7.1.4 In the event of the Generator, Interconnector Operator, Demand Side Unit
   Operator or Generator Aggregator making a revised Declaration under OC.10.7.1.2 (d), the TSO shall then issue a new Dispatch Instruction, consistent with the revised
   Declaration. The revised Declaration will be backdated to the time of issue of the relevant Dispatch Instruction. Notwithstanding the backdating of the revised
   Declaration, the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator will still be deemed under OC.10.7.1.1 as having failed to comply with a Dispatch Instruction.
- OC.10.7.1.5 In the event of OC.10.7.1.2 (b) or OC.10.7.1.2 (c) applying, the TSO shall consider the substance of the Generator's, Interconnector Operator's, Demand Side Unit
   Operator's or Generator Aggregator's disputation. The TSO shall, where the TSO considers appropriate, communicate with the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator to clarify aspects relating to the issue and receiving of the Dispatch Instruction, and the Generator's, Interconnector Operator's, Interconnector Operator's, Demand Side Unit Operator's, Demand Side Unit Operator's, Demand Side Unit Operator's, Interconnector Operator's, Interconnector Operator's, Demand Side Unit Operator's, Interconnector Operator's, Demand Side Unit Operator's, Interconnector Operator's, Demand Side Unit Operator's or Generator

Generator's, Interconnector Operator's, Demand Side Unit Operator's or Generator Aggregator's disputation, and shall inform the Generator, Interconnector Operator's, Demand Side Unit Operator or Generator Aggregator as to its decision. The TSO shall record both its decision, and also all pertinent information relating to the event, including the Generator's, Interconnector Operator's, Demand Side Unit Operator's or Generator Aggregator's disputation and such information shall be deemed to be Operational Data.

- OC.10.7.1.6 Where the **TSO**, acting reasonably, is of the view that a disputation given by a Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator is not valid or not wholly valid or if the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator has not replied in accordance with OC.10.7.1.2, the **TSO** shall inform the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator that it is overriding, by means of a Post Event Notice, the Generator's Declaration or Interconnector's Declaration in respect of the Availability, Ancillary Service capabilities or Operating Characteristics of the Generation Unit or Interconnector as appropriate. The Post Event Notice shall govern until such times as the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator submits a revised Availability Notice.
- OC.10.7.1.7 Where the **TSO** gives a **Post Event Notice** under OC.10.7.1.6, the **Post Event Notice** shall be backdated to the time of issue of the relevant **Dispatch Instruction**, or the latest time for which there exists compelling evidence that the **Generation Unit**, **Interconnector** and **Demand Side Unit** was acting in compliance with the **Dispatch Instruction**, whichever is the later. The **Post Event Notice** shall set the level of **Declared Availability**, **Declared Ancillary Service** capability or declared **Technical Parameter**, as the case may be, at such level as the **Monitoring**, **Testing** or **Investigation** indicates the **Generation Unit** or **Interconnector** actually achieved. Notwithstanding the backdating of the **Post Event Notice**, the **User** will still be deemed under OC.10.7.1.1 as having failed to comply with a **Dispatch Instruction**.
- OC.10.7.1.8 In the event that the **Demand Side Unit Operator** is deemed by the **TSO** in accordance with the provisions of this OC.10 to be in non-compliance with its

Dispatch Instructions, that is the Demand Side Unit failed to comply with three (3) Dispatch Instructions in a one calendar month period then the TSO shall notify the Demand Side Unit Operator of the continued non-compliance. The Demand Side Unit Operator shall take immediate action to remedy such non-compliance. The terms of this OC.10.7.1.8 shall be without prejudice to the rights of the TSO to instruct the Market Operator that the Demand Side Unit is in breach of the Grid Code. In such cases the TSO may set the Demand Side Unit's Availability to zero or to a level as deemed appropriate by the TSO until Testing is completed on compliance with Dispatch Instructions.

# OC.10.7.2 Non-compliance by a Generator and Interconnector Operator with Declared Operating Reserve

- OC.10.7.2.1 In evaluating the adequacy of the performance of a **Generation Unit** or Interconnector as the case may be, the **TSO** shall compare the actual performance as measured, with the expected performance for that **Generation Unit** or Interconnector. The expected performance from the **Generation Unit** or Interconnector shall be calculated based on the **Frequency** deviation from the **Pre-**Incident Frequency, and the **Generation Unit's or Interconnector's** then **Declared** values of **Availability, POR, SOR, TOR1, TOR2** and **Governor Droop**;
- OC.10.7.2.2 Where the performance of a Generation Unit or Interconnector is deemed by the TSO to be in non-compliance with Declared Operating Reserve, then the TSO shall notify the Generator or Interconnector Operator, of the non-compliance, identifying the system or procedure by which non-compliance was measured. The TSO shall by means of a Post Event Notice override the Generator's or Interconnector Operator's Declaration in respect of Operating Reserve. The revised Declaration shall be effective from the time of commencement of the Test or Event on which the non-compliance has been assessed, or such later time as may, in the opinion of the TSO acting reasonably, be appropriate if the non-compliance did not apply to the full period of the Test or Event.
- OC.10.7.2.3 Following the notification of non-compliance, the **TSO** shall make available to the **Generator** or **Interconnector Operator** within three **Business Days** relevant data in relation to the system **Frequency** and **Generation Unit** or **Interconnector**

performance, that the **Generator** or **Interconnector** may reasonably require substantiating the assessment of non-compliance.

- OC.10.7.2.4 The consequences of non-compliance by a **Generator** or **Interconnector** with **Declared Operating Reserve** will be addressed in the **Trading and Settlement Code** and other agreements as appropriate.
- OC.10.7.3 Non-compliance by a Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator with an Availability Notice
- OC.10.7.3.1 In the event that the performance of a Generation Unit, Interconnector, Demand
   Side Unit or Aggregated Generator is deemed by the TSO to be in non-compliance
   with its Declared Availability, then the TSO shall notify the Generator,
   Interconnector Operator, Demand Side Unit Operator or the Generator Aggregator
   of the non-compliance.
- OC.10.7.3.2 Having so informed the Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator, the TSO shall, by means of a Post Event Notice, override the User's Availability Notice, with a value as appropriate to the outcome of the Test or Investigation. The revised Declaration shall be effective from the time of commencement of the Test or Investigation on which the non-compliance has been assessed, or such later time as may, in the opinion of the TSO acting reasonably, be appropriate if the non-compliance did not apply to the full period of the Test or Investigation.
- OC.10.7.3.3 The economic consequence of non-compliance by a Generator, Interconnector Operator, Demand Side Unit Operator or Generator Aggregator with Declared Availability will be addressed in the SEM Trading and Settlement Code and other agreements as appropriate.
- OC.10.7.4 Non-compliance by a Generator, Demand Side Unit Operator or Interconnector Operator with Declared Ancillary Services or Declared Technical Parameters
- OC.10.7.4.1 In the event that the performance of a Generation Unit, Demand Side Unit Operator or Interconnector is deemed by the TSO to be in non-compliance with its

Declared Ancillary Services capability or Operating Characteristics, then the TSO shall notify the Generator, Demand Side Unit Operator or Interconnector Operator of the non-compliance, and having so informed the Generator, Demand Side Unit Operator or Interconnector Operator then the TSO shall by means of a Post Event Notice override the Generator's Declaration, Demand Side Unit Operator's Declaration or Interconnector Operator's Declaration in respect of Ancillary Services or Operating Characteristics as appropriate.

OC.10.7.4.2 The consequences of non-compliance by a Generator, Demand Side Unit Operator or Interconnector Operator with Declared Ancillary Services or Declared Technical Parameters will be addressed in the SEM Trading and Settlement Code and other agreements as appropriate.

# OC.10.7.5 Non-compliance by a User with a Connection Condition or Registered Operating Characteristics

- OC.10.7.5.1 In the event that the performance of a **Generation Unit** is deemed by the **TSO** in accordance with the provisions of this OC10 to be in non compliance with its **Operating Characteristics** or with a **Connection Condition**, then the **TSO** shall notify the **Generator** of the non-compliance and the **Generator** shall take immediate action to remedy such non compliance. The terms of this OC.10.7.5 shall be without prejudice to the rights of the **TSO** to **De-energise** the **Generator's Plant** and **Apparatus** in accordance with the terms of OC.9.6.
- OC.10.7.5.2 In the event that the performance of an Interconnector is deemed by the TSO in accordance with the provisions of this OC.10 to be in non-compliance with its Operating Characteristics, or with a Connection Condition, then the TSO shall notify the Interconnector Operator of the non-compliance and the Interconnector Operator shall take immediate action to remedy such non compliance. The terms of this OC.10.7.5 shall be without prejudice to the rights of the TSO to De-energise the Interconnector and Apparatus in accordance with the terms of OC.9.6.
- OC.10.7.5.3 In the event that the performance of a **Demand Side Unit** is deemed by the **TSO** in accordance with the provisions of this OC.10 to be in non-compliance with its

**Operating Characteristics** or with a **Connection Condition**, then the **TSO** shall notify the **Demand Side Unit Operator** of the non-compliance and the **Demand Side Unit Operator** shall take immediate action to remedy such non compliance. The terms of this OC.10.7.5 shall be without prejudice to the rights of the **TSO** to **De-energise** the **Demand Site** and **Apparatus** in accordance with the terms of OC.9.6.

- OC.10.7.6 Failure of a Black Start Test
- OC.10.7.6.1 A Black Start Station shall fail a Black Start Test if the Black Start Test shows that it does not have a Black Start Capability (i.e. if the relevant Generating Unit fails to be Synchronised to the System within two hours of the Auxiliary Gas Turbine(s) or Auxiliary Diesel Engine(s) being required to start).
- OC.10.7.6.2 If a **Black Start Station** fails to pass a **Black Start Test** the **Generator** or Interconnector Operator must provide the **TSO** with a written report specifying in reasonable detail the reasons for any failure of the test so far as they are then known to the **Generator** or **Interconnector Operator** after due and careful enquiry. This must be provided within five **Business Days** of the test. If a dispute arises relating to the failure, the **TSO** and the relevant **Generator** or **Interconnector Operator** shall seek to resolve the dispute by discussion, and if they fail to reach agreement, the **Generator** or **Interconnector Operator** may require the **TSO** to carry out a further **Black Start Test** on 48 hours notice which shall be carried out following the agreed procedure as the case may be, as if the **TSO** had issued an instruction at the time of notice from the **Generator** or **Interconnector Operator**.
- OC.10.7.6.3 If the **Black Start Station** concerned fails to pass the re-test and a dispute arises on that re-test, either party may use the **Disputes Resolution Procedure** for a ruling in relation to the dispute, which ruling shall be binding.
- OC.10.7.6.4 If following the procedure in OC.10.7.6.2 and OC10.7.6.3 it is accepted that the **Black Start Station** has failed the **Black Start Test** (or a re-test carried out under OC.10.7.6.2), within 14 days, or such longer period as the **TSO** may reasonably agree, following such failure, the relevant **Generator** or **Interconnector Operator** shall submit to the **TSO** in writing for approval, the date and time by which that **Generator** or **Interconnector Operator** shall have brought that **Black Start Station** to

a condition where it has a **Black Start Capability** and would pass the **Black Start Test**, and the **TSO** will not unreasonably withhold or delay its approval of the **Generator's** or **Interconnector Operator's** proposed date and time submitted. Should the **TSO** not approve the **Generator's** or **Interconnector Operator's** proposed date and time (or any revised proposal) the **Generator** or **Interconnector Operator** shall revise such proposal having regard to any comments the **TSO** may have made and resubmit it for approval.

OC.10.7.6.5 Once the **Generator** or **Interconnector Operator** has indicated to the **TSO** that the **Generating Station** or **Interconnector** has a **Black Start Capability**, the **TSO** shall either accept this information or require the **Generator** or **Interconnector Operator** to demonstrate that the relevant **Black Start Station** has its **Black Start Capability** restored, by means of a repetition of the **Black Start Test** referred to in OC.10.5.7.4following the same procedure as for the initial **Black Start Test**. The provisions of this OC.10.5.7 will apply to such test.

#### OC.10.8 Disputation of Assessment of Non-Compliance by a User

- OC.10.8.1 In the event that a **User** has received notification from the **TSO** of an assessment of non-compliance and/or application of a **Post Event Notice** under **OC7** then the **User** may reply to the **TSO** disputing in good faith the validity of either the assessment of non-compliance and/or the content of the **Post Event Notice**, detailing the grounds on which the validity is being disputed. Any disputation should be submitted within 12 hours although additional information in support of the disputation may follow within two **Business Days**.
- OC.10.8.2 If a **User** submits a disputation to the **TSO** under OC.10.8.1, then the **TSO** shall consider the substance of the **User's** disputation. The **TSO** may, where the **TSO** considers appropriate, communicate with the **User** to clarify aspects of the assessment of non-compliance or the **User's** disputation.
- OC.10.8.3 The **TSO** shall determine the validity of the **User's** disputation, and shall inform the **User** within five **Business Days** as to its decision. The **TSO** shall alter or revise any assessment of non-compliance and/or **Post Event Notices** as appropriate.

OC.10.8.4 In the event that there is still disagreement as to the outcome, the dispute shall if requested by either the **TSO** or the **User**, be referred to the **CRU**.

# OC.11 Safety Co-ordination

## OC.11.1 Introduction

- OC.11.1.1 In order to adequately maintain and repair damage to **Transmission System Plant** and/or **Apparatus** it will be necessary for the **TSO** and/or its agents to work on or in close proximity to **Transmission System Plant** and **Apparatus**, or in close proximity to **User's Plant** and **Apparatus**.
- OC.11.1.2 Users and/or their agents will similarly need to work on or in close proximity to User's Plant and Apparatus which is connected to, or capable of being connected to in an approved manner, the Transmission System, and from time to time to work in close proximity to Transmission System Plant and Apparatus.
- OC.11.1.3 It will also be necessary to facilitate work by third parties in close proximity to **Transmission System Plant** and **Apparatus**.
- OC.11.1.4 In order to ensure safe working conditions for each of the above situations, the **TSO**, the **TAO**, **Users**, and/or their respective agents shall comply with their applicable **Safety Rules** and Irish and EU Health and Safety Legislation.
- OC.11.1.5 In the event of a conflict between OC.11 (Safety Co-ordination) and any other section of the **Grid Code**, OC.11 shall take precedence.

# OC.11.2 Objective

OC.11.2.1 The objective of OC11 is to ensure that the **TSO**, **Users** and their respective agents operate in accordance with approved safety rules, which ensure the safety of personnel working on or in close proximity to **Transmission System Plant** and

**Apparatus** or personnel who may have to work at or use the equipment at the interface between the **Transmission System** and the **User System**.

- OC.11.2.2 This will normally involve making electrical **Plant** dead and suitably isolating / disconnecting (from all sources of **Energy**) and **Earthing** that **Plant** such that it cannot be made live.
- OC.11.2.3 The Safety Rules shall also cover work on live Transmission System Plant and Apparatus.

# **OC.11.3 Scope**

OC11 applies to the **TSO** and to the following **Users**:

- (a) Generators;
- (b) Interconnector Operators;
- (c) the Distributor System Operator;
- (d) **Demand Customers**;
- (e) **Demand Side Unit Operators**;
- (f) the **TAO**; and
- (g) agents of the TSO or agents of any User (as defined in OC.11.3(a),(b),(c) and (d)).

# OC.11.4 Safety Rules

OC.11.4.1 The safety of personnel working on or in close proximity to **Transmission System**Plant and Apparatus for or on behalf of the **TSO** is governed by the ESB Networks
Electrical Safety Rules.

The safety of personnel working on or in close proximity to User Plant and Apparatus is governed by the ESB Networks Electrical Safety Rules, ESB Power Generation Electrical Safety Rules or Users Safety Rules, as appropriate.

- OC.11.4.2 In the event of a conflict between the provisions of this Code and the provisions of the ESB Networks Electrical Safety Rules, the provisions of the ESB Networks Electrical Safety Rules shall take precedence.
- OC.11.4.3 In the event of a conflict between the provisions of this Code and the provisions of the ESB Power Generation Electrical Safety Rules, the provisions of the ESB Power Generation Electrical Safety Rules shall take precedence.
- OC.11.4.4 Where clarification is required regarding the correct interpretation of a rule within the ESB Networks Electrical Safety Rules, the TSO shall issue the interpretation to the User as provided by the person responsible for the ESB Networks Electrical Safety Rules following consultation with the relevant parties.

# OC.11.5 Safety as the Interface between the Transmission System and the User System

- OC.11.5.1 There shall be a **Designated Operator** for each **User Site.**
- OC.11.5.2 **Operation Instructions** for each **User Site** shall, following consultation with the relevant **User**, be issued by the **TSO to the User** and will include:
  - (a) detail on the demarcation of responsibility for safety of persons carrying out work or testing at the User's Site and on circuits which cross the User's Site at any point.
  - (b) detailed switching sequences for voluntary, fault and emergency switching;
  - (c) control and operational procedures;
  - (d) identification of operational boundaries;
  - (e) identity of the representatives of the TSO and the User(s) and/or their respective agents who will attend the Transmission Station and/or facility for operation and during emergencies;
  - (f) other matters agreed between the **TSO** and **User**.

- OC.11.5.3 The **TSO** and each **User** shall co-operate in developing procedures and agreement on any matters that may be relevant for ensuring overall **Site** safety and, in particular, the overall safety of equipment at the interface between the **Transmission System** and the **User System**.
- OC.11.5.4 In the event of a **Modification** or a change in operational practices, which may have an **Operational Effect** on a **User Site**, the **TSO** and the **User** shall review the adequacy of overall **Site** safety.
- OC.11.5.5 Adequate means of isolation / disconnection (from all sources of **Energy** shall be provided at the interface between the **Transmission System** and the **User System** to allow work to be carried out safely at, or either side of this point, by the **TSO** and each **User**.
- OC.11.5.6 Where necessary adequate facilities for Earthing and short circuiting shall be applied to Plant and/ or Apparatus at either side of the interface between the Transmission
   System and the User System, to allow work to be carried out safely at or either side of this point.

# SDC1 Scheduling and Dispatch Code No.1

# **Unit Scheduling**

# SDC1.1 Introduction

### SDC1.1.1 SEM Provisions

- (a) This Scheduling and Dispatch Code No. 1 ("SDC1") forms part of the Sections under Common Governance of the Grid Code. The Sections under
   Common Governance are those parts of the Grid Code which are under common governance in both the Grid Code and the Other Grid Code.
- (b) The form of this SDC1 is similar to the SDC1 in the **Other Grid Code**. Differences relate to references to relevant power systems and related terms. Where there is a difference between a provision in this **Grid Code** and an equivalent provision in the **Other Grid Code**, the wording in question is shaded in grey. In addition, those parts of this SDC1 that are not part of the **Other Grid Code** are shaded in grey in this SDC1. Differences between the form of this SDC1 and the SDC1 in the **Other Grid Code** are summarised in Annex 1 to this SDC1.
- (c) This SDC1 is intended to work in conjunction with other documents, including the Trading and Settlement Code ("TSC"). The provisions of the Grid Code and the Other Grid Code will take precedence over the TSC.
- Where stated in SDC1 the obligation to submit data in relation to some of the information required to be provided to the TSO may be fulfilled by Users where such information submitted under the TSC by a User or by an Intermediary on behalf of Users is then provided to the TSO by the Market Operator in accordance with the TSC, as further provided in this SDC1. The TSO may require Users to verify or provide revisions to data received by it via the Market Operator.
- (e) Further provisions dealing with the Sections under Common Governance are contained in the General Conditions.

- SDC1.1.2SDC1 sets out the procedure used by the **TSO** to develop unit commitmentschedules in respect of **CDGU's, Controllable PPMs** and **Demand Side Units**including the requirements for **Users** to submit data to support this procedure:
  - <u>Availability</u>: the submission by a User to the TSO of an Availability Notice in respect of each of its:
    - (i) CDGUs (which for the avoidance of doubt comprise, Generating Units subject to Central Dispatch, CCGT Installations, Hydro Units, Pumped Storage Generation (but not Pumped Storage Plant Demand or Energy Station Power Station Demand) and Dispatchable PPMs);
    - (ii) Pumped Storage Plant Demand;
    - (iii) Energy Storage Power Station Demand;
    - (iv) Interconnector Availability (in the case of the Interconnector Owner);
    - (v) Demand Side Units;
    - (vi) in the case of Generator Aggregators, its Aggregated GeneratingUnits; and
    - (vii) Controllable PPMs.
  - ii. <u>Technical Parameters</u>: the notification to the TSO of the Technical Parameters, in respect of the following Trading Day, by each User in a Technical Parameters Notice, notification of Other Relevant Data and notification of other technical data including *Ancillary Services* capability;
  - iii. <u>Commercial Offer Data</u>: the notification of Commercial Offer Data in accordance with the TSC;
  - iv. <u>Physical Notifications</u>: the declaration by a User to the TSO of Physical Notifications data in accordance with the TSC;
  - v. Interconnector Schedule Quantities: the declaration by a Scheduling Agent to the TSO of Interconnector Schedule Quantities in accordance with the TSC;

- vi. <u>Revisions/Re-declarations</u>: revisions / Re-declarations of such information as provided for this in SDC1;
- vii. <u>Indicative Operations Schedules</u>: the periodic production and issuing by the TSO of Indicative Operation Schedules as required under SDC1.4.7 as a statement of which:
  - (i) CDGUs;
  - (ii) Pumped Storage Plant Demand;
  - (iii) Energy Storage Power Station Demand;
  - (iv) Interconnectors;
  - (v) Demand Side Units;
  - (vi) Aggregated Generating Units; and/or
  - (vii) Controllable PPMs

may be required.

SDC1.1.3 The **TSO** (in conjunction with the **Other TSO**) shall develop, maintain and publish the process describing the methodology and parameters to be used by the **TSO** (and the **Other TSO**) in fulfilling their responsibilities under this SDC1 and SDC2.

## SDC1.2 Objective

The objectives of SDC1 are:

- (a) to ensure (so far as possible) the integrity of the Transmission System and to ensure that the TSO acts in conjunction with the Other TSO so that the Other TSO can ensure the integrity of the Other Transmission System (with the Other TSO having a similar objective in the Other Grid Code);
- (b) to ensure the security and quality of supply in relation to the Transmission System and to ensure that the TSO acts in conjunction with the Other TSO so that the Other TSO can ensure the security and quality of supply in relation to the Other Transmission System (with the Other TSO having a similar objective in the Other Grid Code);

- (c) to ensure that sufficient available capacity is scheduled to meet the electrical power demand, and thereby in conjunction with the **Other TSO** to ensure that sufficient available capacity is scheduled to meet the demand on the Island of Ireland at all times and in both cases together with an appropriate margin of reserve;
- (d) to enable the TSO, in conjunction with the Other TSO, to prepare and update Indicative Operations Schedules to be used in the scheduling and Dispatch process;
- (e) to ensure that **Indicative Operations Schedules** are published as provided for in this SDC1.

and, subject to delivering the objectives in SDC1.2(a), SDC1.2(b), and SDC1.2(c) and taking account of the factors set out in [SDC1.4.7.3],

- (f) to minimise the cost of scheduled divergence from the **Physical Notifications** in accordance with **Merit Order**, subject to SDC1.2(g);
- (g) in fulfilling the objective in SDC1.2(c), minimise the requirement to issue **Notices to Synchronise** before **Gate Closure 2**.

## SDC1.3 Scope

SDC1 applies to the **TSO** and to the following **Users**:

(a) **Generators** with regard to their:

CDGUs;

and Controllable PPMs.

- Pumped Storage Generators with regard to their Pumped Storage Plant Demand;
- (c) Energy Storage Generators with regard to their Energy Storage Power Station Demand;
- In respect of the submission of Availability Notices under SDC1.4.1,
   Interconnector Owners with regard to their Interconnectors;

- In respect of the submission of Interconnector Schedule Quantities under SDC1.4.4.6, Scheduling Agents with regard to the scheduling of imports and exports across each Interconnector they have been nominated to schedule;
- (f) Demand Side Unit Operators in relation to their Demand Side Units; and
- (g) Generator Aggregators in respect of their Aggregated Generating Units.

Each of which (other than the **TSO**) is a "User" under this SDC1.

## SDC1.4 Procedure

SDC1.4.1 Availability Notice

#### SDC1.4.1.1 Requirement

- (a) Each User shall, by not later than the Gate Closure 1 each day, notify the TSO by means of an Availability Notice (in such form as the TSO may reasonably notify from time to time or in the form published on the TSO website) of changes to the Availability, and/or Demand Side Unit MW Availability (as the case may be) of each of its:
  - (i) CDGUs;
  - (ii) Controllable PPMs;
  - (iii) Pumped Storage Plant Demand;
  - (iv) Energy Storage Plant Demand;
  - (v) Interconnectors (to be submitted by the Interconnector Owner);
  - (vi) Demand Side Units; or
  - (vii) Aggregated Generating Units as the case may be.
- (b) A User may satisfy this obligation by submitting the data under the TSC, unless the TSO requires, by notice to the User, the data to be submitted to it directly under the Grid Code.
- (c) A Generator Aggregator will satisfy the obligation in this SDC1.4.4.1 by notifying to the TSO in an Availability Notice in the form described in

paragraph (a) above the **Availability** of its **Aggregated Generating Units** as the case may be.

(d) As a general requirement, the User shall ensure that the data in any Availability Notice or any revision thereto is consistent with its obligations under SDC1.4.3.2 and SDC1.4.3.4.

#### SDC1.4.1.2 Content

- (a) The Availability Notice shall state the Availability of the relevant CDGU,
   Controllable PPM, Interconnector, Demand Side Unit or Pumped Storage
   Plant Demand as the case may be, (including, in the case of a CCGT
   Installation, the Availability of each of the CCGT Units within it) for each
   Imbalance Settlement Period in the time up to and including the end of the
   relevant Trading Day (subject to revision under SDC1.4.3.6). A new
   Availability Notice will supersede the previous one in relation to Availability
   for Imbalance Settlement Periods which are covered by the new one.
- (b) In respect of Interconnectors, the Availability Notice shall state the physical capability of the Interconnector, and shall take account of any further restrictions placed by any relevant agreement or the provisions of any licence in respect of the Interconnector, but shall not otherwise take account of any expected transmission constraints or other aspects of the operation of the Transmission System or an External System. A new Availability Notice will supersede the previous one in relation to Availability for Imbalance Settlement Periods which are covered by the new one.
- In the case of a Generator Aggregator, the Availability Notice shall state the
   Availability of its Aggregated Generating Units as a whole.

#### SDC1.4.1.3 Whole Numbers

The **MW** figure stated in the **Availability Notice** shall be a whole number.

#### SDC1.4.1.4 Atmospheric Conditions

In the case of **CDGUs** and **Controllable PPMs** which are affected by ambient conditions, an **Availability Notice** submitted by a **Generator** shall be stated as being the **User's** best estimate of the prevailing atmospheric conditions for **the Imbalance Settlement Period** to which each part of the **Availability Notice** relates.

### SDC1.4.2 Additional Grid Code Availability Notice

The following items are required to be submitted by each **User** by no later than the **Gate Closure 1** each day, with the exception of **Aggregators** and **Demand Side Unit Operator**, direct to the **TSO**, regardless of whether these have to be submitted under the **TSC**. The requirements in SDC1.4.1 in relation to data apply to this SDC1.4.2 as if repeated here.

# SDC1.4.2.1 Fuels

In the case where a **CDGU** is capable of firing on different fuels, then the **Generator** shall submit an **Availability Notice** setting out the information in SDC1.4.1 above for each fuel for the **CDGU**.

# SDC1.4.2.2 CCGT Availability

- (a) The Availability of each CCGT Unit within each CCGT Installation;
- (b) In the case of a CCGT Installation, the CCGT Installation Matrix submitted by the Generator under PC.A4.3 of the Planning Code Appendix (as may be revised as therein provided is used and relied upon by the TSO as a 'look up table' to determine the number of CCGT Units within a CCGT Installation which will be synchronised to achieve the MW Output specified in a Dispatch Instruction. When using a CCGT Installation Matrix for Scheduling purposes, the TSO will take account of any updated information on the individual Availability of each CCGT Unit contained in an Availability Notice submitted by a Generator pursuant to this SDC1. The individual Availability figures submitted under this SDC1.4.2.2 must be consistent with the Generator's submission under the TSC.

- (c) It is accepted that in cases of change in MW Output in response to Dispatch instructions issued by the TSO, there may be a transitional variance to the conditions reflected in the CCGT Installation Matrix. Each Generator shall notify the TSO as soon as practicable after the event of any such variance.
- (d) In achieving a **Dispatch Instruction** the range or number of **CCGT Units** envisaged in moving from one **MW Output** level to the other should not be departed from.
- (e) There is a provision in SDC1.4.3.6 for the Generator to revise the individual Availability of each CCGT Unit within each CCGT Installations, subject always to the provisions of this SDC1.4.2.2;
- SDC1.4.3 General Availability Requirements
- SDC1.4.3.1 Availability of Generating Units

Each Generator and Generator Aggregator shall in relation to its CDGUs, Controllable PPMs or Aggregated Generating Units maintain, repair, operate and fuel the CDGU and/or Controllable PPM and/or Aggregated Generating Unit as required by *Prudent Utility Practice* and any legal requirements applicable to its jurisdiction, with a view to providing the required *Ancillary Services as provided for in an Ancillary Services Agreement*.

- SDC1.4.3.2 Each Generator, and where relevant each Generator Aggregator, shall, subject to the exceptions in 0 and SDC1.4.3.3A, use reasonable endeavours to ensure that it does not at any time declare in the case of its CDGU, Controllable PPM, or Aggregated Generating Unit, the Availability or Technical Parameters at levels or values different from those that the CDGU, Controllable PPM, and/or an Aggregated Generating Unit could achieve at the relevant time. The TSO can reject declarations to the extent that they do not meet these requirements.
- SDC1.4.3.3 SDC1.4.3.2 shall not apply to the extent:
  - (a) it would require the Generator or, where relevant, the Generator
     Aggregator to declare levels or values better than the Registered Capacity

and Technical Parameters as submitted under the Planning Code in respect of a CDGU, a Controllable PPM and/or an Aggregated Generating Unit;

- (b) necessary during periods of *Scheduled Outage* or *Short Term Scheduled Outage* or otherwise with the consent of the TSO;
- (c) necessary while repairing or maintaining the CDGU, the Controllable PPM and/or the Aggregated Generating Unit or equipment necessary to the operation of the CDGU, the Controllable PPM and/or the Aggregated Generating Unit where such repair or maintenance cannot reasonably, in accordance with *Prudent Utility Practice*, be deferred to a period of *Scheduled Outage* or *Short Term Scheduled Outage*;
- (d) necessary to avoid an imminent risk of injury to persons or material damage to property (including the CDGU, the Controllable PPM and/or the Aggregated Generating Unit); or
- (e) it is not lawful for the Generator to operate the CDGU, the ControllablePPM and/or the Aggregated Generating Units.
- SDC1.4.3.3ASDC1.4.3.2 shall not apply for a CDGU, a Controllable PPM, an AggregatedGenerating Unit, Energy Storage Power Station Demand or Pumped Storage PlantDemand that is disconnected during the any one or more of the following:
  - (a) Any TSO scheduled Annual Maintenance Outage or portion thereof on the
     Outturn Availability Connection Asset lasting up to and including a
     maximum of five days in total in a calendar year; or
  - (b) Where work to the Transmission System is being carried out that is driven by the relevant CDGU, Controllable PPM, Aggregated Generating Unit, Energy Storage Power Station Demand or Pumped Storage Plant Demand or driven by works related to the Connection Agreement of the relevant CDGU, Controllable PPM, Aggregated Generating Unit, Energy Storage Power Station Demand or Pumped Storage Plant Demand. This does not include work carried out related to another Generation Unit with a different Connection Point but a shared asset.

The relevant CDGU, Controllable PPM, Aggregated Generating Unit, Energy Storage Power Station Demand or Pumped Plant Demand shall declare Availability at a value of zero during any one or more of (a) or (b) above, as advised by the TSO.

### SDC1.4.3.4 Availability of Demand Side Units

Each **Demand Side Unit Operator** shall, subject to the exceptions in SDC1.4.3.5 and SDC1.4.3.5A, use reasonable endeavours to ensure that it does not at any time declare the **Demand Side Unit MW Availability** and the **Demand Side Unit** characteristics of its **Demand Side Unit** at levels or values different from those that the **Demand Side Unit** could achieve at the relevant time. The **TSO** can reject declarations to the extent that they do not meet these requirements.

- SDC1.4.3.5 SDC1.4.3.4 shall not apply to the extent:
  - (a) it would require the Demand Side Unit Operator to declare levels or values better than Demand Side Unit MW Capacity and Technical Parameters as submitted under the Planning Code in respect of a Demand Side Unit;
  - (b) necessary during periods of *Scheduled Outage* or *Short Term Scheduled Outage* or otherwise with the consent of the TSO;
  - (c) necessary while repairing or maintaining the Demand Side Unit or equipment necessary to the operation of the Demand Side Unit where such repair or maintenance cannot reasonably, in accordance with *Prudent Utility Practice*, be deferred to a period of *Scheduled Outage* or *Short Term Scheduled Outage*.
  - (d) necessary to avoid an imminent risk of injury to persons or material damage to property (including the **Demand Side Unit**);
  - (e) it is not lawful for the Demand Side Unit Operator to change its Demand
     Side Unit MW Response or to operate its Demand Side Unit.
- SDC1.4.3.5A SDC1.4.3.4 shall not apply for a **Demand Side Unit** that is disconnected during any one or more of the following:

- (a) Any TSO scheduled Annual Maintenance Outage or protion thereof on the
   Outturn Availability Connection Asset lasting up to and including a
   maximum of five days in total in a calendar year; or
- (b) Where work to the Transmission System is being carried out that is driven by the relevant Demand Side Unit or driven by works related to Connection Agreement of the relevant Demand Side Unit. This does not include work carried out to another Generating Unit with a different Connection Point but a shared asset.

The relevant **Demand Side Unit** shall declare **Availability** at a value of zero during any one or more of (a) or (b) above, as advised by the **TSO**.

#### SDC1.4.3.6 Changes in Availability:

- a) A **User** may, subject to this SDC1.4.3 and as provided for in this SDC1, make revisions to the **Availability Notice** submitted to the **TSO** under SDC1.4.1.1at any time after submission of the **Availability Notice** in accordance with its obligations to make the unit **Available** under SDC1.4.3 by submission by the **Electronic Interface** of a revised **Availability Notice** which shall be in the form set out on the **TSO** website or in such other form as the **TSO** may reasonably notify to each **User** from time to time.
- b) In the event that the TSO submits a Post Event Notice under OC.10 in relation to any part of the period covered by the Availability Notice at any time after submission of the Availability Notice, the User shall be deemed to have submitted a revised Availability Notice consistent with such Post Event Notice.
- c) The revisions to the Availability Notice may include revisions of the levels of Availability in the CCGT Installation Matrix reflecting the revised Availability.
- d) <u>Additional Grid Code Availability Notice</u>: A User may, subject to SDC1.4.3 and to the provisions of this SDC1, make revisions to the Additional Grid Code Availability Notice submitted to the TSO under SDC1.4.2 at any time after the submission of the Additional Grid Code Availability Notice in accordance with its obligations to make the unit Available under SDC1.4.3 by submission by the Electronic Interface of a revised Additional Grid Code

**Availability Notice**. The Notice shall be in the form set out on the **TSO** website or in such other form as the **TSO** may reasonably notify each **User** from time to time.

- e) Increasing Availability: If a Generator, a Generator Aggregator or a Demand Side Unit Operator in respect of a CDGU, an Aggregated Generating Unit, a Demand Side Unit, Energy Storage Power Station or Pumped Storage Plant in relation to Demand, issues an Availability Notice increasing (from zero or otherwise) the level of Availability or Demand Side Unit MW Availability from a specified time, such notice shall be construed as meaning that:
  - (i) in the case of a CDGU and/or Aggregated Generating Unit, the
     CDGU and/or Aggregated Generating Unit is capable of being
     synchronised to the Transmission System or Distribution System at
     that specified time or increasing its MW Output at that specified
     time as the case may be;
  - (ii) in the case of a CDGU which is an Open Cycle Gas Turbine, theCDGU is capable of being started at that specified time; or
  - (iii) in the case of a **Demand Side Unit**, the **Demand Side Unit** is capable of delivering a greater **Demand Side Unit MW Response** at that specified time.
- f) <u>Controllable PPM:</u> If a Generator or, where relevant a Generator
   Aggregator, in respect of a Controllable PPM, issues an Availability Notice
   increasing (from zero or otherwise) or decreasing the level of Availability
   from a specified time, such notice shall be effective from the Imbalance
   Settlement Period following the specified time.
- g) <u>Decreasing Availability</u>: When a CDGU and/or Controllable PPM is
   Synchronised to the System the Generator may have occasion to issue an
   Availability Notice decreasing the level of Availability of the CDGU and/or
   Controllable PPM from a specified time. Such notice shall be construed as
   meaning that the CDGU and/or Controllable PPM is capable of maintaining

Load at the level of the prevailing Availability until the time specified in the notice. Thereafter, the CDGU and/or Controllable PPM shall be capable of maintaining Load to the level which would have been achieved if a Dispatch Instruction had been given to reduce the Load. This would have occurred with effect from the specified time, at the maximum De-Loading Rate and/or Ramp-Down Rate declared for the CDGU and/or Controllable PPM as a Technical Parameter at such time down to the level of Availability specified in the new Availability Notice.

When a **Demand Side Unit** is providing a **Demand Side Unit MW Response** the **Demand Side Unit** may have occasion to issue an **Availability Notice** decreasing the level of **Demand Side Unit MW Availability** of the **Demand Side Unit** from a specified time. Such notice shall be construed as meaning that the **Demand Side Unit** is capable of maintaining **Demand Side Unit MW Response** at the level of the prevailing **Demand Side Unit MW Availability** until the time specified in the notice. Thereafter, the **Demand Side Unit** shall be capable of maintaining **Demand Side Unit MW Response** to the level which would have been achieved if a **Dispatch Instruction** had been given to reduce the **Demand Side Unit MW Response**. This would have occurred with effect from the specified time, at the **Maximum Ramp Down Rate** declared for the **Demand Side Unit as a Technical Parameter** at such time down to the level of **Demand Side Unit MW Availability** specified in the new **Availability Notice**.

(h) If an Interconnector Owner in respect of an Interconnector issues an
 Availability Notice increasing (from zero or otherwise) or decreasing the
 Availability of the Interconnector as a whole from a specified time, such
 notice shall, subject to SDC1.4.3.6a) be effective immediately following the
 specified time.

#### SDC1.4.3.7 Default Availability:

(a) Insofar as any data submitted or deemed to have been submitted on any particular day in any Availability Notice, or any revision thereto is inconsistent with any other data in any other such notice, then the most recently submitted

data which, if substituted for the inconsistent data, would make the data in such notices consistent, shall apply for the next following **Trading Day** or any other values that the **TSO** may reasonably deem appropriate.

(b) Insofar as an Availability Notice is not submitted, the User shall be deemed to have submitted an Availability Notice by Gate Closure 1 stating that the Availability of the relevant CDGU, Controllable PPM, Demand Side Unit and/or the Aggregated Generating Units for the whole of the relevant Trading Day will be the level of Availability and Operating Mode declared in respect of the final Imbalance Settlement Period of the current Trading Day or any other values that the TSO may reasonably deem appropriate.

## SDC1.4.3.8 Outturn Availability

Outturn Availability shall be set equal to the declared value of Availability.

SDC1.4.4 Technical and Commercial Data Requirements

#### SDC1.4.4.1 <u>Technical Parameters</u>

a)

- (i) By not later than the **Gate Closure 1**, each **User** shall in respect of each:
  - CDGU;
  - Controllable PPM;
  - Aggregated Generating Unit,
  - Pumped Storage Plant Demand
  - o Energy Storage Power Station Demand; and/or
  - o Demand Side Unit,

submit to the **TSO** a **Technical Parameters Notice** in such form as the **TSO** may reasonably notify to each **User** or in the form published on the **TSO** website from time to time, containing the **Technical Parameters** to apply for the relevant **Trading Day**.

- (ii) A User may satisfy this obligation by submitting the data under the TSC, unless the TSO requires, by notice in writing to the User, the data to be submitted to it under the Grid Code.
- (iii) Subsequent revisions to the Technical Parameters Notice may be submitted according to the technical offer data submission provisions as set out in the TSC. If there is a change to the data submitted under the TSC, the User shall notify the TSO.
- (iv) As a general requirement, the User shall ensure that the data in any Technical Parameters Notice, or any revision thereto is consistent with its obligations under SDC1.4.3.2 and SDC1.4.3.4.

## (b) <u>Flexibility</u>:

- (i) In the case of any Technical Parameters as to which the User should, acting in accordance with *Prudent Utility Practice*, have some flexibility either in the revision itself or in the time at which the revision is to take effect the TSO may, acting reasonably, suggest an amended data figure and/or an amended time at which the data figure is to take effect.
- (ii) Insofar as it is able to do so without breaching any obligations regarding confidentiality contained either in the TSO Licence or in any agreement, the TSO shall notify the User of the reasons for such flexibility request in such degree of detail as the TSO considers reasonable in the circumstances.
- (iii) If the User agrees to such suggestion (such agreement not to be unreasonably withheld) the User shall use reasonable endeavours to accommodate such suggestion and submit a revised Technical Parameters Notice accordingly. In any event, the TSO may require

such further information on the revision as is reasonable and the **User** shall give the **TSO** such information as soon as reasonably practicable.

A **User** shall notify the **TSO** as soon as it becomes aware, acting in accordance with **Prudent Utility Practice**, that any of the data submitted under SDC1.4.4.1 changes.

## (c) Changes to Technical Parameters:

If any of the data submitted to the **TSO** under this SDC1.4.4.1 changes, a **User** shall, subject to SDC1.4.3, make revisions to such data. The **User** shall notify the **TSO** of any revisions to any previously revised data by submitting by the **Electronic Interface** a revised **Technical Parameters Notice** in the form set out on the **TSO** website or in such other form as the **TSO** may reasonably notify to each **User** from time to time.

# (d) Energy Limits for Hydro Units:

A Generator in respect of its Hydro Units shall resubmit Energy Limits on the Trading Day regardless of whether the Energy Limits have changed since the Gate Closure 1. Revised Energy Limits for Hydro Units may be submitted at any time up until 11.00 hours on the relevant Trading Day in a format specified by the TSO.

## (e) Default Technical Parameters:

Insofar as any data submitted or deemed to have been submitted on any particular day in any **Technical Parameters Notice** (such notice not being relevant to an **Interconnector Owner**), or any revision thereto is inconsistent with any other data in any other such notice, then the most recently submitted data which, if substituted for the inconsistent data, would make the data in such notices consistent, shall apply for the next following **Trading Day** or any other values that the **TSO** may reasonably deem appropriate.

Insofar as not submitted or revised, the applicable **Standing Technical Offer Data** for **Technical Parameters** shall apply for the next relevant **Trading Day**. Default **Energy Limits** for **Hydro Units**: Notwithstanding the obligations in SDC1.4.4.1(d), in respect of **Hydro Units**, the **Energy Limit** that applied to the previous **Trading Day** will be used.

#### SDC1.4.4.2 Additional Grid Code Characteristics Notice

The following items are required to be submitted by each User direct to the TSO:

Individual *CCGT Unit* data equivalent to the data required for a CCGT
 Installation. It shall also show any revisions to the Technical Parameters for each of the *CCGT Units* within it.

# [Note: The term "CCGT Module" applies to the SONI Grid Code and the term "CCGT Unit" will apply to the EirGrid Grid Code.]

- (b) <u>Different Fuels:</u> In the case where a CDGU is capable of firing on different fuels, then the Generator shall submit an Additional Grid Code Characteristics Notice in respect of any additional fuel for the CDGU, each containing the information set out in SDC1.4.4.1 above for each fuel and each marked clearly to indicate to which fuel it applies.
- (c) Export adjustment factors applied by the User in submitting data and that may be applied by the TSO where applicable in issuing Dispatch Instructions and otherwise in calculations relating to instructions in relation to the relevant Plant and/or Apparatus, between the Generator Terminals and the Connection Points.
- (d) In the case of Interconnector Owners, Interconnector data, including but not limited to the Availability of Interconnector Filters.
- (e) In relation to each **Demand Side Unit**, the **Demand Side Unit** *Notice Time* and the **Demand Side Unit MW Response Time**.
- (f) Where there is a *Ancillary Services Agreement* in place, the *Ancillary Services* which are Available.
- (g) The parameters listed in Appendix A Part 2 of SDC1.

(h) A Generator shall submit to the TSO the Operating Reserve capabilities for each category of Operating Reserve defined in OC4.6.3 for each of its CDGUs for each Imbalance Settlement Period.

[Note: Please note that the above paragraph only applies to the EirGrid Grid Code only.]

A **User** shall notify the **TSO** as soon as it becomes aware, acting in accordance with *Prudent Utility Practice*, that any of the data submitted under SDC1.4.4.2 no longer correct.

A User may make revisions to the Additional Grid Code Characteristics Notice submitted to the TSO under SDC1.4.4.2 at any time after the submission of the Additional Grid Code Characteristics Notice by submitting by the Electronic Interface a revised Additional Grid Code Characteristics Notice. The notice shall be in the form set out on the TSO website or in such other form as the TSO may reasonably notify to each User from time to time.

## SDC1.4.4.3 Not used.

# SDC1.4.4.4 Other Relevant Data

(a) By not later than the Gate Closure 1 each day, each User in respect of each of its Plant, shall in respect of the following Trading Day submit to the TSO in writing in the form set out on the TSO website or in such other form as the TSO may reasonably notify to each User from time to time), details in relation to the relevant Trading Day of any newly arisen special factors, including abnormal risk to loss, which in the reasonable opinion of the User may have a material effect on the likely MW Output or Demand Side Unit MW Response of such Plant (including, for a CCGT Installation in relation to each of the CCGT Units therein). The notice shall be consistent with the User's obligations under SDC1.4.3.2. The provisions of this paragraph also apply to Interconnector Owners in relation to their Interconnector Filters. [Note: The term "CCGT Module" will apply to the SONI Grid Code and the term "CCGT Unit" will apply to the EirGrid Grid Code.]

- (b) Where a CDGU is capable of firing on different fuels, then the Generator shall submit details in respect of each fuel for the CDGU. Each set of details shall contain the information set out in (a) above for each fuel and each shall be marked clearly to indicate to which fuel it applies.
- (c) A User, acting in accordance with *Prudent Utility Practice*, shall notify the TSO as soon as it becomes aware that any of the data submitted under SDC1.4.4.4 has changed.

# (d) Changes to Other Relevant Data

If any of the data submitted to the **TSO** under this SDC1.4.4.4 is no longer correct, a **User** shall, subject to SDC1.4.3, make revisions to such data. The **User** must notify the **TSO** of any new **Other Relevant Data** of which it becomes aware at any time, in writing.

## (e) Default Other Relevant Data

Insofar as any data submitted or deemed to have been submitted on any particular day in any notice of **Other Relevant Data** or any revision thereto is inconsistent with any other data in any other such notice, then the most recently submitted data which, if substituted for the inconsistent data, would make the data in such notices consistent, shall apply for the next following **Trading Day** or any other values that the **TSO** may reasonably deem appropriate.

Insofar as not submitted or revised, the last notice relating to **Other Relevant Data** to have been submitted shall apply for the next relevant **Trading Day**.

(f) As a general requirement, the User shall ensure that the data in any
 Availability Notice, Technical Parameters Notice, or notice of any Other
 Relevant Data or any revision thereto is consistent with its obligations under
 SDC1.4.3.2 and SDC1.4.3.4.

# SDC1.4.4.5 Commercial Offer Data

(a) Each:

- Generator;
- Energy Storage Generator;
- Pumped Storage Generator;
- Demand Side Unit Operator; and
- Generator Aggregator,

Shall in respect of:

Each of its CDGUs;

Each of its Energy Storage Power Station Demand;

Each of its Pumped Storage Plant Demand;

Each of its Demand Side Units; and

Its Aggregated Generating Units,

submit to the **TSO**, either directly or by means of an **Intermediary** on its behalf (if applicable), **Commercial Offer Data** in accordance with the **TSC**.

- (b) The TSO may require, by notice to the relevant User, the data referred to at SDC1.4.4.5(a) to be submitted to it directly under the Grid Code. All data items submitted under this SDC1.4.4.5 are to be at levels of MW Output at the Connection Point.
- (c) Amendments to **Commercial Offer Data** shall be in accordance with the **TSC**.
- (d) Default Commercial Offer Data: Insofar as not submitted or revised,Commercial Offer Data shall be deemed in accordance with the TSC.

#### SDC1.4.4.6 Physical Notifications and Interconnector Schedule Quantities

- (a) Each:
  - Generator,
  - Pumped Storage Generator,
  - Demand Side Unit Operator, and
  - Generator Aggregator.

Shall in respect of: Each of its **CDGU**s; Each of its **Pumped Storage Plant Demand**;

Each of its **Demand Side Units**; and Its **Aggregated Generating Units**,

submit to the TSO, either directly or by means of an Intermediary on its behalf (if applicable), Physical Notifications by Gate Closure 1 for the corresponding Trading Days in accordance with the TSC. Physical Notifications shall be technically feasible. Users shall ensure that the accuracy of Physical Notifications is commensurate with Good Industry Practice.

- (b) Prior to Gate Closure 2, Physical Notifications submitted in accordance with SDC1.4.4.6(a) shall be amended by the User (or Intermediary if applicable) to align with changes to their expected Active Power Generation or Active Power Demand. A new Physical Notification will supersede the previous one in relation to a Physical Notification for Imbalance Settlement Periods or parts thereof which are covered by the new one. At Gate Closure 2, Physical Notifications for the relevant Imbalance Settlement Period become Final Physical Notifications for that Imbalance Settlement Period. Final Physical Notifications may not be amended.
- (c) Each Generator may, in respect of their Controllable PPM submit Physical Notifications in accordance with the provisions of SDC1.4.4.6(a) and SDC1.4.4.6(b).

- (d) Each Scheduling Agent shall in respect of each Interconnector they have been nominated to schedule, submit to the TSO, Interconnector Schedule Quantities by Gate Closure 1 for the corresponding Trading Days in accordance with the TSC. Prior to Gate Closure 2, Scheduling Agents shall submit further Interconnector Schedule Quantities in accordance with the TSC to reflect trading in intraday markets. At Gate Closure 2 (or an alternative later time advised from time to time by the TSO acting in accordance with Prudent Utility Practice) for an Imbalance Settlement Period, further Interconnector Schedule Quantities may not be submitted for that Imbalance Settlement Period.
- (e) Notwithstanding the obligations in SDC1.4.4.6(a), SDC1.4.4.6(b) and SDC1.4.4.6(d), a value of zero will be deemed in all Imbalance Settlement Periods, or parts thereof, for which Physical Notifications data or Interconnector Schedule Quantities date has not been submitted.
- (f) If a User has submitted proposals for a test to the TSO and subsequently receives approval for the test from the TSO, the User (or their Intermediary, if applicable) shall submit Physical Notifications for the unit under test in accordance with the TSC to identify the time periods during which their units are under test. The User shall ensure that the Physical Notifications submitted in respect of a unit under test align with the approved test start time, test MW Output profile (or Demand Unit MW Response profile in the case of Demand Side Units) and test end time.
- SDC1.4.5 The **TSO** shall, insofar as it is reasonably able, take account of revisions or notifications submitted under SDC1.4 for **Scheduling** and **Dispatch** purposes.
- SDC1.4.6 Form of Submission
  - a) Where this SDC1 requires a User to submit a notice, it may instead of submitting it in writing, submit the information required in such a notice (which information shall be supplied in full) by telephone subject to the TSO's prior consent (identifying unambiguously the type of notice which is thereby being submitted).
  - b) The individual who is giving the notice by telephone on behalf of the User shall firstly specify the time at which the notice is being given, then identify himself and ask the individual receiving the notice on behalf of the TSO also

to identify himself. The information required by the notice shall then be given, including (without limitation) the identity of the CDGU, Controllable PPM, Energy Storage Power Station Demand, Aggregated Generating Unit, Pumped Storage Plant and Demand Side Unit to which the notice relates

c) The notice shall then be confirmed by facsimile transmission or by any electronic means as agreed with the **TSO** as soon as possible thereafter (and in any event be sent to the **TSO** within 2 hours). Where a facsimile is so sent by way of confirmation, it shall state clearly that it is in confirmation of a notice already given by telephone and shall state the exact time at which the notice was given by telephone.

#### SDC1.4.7 <u>Compilation of Indicative Operations Schedules</u>

SDC1.4.7.1 Indicative Operations Schedules will be compiled by the TSO in conjunction with the Other TSO as further provided in this SDC1.4.7 as a statement of which CDGUs and/or Controllable PPM and/or transfers across any Interconnector and/or Demand Side Units and/or Pumped Storage Plant Demand, Energy Storage Power Station Demand and/or Aggregated Generating Units and equivalent units in Northern Ireland may be required to operate and their expected MW Output. The TSO in conjunction with the Other TSO will periodically update the Indicative Operations Schedules.

## SDC1.4.7.2 Merit Order

Subject as provided below, a Merit Order will be compiled by the TSO (in conjunction with the Other TSO) for each Imbalance Settlement Period from the Price Quantity Pairs, Start-Up Cost, Shutdown Cost and No-Load Cost (which together shall be known as the "Price Set") and, subject as provided in this SDC1, used to determine which of the CDGUs, Controllable PPMs, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Units, Aggregated Generating Units or Interconnector power transfer to Schedule and Dispatch in relation to their Price Sets at values that differ from those indicated by Physical Notifications, as required to deliver the objectives set out in SDC1.2(a), SDC1.2(b) and SDC1.2(c). The Merit Order for increasing MW Output above the level indicated in Physical Notifications and Interconnector Schedule Quantities will be on the

basis of ascending prices so that the CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Unit, or Aggregated Generating Unit Price Set or bid-offer data from an External System Operator at the head of a Merit Order will be that which has the lowest price per MWh, and that at the foot of a Merit Order shall be the one with the highest price per MWh. Each CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Unit, Aggregated Generating Units and/or bid-offer data from an External System Operator shall appear in the Merit Order for each Price Set submitted.

The Merit Order for dispatching MW Output to a level below that indicated in Physical Notifications and Interconnector Schedule Quantities will be on the basis of descending prices so that the CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Unit, Aggregated Generating Unit Price Set or bid-offer data from an External System Operator at the head of a Merit Order will be that which has the highest price per MWh, and that at the foot of a Merit Order shall be the one with the lowest price per MWh. Each CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Demand, Demand Side Unit, Aggregated Generating Units or bid-offer data from an External System Operator shall appear in the Merit Order for each Price Set submitted.

- SDC1.4.7.3 In compiling the Indicative Operations Schedules in conjunction with the Other TSO, the TSO will take account of the following factors (and the equivalent factors on the Other Transmission System will be so treated separately by the Other TSO):
  - (i) Physical Notifications, Final Physical Notifications or Interconnector
     Schedule Quantities (as the case may be) submitted in accordance with
     SDC1.4.4.6;
  - (ii) Transmission System constraints from time to time, as determined by the TSO;
  - (iii) Reserve constraints from time to time, as determined by the **TSO**;
  - (iv) the need to provide an Operating Margin (by using the various categories of reserve as specified in OC.4.6 and CC.7.3.1.1 (as the case may be), as determined by the TSO acting in conjunction with the Other TSO;

- (v) Transmission System stability considerations;
- (vi) the level of MW Output and availability covered by Non Centrally
   Dispatched Generating Units, by Plant subject to Priority Dispatch and by
   Controllable PPM;
- (vii) the Energy Limits for Hydro Units;
- (viii) in respect of all **Plant**, the values of their **Technical Parameters** registered under this SDC1 and other information submitted under SDC1.4.4.4;
- (ix) Commercial Offer Data for each CDGU and/or Controllable PPM and
   Demand Side Unit and equivalent commercial data provided by an External
   System Operator in respect of Interconnectors;
- (x) the requirements, as determined by the TSO, for Voltage Control and Mvar reserves;
- (xi) **CDGU** and/or **Controllable PPM** stability, as determined by the **TSO**;
- (xii) other matters to enable the TSO to meet its Licence Standards and the
   Other TSO to meet its equivalent;
- (xiii) the requirements as determined by the TSO, for maintaining FrequencyControl;
- (xiv) Monitoring and/or Testing and/or Investigations to be carried out, or being carried out, under OC.10 (as the case may be), Testing to be carried out, or being carried out, at the request of a User under OC.8 and/or Commissioning Testing under the CC;
- (xv) System Tests, Operational Tests and Commissioning Tests;
- (xvi) the inability of any CDGU and/or Controllable PPM to meet its full reserve capability;
- (xvii) Inter-jurisdictional Tie Line limits;
- (xviii) other facts as may be reasonably considered by the TSO to be relevant to the Indicative Operations Schedule;
- (xix) the inflexible characteristics as declared by the Generator and abnormal risks;
- (xx) losses on the Transmission System and on the Other Transmission System;
- (xxi) requirements within any **Constrained Group**;
- (xxii) factors used by the TSO (and the Other TSO) in order to comply with
   Statutory Instruments, Statutory Regulations and/or the Licence which may
   impact scheduling and Dispatch;

- (xxiii) factors used by the **TSO** (and the **Other TSO**) to comply with the objectives in SDC1.2(g);
- SDC1.4.7.4 Taking account of and applying the factors referred to in SDC1.4.7.3, Indicative Operations Schedules shall be compiled by the TSO in conjunction with the Other TSO to schedule such CDGUs, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Units, Aggregated Generating Units and/or such Interconnector power transfers, and equivalent units or power transfers of equivalent units in *Northern Ireland*, which have been declared Available in an Availability Notice (and the equivalents on the Other Transmission System):
  - (i) in accordance with the applicable **Merit Order**;
  - (ii) as will in aggregate (after taking into account electricity delivered other than from CDGUs, Controllable PPMs, Aggregated Generating Units, and/or Interconnector power transfers and variation in Demand from Pumped Storage Plant Demand, Energy Storage Power Station Demand and Demand Side Units) be sufficient to match at all times (to the extent possible having regard to the Availability or Demand Side Unit MW Availability of CDGUs, Controllable PPMs, Pumped Storage Plant Demand, Energy Storage Power Station Demand Side Units, Aggregated Generating Units and Interconnector power transfers) the forecast aggregated Demand (derived under OC1 of the Grid Code and the Other Grid Code) together with such margin of reserve as the TSO working in conjunction with the Other TSO shall consider to be appropriate; and
  - (iii) as will in aggregate be sufficient to match minimum forecast **Demand** levels
     together with a sufficient **Minimum Demand Regulation**.

The taking account of and application of the factors in SDC1.4.7.3 will mean that, in general, strict adherence to **Merit Order** may not necessarily be feasible.

- SDC1.4.7.5 The **TSO** will periodically rerun the scheduling process and issue revised **Indicative Operations Schedules** to take account of any of the following factors (and the equivalent factors on the **Other Transmission System** which will be so dealt with separately by the **Other TSO**)
  - a) changes to **Physical Notifications** and **Interconnector Schedule Quantities**;
  - b) changes to Commercial Offer Data [and bid-offer data from External Transmission System Operators];
  - changes to Availability or Demand Side Unit MW Availability and/or
     Technical Parameters of CDGUs and/or Controllable PPM and/or
     Aggregated Generating Units and/or Interconnectors and/or Demand Side
     Units notified to the TSO;
    - d) changes to **Demand** forecasts on the Island of Ireland;
    - e) changes to resource forecasts on the Island of Ireland;
    - f) changes to Transmission System constraints, emerging from the necessarily iterative process of Scheduling and network security assessment;
    - g) changes to CDGU and/or Controllable PPM requirements following
       notification to the TSO of the changes in capability of a Generator to provide
       a Special Action as described in SDC2;
    - h) changes to CDGU and/or Controllable PPM requirements within
       Constrained Groups, following re-appraisal of System Demand forecasts on the Island of Ireland within that Constrained Group;
    - i) changes to Monitoring and/or Testing and/or Investigations to be carried out, or being carried out, under OC.10 (as the case may be), changes to testing to be carried out, or being carried out, at the request of a User under OC.8 and/or Commissioning Testing under CC15

- j) changes to any conditions which in the reasonable opinion of the TSO, would impose increased risk to the Transmission System and would therefore require an increase in the Operating Margin;
- known (or emerging) limitations and/or deficiencies of the Scheduling process.

SDC1.4.7.6 When:

a) adverse weather is anticipated;

- b) there is a high risk to the whole or part of the Transmission System and/or the Other Transmission System;
- c) Demand Control has been instructed by the TSO; or
- d) a Total Shutdown or Partial Shutdown exists;

these factors may mean that a CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Unit, Aggregated Generating Unit and/or Interconnector transfers is/are chosen other than in accordance with the profile described in Physical Notifications (the Active Power profile derived from Interconnector Schedule Quantities in respect of Interconnectors) and amended in line with Merit Order to a greater degree than would be the case when merely taking into account and giving due weight to the factors listed in SDC1.4.7.3 in order to seek to maintain the integrity of the Transmission System.

## SDC1.4.7.7

a) The Synchronising and De-Synchronising times (and, in the case of Pumped Storage Plant Demand and Energy Storage Power Station Demand, the relevant effective time) shown in the Indicative Operations Schedule are indicative only and it should be borne in mind by Users that the Dispatch Instructions could reflect more or different CDGU, Aggregated Generating Unit and/or Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand

and/or Aggregate Generating Unit requirements than in the Indicative Operations Schedule. The TSO may issue Dispatch Instructions in respect of any CDGU and/or Aggregated Generating Unit, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand or Aggregated Generating Unit which has not declared an Availability or Demand Side Unit MW Availability of 0 MW in an Availability Notice. Users with CDGUs and/or Aggregated Generating Units, Controllable PPM, Pumped Storage Plant Demand or Energy Storage Power Station Demand shall ensure that their units are able to be Synchronised, or in the case of Pumped Storage Plant Demand, used at the times Scheduled, but only if so Dispatched by the TSO by issue of a Dispatch Instruction. Users shall, as part of a revision to the Technical Parameters, indicate to the TSO the latest time at which a Dispatch Instruction is required to meet the scheduled Synchronising time or in the case of Pumped Storage Plant Demand or Energy Storage Power Station Demand, the Scheduled relevant effective time.

b) The provisions of SDC1.4.7.7(a) shall apply to Demand Side Units with the exception that reference to relevant effective time shall be read as a reference to Demand Side Unit NoticeTime.

## SDC1.4.7.8 Content of Indicative Operations Schedules

The information contained in the Indicative Operations Schedules will indicate, where appropriate, on an individual CDGU, Controllable PPM, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Unit, Aggregated Generating Units and/or Interconnector basis, the period and Loading for which it is Scheduled. In the case of a CDGU which is capable of firing on two different fuels, it will also indicate the fuel for which it is Scheduled. If no fuel is contained in the Indicative Operations Schedule, then the most recently specified fuel shall be treated as having been indicated.

# SDC1.4.7.9 Issue of Indicative Operations Schedules

 (a) The initial Indicative Operations Schedule for a Trading Day will be published for access by Users by 1600 hours on the Trading Day preceding

the relevant **Trading Day.** However, if on any occasion the **TSO** is unable to meet this time, the **TSO** also reserves the right to extend the timescale for the issue of the **Indicative Operations Schedules** to the extent necessary. Following the issue of the initial **Indicative Operations Schedule** preceding the relevant **Trading Day**, the **TSO** will periodically issue revised **Indicative Operations Schedules** to reflect updated information from the scheduling process.

- (b) Indicative Operations Schedules issued by the TSO may comprise several schedules covering short term, medium term or long term timeframes where long term covers the period up to 48 hours immediately following real time.
- (c) The TSO may issue Dispatch Instructions to Users in respect of CDGUs, Controllable PPMs, Pumped Storage Plant Demand, Energy Storage Power Station Demand and/or Demand Side Units and/or Aggregated Generating Units and/or Interconnector power transfers before the issue of the initial Indicative Operations Schedule for the Trading Day to which the Dispatch instruction relates if the Synchronous Start Up Time for the relevant CDGUs and/or Controllable PPMs, Pumped Storage Plant Demand, Energy Storage Power Station Demand and/or Demand Side Unit and/or Aggregated Generating Unit requires the Dispatch instruction to be given at that time. When the length of the time required for Notice to Synchronise is within 30 minutes of causing the CDGU and/or Controllable PPMs and/or Pumped Storage Plant Demand and/or Energy Storage Power Station Demand to be unable to meet the indicative Synchronising time in the Indicative Operations Schedule or a subsequent indicative Synchronising time and no Dispatch Instruction has been received, the Generator shall inform the **TSO** without delay.

# SDC1.4.7.10 Regulation

It is a requirement for running the Transmission System that all Synchronised CDGUs and/or Controllable PPMs shall at all times be capable of reducing MW Output sufficient to allow a sufficient Regulating Margin for adequate Frequency Control. The TSO will monitor the MW Output data of the Indicative Operations Schedule against forecast of System Demand on the Island of Ireland to see whether the level of regulation for any period is sufficient, and may take any shortfall into account in Scheduling and Dispatch.

# SDC1.4.7.11 Data Requirements

SDC1 Appendix A Part 1 sets out the **Technical Parameters** for which values are to be supplied by a **User** in respect of each of its **CDGUs** and/or **Controllable PPMs** and/or **Pumped Storage Plant Demand** and/or **Demand Side Units** and/or **Energy Storage Power Station Demand** and/or **Aggregated Generating Units** by not later than **Gate Closure 1** for the relevant **Trading Day**.

SDC1 Appendix A Part 2 sets out the additional data items required in respect of an **Additional Grid Code Characteristics Notice**.

# **SDC1 – APPENDIX A**

# Part 1. Technical Parameters

# [Note: The factors applicable to CDGUs below 10 MW apply to the EirGrid Grid Code only.]

|                                      | 1       |                 |                  |                       |                |                          |                         |             |                   |                |                           |
|--------------------------------------|---------|-----------------|------------------|-----------------------|----------------|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
| Technical Parameter                  | CDGU    |                 |                  |                       | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|                                      | Thermal | Hydr/<br>En Ltd | Disp.<br>PPM     | Pump<br>S Gen         | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Block Load Cold                      | ~       | ~               | ~                | ~                     | ~              |                          |                         |             | ✓                 |                |                           |
| Block Load Hot                       | ~       |                 |                  |                       |                |                          |                         |             | ✓                 |                |                           |
| Block Load Warm                      | ~       |                 |                  |                       |                |                          |                         |             | ✓                 |                |                           |
| Charging Capacity                    |         |                 | ESPS Gen<br>Only |                       |                |                          |                         |             |                   | <b>v</b>       |                           |
| Cycle Efficiency                     |         |                 | ESPS Gen<br>Only | <ul> <li>✓</li> </ul> |                |                          |                         |             |                   |                |                           |
| Demand Side Unit MW<br>Availability  |         |                 |                  |                       |                | <b>v</b>                 | <b>v</b>                |             |                   |                |                           |
| Demand Side Unit<br>MW Response Time |         |                 |                  |                       |                | ~                        | <b>v</b>                |             |                   |                |                           |
| Demand Side Unit<br>Notice Time      |         |                 |                  |                       |                | ✓                        | <b>v</b>                |             |                   |                |                           |
| Deload Break Point                   | ~       | ~               | ✓                | ~                     | ~              |                          |                         |             | ~                 |                |                           |
| De-Loading Rate 1                    | ~       | ~               | ~                | ~                     | ~              |                          |                         |             | ~                 |                |                           |
| De-Loading Rate 2                    | ~       | ~               | ~                | ~                     | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Up 1                      | ~       | ~               | ✓                | <ul> <li>✓</li> </ul> | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Up 2                      | ~       | ~               | ✓                | ~                     | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Up 3                      | ~       | ~               | ✓                | ~                     | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Down 1                    | ~       | ~               | ~                | ~                     | ~              |                          |                         |             | ~                 |                |                           |

| Technical Parameter                    | CDGU    |                 |              |                     | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|--|---------|-----------------|--------------|---------------------|----------------|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
|  | Thermal | Hydr/<br>En Ltd | Disp.<br>PPM | Pump<br>S Gen       | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Dwell Time Down 2                      | ~       | ✓               | ~            | ✓                   | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Down 3                      | ~       | ~               | ~            | ~                   | ~              |                          |                         |             | ✓                 |                |                           |
| Dwell Time Up Trigger<br>Point 1       | ~       | ✓               | ~            | ✓                   | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Up Trigger<br>Point 2       | ~       | ✓               | ~            | ✓                   | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Up Trigger<br>Point 3       | ~       | ✓               | ~            | ✓                   | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Down<br>Trigger Point 1     | ~       | ✓               | ~            | ~                   | ~              |                          |                         |             | ~                 |                |                           |
| Dwell Time Down<br>Trigger Point 2     | ~       | ✓               | ~            | ✓                   | √              |                          |                         |             | ~                 |                |                           |
| Dwell Time Down<br>Trigger Point 3     | ~       | ✓               | ~            | ~                   | ~              |                          |                         |             | ~                 |                |                           |
| End Point of Start Up<br>Period        | ~       | ✓               | ~            | ~                   | ~              |                          |                         |             | ~                 |                |                           |
| Energy Limit                           |         | ✓               |              |                     |                |                          |                         |             |                   |                |                           |
| Forecast Minimum<br>Output Profile     |         |                 |              | ESPS<br>Gen<br>Only | V              |                          |                         |             |                   | V              | <b>v</b>                  |
| Forecast Minimum<br>Generation Profile | ~       | ✓               | ~            | ✓                   |                |                          |                         |             | ~                 |                |                           |
| Demand Side Unit<br>MW Response Time   |         |                 |              |                     |                | <b>~</b>                 | ×                       |             |                   |                |                           |
| Load Up Break Point<br>Cold (1)        | ~       | ~               | ~            | ~                   | ~              |                          |                         |             | ~                 |                |                           |

| Technical Parameter  | CDGU                  |                 |              |               | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|--|-----------------------|-----------------|--------------|---------------|----------------|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
|  | Thermal               | Hydr/<br>En Ltd | Disp.<br>PPM | Pump<br>S Gen | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Load Up Break Point<br>Cold (2)                                | ~                     | <b>√</b>        | ~            | <b>v</b>      | <b>√</b>       |                          |                         |             | ~                 |                |                           |
| Load Up Break Point<br>Hot (1)                                 | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Load Up Break Point<br>Hot (2)                                 | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Load Up Break Point<br>Warm (1)                                | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Load Up Break Point<br>Warm (2)                                | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Loading Rate Cold (1)  | ~                     | ~               | ~            | ~             | ~              |                          |                         |             | ~                 |                |                           |
| Loading Rate Cold (2)  | ~                     | ~               | ~            | ~             | ~              |                          |                         |             | ~                 |                |                           |
| Loading Rate Cold (3)  | <ul> <li>✓</li> </ul> | ~               | ~            | ~             | ~              |                          |                         |             | V                 |                |                           |
| Loading Rate Hot (1)   | ✓                     |                 |              |               |                |                          |                         |             | V                 |                |                           |
| Loading Rate Hot (2)   | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Loading Rate Hot (3)   | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Loading Rate Warm (1)  | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Loading Rate Warm (2)  | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Loading Rate Warm (3)  | ~                     |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Max Ramp Down Rate<br>(shall be a number<br>greater than zero) |                       |                 |              |               |                | <b>~</b>                 | V                       |             |                   |                |                           |
| Max Ramp Up Rate<br>(shall be a number<br>greater than zero)   |                       |                 |              |               |                | <b>↓</b>                 |                         |             |                   |                |                           |
|  |                       |                 |              |               |                | v                        | •                       |             |                   |                |                           |

| Technical Parameter                         | CDGU     |                 |                  |               | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand        | Pump<br>Storage<br>Demand |
|---|----------|-----------------|------------------|---------------|----------------|--------------------------|-------------------------|-------------|-------------------|-----------------------|---------------------------|
|   | Thermal  | Hydr/<br>En Ltd | Disp.<br>PPM     | Pump<br>S Gen | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -                     | -                         |
| Maximum Charge<br>Capacity                  |          |                 | ESPS Gen<br>Only |               |                |                          |                         |             |                   | <ul> <li>✓</li> </ul> |                           |
| Maximum Down Time                           |          |                 |                  |               |                | ~                        | ~                       |             |                   |                       |                           |
| Maximum Generation /<br>Registered Capacity | ~        | <b>v</b>        | <b>√</b>         | <b>~</b>      | <b>v</b>       |                          |                         |             | V                 |                       |                           |
| Maximum On Time                             | ~        | ✓               | ~                | ~             | ~              |                          |                         |             | ~                 |                       |                           |
| Maximum Storage<br>Capacity                 |          |                 |                  | ~             |                |                          |                         |             |                   |                       |                           |
| Minimum Charge<br>Capacity                  |          |                 | ESPS Gen<br>Only |               |                |                          |                         |             |                   | <b>√</b>              |                           |
| Minimum Down Time                           |          |                 |                  |               |                | ~                        | ~                       |             |                   |                       |                           |
| Minimum Generation                          | ~        | ~               | ✓                | ~             | ~              |                          |                         |             | <b>v</b>          |                       |                           |
| Minimum off time                            | ~        | ✓               | ✓                | ~             | ~              |                          |                         |             | <b>v</b>          |                       |                           |
| Minimum on time                             | ~        | ~               | ~                | ~             | ~              |                          |                         |             | <b>v</b>          |                       |                           |
| Minimum Storage<br>Capacity                 |          |                 |                  | ~             |                |                          |                         |             |                   |                       | <b>~ ~</b>                |
| Off to Generating Time                      |          |                 |                  | ~             |                |                          |                         |             |                   |                       |                           |
| Off to Spin Pump Time                       |          |                 |                  |               |                |                          |                         |             |                   |                       | ~                         |
| (Other relevant<br>technical parameters)    | ~        | <b>v</b>        | <b>v</b>         | <b>v</b>      | ~              |                          |                         | ~           | V                 |                       |                           |
| Pumping capacity                            |          |                 |                  | ~             |                |                          |                         |             |                   |                       | ~                         |
| Ramp Down Break<br>Point 1                  | <b>√</b> | <b>~</b>        | <b>√</b>         | ~             | <b>√</b>       |                          |                         | ~           | Ý                 |                       |                           |
|   |          |                 |                  |               |                |                          |                         |             |                   |                       |                           |

| Technical Parameter                      | CDGU     |                 |              |               | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|--|----------|-----------------|--------------|---------------|----------------|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
|  | Thermal  | Hydr/<br>En Ltd | Disp.<br>PPM | Pump<br>S Gen | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Ramp Down Break<br>Point 2               | ~        | ~               | ~            | ✓             | ~              |                          |                         | ✓           | V                 |                |                           |
| Ramp Down Break<br>Point 3               | ~        | ~               | ~            | ✓             | ✓              |                          |                         | ✓           | V                 |                |                           |
| Ramp Down Break<br>Point 4               | ~        | ~               | ~            | ✓             | ~              |                          |                         | ~           | V                 |                |                           |
| Ramp Down Rate 1                         | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | ~                 |                |                           |
| Ramp Down Rate 2                         | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Down Rate 3                         |          | ~               | ~            | ~             | ~              |                          |                         | ~           |                   |                |                           |
| Ramp Down Rate 4                         | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | ~                 |                |                           |
| Ramp Down Rate 5                         | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | ~                 |                |                           |
| Ramp Up Break Point 1                    | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Break Point 2                    | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | ~                 |                |                           |
| Ramp Up Break Point 3                    | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Break Point 4                    | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | ~                 |                |                           |
| Ramp Up Rate 1                           | ~        | √               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Rate 2                           | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Rate 3                           | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Rate 4                           | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Ramp Up Rate 5                           | ~        | ~               | ~            | ~             | ~              |                          |                         | ~           | <b>v</b>          |                |                           |
| Short Term<br>Maximisation<br>Capability | <b>v</b> | ✓               | ~            | <b>v</b>      | ✓              |                          |                         |             | V                 |                |                           |
| Short Term<br>Maximisation Time          | ~        | ✓               | ~            | ✓             | ~              |                          |                         |             | ~                 |                |                           |

| Technical Parameter                 | CDGU    |                 |              |               | Control<br>PPM | DSU                      |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|-------------------------------------|---------|-----------------|--------------|---------------|----------------|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
|                                     | Thermal | Hydr/<br>En Ltd | Disp.<br>PPM | Pump<br>S Gen | -              | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Soak Time Cold (1)                  | ~       | ✓               | ~            | ~             | ~              |                          |                         |             | <b>v</b>          |                |                           |
| Soak Time Cold (2)                  | ~       | ~               | ~            | ~             | ~              |                          |                         |             | <b>v</b>          |                |                           |
| Soak Time Hot (1)                   | ~       |                 |              |               |                |                          |                         |             | ~                 |                |                           |
| Soak Time Hot (2)                   | ~       |                 |              |               |                |                          |                         |             | <b>v</b>          |                |                           |
| Soak Time Trigger Point<br>Cold (1) | √       | <b>√</b>        | ~            | ✓             | ✓              |                          |                         |             | V                 |                |                           |
| Soak Time Trigger Point<br>Cold (2) | ~       | <b>√</b>        | ~            | ✓             | •              |                          |                         |             | v                 |                |                           |
| Soak Time Trigger Point<br>Hot (1)  | ~       |                 |              |               |                |                          |                         |             | V                 |                |                           |
| Soak Time Trigger Point<br>Hot (2)  | ~       |                 |              |               |                |                          |                         |             | V                 |                |                           |
| Soak Time Trigger Point<br>Warm (1) | ~       |                 |              |               |                |                          |                         |             | v                 |                |                           |
| Soak Time Trigger Point<br>Warm (2) | ~       |                 |              |               |                |                          |                         |             | V                 |                |                           |
| Soak Time Warm (1)                  | ~       |                 |              |               |                |                          |                         |             | <b>v</b>          |                |                           |
| Soak Time Warm (2)                  | ~       |                 |              |               |                |                          |                         |             | <b>√</b>          |                |                           |
| Spin Pump to Pumping<br>Energy Time |         |                 |              |               |                |                          |                         |             |                   |                | ~                         |
| Synchronous Start-Up<br>Time Cold   | ~       | <b>√</b>        | ~            | ✓             | ✓              |                          |                         |             | V                 |                |                           |
| Synchronous Start-Up<br>Time Hot    | √       | ✓               | ~            | ✓             | ~              |                          |                         |             | V                 |                |                           |
| Synchronous Start-Up<br>Time Warm   | √       |                 |              |               |                |                          |                         |             | V                 |                |                           |
| Target Charge Level                 |         |                 | ✓ ESPS Gen   |               |                |                          |                         |             |                   | ✓<br>          |                           |

| Technical Parameter            | CDGU     |                 | Control DSU<br>PPM |               |   |                          |                         | Agg.<br>Gen | CDGU<br><10<br>MW | ESPS<br>Demand | Pump<br>Storage<br>Demand |
|--------------------------------|----------|-----------------|--------------------|---------------|---|--------------------------|-------------------------|-------------|-------------------|----------------|---------------------------|
|                                | Thermal  | Hydr/<br>En Ltd | Disp.<br>PPM       | Pump<br>S Gen | - | Indiv.<br>Demand<br>Site | Agg.<br>Demand<br>Sites |             | -                 | -              | -                         |
| Percentage                     |          |                 | Only               |               |   |                          |                         |             |                   |                |                           |
| Start of Restricted<br>Range 1 | ~        | ~               | ~                  | ~             | ~ |                          |                         |             | V                 |                |                           |
| End of Restricted Range<br>1   | √        | ~               | ✓                  | ~             | ~ |                          |                         |             | ~                 |                |                           |
| Start of Restricted<br>Range 2 | √        | <b>v</b>        | ✓                  | ~             | ~ |                          |                         |             | ~                 |                |                           |
| End of Restricted Range<br>2   | <b>v</b> | •               | <b>√</b>           | •             | ~ |                          |                         |             | V                 |                |                           |

## Part 2. Additional data items required in an Additional Grid Code Characteristics Notice

## Table (i)

Variable **Declared POR** Declared SOR Declared TOR1 **Declared TOR**2 Declared Replacement Reserve Minimum MW for **POR** Minimum MW for SOR Minimum MW for **TOR**1 Minimum MW for **TOR**2 Minimum MW for Replacement Reserve POR Decrement Rate SOR Decrement Rate **TOR1** Decrement Rate TOR2 Decrement Rate Replacement Reserve Decrement Rate Governor Droop

#### Applies to

CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs

## Table (ii)

Black Start Capability (yes/no) Declared Reactive Power (Consumption)

Declared Reactive Power (Production)

Correction Factor (Mvar consumption) Correction Factor (Mvar Production) Export Adjustment Factor 1 Export Adjustment Factor 2 Unit Loss Factor CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs

CDGUs, excluding Dispatchable PPMs

CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs CDGUs, excluding Dispatchable PPMs

# **SDC1 ANNEX I**

# Explanatory Note of differences between SDC1 in the SONI Grid Code and EirGrid Grid Code

This annex is an explanatory note only and does not form part of the Grid Code.

# 1. General Differences in wording

The table below summarises the general differences in wording between the form of SDC1 in the SONI Grid Code and the form of SDC1 in the EirGrid Grid Code, which appear repeatedly throughout SDC1.

| Terms used in SONI Grid | Equivalent terms used in       | Reason                            |
|-------------------------|--------------------------------|-----------------------------------|
| Code                    | EirGrid Grid Code (where       |                                   |
|                         | different)                     |                                   |
| Sustam Support Convisor | Angillary Corrigo(s)           | The existing errongements for     |
| System Support Services | Ancillary Service(s)           | The existing arrangements for     |
|                         |                                | Ancillary Services and System     |
|                         |                                | Support Services are continuing   |
|                         |                                | until further notice.             |
| System Support Services | Ancillary Service(s) Agreement | These agreements will continue    |
| Agreement               |                                | to stay in place with their       |
|                         |                                | existing names                    |
|                         |                                |                                   |
| CCGT Module             | CCGT Unit                      | This is the phrase currently used |
|                         |                                | to describe the individual parts  |
|                         |                                | of a Combined Cycle Plant CCGT    |
|                         |                                | Module is an important concept    |
|                         |                                | in Northern Ireland and is        |
|                         |                                | reflected in many other           |
|                         |                                | agreements. EirGrid is keeping    |
|                         |                                | the phrase CCGT Unit, as it       |
|                         |                                | more closely describes the        |
|                         |                                | concept of an individual unit     |
|                         |                                | and EirGrid has formerly used     |
|                         |                                | CCGT Module to describe the       |
|                         |                                | whole CCGT Installation.          |
|                         |                                |                                   |

| Prudent Operating | g Practice  | Prudent Utility Practice    | Each Code uses a different |
|-------------------|-------------|-----------------------------|----------------------------|
|                   |             |                             | phrase for this concept.   |
| Planned Outage    |             | Schedule Outage             | Each Code uses a different |
|                   |             |                             | phrase for this concept.   |
| Planned N         | laintenance | Short Term Scheduled Outage | Each Code uses a different |
| Outage            |             |                             | phrase for this concept.   |

# 2. Specific differences in wording between equivalent provisions in both Grid Codes

The table below provides a list of the other specific differences in wording between equivalent provisions of SDC1 in both Grid Codes.

| Provision     | SONI Grid Code                | EirGrid Grid Code             | Reason             |
|---------------|-------------------------------|-------------------------------|--------------------|
| SDC1.4.4.2(b) | Reference is made to          | Reference is made to          | These are the      |
|               | "PCA2.3.4"                    | "PC.A4.3 of the Planning      | respective         |
|               |                               | Code Appendix"                | requirements for   |
|               |                               |                               | the provision of   |
|               |                               |                               | the CCGT           |
|               |                               |                               | Installation data. |
| SDC1.4.3.6(b) | Reference is made to "OC11"   | Reference is made to          | These are the      |
|               |                               | "OC.10"                       | respective         |
|               |                               |                               | requirements for   |
|               |                               |                               | Testing            |
|               |                               |                               | Monitoring and     |
|               |                               |                               | Investigation      |
| SDC1.4.3.6(d) | Reference is made to a User   | Reference is made to a User   | SONI has separate  |
|               | acting in accordance with its | acting in accordance with its | requirements for   |
|               | obligations under "SDC1.4.3   | obligations under "SDC1.4.3"  | Availability and   |
|               | and Appendix B to this SDC1"  | only                          | Technical          |
|               |                               |                               | Parameter related  |
|               |                               |                               | issues in respect  |

|                 |                              |                                | of PPA              |
|-----------------|------------------------------|--------------------------------|---------------------|
|                 |                              |                                | Generation.         |
|                 |                              |                                |                     |
|                 |                              |                                |                     |
| SDC1.4.3.7      |                              | The EirGrid Grid Code          | Difference is due   |
|                 |                              | contains the following         | to different        |
|                 |                              | additional words at the end    | requirements in     |
|                 |                              | of the paragraph:              | both jurisdictions. |
|                 |                              |                                |                     |
|                 |                              |                                |                     |
|                 |                              | "or any other values that the  |                     |
|                 |                              | <b>TSO</b> may reasonably deem |                     |
|                 |                              | appropriate"                   |                     |
|                 |                              |                                |                     |
| SDC1.4.7.1      | Reference is made to "the    | Reference is made to           | Reference is being  |
|                 | Republic of Ireland".        | "Northern Ireland".            | made in each Grid   |
|                 |                              |                                | Code to the other   |
|                 |                              |                                | jurisdiction.       |
| SDC1.4.7.3(iv)  | Reference is made to "OC.3"  | Reference is made to           | These are the       |
|                 |                              | "OC.4.6 and CC.7.3.1.1"        | respective          |
|                 |                              |                                | references to       |
|                 |                              |                                | Operating Margin.   |
|                 |                              |                                |                     |
| SDC1.4.7.3(xiv) | Reference is made to "OC.11" | Reference is made to           | These are the       |
|                 | and then to "OC11.8"         | "OC.10" and then to "OC.8"     | respective          |
|                 |                              |                                | references to       |
|                 |                              |                                | Testing             |
|                 |                              |                                | Monitoring and      |
|                 |                              |                                | Investigation and   |
|                 |                              |                                | Operational         |
|                 |                              |                                | Testing.            |
| SDC1.4.7.3(xiv) | Reference is made to         | Reference is made to           | These are the       |
|                 | "Commissioning/Acceptance    | "Commissioning/Testing"        | respective terms    |
|                 | Testing"                     |                                | used in each Grid   |
|                 |                              |                                |                     |

|                |                              |                                | Code               |
|----------------|------------------------------|--------------------------------|--------------------|
| SDC1.4.7.3(xv) | Reference is made to "System | Reference is made to           | The EirGrid Grid   |
|                | Tests" only                  | "System Tests, Operational     | Code definition of |
|                |                              | Tests and Commissioning        | System Tests       |
|                |                              | Tests"                         | excludes           |
|                |                              |                                | Operational and    |
|                |                              |                                | Commissioning      |
|                |                              |                                | Tests whereas the  |
|                |                              |                                | SONI definition    |
|                |                              |                                | includes them.     |
| SDC1.4.7.4     | Reference is made to "the    | Reference is made to           | Reference is being |
| 3DC1.4.7.4     |                              | "Northern Ireland".            | made in each Grid  |
|                | Republic of Ireland".        |                                |                    |
|                |                              |                                | Code to the other  |
|                |                              |                                | jurisdiction.      |
| SDC1 Appendix  | Part 2 refers to factors     | Part 2 refers to factors       | The two System     |
| A Part 2       | applicable to the SONI Grid  | applicable to the EirGrid Grid | Operators require  |
|                | Code only                    | Code only                      | some data items    |
|                |                              |                                | specific to that   |
|                |                              |                                | system and they    |
|                |                              |                                | are detailed here. |
|                |                              |                                |                    |

# 3. Provisions applicable to one Grid Code only

The table below provides a list of the provisions of SDC1 which exist in one Grid Code only.

| Provisions used in SONI Grid Code only | Reason  |
|--|---|
| SDC1.1.4                               | SONI has extra requirements due to the presence |
| SDC1.4.3: Introductory sentence        | of PPA Generation in Northern Ireland.          |
| SDC1.4.4: Introductory sentence        |   |
| SDC1.4.8.3(xxiv)                       |   |
| SDC1 Appendix B                        |   |

| SDC1.4.2.2(f) | The CCGT Matrix can be amended in the SONI        |
|---------------|---|
|               | Grid Code as per a specific requirement in the    |
|               | Planning Code Appendix, whereas the EirGrid       |
|               | Code can be amended as per any Planning Code      |
|               | data.   |
|               |   |
| SDC1.4.4.2(i) | This provision is necessary to deal with          |
|               | conversion factors applicable to PPA Generators   |
|               | in Northern Ireland.                              |
|               |   |
| SDC1.4.4.3    | There are differences in how Reserve capabilities |
|               | are notified to both SONI and EirGrid.            |
|               |   |

| Provisions used in EirGrid Grid Code only |  |
|---|--|
| SDC1.4.4.2(h)                             | There are differences in how Operating Reserve capabilities are notified to both SONI and EirGrid.                       |
| SDC1.4.4.2(c)                             | The SONI Grid Code addresses the issue of conversion factors in a different way by cross-referring to the Planning Code. |

# SDC2 Scheduling and Dispatch Code No.2

# **Control Scheduling and Dispatch**

# SDC2.1 Introduction

# SDC2.1.1 SEM Provisions

- (a) This Scheduling and Dispatch Code No. 2 ("SDC2") forms part of the Sections under Common Governance of the Grid Code. The Sections under
   Common Governance are those parts of the Grid Code which are under common governance in both the Grid Code and the Other Grid Code.
- (b) The form of this SDC2 is similar to the SDC2 in the **Other Grid Code**. Differences relate to references to relevant power systems and related terms. Where there is a difference between a provision in this **Grid Code** and an equivalent provision in the **Other Grid Code**, the wording in question is shaded in grey. In addition, those parts of this SDC2 that are not part of the **Other Grid Code** are shaded in grey in this SDC2. Differences between the form of this SDC2 and the SDC2 in the **Other Grid Code** are summarised in Annex 1 to this SDC2.
- (c) This SDC2 is intended to work in conjunction with other documents, including the Trading and Settlement Code ("TSC"). The provisions of the Grid Code and the Other Grid Code will take precedence over the TSC.
- (d) Where stated in this SDC2, the obligation to submit data in relation to some of the information required to be provided to the **TSO** by this SDC2 may be fulfilled by **Users** where such information submitted under the **TSC** by a **User** or by an **Intermediary** on behalf of **Users** is then provided to the **TSO** by the **Market Operator** in accordance with the **TSC**, as further provided in this SDC2. The **TSO** may require **Users** to verify or update data received by it via the **Market Operator**.

- (e) Dispatch Instructions issued pursuant to this SDC2 may be utilised for settlement in respect of SEM generator units which have been registered in accordance with the TSC.
- (f) Further provisions dealing with the Sections under Common Governance are contained in the General Conditions.
- SDC2.1.2 SDC2 sets out the procedure for the **TSO** to issue **Dispatch Instructions** to:
  - (a) Generators in respect of their CDGUs (which for the avoidance of doubt comprise, Generating Units subject to Central Dispatch, CCGT Installations, Hydro Units, Pumped Storage Generation and Dispatchable PPMs);
  - Pumped Storage Generators in respect of their Pumped Storage Plant
     Demand;
  - (c) Energy Storage Generators in respect of their Energy Storage Power Station
     Demand;
  - (d) Interconnector Owners in respect of their Interconnectors;
  - (e) **Demand Side Unit Operators** in respect of their **Demand Side Units**; and
  - (f) Generator Aggregators in respect of their Aggregated Generating Units.

Controllable PPMs are not currently subject to Dispatch Instructions. However, remote signals sent by the TSO to Controllable PPMs in order to limit Active Power Output may be utilised by the MO as Dispatch Instructions in accordance with the TSC.

# SDC2.2 Objective

The procedure for the issue of **Dispatch Instructions** by the **TSO**, is intended to enable (as far as possible) the **TSO** to match continuously **CDGU**, **Demand Side Unit**, **Aggregated Generating Units** output (or reduction as the case may be) and/or Interconnector transfers to Demand, and thereby in conjunction with the Other TSO, the demand on the Island of Ireland, by utilising the Physical Notifications and Merit Order derived pursuant to SDC1 and the factors to be taken into account listed there and by taking into account any NCDGU MW Output in both cases together with an appropriate margin of reserve, whilst maintaining (so far as possible) the integrity of the Transmission System together with the security and quality of supply (with the Other TSO having a similar objective with regard to its Transmission System).

## SDC2.3 Scope

SDC2 applies to the TSO, and:

- (a) Generators with regard to their CDGUs;
- (b) Pumped Storage Generators with regard to their Pumped Storage Plant Demand;
- (c) Energy Storage Generators with regard to their Energy Storage Power Station Demand;
- (d) Interconnector Owners with regard to their Interconnectors;
- (e) Demand Side Unit Operators in relation to their Demand Side Units; and
- (f) Generator Aggregators in respect of their Aggregated Generating Units.

Each of which (other than the **TSO**) is a "User" under this SDC2.

# SDC2.4 Procedure

## SDC2.4.1 Information Used

SDC2.4.1.1The information which the TSO shall use in assessing which CDGU, Demand SideUnit, Interconnector transfers, Pumped Storage Plant Demand and/or EnergyStorage Power Station Demand and/or Aggregated Generating Units to Dispatch,<br/>will be:

- (a) Final Physical Notifications (or Physical Notifications in circumstances where Dispatch Instructions must be issued before Gate Closure 2) and Interconnector Schedule Quantities;
- (b) the Availability Notices;
- (c) the Merit Order as derived under SDC1;
- (d) the other factors to be taken into account under SDC1 and which were used by the **TSO** to compile the **Indicative Operations Schedule**; and
- (e) the
  - (i) Technical Parameters;
  - (ii) Additional Grid Code Characteristics Notices;
  - (iii) Reserve Characteristics; and
  - (iv) Other Relevant Data,

in respect of that CDGU, Demand Side Unit, Interconnector transfers, Pumped Storage Plant Demand and/or Energy Storage Power Station Demand and/or Aggregated Generating Units subject to any subsequent revisions to the data under SDC1 and SDC2.

- SDC2.4.1.2 Additional factors which the **TSO** will also take into account are:
  - those Generators or Demand Side Unit Operators who have not complied with Dispatch Instructions or Special Actions;
  - (b) real time variation requests; and
  - (c) the need to Dispatch CDGUs, Aggregated Generating Units, Demand Side
     Units, Interconnector transfers, Pumped Storage Plant Demand and Energy
     Storage Power Station Demand for Monitoring, Testing or Investigation
     purposes (and/or for other trading purposes whether at the request of a
     User, for Commissioning or Acceptance, System Tests or otherwise)
- SDC2.4.1.3In the event of two or more CDGUs, Demand Side Units, Pumped Storage PlantDemand, Energy Storage Power Station Demand and/or Aggregated GeneratingUnits having the same Price Set and the TSO not being able to differentiate on the

basis of the factors identified in SDC1.4.7.2, SDC1.4.7.3 and SDC1.4.7.4, then the **TSO** will select first for **Dispatch** the one which in the **TSO**'s reasonable judgement is most appropriate in all the circumstances.

SDC2.4.1.4 Following Gate Closure 2, Users may no longer submit or amend Physical
 Notifications, Interconnector Schedule Quantities or Commercial Offer Data in
 respect of Imbalance Settlement Periods for which the Gate Closure 2 has occurred
 (subject to SDC1.4.4.6(d)). Notwithstanding SDC1.4.7, the TSO will continue to rerun
 the scheduling process and issue Indicative Operations Schedules.

#### SDC2.4.2 Dispatch Instructions

#### SDC2.4.2.1 Introduction

As far as is reasonably practicable, **Dispatch Instructions** will normally be issued at any time following **Gate Closure 2** in respect of the relevant **Imbalance Settlement Periods**. The **TSO** may, however, at its discretion, issue **Dispatch Instructions** in relation to a **CDGU**, **Demand Side Unit**, **Interconnector** transfers, **Pumped Storage Plant Demand** and/or **Energy Storage Power Station Demand** and/or **Aggregated Generating Units** prior to **Gate Closure 2**.

#### SDC2.4.2.2 Issue of Dispatch Instructions

The TSO will issue Dispatch Instructions direct to:

- (a) the **Generator** for the **Dispatch** of each of its **CDGUs**.
- (b) the Generator Aggregator for the Dispatch of its Aggregated Generating Units.
- the Energy Storage Generator for the Dispatch of its Energy Storage Power Station Demand.
- (d) the Demand Side Unit Operator and the Pumped Storage Demand User in respect of each of their Demand Side Units and Pumped Storage Plant Demand respectively.
- (e) the **Interconnector Owner** for the **Dispatch** of the **Interconnector** transfers.
- (f) The TSO may issue Dispatch Instructions for any CDGU, Demand Side Unit, Interconnector transfers, Pumped Storage Plant Demand and/or Energy

Storage Power Station Demand and/or Aggregated Generating Units which has been declared Available in an Availability Notice even if that CDGU, Demand Side Unit, Interconnector transfers, Pumped Storage Plant Demand and/or Energy Storage Power Station Demand and/or Aggregated Generating Units was not included in an Indicative Operations Schedule.

#### SDC2.4.2.3 Scope of Dispatch Instructions

In addition to instructions relating to the **Dispatch** of **Active Power**, **Dispatch Instructions** (unless otherwise specified by the **TSO** at the time of giving the **Dispatch Instructions**) shall be deemed to include an automatic instruction of **Operating Reserve**, the level of which is to be provided in accordance with the **Declared Operating Reserve Availability** under SDC1 and the **Ancillary Service Agreement**.

- SDC2.4.2.4 In addition to instructions relating to the **Dispatch** of **Active Power**, **Dispatch Instructions** in relation to **CDGUs** and, **Demand Side Units** and/or **Pumped Storage Plant Demand** and/or **Energy Storage Power Station Demand** may include:
  - (a) a **Dispatch Instruction** to provide an **Ancillary Service**;
  - (b)
- (i) <u>Mvars</u>: the individual Reactive Power output from CDGUs at the Generator Terminals or <u>Voltage</u> levels (at instructed MW level) at the Connection Point which will be maintained by the CDGU.
- (ii) The issue of Dispatch Instructions for Active Power will be as at the Connection Point and will be made with due regard to any resulting change in Reactive Power capability and may include instruction for reduction in Active Power generation to increase Reactive Power capability.
- (iii) In the event of a sudden change in System voltage a Generator must not take any action in respect of any of its CDGUs to override automatic
   Mvar response unless instructed otherwise by the TSO or unless immediate action is necessary to comply with stability limits. A

**Generator** may take such action as is in its reasonable opinion necessary to avoid an imminent risk of injury to persons or material damage to property (including the **CDGU**).

- (iv) Further provisions in relation to Dispatch Instructions regarding Generator Reactive Power Dispatch are set out in Appendix B to this SDC2.
- (c) <u>Fuels</u>: Fuels to be used by the Generator in operating the CDGU. The Generator shall only be permitted to change Fuels with the TSO's prior consent.
- (d) <u>Special Protection Scheme</u>: an instruction to switch into or out of service an
   Special Protection Scheme or other Intertripping Scheme;
- (e) <u>Time to Synchronise/react</u>: a time to Synchronise or De-Synchronise CDGUs and, where appropriate Demand Side Units and/or Energy Storage Power Stations in relation to Energy Storage Power Station Demand and/or Pumped Storage Plants in relation to Pumped Storage Plant Demand and time to react for Demand Side Units;
- (f) <u>Synchronous Compensation</u>: an instruction, (where contracted, where that is necessary), for a CDGU to operate in Synchronous Compensation mode;
- (g) <u>Testing etc</u>: an instruction in relation to the carrying out of Testing, Monitoring or Investigations as required under OC.10, or testing at the request of a User under OC.8.5, or Commissioning Testing under the CC;

[Note: Please note that the SONI Grid Code will referring to "OC11" and "OC11.8", whereas the EirGrid Grid Code will be referring to "OC.10" and "OC.8.5".]

- (h) <u>System Tests</u>: an instruction in relation to the carrying out of a System Test as required under OC.8.4;
- (i) Maximisation: in the case of a CDGU which is subject to an agreement with the TSO for the provision of Maximisation an instruction requiring it to generate at a level in excess of its Availability but not exceeding its Short Term Maximisation Capability which may only be given if, at the time of issue of the instruction, the CDGU is Dispatched to a MW Output equal to its Availability and provided that the limit on the number of hours for which such instructions may be given in any year, as set out in any arrangement relating to the relevant agreement is not thereby exceeded. Such an instruction shall be identified as a "Maximisation Instruction". When the TSO gives a Dispatch Instruction which is in excess of the Availability of the CDGU which is not designated a "Maximisation Instruction", the Generator must inform the TSO immediately that the Dispatch Instruction is so in excess in order that the TSO can so designate the Dispatch Instruction as a Maximisation Instruction or withdraw the instruction. The Generator shall not then be obliged to comply with the **Dispatch Instruction** unless and until the TSO notifies it that the instruction is designated a "Maximisation Instruction";
- (j) <u>Cycle Operating Mode</u>: in the case of a CCGT Installation, an instruction specifying the Cycle Operating Mode and/or an instruction to Dispatch a CCGT Installation in Open Cycle Mode. The Generator must then ensure that the CCGT Installation achieves the new Dispatched Operating Mode, without undue delay, in accordance with the CCGT Installation's declared Availability and declared Technical Parameters. Dispatch Instructions in relation to Cycle Operating Modes issued by the TSO shall reflect the applicable Availability Notice and Technical Parameters;

- (k) <u>Pumped Storage</u>: mode changes for Pumped Storage Plants, where contracted, in relation to Pumped Storage Plant Demand;
- (I) <u>Energy Storage Power Station</u>: mode changes for ESPS, where contracted, in relation to Energy Storage Power Station Demand;
- (m) <u>Dispatch Instruction Test Flags</u>: Dispatch Instruction Test Flags shall be applied to Dispatch Instructions in respect of new or amended test proposals submitted by a Generator after Gate Closure 2 has already occurred for the relevant Imbalance Settlement Periods (since Final Physical Notifications cannot be amended) and the Generator could not have reasonably foreseen the need for the new or amended test request before Gate Closure 2 for the relevant Imbalance Settlement Period. The Dispatch Instruction Test Flag shall be applied to the portion of the Dispatch Instruction Test Flag shall be applied to the portion of the Dispatch Instruction which diverges from Physical Notifications submitted by a Generator in respect of a test proposal which has been approved by the TSO. The part of a Dispatch Instruction for settlement purposes;
- (n) <u>Gas supply emergency</u>: instructions relating to gas supply emergencies, where the ordinary **Dispatch** process may not be followed;

#### SDC2.4.2.5 Form of Instruction

(a) Instructions may normally be given via Electronic Interface but can be given by telephone, by facsimile transmission. In the case of a Special Protection Scheme, a Low Frequency Relay or any other automatic Primary Frequency Control scheme (excluding governor response) initiated response from a CDGU, Demand Side Unit, and/or Pumped Storage Plant in relation to Pumped Storage Plant Demand and/or Energy Storage Power Station in relation to Energy Storage Power Station Demand, the instruction will be given for the effective time which is consistent with the time at which the Low Frequency Relay operation occurred. This Dispatch Instruction will be issued retrospectively.

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- (b) The reduction by a Generator of the MW Output of one of its CDGUs under OC.4.3 shall be deemed to have followed a Dispatch Instruction issued by the TSO.
- (C)
- (i) In the event of a temporary loss of the *NI Control Centre/National Control Centre* as described under *OC.9*, each Generator shall, subject to the provisions of SDC2.4.2.5(c)(ii), continue to operate its CDGUs in accordance with the last Dispatch Instructions to have been issued by the TSO but shall use all reasonable endeavours to maintain System Frequency at the indicated Target Frequency *plus or minus 0.05Hz* by monitoring Frequency and increasing/decreasing the MW Output of its CDGUs as necessary until such time as new Dispatch Instructions are received from the TSO.
- (ii) When operating its CDGUs in the circumstances described under SDC2.4.2.5(c)(i), a Generator shall never be required to Dispatch these units in a manner in which the TSO would not be entitled to require such units to be Dispatched by means of a Dispatch Instruction issued in accordance with this SDC2.
- (d) The De-Synchronisation of a CDGU following the operation of a Special Protection Scheme selected by the TSO shall be deemed to have happened as a result of a Dispatch Instruction issued by the TSO.

#### SDC2.4.2.6 Target Frequency

(a) Dispatch Instructions to Generators will generally indicate the target MW (at Target Frequency) to be provided at the Connection Point to be achieved in accordance with the respective CDGU's Technical Parameters and/or parameters as provided in the Additional Grid Code Characteristics **Notices** provided under SDC1 or this SDC2, or such rate within those parameters as is specified by the **TSO** in the **Dispatch Instructions**.

- (b) Dispatch Instructions deemed to be given upon the operation of an agreed Low Frequency Relay will be deemed to indicate the target MW (at Target Frequency), which may either be at maximum MW Output or at some lower MW Output (as previously specified by the TSO), to be provided at the Connection Point which reflects and is in accordance with the CDGU's Technical Parameters and/or parameters as provided in the Additional Grid Code Characteristics Notice data given under (or as revised in accordance with) SDC1 or this SDC2.
- SDC2.4.2.7 To aid clarity, the form of and terms to be used by the **TSO** in issuing instructions together with their meanings are set out in the Appendices to this SDC2.

### SDC2.4.2.8

- Subject only to SDC2.4.2.9 and as provided below in this SDC2.4.2.8,
   Dispatch Instructions will not be inconsistent with the Availability and/or
   Technical Parameters and/or Additional Grid Code Characteristics Notice
   data and Other Relevant Data notified to the TSO under SDC1 (and any
   revisions under SDC1 or this SDC2 to that data).
- (b) A new **Dispatch Instruction** may be subsequently given (including an instruction for a **Cancelled Start**) at any time.
- (c) Dispatch Instructions may however be inconsistent with the Availability and/or Technical Parameters and/or Additional Grid Code Characteristics Notice data and/or Other Relevant Data so notified to the TSO for the purposes of carrying out a test at the request of the relevant Generator under OC.8.5 or a System Test at the request of the relevant Generator under OC.8.6, to the extent that such Dispatch Instructions are consistent with the procedure agreed (or otherwise determined) for conducting the test or System Test (as the case may be).

(d) For the avoidance of doubt, any **Dispatch Instructions** issued by the **TSO** for the purposes of carrying out a test at the request of the relevant **Generator** under *OC.8.5* or a **System Test** at the request of the relevant **Generator** under *OC.8.6* shall not be deemed to be **Dispatch Instructions** given pursuant to SDC2.4.2.9.

#### SDC2.4.2.9

- (a) To preserve System integrity under emergency circumstances where, for example, Licence Standards cannot be met the TSO may, however, issue Dispatch Instructions to change CDGU, Aggregated Generating Units, Demand Side Unit, Interconnector transfers and/or Pumped Storage Plant Demand MW Output and/or Energy Storage Power Station Demand MW Output or Demand Side Unit MW Response even when this is outside parameters so registered or so amended. This may, for example, be an instruction to trip or partially load a CDGU. The instruction will be stated by the TSO to be one in relation to emergency circumstances under SDC2.4.2.9.
- (b) A User may refuse to comply or continue to comply with instructions referred to in this SDC2.4.2.9 but only in order to avoid, in the Generator's reasonable opinion, an imminent risk of injury to persons or material damage to property (including in the case of a Generator, the CDGU).

#### SDC2.4.2.10 Communication with Users

(a) Dispatch Instructions whether given via Electronic Interface, by telephone, by facsimile transmission must be formally acknowledged immediately by the User at the Control Facility by Electronic Interface or, with the TSO's prior consent, by telephone, by return facsimile transmission, in the manner agreed between the User and the TSO or a reason must be given as soon as possible for non-acceptance, which may (subject to SDC2.4.2.9) only be to avoid, in the User's reasonable opinion, an imminent risk of injury to persons or material damage to property (including the CDGU) or because they are not in accordance with the applicable Availability Notice, or Technical Parameters, or Additional Grid Code Characteristics Notices or do not reflect Other Relevant Data submitted by the User pursuant to SDC1.

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- (b) In the event that in carrying out the **Dispatch Instructions**, an unforeseen problem arises, giving rise, in the **User's** reasonable opinion, to an imminent risk of injury to persons or material damage to property (including the **CDGU**) the **TSO** must be notified as soon as possible by telephone.
- (c) When issuing Notice to Synchronise to Generators in respect of their Generating Units, the TSO shall recongise the applicable heat state of each relevant Generating Unit at the proposed Synchronisation effective time and facilitate the synchronous start up time applicable to that heat state as indicated by the Generator in the Technical Parameters supplied for the Generating Unit.

#### SDC2.4.2.11 Action Required from Users

- (a) Each User will comply in accordance with SDC2.4.2.12 with all Dispatch
   Instructions given by the TSO unless the User has given notice to the TSO under the provisions of SDC2.4.2.10 regarding non-acceptance of Dispatch Instructions.
- (b) When complying with Dispatch Instructions for a CCGT Installation a
   Generator will operate its CCGT Units in accordance with the applicable
   CCGT Installation Matrix.
- (c) Where the TSO issues a Synchronising time to a Generator for a specific CDGU and the Generator identifies that such CDGU will not be Synchronised within -15/+15 minutes of the instructed time, the Generator must immediately (at the time the discrepancy is identified) inform the TSO of the situation and estimate the new Synchronising time.
- (d) If the CDGU has not synchronised within 15 minutes of the Synchronising time in the original Notice to Synchronise the TSO will issue a Failure to Follow Notice to Synchronise Instruction and the Generator shall re-declare, by Electronic Interface or by other form as the TSO may reasonably notify to

each **User** from time to time, its **Availability** to 0 MW for the **CDGU** effective at the **Synchronising** time in the original **Notice to Synchronise.** 

### SDC2.4.2.12 Implementation of Instructions by Users

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When a **User** has received a **Dispatch Instruction** given by the **TSO**, it will react by responding to that **Dispatch Instruction** given by the **TSO** without undue delay, and, in any event, within one minute in accordance with the instruction *or in the case of* **Dispatch Instructions** for **Mvars** within two minutes of the instruction, including those **Dispatch Instructions** issued pursuant to SDC2.4.2.9. Instructions indicating a target **MW Output** at the **Target Frequency** will be complied with by **Users** notwithstanding any tolerance bands set out in any **Testing** requirement or elsewhere in the **Grid Code**.

For the avoidance of doubt, **Demand Side User operators** and **Generator Aggregators** shall comply with SDC2.4.2.12, as detailed above.

When a **User** has received a **Dispatch Instruction** given by the **TSO**, it will react by responding to that **Dispatch Instruction** given by the **TSO** within 10 seconds. This set point must be reached in a time no greater than 10 seconds plus the ramp rate for the **Generation Unit**, including those **Dispatch Instructions** issued pursuant to SDC2.4.2.9. A tolerance will apply to the **Dispatch Instruction**.

This will be the greater of 1 MW or 1% of the dispatched quantity. Instructions indicating a target **MW Output** at the **Target Frequency** will be complied with by **Users** notwithstanding any tolerance bands set out in any **Testing** requirement or elsewhere in the **Grid Code**.

Manual local measures shall be allowed in cases where the automatic remote control devices are out of service. The **Active Power** set point must be reached within 1 hour. When a **User** has received a **Dispatch Instructions** for **Mvars** it will respond to that **Dispatch Instruction** given by the **TSO** within two minutes of the instruction.

#### SDC2.4.2.13

- (a) Subject to the exception set out below in this SDC2.4.2.13, Generators will only Synchronise or de-Synchronise CDGUs when they have received these Dispatch Instructions from the TSO or unless it occurs automatically as a result of Special Protection Schemes or Low Frequency Relay operations. Subject to the exception set out below in this SDC2.4.2.13, Demand Side Unit Operators will only reduce or increase their Demand Side Unit MW Response to the Dispatch Instructions of the TSO or unless it occurs automatically as a result of Special Protection Schemes or Low Frequency Relay operations.
- (b) De-Synchronisation may otherwise only take place without the TSO's prior agreement if it is to avoid, in the Generator's reasonable opinion, an imminent risk of injury to persons or material damage to property (including the CDGU). Demand Side Units, who cannot maintain the provision of any Demand Side Unit MW Response, may otherwise only take place without the TSO's prior agreement if it is to avoid, in the Demand Side Unit Operator's reasonable opinion, an imminent risk of injury to persons or material damage to property (including the Demand Side Unit).
- (c) If one of these exceptions occur, then the **TSO** must be informed that it has taken place as soon as possible.
- SDC2.4.2.14 The **TSO** may suspend the issue of **Dispatch Instructions** to **User's Plant** in accordance with the **Merit Order** (having taken account of and applied the factors referred to in SDC1.4.7.3) to the extent that the conditions in SDC1.4.7.6 or SDC2.4.2.4(n) arise. When necessary the **TSO** will issue **Dispatch Instructions** for a **Black Start**.

#### SDC2.4.2.15 User Plant Changes

Each User at its Control Facility will, without delay, notify the TSO by Electronic Interface, telephone or by facsimile transmission of any change or loss (temporary or otherwise) to the operational capability of its Plant including any changes to the Technical Parameters and/or Additional Grid Code Characteristics Notice data of each of the User's Plant (in the case of Technical Parameters, by the submission of a Technical Parameters Revision Notice) indicating (where possible) the magnitude and the duration of the change. In the case of CDGUs already Synchronised to the System, each Generator, in respect of its Generating Units, must also state whether or not the loss was instantaneous.

- SDC2.4.2.16 Each Generator, in respect of its Generating Units, will operate its Synchronised CDGUs with AVRs and Var limiters in service at all times (where required pursuant to *CC.7.3 and SDC2.B.7*) unless released from this obligation in respect of a particular CDGU by the TSO.
- SDC2.4.2.17 Each Generator, in respect of its Generating Units, shall request the TSO's agreement for one of its CDGUs at that Generating Plant to be operated without the AVR or Var limiter in service. The agreement of the TSO will be dependent on the risk that would be imposed on the System. However, a Generator may, in any event, take such action in relation to that CDGU as is reasonably necessary to avoid, in the Generator's reasonable opinion, an imminent risk of injury to persons or material damage to property (including the CDGU).

# SDC2.4.2.18 Minimum Demand Regulation ("MDR")

**Synchronised CDGUs** must at all times be capable of reducing **MW Output** sufficient to allow a sufficient **Regulating Margin** for adequate **Frequency Control**. The **TSO** will monitor the **MW Output** data of the **Indicative Operations Schedule** against the forecast **Demand** to see whether the level of **MDR** for any period is insufficient, and may take any shortfall into account in **Dispatch**.

#### SDC2.4.3 Special Actions

The **TSO** may also issue **Dispatch Instructions** for **Special Actions** (either pre- or post-fault) to a **User** in respect of any of its **Plant** in the event that the **TSO** in its

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reasonable opinion believes that such instructions are necessary in order to ensure that the Licence Standards are met. Special Actions will generally involve a Load change, a Load reduction change or a change in required Notice to Synchronise (or, in the case of a Demand Side Unit or Pumped Storage Plant Demand or Energy Storage Power Station Demand, a change in the relevant effective time) in a specific timescale on individual or groups of CDGUs. They may also include selection of Special Protection Scheme for stability or thermal reasons. Instructions for Special Actions will always be within Technical Parameters.

#### **SDC2 - APPENDIX A**

#### Dispatch Instructions for CDGUs and Demand Side Unit

SDC2.A.1 General

This Appendix A to SDC2 provides further information on the form of a **Dispatch Instruction** as well as an example of a **Dispatch Instruction** for **CDGUs** and **Demand Side Units**.

#### SDC2.A.2 Form of Dispatch Instruction

- SDC2.A.2.1 All Loading/De-Loading Rates will be assumed to be in accordance with Technical Parameters and Additional Grid Code Characteristics Notice data. Each Dispatch Instruction will, wherever possible, be kept simple, drawing as necessary from the following forms and SDC2.4.2.
- SDC2.A.2.2 The **Dispatch Instruction** given by **Electronic Interface**, telephone, or facsimile transmission will normally follow the form:
  - (a) where appropriate, the specific CDGU or User's Plant to which the instruction applies;
  - (b)

(i) the **MW Output** (or **Demand Side Unit MW Response**) to which it is instructed; or

(ii) the MW Output (or Demand Side Unit MW Response) to which it is instructed until, a specified time, in which case the instructed MW Output shall be followed until a further Dispatch Instruction is issued;

- (c) if the start time is different from the time the instruction is issued, the start time will be included;
- (d) where specific Loading/De-Loading Rates are concerned, a specific target time;
- (e) the issue time of the instruction;
- (f) the fuel;

- (g) in the case of CDGUs , if the instruction is designated as a "Maximisation Instruction", this will be stated; and
- (h) in the case of a **CCGT Installation**, the **Operating Mode** to which it is instructed.
- SDC2.A.2.3 Where the MW Output (or Demand Side Unit MW Response) is instructed until a specified time, that time shall normally be within the Trading Days for which Gate Closure 1 has passed. The TSO may, however, at its discretion, specify a time beyond the end of the Trading Days for which Gate Closure 1 has passed.

# SDC2.A.3 Dispatching a Synchronised CDGU to increase or decrease MW Output

SDC2.A.3.1 If the time of the **Dispatch Instruction** is 1400 hours, the Unit is Unit 1 and the **MW Output** to be achieved is 205 **MW**, the relevant part of the instruction would be, for example:

> "Time 1400 hours. Unit 1 to 205 **MW** until further notice" Or, "Time 1400 hours. Unit 1 to 205 **MW** effective until 1500 hours"

SDC2.A.3.2 If the start time is 1415 hours, it would be, for example:

"Time 1400 hours. Unit 1 to 205 **MW** until further notice, start at 1415 hours" Or "Time 1400 hours. Unit 1 to 205 **MW** effective until 1500 hours, start at 1415 hours"

SDC2.A.3.3Loading and De-Loading Rates are assumed to be in accordance with Technical<br/>Parameters and Additional Grid Code Characteristics Notice data unless otherwise<br/>stated. If different Loading or De-Loading Rates are required, the time to be<br/>achieved will be stated, for example:

"Time 1400 hours. Unit 1 to 205 **MW** by 1420 hours until further notice" Or "Time 1400 hours. Unit 1 to 205 **MW** by 1420 hours, effective until 1500 hours"

#### SDC2.A.4 Dispatching a CDGU to Synchronise/de-Synchronise

#### SDC2.A.4.1 CDGU Synchronising

SDC2.A.4.1.1 In this instance, for CDGUs, the Dispatch Instruction issue time will always have due regard for the *Synchronous Start-Up Time (for cold, hot, warm states)* declared to the TSO by the Generator as a Technical Parameters or as part of Additional Grid Code Characteristics Notice data.

The instruction will follow the form, for example:

"Time 1300 hours. Unit 1, Synchronise at 1600 hours"

In relation to an instruction to **Synchronise**, the **Synchronising** time referred to in SDC2.A.2.2 will be deemed to be the time at which **Synchronisation** is to take place.

- SDC2.A.4.1.2 Unless a **Loading** programme is also given at the same time it will be assumed that the **CDGU(s)** are to be brought to **Minimum Generation** and on the **Generator** reporting that the unit has **Synchronised** a further **Dispatch Instruction** will be issued.
- SDC2.A.4.1.3 When a **Dispatch Instruction** for a **CDGU** to **Synchronise** is cancelled (i.e. a **Cancelled Start**) before the unit is **Synchronised**, the instruction will follow the form, for example:

"Time 1400 hours. Unit 1, cancel Synchronising instruction"

- SDC2.A.4.1.4 If a CDGU fails to Synchronise more than 15 minutes after the Synchronising time specified in a Notice to Synchronise, the TSO will issue a Failure to Follow Notice to Synchronise Instruction. If a Generator requests to Synchronise a CDGU more than 15 minutes before the Synchronising time set out in the Notice to Synchronise, the TSO may agree to the CDGU being Synchronised at that time or request that the CDGU be Synchronise at the original Synchronising time. If the TSO accepts the request to Synchronise more than 15 minutes before the original Synchronising time, the TSO will not amend the original Synchronising time but the Generator shall be entitled to Synchronise the CDGU, and the CDGU shall be deemed to have met the original Synchronising time.
- SDC2.A.4.1.5 When in respect of a CDGU a Generator receives a Failure to Follow Notice to Synchronise Instruction the original Notice to Synchronise is deemed never to have been issued and the CDGU is not entitled to Synchronise. The TSO will then decide whether or not to instruct again the Generator to Synchronise the CDGU, and will notify the Generator in relation to that CDGU accordingly.

- SDC2.A.4.1.6 When a CDGU trips before reaching Minimum Generation a Failure to Reach Minimum Generation Instruction will be issued. The Failure to Reach Minimum Generation Instruction will negate the Notice to Synchronise received by the CDGU. The TSO will then decide whether or not to instruct the CDGU to Synchronise again, and will notify the Generator in relation to that CDGU accordingly.
- SDC2.A.4.1.7 The TSO may request a CDGU to endeavour to Synchronise earlier than the declared Synchronous Start Up Time (for cold, hot, warm states). In this event the TSO will issue the Dispatch Instruction with a Synchronising time that reflects the CDGU declared Synchronous Start Up Time (for cold, hot, warm states) accompanied by a written or verbal request that the unit Synchronise as soon as possible. If the CDGU Synchronises ahead of the Synchronising time in the Dispatch Instruction the TSO will cancel that Dispatch Instruction and issue a new Dispatch Instruction with a Synchronising time equal to the actual time the unit Synchronised.

## SDC2.A.4.2 CDGUs De-Synchronising

SDC2.A.4.2.1 The **Dispatch Instruction** will normally follow the form, for example:

"Time 1300 hours. Unit 1, Shutdown"

If the instruction start time is for 1400 hours the form will be, for example:

"Time 1300 hours. Unit 1, Shutdown, start at 1400 hours"

Both the above assume **De-Loading Rate** at declared **Technical Parameters**. Otherwise the message will conclude with, for example:

"... and De-Synchronise at 1500 hours"

#### SDC2.A.5 Frequency Control

SDC2.A.5.1 All the above **Dispatch Instructions** will be deemed to be at the instructed **Target Frequency**, i.e. where a **CDGU** is in the **Frequency Sensitive Mode** instructions refer to target **MW Output** at **Target Frequency**. **Target Frequency** changes will always be given to the **Generator** by telephone or **Electronic Interface** and will normally only be 49.95, 50.00, 50.05Hz. The adjustment of **MW Output** of a **CDGU** for **System Frequency** other than an average of 50 Hz, shall be made in accordance with the current **Declared** value of **Governor Droop** for the **CDGU**.

SDC2.A.5.2 **CDGUs** required to be **Frequency** insensitive will be specifically instructed as such. The **Dispatch Instruction** will be of the form for example:

"Time 2100 hours. Unit 1, to Frequency insensitive mode"

SDC2.A.5.3 **Frequency Control** instructions may be issued in conjunction with, or separate from, a **Dispatch Instruction** relating to **MW Output**.

## SDC2.A.6 *Emergency* Load Drop

The **Dispatch Instruction** will be in a pre-arranged format and normally follow the form, for example:

"Time 2000 hours. Emergency Load drop of "X"MW in "Y" minutes"

## SDC2.A.7 Voltage Control Instruction

# [Note: Voltage is used as a defined term in the EirGrid code but not in the SONI Code.]

In order that adequate **System** *Voltage* profiles are maintained under normal and fault conditions a range of **Voltage Control** instructions will be utilised from time to time, for example:

- i. Operate to target *Voltage* of 117 kV;
- ii. Maximum production or absorption of **Reactive Power** (at current instructed **MW Output)**
- iii. Increase reactive output by 10 Mvar (at current instructed MW Output);
- iv. Change Reactive Power to 100 Mvar production or absorption;
- Increase CDGU Generator step-up transformer tap position by [one] tap or go to tap position [x];
- vi. For a Simultaneous Tap Change, change CDGU Generator step-up transformer tap position by one [two] taps to raise or lower (as relevant)
   System Voltage, to be executed at time of telegraph (or other) Dispatch Instruction.
- vii. Achieve a target **Voltage** of 210 kV and then allow to vary with **System** conditions; and
- viii. Maintain a target Voltage of 210 kV until otherwise instructed. Tap change as necessary."

In relation to **Mvar Dispatch** matters, **Mvar** production is an export onto the **System** and is referred to as "lagging **Mvar**", and **Mvar** absorption is an import from the **System** and is referred to as "leading **Mvar**".

It should be noted that the excitation control system constant **Reactive Power** level control mode or constant **Power Factor** output control mode will always be disabled, unless agreed otherwise with the **TSO**.

# SDC2.A.8 Instruction to change fuel

When the **TSO** wishes to instruct a **Generator** to change the fuel being burned in the operation of one of its **CDGUs** from one **Dispatched Fuel** (or fuel) to another (for example from 1% sulphur oil to 3% sulphur oil), the **Dispatch Instruction** will follow the form, for example:

"Time 1500 hours. Unit 2 change to 3% fuel at 1700 hours".

#### SDC2.A.9 Instruction to change fuel for a dual firing CDGU

When the **TSO** wishes to instruct a **Generator** to change the fuel being burned in the operation of one of its **CDGUs** which is capable of firing on two different fuels (for example, coal or oil), from one **Designated Fuel** (or fuel) to another (for example, from coal to oil), the instruction will follow the form, for example:

"Time 1500 hours. Unit 1 generate using oil at 1800 hours".

## SDC2.A.10 Maximisation Instruction to CDGUs

When the **TSO** wishes to instruct a **Generator** to operate a **CDGU** at a level in excess of its **Availability** in accordance with SDC2.4.2.4(i), the instruction will follow the form, for example:

"Maximisation Instruction. Time 1800 hours. Unit GT2 to 58 MW."

## SDC2.A.11 <u>Emergency Instruction</u>

If a **Dispatch Instruction** is an **Emergency Instruction** the **Dispatch Instruction** will be prefixed with the words. This is an **Emergency Instruction**. It may be in a prearranged format and normally follow the form, for example:

This is an **Emergency Instruction.** Reduce **MW Output** to "X"MW in "Y" minutes, **Dispatch Instruction** timed at 2000 hours.

#### SDC2.A.12 Dispatching a Demand Side Unit to a Demand Side Unit MW Response

- SDC2.A.12.1 For **Demand Side Units**, the **Dispatch Instruction** issue time will always have due regard for the **Demand Side Unit Notice Time** declared to the **TSO** by the **Demand Side Unit Operator** as a **Technical Parameter** or as part of **Additional Grid Code Characteristics Notice** data.
- SDC2.A.12.2 If the time of the **Dispatch Instruction** is 1400 hours, the **Demand Side Unit** is XX1, the **Demand Side Unit Notice Time** is 10 minutes and the **Demand Side Unit MW Response** to be achieved is 20 **MW**, the relevant part of the instruction would be for example:

"Time 1400 hours. Unit XX1 to 20 **MW** until further notice, start at 1410 hours" Or

"Time 1400 hours. Unit XX1 to 20 MW until 1500 hours, start at 1410 hours"

#### **SDC2 - APPENDIX B**

#### [Note: This Appendix applies to the EirGrid Grid Code only.]

**Dispatch Instructions for Generator Reactive Power** 

SDC2.B.1 The Mvar Output of any CDGU in respect of which a Dispatch Instruction is given under SDC2.4.2.4(b) shall, in accordance with its declared Technical Parameters, be adjusted to the new target Mvar level so Instructed, within, a tolerance of +/- 2% of the target or +/- 2 Mvar, whichever is greater. The Reactive Power output of a CDGU shall not be adjusted (other than under AVR action) except in response to a Dispatch Instruction from the TSO.

- SDC2.B.2 Generators having achieved the new target Mvar Output, should not attempt to sustain this level of Mvar Output as the System Voltage varies but should, rather, allow the Reactive Power output to vary under AVR control in accordance with the then applicable Declarations of Ancillary Service capabilities and Technical Parameters.
- SDC2.B.3 While a **Reactive Power Dispatch Instruction** shall normally specify a new **Mvar** target for a **CDGU**, the **TSO** may also from time to time instruct **Generators** to perform one or more tap changes on the generator step-up transformer of a **CDGU**. The **Dispatch Instructions** for tap changes may be a **Simultaneous Tap Change Instruction** whereby the tap change shall be effected by the **Generator** in response to a **Dispatch Instruction** from the **TSO** issued simultaneously to relevant **Power Stations**. The **Dispatch Instruction**, which is normally preceded by advance warning, shall be effected within 1 minute of receipt from the **TSO** of the **Dispatch Instruction**.
- SDC2.B.4 Dispatch Instructions in relation to Reactive Power may include target voltage levels to be achieved by the CDGU on the Transmission System at Grid Connection Point (or on the User System at the User System Entry Point in the case of an Embedded Generator, namely on the higher voltage side of the Generator step-up transformer). Where a CDGU is Instructed to a specified target voltage, the Generator shall achieve that target within a tolerance of 1 kV by tap changing on the Generator step-up transformer unless otherwise agreed with the TSO. Under normal operating conditions, once this target voltage level has been achieved, the Generator shall not tap change again without prior consultation with and agreement of the TSO.

- SDC2.B.5 Under certain conditions such as low **System Voltage**, a **Dispatch Instruction** to maximum **Mvar** production at **Instructed MW Output** may be given and the **Generator** shall take appropriate action to maximise **Mvar** production unless constrained by plant operational limits or safety grounds relating to personnel or plant.
- SDC2.B.6 Under certain conditions such as high **System Voltage**, a **Dispatch Instruction** to maximum **Mvar** absorption at **Instructed MW Output** may be given and the **Generator** shall take appropriate action to maximise **Mvar** absorption unless constrained by plant operational limits or safety grounds relating to personnel or plant.
- SDC2.B.7 The excitation system, unless otherwise agreed with the **TSO**, shall be operated only in its constant terminal voltage mode of operation with var limiters in service, with any constant **Reactive Power** output control mode or constant **Power Factor** output control mode always disabled, unless agreed otherwise with the **TSO**.
- SDC2.B.8 A **Dispatch** Instruction relating to **Reactive Power** will be implemented without delay and, notwithstanding the provisions of SDC2.4.2.12 and subject as provided in this Appendix B will be achieved not later than 2 minutes after the **Dispatch Instruction** time, or such longer period as the **TSO** may **Instruct**.
- SDC2.B.9 Where **Dispatch Instructions** relating to **Active Power** and **Reactive Power** are given together, and to achieve the **Reactive Power** output would cause the **CDGU** to operate outside **Technical Parameters** as a result of the **Active Power Dispatch Instruction** being met at the same time, then the adjustment of the **Reactive Power** output may be delayed until the operating limits no longer prevent the change. In any case the **Active** and **Reactive Power Dispatch Instruction** shall be followed without undue delay.
- SDC2.B.10 In circumstances where the **TSO** issues new **Dispatch Instructions** in relation to more than one **CDGU** at the same **Power Station** at the same time tapping will be carried out by the **Generator** one tap at a time either alternately between (or in sequential order, if more than two), or at the same time on, each **CDGU**, as the case may be.
- SDC2.B.11 Where the **Dispatch Instructions** require more than two taps per **CDGU** and that means that the **Dispatch Instructions** cannot be achieved within 2 minutes of the time of the **Dispatch Instructions** (or such longer period at the **TSO** may have

Instructed), the **Dispatch Instructions** shall each be achieved with the minimum of delay after the expiry of that period;

- SDC2.B.12 On receiving a new **MW Dispatch Instruction**, no tap changing shall be carried out to change the **Mvar Output** unless there is a new **Mvar Dispatch Instruction**.
- SDC2.B.13 Where a Dispatch Instructions to Synchronise is given, or where a CDGU is Synchronised and a MW Dispatch Instruction is given, a Mvar Dispatch Instruction consistent with the CDGU's relevant parameters may be given. In the absence of a Mvar Dispatch Instruction with an instruction to Synchronise, the Mvar Output should be 0 Mvar.
- SDC2.B.14 Where a **Dispatch Instructions** to **De-Synchronise** is given, a **Mvar Dispatch Instruction**, compatible with shutdown, may be given prior to **De-Synchronisation** being achieved. In the absence of a separate **Mvar Dispatch Instruction**, it is implicit in the **Dispatch Instructions** to **De-Synchronise** that **Mvar** output should at the point of synchronism be 0 **Mvar** at **De-Synchronisation**.
- SDC2.B.15A Dispatch Instruction relating to Reactive Power may be given in respect of<br/>CCGT Units within a CCGT Installation where running arrangements and/or<br/>System conditions require, in both cases where connection arrangements permit.
- SDC2.B.16 On receipt of a **Dispatch Instruction** relating to **Reactive Power**, the **Generator** may take such action as is necessary to maintain the integrity of the **CDGU** (including, without limitation, requesting a revised **Dispatch Instruction**), and shall contact the **TSO** without delay.
- SDC2.B.17 Under **System** fault conditions it is possible for **AVR** action to drive **Reactive** Power output for a **CDGU** outside of its **Declared Operating Characteristic** limits. The **Generator** shall immediately inform the **TSO** of the situation. However if the **Generator** reasonably believes that the situation may be dangerous to personnel or **Plant**, then limited action may be taken to improve the situation.

# **SDC 2 ANNEX I**

# Explanatory Note of differences between SDC2 in the SONI Grid Code and EirGrid Grid Code

This annex is an explanatory note only and does not form part of the Grid Code.

# 1. General Differences in wording

The table below summarises the general differences in wording between the form of SDC2 in the SONI Grid Code and the form of SDC2 in the EirGrid Grid Code, which appear repeatedly throughout SDC2.

| Terms used in SONI Grid | Equivalent terms used in | Reason   |
|-------------------------|--------------------------|--|
| Code                    | EirGrid Grid Code (where |  |
|                         | different)               |  |
| System Support Services | Ancillary Service(s)     | The existing arrangements for<br>Ancillary Services and System<br>Support Services are continuing<br>until further notice.   |
| CCGT Module             | CCGT Unit                | This is the phrase currently used<br>to describe the individual parts<br>of a Combined Cycle Plant CCGT<br>Module is an important concept<br>in Northern Ireland and is<br>reflected in many other<br>agreements. EirGrid is keeping<br>the phrase CCGT Unit, as it<br>more closely describes the<br>concept of an individual unit<br>and EirGrid has formerly used<br>CCGT Module to describe the<br>whole CCGT Installation. |

| voltage   | Voltage   | "Voltage" is a defined term in   |
|-----------|-----------|----------------------------------|
|           |           | the EirGrid Grid Code but not in |
|           |           | the SONI Grid Code.              |
|           |           |                                  |
| emergency | Emergency | "Emergency" is a defined term    |
|           |           | in the EirGrid Grid Code but not |
|           |           | in the SONI Grid Code.           |
|           |           |                                  |

# 2. Specific differences in wording between equivalent provisions in both Grid Codes

The table below provides a list of the other specific differences in wording between equivalent provisions of SDC1 in both Grid Codes.

| SONI Grid Code               | EirGrid Grid Code  | Reason   |
|------------------------------|--|--|
| Reference is made to "but    |  | Reference to   |
|                              |  | these words in   |
|                              |  | the SONI Grid  |
|                              |  | Code is made for   |
|                              |  |  |
| Generation".                 |  | clarity reasons.   |
| Reference is made to "OC.11" | Reference is made to   | These are the  |
| and "OC11.8"                 | "OC.10" and "OC.8.5" and   | respective   |
|                              | to the word "Acceptance"   | requirements in  |
|                              | after "Commissioning"  | relation to  |
|                              |  | testing,   |
|                              |  | monitoring and   |
|                              |  | investigations   |
|                              |  |  |
| Reference is made to "OC.10" |  | These are the  |
|                              | "OC.8.4"   | respective System  |
|                              |  | Tests  |
|                              |  | requirements   |
| Reference is made to "radio  | No reference is made to  | These are  |
| telephones" in the list of   | "radio telephones" and in  | respective   |
| means of communications of   | addition, after the words  | requirements   |
| a Dispatch Instruction       | "Frequency Relay" the  | regarding the  |
|                              | EirGrid Grid Code also   | form of a  |
|                              | refers to "or any other  | Dispatch   |
|                              | automatic Primary  | Instruction  |
|                              | Frequency Control Scheme   |  |
|                              | (excluding governor  |  |
|                              | Reference is made to "but         not       Pumped       Storage         Demand" after the words         "Pumped       Storage         Generation".         Reference is made to "OC.11"         and "OC11.8"         Reference is made to "OC.10"         Reference is made to "oc.10" | Reference is made to "but<br>not Pumped Storage<br>Demand" after the words<br>"Pumped Storage<br>Generation".Reference is made to "OC.11"<br>Reference is made to "OC.10" and "OC.8.5" and<br>to the word "Acceptance"<br>after "Commissioning"Reference is made to "OC.10"Reference is made to "OC.10" and "OC.8.5" and<br>to the word "Acceptance"<br>after "Commissioning"Reference is made to "OC.10"Reference is made to "OC.8.4"Reference is made to "radio<br>telephones" in the list of<br>a Dispatch InstructionNo reference is made to "<br>"Addition, after the words aldition, after the words "<br>Frequency Relay" the<br>EirGrid Grid Code also refers to "or any other automatic<br>Primary Frequency Control Scheme |

|                |   | response)".                                   |  |
|----------------|---|---|--|
| SDC2.4.2.5(b)  | Reference is made to<br>"SDC3.6.1"<br>Reference is made to "OC7"                    | Referenceismadeto"OC.4.3"Referenceismadeto    | These are the<br>respective<br>requirements in<br>relation to<br>actions required<br>in response to<br>high frequency<br>These are the |
|                |   | "OC.9"  | respective<br>references in<br>respective of<br>temporary losses<br>at the TSOs'<br>Control Centres                                    |
| SDC2.4.2.8(c)  | Reference is made to "OC11.8" and "OC10.4"  | Reference is made to "OC.8.5" and "OC8.6"     | These are the<br>respective<br>requirements in<br>respect of testing<br>and System Tests   |
| SDC2.4.2.8(d)  | Reference is made to "OC11.8" and "OC10.4"  | Reference is made to "OC8.5" and "OC.8.6"     | These are the<br>respective<br>requirements in<br>respect of testing<br>and System Tests   |
| SDC2.4.2.10(a) | Reference is made to "radio<br>telephones" in the list of<br>means of communication | No reference is made to<br>"Radio telephones" | The reference to<br>"radio<br>telephones" is<br>specific to the<br>means of<br>communication   |

|                |                              |                             | under the SONI     |
|----------------|------------------------------|-----------------------------|--------------------|
|                |                              |                             | Grid Code.         |
|                |                              |                             |                    |
| SDC2.4.2.11(c) | Reference is made to "+15/-5 | Reference is made to "+/-   | These are the      |
|                | minutes"                     | 10 minutes"                 | respective delays  |
|                |                              |                             | in synchronising   |
|                |                              |                             | times which        |
|                |                              |                             | trigger an         |
|                |                              |                             | obligation on a    |
|                |                              |                             | Generator to       |
|                |                              |                             | notify the TSO of  |
|                |                              |                             | the delay in       |
|                |                              |                             | synchronising      |
|                |                              |                             | times.             |
| 65624242       |                              |                             | The Floor double   |
| SDC2.4.2.12    | No reference is made to      | Reference is made to "or in | The EirGrid Grid   |
|                | Dispatch Instructions for    | the case of a Dispatch      | Code has several   |
|                | Mvars                        | Instruction for Mvars       | specific           |
|                |                              | within two minutes of the   | requirements for   |
|                |                              | instruction" after the      | the dispatch of    |
|                |                              | words "in accordance with   | Generator          |
|                |                              | the instruction"            | Reactive Power.    |
| SDC2.4.2.16    | Reference is made to         | Reference is made to        | These are the      |
|                | "CC.S1.5"                    | "CC.7.3 and SDC2.B.7"       | respective         |
|                |                              |                             | requirements for   |
|                |                              |                             | Generating Unit    |
|                |                              |                             | Control            |
|                |                              |                             | arrangements       |
|                |                              |                             |                    |
| SDC2.A.2.2     | Reference is made to         | Reference is only made to   | This is due to the |
|                | "Designated Fuel" and        | "fuel"                      | PPA specific fuel  |
|                | "Declared Fuel"              |                             | terminology in     |
|                |                              |                             | the SONI Grid      |
|                |                              |                             | Code               |
|                |                              |                             |                    |

# 3. Provisions applicable to one Grid Code only

| Provisions used in SONI Grid Code only | Reason   |
|--|--|
| SDC2.1.3                               | This paragraph cross-refers to Appendices C and<br>D which both deal with specific issues applicable<br>to PPA Generation only.  |
| SDC2.4.1.4                             | This provision is necessary in the SONI Grid Code<br>to specify that specific CCGT requirements<br>contained in the Generating Unit Agreements,<br>Power Station Agreements and System Support<br>Services Agreements prevail over the<br>requirements of the Grid Code in case of<br>inconsistency. |
| SDC2.4.2.3                             | This paragraph is necessary to deal with issues<br>specific to PPA Generation, and in particular the<br>fact that for PPA Generation, a Dispatch<br>Instruction may include an automatic instruction<br>of Spinning Reserve.   |
| SDC2.4.2.4(c) – final sentence         | This final sentence is specific to the SONI Grid<br>Code as it cross-refers to Appendix C that sets out<br>the different terminology and requirements<br>relating to fuel for PPA Generation.  |
| SDC2.4.2.4(n)                          | This is a SONI Grid Code only requirement in<br>respect of instructions to change Generator<br>Transformer tap positions   |
| SDC2.A.1 – second paragraph            | This is a SONI Grid Code only provision which<br>provides that for PPA CCGT Modules and Units,<br>provisions in the Power Purchase Arrangements<br>and SSSAs prevail over Grid Code requirements   |

The table below provides a list of the provisions of SDC1 which exist in one Grid Code only.

|                 | where there is an inconsistency.   |
|-----------------|--|
| SDC2 Appendix C | This appendix deals with fuel provisions which apply to PPA Generation only.       |
| SDC2 Appendix D | This appendix deals with additional provisions which apply to PPA Generation only. |

| Provisions used in EirGrid Grid Code only  | Reason   |
|--|--|
| SDC2.4.2.3                                 | This paragraph is necessary in order to deal with<br>the EirGrid specific requirement that a Dispatch<br>Instruction may include an automatic instruction<br>of Operating Reserve. |
| SDC2.4.2.4(b)(iv)                          | This paragraph is EirGrid specific as it cross-refers<br>to Appendix B which sets out EirGrid specific<br>requirements for Generator Reactive Power<br>Dispatch.                   |
| SDC2.A.5.1 – second paragraph              | This provision deals with EirGrid specific<br>requirements in respect of MW Output<br>adjustment of a CDGU for System Frequency.   |
| SDC2.A.7 iv to viii and final 2 paragraphs | These additional paragraphs deal with EirGrid<br>specific Generator Reactive Power dispatch<br>requirements  |
| SDC2.A.11                                  | This additional paragraph deals with EirGrid specific Dispatch Instructions in relation to emergencies.  |
| SDC2 Appendix B                            | This appendix deals with the EirGrid specific<br>requirements for the Dispatch of Generator<br>Reactive Power  |

# PPM1 Controllable PPM Power Station Grid Code Provisions

# **PPM1.1** Introduction

All Generators connecting to the Transmission System are required to comply with the Grid Code. The Grid Code was originally developed with synchronous generators in mind. Since Generation Units do not have the same characteristics as synchronous generators, it was considered appropriate to develop a new set of Grid Code provisions specifically for Controllable PPMs. This section of the Grid Code gives the specific requirements for Controllable PPMs and PPM Extensions to preexisting Controllable PPMs where an extension to a PPM shall be classified as one of the following two types:

- (a) Transmission Connected Type AA PPM Extension which is not separately controllable.
- (b) Transmission Connected Type B

A **PPM Extension** which is separately controllable will be considered as a unique **PPM** with the exception of its requirements to **PPM1.6 Transmission System Voltage Requirements** which will be tested in aggregate with the existing **Controllable PPM**.

Transmission Connected Type A **PPM Extensions** and Transmission Connected Type B **PPM Extensions** will be subject to full **Grid Code** Compliance testing at the discretion of the **TSO**. Where a **Controllable PPM** has been granted derogations or exemptions from the **Grid Code** any **PPM Extension** to that **Controllable PPM** will be a Transmission Connected Type B **PPM Extension**.

# PPM1.2 Objective

The primary objective of PPM1 is to establish the technical rules which **Controllable PPMs** and **PPM Extensions** must comply with in relation to their connection to and operation on the **Transmission System**.

# PPM1.3 Scope

- PPM1.3.1 PPM1 applies to the following **Users**:
  - (a) The **TSO**;
  - (b) Grid Connected Controllable PPMs; and
  - (c) Grid Connected Controllable PPM Extensions; and
  - (d) Grid Connected Energy Storage Power Station Demand
- PPM1.3.2 In addition to PPM1, **Controllable PPMs** and **Energy Storage Power Station Demand** are required to comply with the following sections of the **Grid Code**:
  - GC General Conditions
  - PC Planning Code
  - PCA Planning Code Appendix
  - CC- Connection Conditions excluding:
    - o CC.7.2.5.1
    - o CC.7.2.5.2
    - *CC*.7.3.1.1 (a) to (h)and (j) to (u) and (y) and (dd)
    - o *CC.7.3.1.2*
    - o *CC.7.3.5*
    - o CC.7.3.6
    - o *CC.7.3.7*
    - o CC.7.3.8
    - o *CC.12.2*
    - o CC.12.3
  - OC.1
  - OC.2
  - OC.4 excluding:

OC.4.3.4
 OC.4.4.5.3
 OC.4.4.5.4
 OC.4.4.5.5

- 0
- OC.6.7 excluding

o OC.7.2.4.2

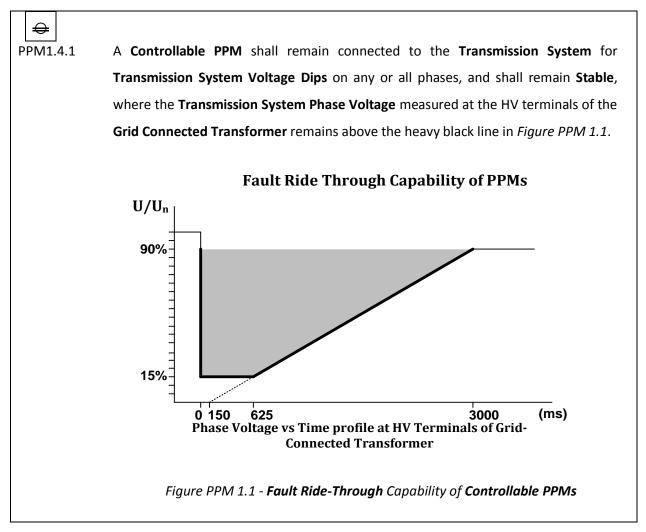
- OC.8
- OC.9
- OC.10 excluding
  - o OC.10.5.7
  - o OC.10.7.1
  - o OC.10.7.2
  - o OC.10.7.3
  - o OC.10.7.4
  - o OC.10.7.6
- OC.11
- SDC1

**Dispatchable PPMs** and **Energy Storage Power Station Demand** are also required to comply with SDC2.

In the **Grid Code**, where applicable, for the purposes of **Controllable PPMs** references to **Generation Unit** or **Generator** should be interpreted to mean **Controllable PPM**.

For the avoidance of doubt, within PPM1, references to **Controllable PPM** and **PPM** also include **Energy Storage Power Station Demand**.

# PPM1.4 Fault Ride Through Requirements



PPM1.4.2 In addition to remaining connected to the **Transmission System**, the **Controllable PPM** shall have the technical capability to provide the following functions:

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 (a) During Transmission System Voltage Dips, the Controllable PPM shall provide Active Power in proportion to retained Voltage and provide reactive current to the Transmission System, as set out in PPM1.4.2(c).

The provision of reactive current shall continue until the **Transmission System Voltage** recovers to within the normal operational range of the **Transmission System** as specified in CC.8.3.1, or for at least 500 ms, whichever is the sooner. Ο

The provision of reactive current shall continue until the **Transmission System Voltage** recovers to within the normal operational range of the **Transmission System** as specified in CC.7.3.1.1 (x), or for at least 500 ms, whichever is the sooner.

The **Controllable PPM** may use all or any available reactive sources, including installed statcoms or SVCs, when providing reactive support during **Transmission System Fault Disturbances** which result in **Voltage Dips**.

- (b) The Controllable PPM shall provide at least 90 % of its maximum Available Active Power or Active Power Set-point, whichever is lesser, as quickly as the technology allows and in any event within 500 ms of the Transmission System Voltage recovering to 90% of nominal Voltage, for Fault Disturbances cleared within 140 ms. For longer duration Fault Disturbances, the Controllable PPM shall provide at least 90% of its maximum Available Active Power or Active Power Set-point, whichever is lesser, within 1 second of the Transmission System Voltage recovering to 90% of the nominal Voltage.
- (c) During and after faults, priority shall always be given to the Active Power response as defined in PPM1.4.2(a) and PPM1.4.2(b). The reactive current response of the Controllable PPM shall attempt to control the Voltage back towards the nominal Voltage, and should be at least proportional to the Voltage Dip. The reactive current response shall be supplied within the rating of the Controllable PPM, with a Rise Time no greater than 100ms and a Settling Time no greater than 300ms. For the avoidance of doubt, the Controllable PPM may provide this reactive response directly from individual Generation Units, or other additional dynamic reactive devices on the site, or a combination of both.

(d) The Controllable PPM shall be capable of providing its transient reactive response irrespective of the reactive control mode in which it was operating at the time of the Transmission System Voltage Dip.

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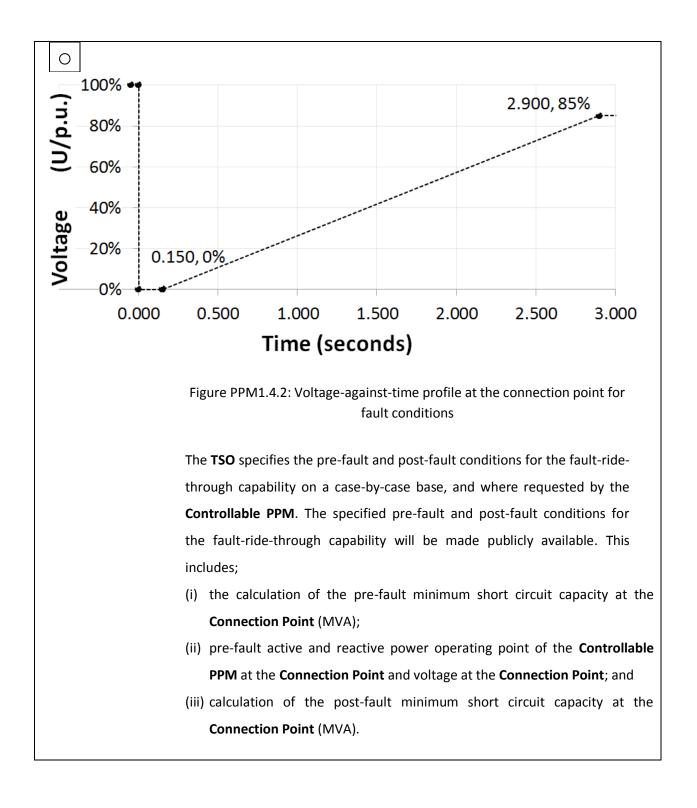
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The **Controllable PPM** shall revert to its pre-fault reactive control mode and setpoint within 500ms of the **Transmission System Voltage** recovering to its normal operating range as specified in CC.8.3.1.

The **Controllable PPM** shall revert to its pre-fault reactive control mode and setpoint within 500ms of the **Transmission System Voltage** recovering to its normal operating range as specified in CC.7.3.1.1 (x).

- (e) The TSO may seek to reduce the magnitude of the dynamic reactive response of the Controllable PPM if it is found to cause over-voltages on the Transmission System. In such a case, the TSO will make a formal request to the Controllable PPM. The Controllable PPM and the TSO shall agree on the required changes, and the Controllable PPM shall formally confirm that any requested changes have been implemented within 120 days of received the TSO's formal request.
- (f) Controllable PPMs connected to the Transmission System shall be capable of staying connected to the Transmission System and continuing to operate stably during Voltage Dips. The voltage-against-time profile specifies the required capability for the minimum voltage and Fault Ride-Through Time at the Connection Point before, during and after the Voltage Dip. That capability shall be in accordance with the voltage-against-time profile as specified in Figure PPM1.4.2.



## **PPM1.5** Transmission System Frequency Ranges

PPM1.5.1 **Controllable PPMs** shall have the capability to:

- (a) operate continuously at normal rated output at Transmission System
   Frequencies in the range 49.5 Hz to 50.5 Hz;
- (b) remain connected to the Transmission System at Transmission System
   Frequencies within the range 47.5 Hz to 52.0 Hz for a duration of 60 minutes;
- (c) remain connected to the Transmission System at Transmission System
   Frequencies within the range 47.0 Hz to 47.5 Hz for a duration of 20 seconds
   required each time the Transmission System Frequency is below 47.5 Hz;

(d)

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   (i) remain connected to the Transmission System during rate of change of Transmission System Frequency of values up to and including 0.5 Hz per second.
  - (ii) remain connected to the Transmission System for a Rate of Change of Frequency up to and including 1 Hz per second as measured over a rolling 500 milliseconds period. Voltage dips may cause localised ROCOF values in excess of 1 Hz per second for short periods, and in these cases, the Fault-Ride Through clause PPM1.4.2(f) supersedes this clause. For the avoidance of doubt, this requirement relates to the capabilities of Controllable PPMs only, and does not impose the need for Rate of Change of Frequency protection nor does it impose a specific setting for anti-islanding or loss-of-mains protection relays.

No additional **Generation Unit** shall be started while the **Transmission System Frequency** is above 50.2 Hz.

#### PPM1.5.2 ACTIVE POWER MANAGEMENT

A PPM Control System shall be installed by the Controllable PPM to allow for the provision of Active Power Control and Frequency Response from the Controllable PPM. The PPM Control System and Frequency Response System shall provide the functionality as specified in this section PPM1.5.2.

#### PPM1.5.2.1 Active Power Control

The PPM Control System shall be capable of operating each Generation Unit at a reduced level if the Controllable PPM's Active Power output has been restricted by the TSO. In this Active Power Control Mode, the PPM Control System shall be capable of receiving an on-line Active Power Control Set-point sent by the TSO and shall commence implementation of the set-point within 10 seconds of receipt of the signal from the TSO. The rate of change of output to achieve the Active Power Control Set-point should be the Active Power Control Set-Point Ramp Rate setting of the PPM Control System, as advised by the TSO, as per PPM1.5.4. The TSO acknowledges that if the Active Power output of the Controllable PPM is initially less than the Design Minimum Operating Level, and if the Controllable PPM is expected to increase its Active Power output, then it may not be able to achieve the specified ramp rate at first, due to Generation Units going through a start-up sequence. In such a case, Generation Units shall start up as quickly as the technology allows, and in any case, not longer than three minutes from the time the Active Power Control Set-point was received.

Manual local measures shall be allowed in cases where the automatic remote control devices are out of service. The **Active Power** set point must be reached within 1 hour. Best endeavours shall be made to resolve the loss of automatic remote control in as quick a timeframe as possible.

#### PPM1.5.3 FREQUENCY RESPONSE

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PPM1.5.3.1 In **Resource Following Mode**, the **Frequency Response System** shall have the capabilities as displayed in the *Power-Frequency Response Curve* in *Figures PPM 1.2*, where the power and frequency ranges required for points A, B, C, D, E are defined below in *Table PPM 1.1 and Table PPM 1.2*. **Controllable PPM Frequency Response** and **Governor Droop** shall be calculated with respect to **Registered Capacity**.

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PPM1.5.3.2

The **Frequency Response System** shall adjust the **Active Power** output of the **Controllable PPM** according to a **Governor Droop**, settable by the **TSO** in a range from 2% to 10% and defaulting to 4%, when operating in the ranges outside the deadband range  $F_{B}$ - $F_{C}$  in the Power-Frequency Response Curve.

The **Frequency Response System** shall adjust the **Active Power** output of the **Controllable PPM** according to a **Governor Droop**, settable by the **TSO** in a range from 2% to 12% and defaulting to 4%, when operating in the ranges outside the deadband range  $F_B$ - $F_C$  in the Power-Frequency Response Curve.

When in Active Power Control Mode, the Controllable PPM shall always operate in Frequency Sensitive Mode with a Governor Droop as set out in PPM1.5.3.1 and with a deadband of +/-15mHz, or as otherwise agreed with the TSO.

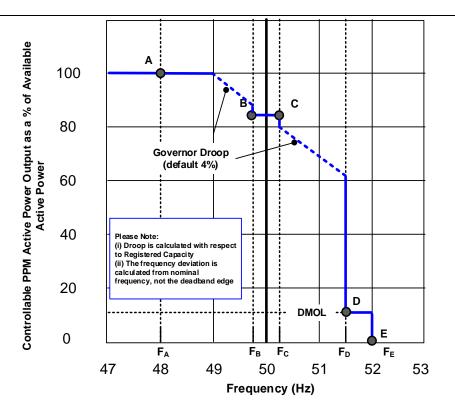


Figure PPM 1.2 – Example of Power-Frequency Response Curve for Resource Following Mode

- PPM1.5.3.3 When acting to control **Transmission System Frequency**, the **Controllable PPM** shall provide at least 60% of its expected additional **Active Power** response within 5 seconds, and 100% of its expected additional **Active Power** response within 15 seconds of the start of the **Transmission System Frequency** excursion outside the range F<sub>B</sub>-F<sub>C</sub>, or in the case of a **Controllable PPM** in **Active Power Control Mode**, when the **Transmission System Frequency** goes outside the deadband set out in PPM1.5.3.2.
- PPM1.5.3.4 When the Transmission System Frequency is in the range F<sub>C</sub>-F<sub>D</sub>, the Controllable
   PPM shall ensure that its Active Power Output does not increase beyond the Active
   Power value of the Controllable PPM when the Transmission System Frequency
   first exceeded F<sub>c</sub>, due to an increase in Available Active Power in that period.
- PPM1.5.3.5 If the Frequency drops below F<sub>A</sub>, then the Frequency Response System shall act to maximise the Active Power output of the Controllable PPM, irrespective of the Governor Droop Setting. If the Frequency rises above F<sub>D</sub>, then the Frequency Response System shall act to reduce the Active Power output of the Controllable PPM to its DMOL value. If the Frequency rises above F<sub>E</sub>, then the Frequency Response System shall act to reduce the Active Power output of the Controllable PPM to zero. Any Generation Unit which has disconnected shall be brought back on load as fast as technically feasible, provided the Transmission System Frequency has fallen below 50.2 Hz.
- PPM1.5.3.6 Points 'A', 'B', 'C', 'D' and 'E' shall depend on a combination of the Transmission
   System Frequency, Active Power and Active Power Control Set-point settings.
   These settings may be different for each Controllable PPM depending on system
   conditions and Controllable PPM location. These settings are defined in *Table PPM* 1.1.

| Point | Transmission System<br>Frequency (Hz) |                             | ollable PPM Active Power Output<br>% of Available Active Power)  |
|-------|---------------------------------------|-----------------------------|--|
| Α     | F <sub>A</sub>                        | P <sub>A</sub>              |  |
| В     | F <sub>B</sub>                        | Minimum of :                | P <sub>B</sub> or  |
|       |                                       |                             | Active Power Control Set-point<br>(converted to a % of Available Active<br>Power)                      |
| С     | F <sub>c</sub>                        | Minimum of:                 | P <sub>c</sub> or<br>Active Power Control Set-point<br>(converted to a % of Available Active<br>Power) |
| D     | F <sub>D</sub>                        | Minimum of:                 | P <sub>D</sub> or<br>Active Power Control Set-point<br>(converted to a % of Available Active<br>Power) |
| E     | F <sub>E</sub>                        | <b>P</b> <sub>E</sub> = 0 % |  |

Table PPM 1.1: Transmission System Frequency and % Available Active PowerSettings for the Points 'A', 'B', 'C', 'D' and 'E' illustrated in Figure PPM 1.2

Two settings for each of  $F_A$ ,  $F_B$ ,  $F_C$ ,  $F_D$ ,  $F_E$ ,  $P_A$ ,  $P_B$ ,  $P_C$ ,  $P_D$  and  $P_E$  shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date** (refer to PPM1.5.3.15 below). The **Controllable PPM** shall be responsible for implementing the appropriate settings during **Commissioning**.

PPM1.5.3.7 Table PPM 1.2 shows the **Transmission System Frequency** and **Active Power** ranges for  $F_{A\nu}$ ,  $F_{B\nu}$ ,  $F_{C\nu}$ ,  $F_{D\nu}$ ,  $F_{E\nu}$ ,  $P_{A\nu}$ ,  $P_{B\nu}$ ,  $P_{C\nu}$ ,  $P_{D\nu}$  and  $P_{E\nu}$ .

|                | Transmission     |       | Available Active Power (%)           |
|----------------|------------------|-------|--------------------------------------|
|                | System Frequency |       |                                      |
|                | ( <i>Hz</i> )    |       |                                      |
|                |                  |       | Registered Capacity $\geq$ 5 MW      |
|                |                  |       |                                      |
| $F_A$          | 47.0-49.5        | $P_A$ | 50-100                               |
| $F_B$          | 49.5-50          | $P_B$ |                                      |
| F <sub>C</sub> | 50-50.5          | $P_C$ | 15-100                               |
| $F_D$          |                  | $P_D$ | 15-100 but not less than <b>DMOL</b> |
| $F_E$          | 50.5-52.0        | $P_E$ | 0                                    |

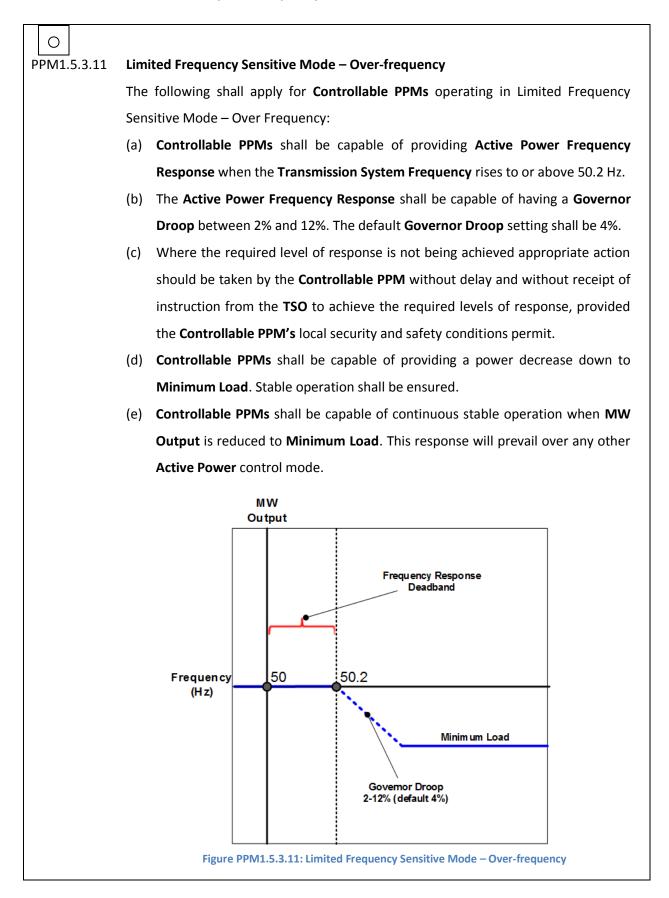
Table PPM 1.2: Transmission System Frequency & Active Power ranges

#### appropriate to Figure PPM 1.2.

For the **Transmission System Frequency** values in *Table PPM1.2* above,  $F_A \le F_B \le F_C \le F_D \le F_E$ .

- PPM1.5.3.8 Alterations to the **Controllable PPM's Active Power** output, triggered by **Transmission System Frequency** changes, shall be achieved by proportionately altering the **Active Power** output of all available **Generation Units** as opposed to switching individual **Generation Units** on or off, insofar as possible.
- PPM1.5.3.9 No time delays, such as moving average frequency filters, other than those necessarily inherent in the design of the Frequency Response System shall be introduced. The Frequency Response System shall continuously monitor the Transmission System Frequency in order to continuously determine the Controllable PPM's appropriate Active Power output by taking account of the Controllable PPM's Available Active Power or Controlled Active Power.
- PPM1.5.3.10 If the **Transmission System Frequency** rises to a level above 'D'-'E', as defined by the *Power-Frequency Response Curve in Figure PPM 1.2*, the **TSO** accepts that **Generation Units** may disconnect. Any **Generation Unit** which has disconnected

shall be brought back on load as fast as technically feasible (provided the **Transmission System Frequency** has fallen below 50.2 Hz).

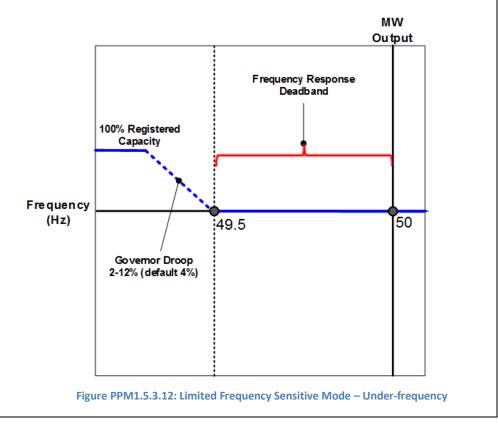


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#### PPM1.5.3.12 Limited Frequency Sensitive Mode – Under-frequency

The following shall apply for **Controllable PPMs** operating in Limited Frequency Sensitive Mode – Under-frequency:

- (a) **Controllable PPMs** shall be capable of providing **Active Power Frequency Response** when the **Transmission System Frequency** falls to or below 49.5 Hz.
- (b) The Active Power Frequency Response shall be capable of having a Governor Droop between 2% and 12%. The default Governor Droop setting shall be 4%.
- (c) Where the required level of response is not being achieved appropriate action should be taken by the **Controllable PPM** without delay and without receipt of instruction from the **TSO** to achieve the required levels of response, provided the **Controllable PPM's** local security and safety conditions permit.
- (d) Controllable PPMs shall take into account the;
  - (i) ambient conditions when the response is triggered;
  - (ii) operating conditions of each Controllable PPM; and
  - (iii)Available Active Power.
- (e) Controllable PPMs shall be capable of providing a power increase up to Available Active Power. Stable operation shall be ensured.
- (f) **Controllable PPMs** capable of acting as a load shall be capable of disconnecting their load. This requirement does not extend to auxiliary supplies.



## PPM1.5.3.13 Frequency Sensitive Mode

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The following shall apply for **Controllable PPMs** for **Frequency Sensitive Mode** operation:

- (a) A Frequency Deadband of no greater than +/- 15mHz may be applied. The design, implementation and operation of the Frequency Deadband shall be agreed with the TSO prior to the Commissioning.
- (b) A Controllable PPM shall be capable of setting Governor Droop between 2% and 12%. The default Governor Droop setting shall be 4%.
- (c) Controllable PPMs shall be capable of providing Active Power Frequency Response in accordance with the parameters specified in Table PPM1.5.3.13.1.

| Parameter  | Value |
|--|-------|
| <b>Frequency Response Insensitivity</b> $(\Delta f)$ | 15mHz |
| <b>Frequency Response Insensitivity</b> (\Delta f/f) | 0.03% |

Upon request from the **TSO**, the **Frequency Response Deadband** and **Governor Droop** must be able to be reselected repeatedly.

The maximum combined effect of **Frequency Response Insensitivity** and **Frequency Deadband** cannot exceed a value of +/- 15 mHz.

(d) In response to Low Frequency Events, Controllable PPMs shall be capable of providing a power increase up to Available Active Power. Stable operation in response to Low Frequency Events shall be ensured.

**Controllable PPMs** capable of acting as a load, shall be capable of disconnecting their load in the case of a **Low Frequency Event**. This requirement does not extend to auxiliary supplies.

PPM1.5.3.14 The **Frequency Response System** shall be required to change between Limited Frequency Sensitive Mode – Under-frequency, Limited Frequency Sensitive Mode – Over-frequency, and Frequency Sensitive Mode within one minute from receipt of the appropriate signal from the **TSO**. **Controllable PPMs** may be instructed to be in both Limited Frequency Sensitive Mode – Under-frequency and Limited Frequency

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Sensitive Mode – Over-frequency at the same time. Generators shall only operate in Frequency Sensitive Mode when they are not operating in Limited Frequency Sensitive Mode – Under-frequency or Limited Frequency Sensitive Mode – Over-frequency.

#### PPM1.5.3.15 Procedure for Setting and Changing the Power-Frequency Response Curves

Two *Power-Frequency Response Curves* (Curve 1 and Curve 2) shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date.** The **Controllable PPM** shall be responsible for implementing the appropriate settings during **Commissioning**. The **Frequency Response System** shall be required to change between the two curves within one minute from receipt of the appropriate signal from the **TSO**. The **TSO** shall give the **Controllable PPM** a minimum of two weeks notice if changes to either of the curve's parameters (*i.e.*  $F_{Av}$ ,  $F_{Bv}$ ,  $F_{Cv}$ ,  $F_{Dv}$ ,  $F_{Ev}$ ,  $P_{Av}$ ,  $P_{Bv}$ ,  $P_{Cv}$ ,  $P_D$  or  $P_E$ ), are required. The **Controllable PPM** shall formally confirm that any requested changes have been implemented within two weeks of receiving the **TSO's** formal request.

#### PPM1.5.4 RAMP RATES

PPM1.5.4.1 The PPM Control System shall be capable of controlling the ramp rate of its Active Power output. There shall be three ramp rate capabilities, designated Resource Following Ramp Rate, Active Power Control Set-Point Ramp Rate, and Frequency Response Ramp Rate. The PPM Control System shall operate the ramp rates with the following order of priority (high to low): Frequency Response Ramp Rate; Active Power Control Set-Point Ramp Rate; Resource Following Ramp Rate. The Resource Following Ramp Rate shall be used during Start-Up, normal operation, and Shutdown. The TSO shall specify the Resource Following Ramp Rate and the Active Power Control Set-Point Ramp Rate in percentage of Registered Capacity per minute. The Frequency Response Ramp Rate shall be the maximum possible ramp rate of the Controllable PPM agreed with the TSO and with the characteristics as set out in PPM1.5.3.1. The TSO acknowledges that rapidly changing resource availability may cause temporary deviations from the ramp rate settings of the Controllable PPM.

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These deviations should not be allowed to exceed 3% of **Registered Capacity**.

# These deviations should not exceed the greater of 3% of **Registered Capacity** or +/- 0.5 MW.

PPM1.5.4.2 It shall be possible to vary the **Resource Following Ramp Rate** and the **Active Power Control Set-Point Ramp Rate** each independently over a range between 1% and 100% of **Registered Capacity** per minute.

#### PPM1.5.4.3 Procedure for Setting and Changing the Ramp Rate Control

The ramp rate settings shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date.** The **Controllable PPM** shall be responsible for implementing the appropriate settings during **Commissioning.** The ramp rate settings may need to be changed from time to time depending on system needs. The **TSO** shall give the **Controllable PPM** a minimum of two weeks notice if a change is required. The **Controllable PPM** shall formally confirm that any requested changes have been implemented within two weeks of receiving the **TSO's** formal request.

### PPM1.6 Transmission System Voltage Requirements

PPM1.6.1 TRANSMISSION SYSTEM VOLTAGE RANGE

| æ   |  |  |  |
|-----|--|--|--|
| Co  | Controllable PPMs shall remain continuously connected to the Transmission System |  |  |
| at  | at maximum Available Active Power or Controlled Active Power output for normal   |  |  |
| ar  | and disturbed system conditions and for step changes in Transmission System      |  |  |
| Ve  | Voltage of up to 10%. The following are the ranges which may arise during        |  |  |
| Tr  | Transmission System disturbances or following transmission faults:               |  |  |
| (a  | ) 400 kV system: 350 kV to 420 kV;   |  |  |
| (b  | o) 220 kV system: 200 kV to 245 kV;  |  |  |
| (c) | ) 110 kV system: 99 kV to 123 kV.  |  |  |

**Controllable PPMs** shall remain continuously connected to the **Transmission System** at maximum **Available Active Power** or **Controlled Active Power** and operate within the ranges of the **Transmission System Voltage** at the **Connection Point**, for an unlimited time period, as specified below:

- (a) 400 kV system: 360 kV to 420 kV (0.9 p.u. 1.05 p.u.)
- (b) 220 kV system: 198 kV to 245 kV (0.9 p.u. 1.114 p.u.)
- (c) 110 kV system: 99 kV to 123 kV (0.9 p.u. 1.118 p.u.)

#### PPM1.6.2 **AUTOMATIC VOLTAGE REGULATION**

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- PPM1.6.2.1 Controllable PPMs shall have a continuously-variable and continuously-acting Voltage Regulation System with similar response characteristics to a conventional Automatic Voltage Regulator and shall perform generally as described in BS4999 part 140, or equivalent European Standards.
- PPM1.6.2.2 Under steady state conditions, the Voltage Regulation System shall be capable of implementing the following Reactive Power control modes which shall be available to the TSO:

(a) The Controllable PPM shall be capable of receiving a Power Factor control
 (PF) set-point to maintain the Power Factor set-point at the Connection
 Point;

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The **Controllable PPM** shall be capable of controlling the **Reactive Power** at least within the **Reactive Power** ranges specified in PPM1.6.3, with setting steps no greater than 0.01 p.u.. The **Power Factor** shall be maintained within a tolerance of  $\pm$  0.5 %. The tolerance will be measured with reference to the maximum **Reactive Power** at the **Connection Point**.

(b) The Controllable PPM shall be capable of receiving a Reactive Power control
 (Q) set-point to maintain the Reactive Power set-point at the Connection
 Point;

The **Controllable PPM** shall be capable of setting the **Reactive Power** setpoint at least within the **Reactive Power** range specified in PPM1.6.3, with setting steps no greater than 5 Mvar or 5 % (whichever is smaller) of maximum **Reactive Power**, controlling the **Reactive Power** at the connection point to an accuracy within  $\pm$  5 Mvar or  $\pm$  5 % (whichever is smaller) of the maximum **Reactive Power**;

(c) The Controllable PPM shall be capable of receiving a Voltage Regulation (kV) Set-point for the Voltage at the Connection Point. The Voltage Regulation System shall act to regulate the Voltage at this point by continuous modulation of the Controllable PPM's Reactive Power output, without violating the rapid Voltage change limits as set out in CC.10.13.1. The **Controllable PPM** shall be capable of contributing to voltage control at the **Connection Point** by provision of **Reactive Power** exchange with the **Transmission System** with a **Voltage Regulation Set-point** covering 0.95 to 1.05 p.u. in steps no greater than 0.01 p.u.. The **Reactive Power** output shall be zero when the grid voltage value at the connection point equals the **Voltage Regulation Set-point**.

The speed of response of the **Voltage Regulation System** shall be such that, following a step change in **Voltage** at the **Connection Point** the **Controllable PPM** shall achieve 90 % of its steady-state **Reactive Power** response within 1 second. The **Reactive Power** must settle at the steady-state **Reactive Power** response within 5 seconds, with a steady-state **Reactive Power** tolerance no greater than 5 % of the maximum **Reactive Power**.

Subject to agreement with TSO, the **Voltage Regulation Set-point** may be operated with or without a deadband selectable in a range from zero to  $\pm 5\%$  of reference 1 p.u. **Transmission System** voltage in steps no greater than 0.5%.

A change to the **Power Factor** (PF) control set-point, **Reactive Power** (Q) control setpoint or **Voltage Regulation** (kV) **Set-Point** shall be implemented by the **Controllable PPM** within 20 seconds of receipt of the appropriate signal from the **TSO**, within its reactive power capability range as specified in PPM1.6.3.

One **Reactive Power Control** mode shall be operational at all times with the facility to toggle between each of the **Reactive Power** control modes from NCC. Toggling between **Reactive Power** controllers shall be smooth in transfer i.e. the **Controllable PPM** shall calculate and implement an appropriate set-point when transferring to the new control mode. The set-point calculated for the new control mode shall be consistent with the Mvar output at that time.

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The **Voltage Regulation System Slope Setting** shall be capable of being set to any value between 1 % and 10 %.

The **Voltage Regulation System Slope Setting** shall be capable of being set to any value between 2 to 7 % in steps no greater than 0.5%

The setting shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**. The **Controllable PPM** shall be responsible for implementing the appropriate settings during **Commissioning**. The slope setting may be varied from time to time depending on **Transmission System** needs. The **TSO** shall give the **Controllable PPM** a minimum of two weeks notice if a change is required. The **Controllable PPM** shall formally confirm that any requested changes have been implemented within two weeks of receiving the **TSO's** formal request.

PPM1.6.2.4 The speed of response of the Voltage Regulation System shall be such that, following a step change in Voltage at the Connection Point the Controllable PPM shall achieve 90 % of its steady-state Reactive Power response within 1 second. The response may require a transition from maximum Mvar production to maximum Mvar absorption or vice-versa.

#### PPM1.6.3 **REACTIVE POWER CAPABILITY**

PPM1.6.3.1 **Controllable PPMs** operating in **Power Factor** control mode, **Voltage Control** mode or constant **Reactive Power** mode shall be at least capable of operating at any point within the P-Q capability ranges illustrated in *Figure PPM1.4*, as measured at the **Connection Point** over the normal and disturbed **Transmission System Voltage** ranges specified in CC.8.3.2; subject to the exception in PPM1.6.3.2, where additional **Reactive Power** compensation may be utilised to compensate for the **Reactive Power** demand of the connection between the **Connection Point** and the **Controllable PPM.** 

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#### Referring to Figure PPM1.4:

Point A represents the minimum Mvar absorption capability of the **Controllable PPM** at 100% **Registered Capacity** and is equivalent to 0.95 power factor leading;

Point B represents the minimum Mvar production capability of the **Controllable PPM** at 100% **Registered Capacity** and is equivalent to 0.95 power factor lagging;

Point C represents the minimum Mvar absorption capability of the **Controllable PPM** at 12% **Registered Capacity** and is equivalent to the same **Mvar** as Point A;

Point D represents the minimum Mvar production capability of the **Controllable PPM** at 12% **Registered Capacity** and is equivalent to the same **Mvar** as Point B;

Point E represents the minimum Mvar absorption capability of the **Controllable PPM** at the cut-in speed of the individual **Generation Units**;

Point F represents the minimum Mvar production capability of the **Controllable PPM** at the cut-in speed of the individual **Generation Units**;

The **TSO** accepts that the values of Points E and F may vary depending on the number of **Generation Units** generating electricity in a low resource scenario;

*Figure PPM1.4* represents the minimum expected **Reactive Power** capabilities of the **Controllable PPM**. The **Controllable PPM** is obliged to tell the **TSO/DSO** if it can exceed these capabilities, and submit the actual P-Q capability diagram based upon the installed plant and **Collector Network** characteristics to the **TSO** during **Commissioning**.

The **Grid Connected Transformer** tap changing range must be capable of ensuring nominal voltage at the lower voltage side of the grid connected transformer, for any **Voltage** at the **Connection Point** within the ranges specified in PPM1.6.1.

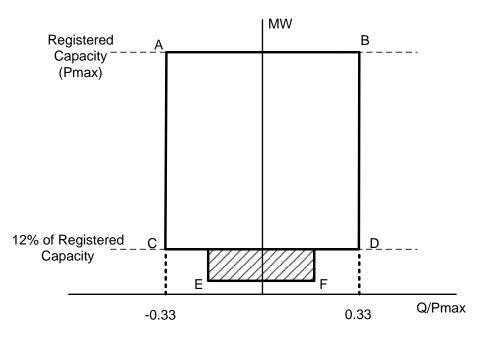
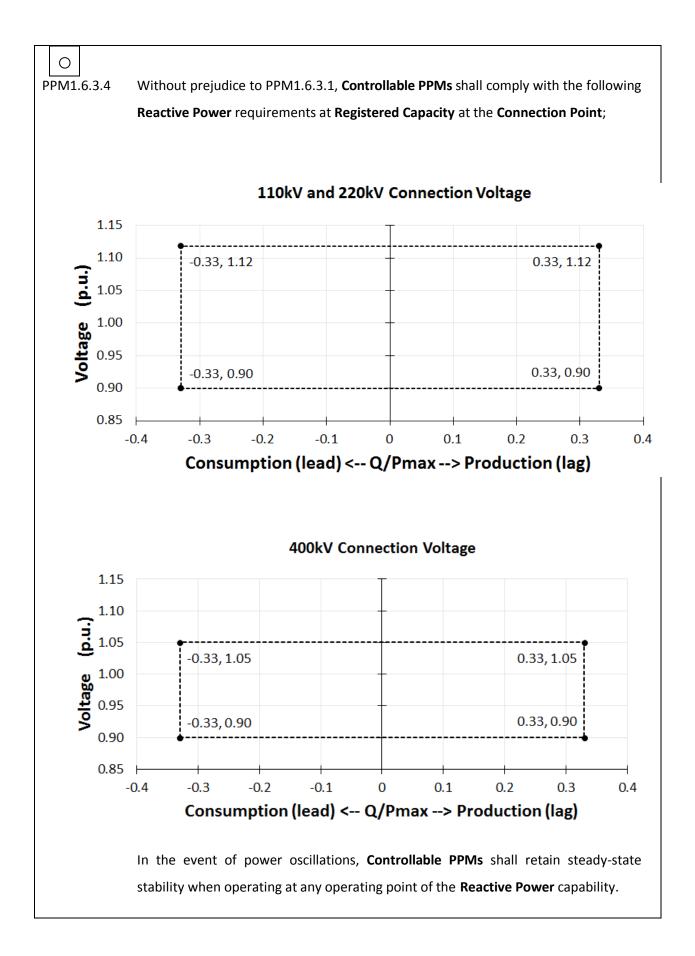


Figure PPM1.4– Minimum Reactive Power Capability of Controllable PPM

- PPM1.6.3.2 For Controllable PPMs where the Connection Point is remote from the Grid Connected Transformer, any supplementary Reactive Power compensation required to offset the Reactive Power demand of the HV line, or cable, between the Connection Point and the Controllable PPM shall be identified during the TSO's Connection Offer process.
- PPM1.6.3.3 The total charging of the **Controllable PPM Collector Network** during low load operation (below 12%) shall be examined during the **TSO's Connection Offer** process. If during this examination it is identified that this charging may cause the voltage on the **Transmission System** to be outside the **Transmission System Voltage** ranges, as specified in PPM1.6.1, then the **Reactive Power** requirements will need to be altered.



#### PPM1.6.4 VOLTAGE STEP EMISSIONS

Emission limits for **Voltage** changes are defined in CC.10.13.1. This standard shall also apply to **Controllable PPMs**.

#### PPM1.6.5 CONTROLLABLE PPM'S GRID CONNECTED TRANSFORMER

PPM1.6.5.1 Controllable PPMs shall provide on-load tap-changing (OLTC) facilities for all Grid Connected Transformers. All Controllable PPMs shall liaise with the TSO on the design specification for the performance of the tap-changing facility of the Grid Connected Transformer.

#### PPM1.6.5.2 The **Controllable PPM's Grid Connected Transformers** may be connected either:

- (a) in delta on the lower voltage side and in star (with the star point or neutral brought out) on the HV side; or
- (b) in star on both HV and lower voltage sides with a delta tertiary winding provided.

#### **PPM1.7** Signals, Communication & Control

#### PPM1.7.1 SIGNALS FROM THE CONTROLLABLE PPM TO THE TSO

Signals from **Controllable PPMs** to the **TSO** shall be broken up into a number of logical groups. There shall be different requirements for **Controllable PPMs** depending on the **Controllable PPM's MEC**. The following groups shall apply:

• Signals List #1 - applies to all Controllable PPMs;

In addition, **Controllable PPMs** shall be required to provide signals from *Signals Lists* 2, 3, 4 and/or 5. These lists relate to:

- Signals List #2 Meteorological Data;
- Signals List #3 Availability Data;
- Signals List #4 Active Power Control Data;
- Signals List #5 Frequency Response System Data.

The **Controllable PPM** shall make the following signals available at the designated **TSO Telecommunication Interface Cabinet** for that **Controllable PPM**:

- (a) Active Power output (MW) at the lower voltage side of the Grid Connected Transformer;
- (b) Reactive Power output/demand (+/-Mvar) at the lower voltage side of the Grid Connected Transformer;
- (c) Voltage (in kV) at the lower voltage side of the Grid Connected Transformer;
- (d) Available Active Power (MW) at the lower voltage side of the Grid Connected Transformer;
- (e) Grid Connected Transformer tap positions;
- (f)
- i. Power Factor control mode status feedback (ON/OFF);
- ii. Power Factor set-point feedback (degrees)
- iii. Reactive Power control code status feedback (ON/OFF);
- iv. Reactive Power set-point feedback (Mvar);
- v. Voltage Regulation control mode status feedback (ON/OFF)
- vi. Voltage Regulation Set-point feedback (kV);
- (g) On/off status indications for all **Reactive Power** devices exceeding 5 Mvar<sup>1</sup>;
- (h) Circuit-breaker and disconnect position indication shall be required. These may include indications from MV circuit-breakers on individual Generation Unit circuits. Signals from individual Generation Unit circuit-breakers shall not be required. The actual circuit-breaker and disconnect signals required shall be specified by the TSO at least 120 Business Days prior to the Controllable PPM's scheduled Operational Date;
- (i) A minimum of four sets of normally open potential free auxiliary contacts in each Grid Connected Transformer lower voltage bay for fault indications; and
- (j) On/off status of **TSO** remote control enable switch, which disables the ability of the **TSO** to send commands to the **Controllable PPM**.

<sup>&</sup>lt;sup>1</sup> Typically the position indication from capacitor/ SVC circuit breakers

For the **Controllable PPM's** where the **Connection Point** is at the HV side of the **Grid Connected Transformer**, signals a), b) and c) above will also be required from the HV side of the **Grid Connected Transformer**.

#### PPM1.7.1.2 Signals List #2

PPM1.7.1.2.1 Controllable PPMs with a MEC in excess of 10 MW shall make the following meteorological data signals available at the designated TSO Telecommunication Interface Cabinet for that Controllable PPM:

|     |   | [Units, Range]   |
|-----|---|------------------|
| (a) | Wind speed (at hub height or as agreed with the $TSO$ ) -     | [m/s, 0-70]      |
|     | measurand signal;   |                  |
| (b) | Wind direction (at hub height or as agreed with the $TSO$ ) - | [deg, 0-360]     |
|     | measurand signal;   |                  |
| (c) | Air temperature- measurand signal;                            | [deg C, -40-70]  |
| (d) | Air pressure- measurand signal.                               | [mBar, 735-1060] |
|     |   |                  |

- PPM1.7.1.2.2 The meteorological data signals shall be provided by a dedicated Meteorological
  Mast located at the Controllable PPMs site or, where possible and preferable to do so, data from a means of the same or better accuracy. For Controllable PPMs where the WTG are widely dispersed over a large geographical area and rather different weather patterns are expected for different sections of the Controllable PPM, the meteorological data shall be provided from a number of individual Meteorological Masts, or where possible and preferable to do so, data from a source of the same or better reliability for groups of WTG (e.g. 1 set of meteorological data for each group of XX WTG within the Controllable PPM). It is expected that WTG within an individual group shall demonstrate a high degree of correlation in Active Power output at any given time. The actual signals required shall be specified by the TSO at least 120 Business Days prior to the Controllable PPM's scheduled Operational Date.
- PPM1.7.1.2.3 **Controllable PPMs**, in excess of 5 MW, with the exception of **Controllable PPMs**, shall make relevant meteorological data signals available, which may include but are

not limited to solar irradiance and tidal streams, at the designated **TSO Telecommunication Interface Cabinet** for that **Controllable PPM** as agreed with the **TSO.** 

The actual signals required shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date.** 

PPM1.7.1.2.4 The meteorological data signals shall be provided by a measurement device located at the **Controllable PPM** site, the exception of **Controllable PPM** sites, as defined by the **TSO.** All meteorological data signals shall at a minimum meet accuracy levels defined by the **TSO**.

For **Controllable PPMs**, with the exception of **Controllable PPMs**, where the **Generation Units** are widely dispersed over a large geographical area and rather different weather patterns are expected for different sections of the **Controllable PPM**, the meteorological data shall be provided from a number of individual sources. It is expected that **Generation Units** within an individual group shall demonstrate a high degree of correlation in **Active Power** output at any given time. The actual signals required shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**.

#### PPM1.7.1.3 Signals List #3

- PPM1.7.1.3.1 Controllable PPMs with a MEC in excess of 10 MW shall make the following signals available at the designated TSO Telecommunication Interface Cabinet for that Controllable PPM:
  - a) **Controllable PPM Availability** (0-100 % signal);
  - b) Percentage of WTG shutdown due to high wind-speed conditions (0-100 %);
  - c) Percentage of WTG not generating due low wind-speed shutdown (0-100 %).
- PPM1.7.1.3.2 For **Controllable PPMs** with a **MEC** in excess of 10 MW, where the **WTG** are widely dispersed over a large geographical area and rather different weather patterns are expected for different sections of the **Controllable PPM**, the above data set (ref. PPM1.7.1.3.1) shall be provided for a number of groups of **WTG** (e.g. 1 signal for each group of XX **WTG** within

the **Controllable PPM**). It is expected that **WTG** within an individual group shall demonstrate a high degree of correlation in **Active Power** output at any given time. The actual signals required shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**.

- PPM1.7.1.3.3 Controllable PPMs, with a MEC in excess of 5 MW, with the exception of Controllable PPMs, shall make the following signals available at the designated TSO Telecommunication Interface Cabinet for that Controllable PPM:
  - a) Controllable PPM Availability (0-100 % signal);
  - Percentage of Generation Unit shutdown due to high resource conditions (0-100 %);
  - Percentage of Generation Unit not generating due to low resource conditions (0-100 %).
- PPM1.7.1.3.4 For **Controllable PPMs**, with an **MEC** in excess of 5 MW, with the exception of **Controllable PPMs**, where the **Generation Units** are widely dispersed over a large geographical area and rather different weather patterns are expected for different sections of the **Controllable PPM**, the above data set (ref. PPM 1.7.1.3.3) shall be provided for a number of groups of **Generation Units** (e.g. 1 signal for each group of XX **Generation Units** within the **Controllable PPM**). It is expected that **Generation Units** within an individual group shall demonstrate a high degree of correlation in **Active Power** output at any given time. The actual signals required shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**.

#### PPM1.7.1.4 Signals List #4

The **Controllable PPM** shall make the following signals available at the designated **TSO Telecommunication Interface Cabinet** for that **Controllable PPM**:

- a) Active Power Control Set-point feedback (MW);
- b) Active Power Control status feedback (ON/OFF).

#### PPM1.7.1.5 Signals List #5

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The **Controllable PPM** shall make the following signals available at the designated **TSO Telecommunication Interface Cabinet** for that **Controllable PPM**:

- a) Frequency Response Curve (i.e. Power-Frequency Response Curve 1 or 2);
- b) Frequency Response System status feedback (ON/OFF);
- c) Frequency Response System Governor Droop feedback (%).

With regard to real-time monitoring of **Frequency Sensitive Mode**, as described in PPM1.5.3.13, the **Controllable PPM** shall be equipped to transfer in real time and in a secured manner, at least the following signals:

- a) status signal of Frequency Sensitive Mode (on/off);
- b) actual parameter settings for Active Power frequency response; and
- c) Governor Droop and Frequency Response Deadband.

The **TSO** shall specify additional signals to be provided by the **Generator** by monitoring and recording devices in order to verify the performance of the active power frequency response provision of participating **Controllable PPMs**.

#### PPM1.7.1.6 Time Delays and Data Quality

Digital signal changes from the **Controllable PPM** shall be relayed to the **TSO Telecommunication Interface Cabinet** within 1 second of the associated change of state event. Analogue signal changes shall be relayed within 5 seconds and with an error of 0.5% or less, with the exception of the Meteorological Data required as per **PPM1.7.1.2**, which shall be updated within 5 seconds and with an error of 2.5% or less.

#### PPM1.7.2 CONTROL SIGNALS FROM THE TSO TO CONTROLLABLE PPM

PPM1.7.2.1 The control signals described in PPM1.7.2 shall be sent from the **TSO** to the **Controllable PPM**. The **Controllable PPM** shall be capable of receiving these signals and acting accordingly.

#### PPM1.7.2.2 Active Power Control

An Active Power Control Set-point signal shall be sent by the TSO to the PPM Control System. This set-point shall define the maximum Active Power output permitted from the Controllable PPM. The PPM Control System shall be capable of receiving this signal and acting accordingly to achieve the desired change in Active Power output. This signal shall be in the form of a single analogue value and a strobe pulse to enable.

The **Controllable PPM** is required to make it possible for the **TSO** to remotely enable/ disable the **Active Power Control** function in the **PPM Control System**. The associated status indication is described in PPM1.7.1.4.

#### PPM1.7.2.3 Frequency Response

The Frequency Response Curve signal shall be sent by the **TSO** to the **Controllable PPM** in the event that a change from *Power-Frequency Response Curve 1* to *Power Frequency Response Curve 2*, or vice versa, is required.

The **Controllable PPM** is required to make it possible for the **TSO** to remotely enable/ disable the **Frequency Response System**. The associated status indication is described in PPM1.7.1.5.

The **Controllable PPM** shall make it possible for the **TSO** to set the **Governor Droop** value of the **Frequency Response System** in values from 2% to 10%.

#### PPM1.7.2.4 Voltage Regulation

The following signals shall allow the **TSO** to select **Reactive Power** control mode and send **Reactive Power** control set-points to the **Voltage Regulation System**. Set-point signals shall be in the form of a single analogue value and a strobe pulse to enable.

- Power Factor control mode with Power Factor set-point (PF set-point)
- Reactive Power control mode with Reactive Power set-point (Q set-point)
- Automatic Voltage Regulation control mode with Voltage Regulation Setpoint (kV set-point)

#### PPM1.7.2.5 Black Start Shutdown

Means shall be provided by the **Controllable PPM** to facilitate the disconnection of the **Controllable PPM** by the **TSO** and to also prevent re-connection in the event of **Black Start**. The **TSO** shall send a **Black Start Shutdown** signal and upon receipt, the **Controllable PPM** shall be required to trip the circuit-breaker(s) at the **Controllable PPM's Connection Point** and shutdown the **Controllable PPM** in a controlled manner. The precise circuit-breakers for which this facility shall be provided shall be specified by the **TSO** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**. **Controllable PPMs** may only be reconnected (i.e. made live) when the **Network** is fully restored following instruction from the **TSO**<sup>2</sup> and only earlier if the **TSO** deems it acceptable to do so.

#### PPM1.7.2.6 Time Delays and Data Quality

PPM1.7.2.6.1 Digital output commands from the **TSO Telecommunication Interface Cabinet** shall be relayed to the **Controllable PPM** equipment within 1 second. Set-point output signals shall be relayed within 5 seconds and with an error of 0.5% or less.

#### PPM1.7.3 **RESPONSIBLE OPERATOR**

A designated **Responsible Operator** shall be contactable by the **TSO** at all times to discuss operational matters without undue delay and in any case within 15 minutes. Following a request from the **TSO**, the **Responsible Operator** shall be present at the **Controllable PPM's Connection Point** without undue delay and in any case within one hour and shall be capable of taking any required appropriate actions. The **Responsible Operator** shall be contactable 24 hours a day, 365 days a year.

<sup>&</sup>lt;sup>2</sup> Typically this instruction will be in the form of a Blackstart Shutdown OFF command

#### PPM1.7.4 DATA AND COMMUNICATIONS SPECIFICATIONS

- PPM1.7.4.1 The location of the **TSO Telecommunication Interface Cabinet** shall be agreed between the **TSO** and the **Controllable PPM** at least 120 **Business Days** prior to the **Controllable PPM's** scheduled **Operational Date**. A standard interface for signals will be made available to the **Controllable PPM** by the **TSO**.
- PPM1.7.4.2 The necessary communications links, communications protocol and an individual Controllable PPM signal list shall be specified by the TSO at least 120 Business Days prior to the Controllable PPM's scheduled Operational Date. Current applicable standards shall apply and the accuracy class for signals shall comply with the prevailing European Standard at that time.
- PPM1.7.4.3 For loss of communications links, persistence (i.e. continuing to operate with the most recent data set) shall be used in terms of set-points until the designated **Responsible Operator** has been contacted by the **TSO**.
- PPM1.7.4.4 If Active Power Control, Frequency Response or Voltage Regulation facilities for the Controllable PPM become unavailable, the Controllable PPM shall contact the TSO without undue delay.
- PPM1.7.4.5 Where signals or indications required to be provided by the **Controllable PPM** under PPM1.7.1 and PPM 1.7.2 become unavailable or do not comply with applicable standards due to failure of the **Controllable PPM's** technical equipment or any other reason under the control of the **Controllable PPM**, the **Controllable PPM** shall, acting in accordance with **Good Industry Practice**, restore or correct the signals and/or indications as soon as possible.

#### PPM1.7.5 PPM RESOURCE FORECASTS

PPM1.7.5.1 Should the **TSO** determine that resource forecasts as produced by the **Controllable PPM** are required, the **TSO** shall inform the **Controllable PPM** and the resource forecasts shall be provided by the **Controllable PPMs**. These forecasts, if required, shall be provided in a format and timescale as specified by the **TSO**, and by means of an **Electronic Interface** in accordance with the reasonable requirements of the **TSO's** data system.

PPM1.7.5.2 **Controllable PPMs** shall engage fully with the **TSO** to ensure that the necessary information is available to the **TSO** for the production of resource generation forecasts with the appropriate level of accuracy by the **TSO**. Where this engagement involves the provision of data by the **Controllable PPM** to the **TSO**, this data must be provided as soon as reasonably practicable, or in any event, within 60 business days of the date of the request.

#### PPM1.7.6 CONTROLLABLE PPM MW AVAILABILITY DECLARATIONS

**Controllable PPMs** shall submit **Controllable PPM MW Availability Declarations** whenever changes in **Controllable PPM Availability** occur or are predicted to occur. These declarations shall be submitted by means of an **Electronic Interface** in accordance with the reasonable requirements of the **TSO**'s data system.

# Glossary

## Acronyms

| AC              | Alternating Current                                      |
|-----------------|--|
| AFR             | Automatic Frequency Restoration                          |
| AGC             | Automatic Generator Control                              |
| AHDL            | Allocated Harmonic Distortion Limit                      |
| ALVDD           | Automatic Low Voltage Demand Disconnection               |
| AVR/AVC         | Automatic Voltage Regulation / Automatic Voltage Control |
| BGE             | Bord Gais Éireann  |
| BS              | British Standard   |
| BSP             | Bulk Supply Point  |
| СВ              | Circuit Breaker  |
| CCGT            | Combined Cycle Gas Turbine                               |
| CDGU            | Centrally Dispatched Generation Unit                     |
| CENELEC         | Comité Européan de Normalisation Electrotechnique        |
| CO <sub>2</sub> | Carbon Dioxide   |
| СОР             | Committed Outage Programme                               |
| CRU             | Commission for Regulation of Utilities                   |
| DC              | Direct Current   |
| DCC             | Distribution Control Centre                              |
| DI              | Dispatch Instruction                                     |
| DMOL            | Design Minimum Operating Level                           |
| DSO             | Distribution System Operator                             |
| ECC             | Emergency Control Centre                                 |
| ESB             | Electricity Supply Board                                 |
| ESO             | External System Operator                                 |
|                 |  |

| ESPS  | Energy Storage Power Station                      |
|-------|---|
| ESU   | Energy Storage Unit                               |
| ETSO  | European Transmission System Operators            |
| GC    | General Conditions                                |
| GCRP  | Grid Code Review Panel                            |
| GS    | Generation Schedule                               |
| GT    | Gas Turbine                                       |
| HV    | High Voltage                                      |
| HVDC  | High Voltage Direct Current                       |
| IEC   | International Electrotechnical Committee          |
| IOP   | Indicative Outage Programme                       |
| ITU   | International Telecommunications Union            |
| JGCRP | Joint Grid Code Review Panel                      |
| LV    | Low Voltage                                       |
| MEC   | Maximum Export Capacity                           |
| MIC   | Maximum Import Capacity                           |
| МО    | Market Operator                                   |
| MV    | Medium Voltage                                    |
| NCC   | National Control Centre                           |
| NCDGU | Non-Centrally Dispatched Generating Unit          |
| NIAUR | Northern Ireland Authority for Utility Regulation |
| NIE   | Northern Ireland Electricity                      |
| ос    | Operating Code                                    |
| OLTC  | On load Tap Changer                               |
| РОР   | Provisional Outage Programme                      |
| POR   | Primary Operating Reserve                         |
| РРМ   | Power Park Module                                 |

| PSP   | Pumped Storage Plant                     |
|-------|--|
| RMS   | Root Mean Square                         |
| RTU   | Remote Terminal Unit                     |
| SCADA | Supervisory Control and Data Acquisition |
| SDC   | Scheduling and Dispatch Code             |
| SEM   | Single Electricity Market                |
| THD   | Total Harmonic Voltage Distortion        |
| TSC   | Trading and Settlement Code              |
| SLR   | Special Load Reading                     |
| SONI  | System Operator Northern Ireland         |
| SOR   | Secondary Operating Reserve              |
| SVC   | Static Var Compensator                   |
| ΤΑΟ   | Transmission Asset Owner                 |
| TRM   | Transmission Reliability Margin          |
| TOR   | Tertiary Operating Reserve               |
| TSO   | Transmission System Operator             |
| ттс   | Total Transfer Capacity                  |
| UFR   | Under Frequency Relay                    |
| Un    | Nominal Voltage                          |
| VO    | Voluntary Outage                         |
| WTG   | Wind Turbine Generator                   |

## Units

| Α     | Amp(s)                              |
|-------|-------------------------------------|
| °C    | Degrees Celsius                     |
| GW    | Giga Watt                           |
| GWh   | Giga Watt hour                      |
| hPa   | hecto Pascal                        |
| Hz    | Hertz                               |
| kA    | kilo Ampere                         |
| kW    | kilo Watt                           |
| kWh   | kilo Watt hour                      |
| kV    | Kilo Volt                           |
| MWh   | Mega Watt hour                      |
| MW    | Mega Watt                           |
| TWh   | Tera Watt hour                      |
| MVA   | Mega Volt Ampere                    |
| Mvar  | Mega Volt Ampere reactive / Megavar |
| Mvarh | Megavar hour                        |
| var   | Volt Ampere reactive                |

## Definitions

| Act  | The Electricity Regulation Act 1999.   |
|--|--|
| Active Energy                                  | The electrical energy produced, flowing or supplied by an electric circuit during a time interval, being the integral with respect to time of the instantaneous <b>Active Power</b> , measured in units of Watt-hours or standard multiples thereof, i.e.:<br>1000 Watt-hours = 1 Kilo Watt-hour (kWh) |
|  | 1000 Kilo Watt-hour = 1 Mega Watt-hour (MWh)   |
|  | 1000 Mega Watt-hour  = 1 Giga Watt-hour (GWh)  |
|  | 1000 Giga Watt-hour  = 1 Tera Watt-hour (TWh)  |
| Active Power                                   | The product of the components of alternating current and voltage that equate to true power which is measured in units of watts and standard multiples thereof, for example: 1000 Watts = 1 kW;   |
|  | 1000 kW = 1 MW;  |
|  | 1000  MW = 1  GW.  |
| Active Power Control                           | The automatic change in <b>Active Power</b> output from a <b>Controllable PPM</b> in a response to an <b>Active Power Control Set-point</b> received from the <b>TSO</b> .   |
| Active Power Control Mode                      | A mode of operation of a <b>Controllable PPM</b> where the<br><b>Controllable PPM</b> has been instructed by the <b>TSO</b> to maintain<br>its <b>Active Power</b> output at the <b>Active Power Control Set-Point.</b>  |
| Active Power Control Set-point                 | The maximum amount of <b>Active Power</b> in MW, set by the <b>TSO</b> , that the <b>Controllable PPM</b> is permitted to export.  |
| Active Power Control Set-Point<br>Ramp Rate    | The rate of increase or decrease of <b>Active Power</b> output of a <b>Controllable PPM</b> in response to an <b>Active Power Control Set-point</b> instruction.   |
| Additional Grid Code Availability<br>Notice    | A notice submitted by a <b>User</b> to the <b>TSO</b> pursuant to SDC1.4.2 relating to additional data on <b>Availability</b> .  |
| Additional Grid Code Characteristics<br>Notice | A notice to be submitted to the <b>TSO</b> pursuant to SDC1.4.4.2 relating to additional technical data.   |
| AGC Control Range                              | The range of loads over which <b>AGC</b> may be applied.   |
| AGC Maximum Load                               | The upper limit of the AGC Control Range.  |
| AGC Minimum Load                               | The lower limit of the AGC Control Range.  |
| Aggregate Interconnector Ramp<br>Rate          | The maximum Ramp Up Rate for an Interconnector or maximum Ramp Down Rate as determined by the TSO.   |

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| Aggregated Demand Site                        | A group of Individual Demand Sites represented by a Demand<br>Side Unit Operator, which together are capable of a Demand<br>Side Unit MW Capacity equal to or above 4 MW (and which is<br>therefore subject to Central Dispatch from the TSO). Each<br>Individual Demand Site comprising an Aggregated Demand<br>Site shall be in one currency zone and shall have a Demand<br>Side Unit MW Capacity of no greater than 10 MW. Unless<br>otherwise specified, information submitted in respect of an<br>Aggregated Demand Site shall always be at an aggregated<br>level.  |
| Aggregated Generating Unit                    | A group of <b>Generating Units</b> represented by a <b>Generator</b><br><b>Aggregator</b> , each of which must not have a <b>Registered</b><br><b>Capacity</b> greater than 10 MW. An <b>Aggregated Generating</b><br><b>Unit</b> with a total <b>Registered Capacity</b> of 4 MW or more shall<br>be subject to Central Dispatch, but one with a total Registered<br>Capacity of less than 4 MW may be subject to <b>Central Dispatch</b><br>subject to agreement with the <b>TSO</b> . Unless otherwise<br>specified by the TSO or otherwise in the <b>Grid Code</b> ,<br>information submitted in respect of an <b>Aggregated Generating</b><br><b>Unit</b> shall always be at an aggregated level. |
| Aggregated Maximum Export<br>Capacity         | In the case of a <b>Generator Aggregator</b> , the aggregated value (in <b>MW</b> , MVA, kW and/or kVA) provided in each <b>Connection Agreement</b> (or connection agreement to the <b>Distribution System</b> , as the case may be) for the <b>Generating Units</b> for which the <b>Generator Aggregator</b> is responsible.  |
| Aggregator                                    | Either a Generator Aggregator or a Demand Side Unit Operator<br>in respect of an Aggregated Demand Site.   |
| Alert   | A <b>Red Alert</b> , an <b>Amber Alert</b> or a <b>Blue Alert</b> or other Alert<br>warning as agreed pursuant to OC9 (Emergency Control and<br>Power System Restoration)  |
| Allocated Harmonic Distortion Limit<br>(AHDL) | The Allocated Harmonic Distortion Limit to a User's connection is the maximum Incremental Harmonic Voltage Distortion Level that the User's facility is allowed to introduce in the Transmission System Voltage. The AHDL is assessed at the Connection Point and it is expressed as a percentage of the RMS value of the fundamental Frequency Voltage. The AHDL applies to the THD and to each individual harmonic order from the 2 <sup>nd</sup> up to, and including, the 40 <sup>th</sup> .   |
| Amber Alert                                   | An alert issued by the <b>TSO</b> to the <b>Users</b> when a single <b>Event</b> would give rise to a reasonable possibility of failure to meet the <b>Power System Demand</b> , or of <b>Frequency</b> or <b>Voltage</b> departing significantly from normal or if multiple <b>Events</b> are probable due to prevailing weather conditions.  |
| Ancillary Service                             | A service, other than the production of electricity, which is used<br>to operate a stable and secure <b>Power System</b> including.<br><b>Reactive Power, Operating Reserve, Frequency Control</b> and<br><b>Blackstart Capability</b> .   |
| Ancillary Service Agreement                   | The bilateral agreement between the <b>TSO</b> and the <b>User</b> , which contains the detail specific to the <b>User's</b> provision of <b>Ancillary Services.</b>   |

| Annual Maintenance Outage                             | A transmission outage that is scheduled with reasonable notice<br>to the relevant <b>Generator</b> (s) in advance of the start of the<br>outage for planned maintenance of equipment that is part of an<br><b>Outturn Availability Connection Asset.</b>  |
|---|---|
| Annual SLR Conditions                                 | 12.30 and 18.00 on the second Tuesday of January or any other day nominated by <b>DSO</b> .   |
| Apparatus   | An item of equipment in which electrical conductors are used,<br>supported or of which they may form part and includes meters,<br>lines, cables and appliances used or intended to be used for<br>carrying electricity for the purpose of supplying or using<br>electricity.  |
| Apparent Power  | The product of voltage and of alternating current measured in units of volt-amperes and standard multiples thereof.   |
| Automatic Frequency Restoration                       | A system for reconnecting <b>Demand Customers</b> automatically following a <b>Low Frequency Event</b> on the <b>Transmission System</b> , once the frequency has recovered.  |
| Automatic Generator Control (AGC)                     | A control system installed between the <b>NCC</b> and a <b>Power</b><br><b>Station</b> whereby MW set points can be adjusted remotely by<br>the <b>TSO</b> to reflect the <b>Dispatch Instruction</b>   |
| Automatic Low Voltage Demand<br>Disconnection (ALVDD) | The automatic disconnection of <b>Demand Customers</b> when the Voltage or the rate of change of voltage has violated acceptable limits as determined by the <b>TSO</b> .   |
| Automatic Mains Failure Mode                          | The operation of Generation Unit(s) at an Individual Demand<br>Site of a Demand Side Unit where in the event of<br>Disconnection, the Generation Unit(s) is(are) enabled and<br>supplies(y) the Demand Customer's or DSO Demand<br>Customer's Load while not Synchronised to the Transmission<br>System or Distribution System. Upon sustained restoration of<br>the connection to the Transmission System or Distribution<br>System for a settable period of time, the Generation Unit(s)<br>Synchronise to the Transmission System or Distribution<br>System for a short period of time not exceeding 180 seconds<br>to facilitate the smooth transfer of power prior to Shutdown<br>of the Generation Unit(s). |
| Automatic Voltage Regulation                          | Automatic maintenance of a <b>Generation Unit's</b> terminal voltage or <b>Interconnector's Reactive Power</b> output at a desired setpoint   |
| Automatic Voltage Regulator                           | A continuously acting automatic closed loop control system acting on the excitation system so as to maintain a <b>Generation Unit's</b> terminal voltage at a desired setpoint.   |
| Autoproducer  | Persons to whom electrical <b>Energy</b> is provided and by whom<br>the electrical <b>Energy</b> is generated essentially for their own use,<br>by means of a direct connection to the <b>Transmission System</b> .   |
| Auxiliaries   | Any item of <b>Plant</b> and/or <b>Apparatus</b> not directly a part of the boiler plant or <b>Generating Unit</b> , but required for the boiler plant's or <b>Generating Unit's</b> functional operation. 'Auxiliary' shall be defined accordingly.  |
| Auxiliary Diesel Engine                               | A diesel engine driving a <b>Generating Unit</b> which can supply a <b>Unit Board</b> or <b>Station Board</b> , which can start without an electrical power supply from outside the <b>Power Station</b> within which it is situated.   |

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| Auxiliary Fuel         | A fuel other than a <b>Primary Fuel</b> which may be used for start<br>up purposes or for support of combustion or <b>Maximisation</b><br>when the <b>Generation Unit</b> is producing <b>Energy</b>   |
| Auxiliary Load         | The electrical <b>Demand</b> of the <b>Generation Unit's Auxiliary Plant</b><br>required for the operation of the <b>Generation Unit</b> .   |
| Auxiliary Plant        | Any item of <b>Plant</b> and/or <b>Apparatus</b> not directly a part of the boiler plant or <b>Generation Unit</b> , but required for the boiler plant's or <b>Generation Unit's</b> functional operation.   |
| Availability           | At any given time the measure of <b>Active Power</b> a <b>Generation</b><br><b>Unit(s)</b> is capable of delivering to the <b>Connection Point</b> and<br>the term " <b>Availabilities</b> " shall be construed accordingly. This<br>can be calculated as a gross figure.  |
|                        | In terms of a <b>Demand Side Unit</b> , the <b>Demand Side Unit MW</b><br><b>Capacity</b> as the measure at any given time of the capability of<br>the <b>Demand Side Unit</b> to reduce <b>Demand.</b>  |
|                        | At any given time the measure of <b>Active Power</b> an <b>Interconnector</b> is capable of importing to or exporting from the <b>Connection Point</b> and the term <b>"Availabilities"</b> shall be constructed accordingly. This can be calculated as a gross figure.  |
| Availability Factor    | The ratio of the <b>Energy</b> that could have been produced during<br>a specified period of time by a <b>Generation Unit</b> operating in<br>accordance with its <b>Availability</b> , and the <b>Energy</b> that could<br>have been produced during the same period by that<br><b>Generation Unit</b> operating at its <b>Registered Capacity</b> .<br><b>Availability Factor</b> can alternatively be reported in gross<br>terms.   |
| Availability Notice    | A notice to be submitted to the <b>TSO</b> pursuant to SDC1.4.1.1.   |
| Available Active Power | The amount of Active Power that the Controllable PPM could<br>produce based on current resource conditions. The Available<br>Active Power shall only differ from the actual Active Power if<br>the Controllable PPM has been curtailed, constrained or is<br>operating in a restrictive Frequency Response mode.   |
| Black Start            | The procedure necessary for a recovery from a <b>Total Shutdown</b> or <b>Partial Shutdown</b> .   |
| Black Start Capability | Ability in respect of a <b>Black Start Station</b> , for at least one of its<br><b>Centrally Dispatched Generation Units</b> or <b>Interconnector</b> to<br>start-up from <b>Shutdown</b> , without importing energy from the<br><b>Transmission System</b> , and to energise a part of the<br><b>Transmission System</b> and be <b>Synchronised</b> or energised (for<br><b>Interconnectors</b> ) to the <b>Transmission System</b> upon instruction<br>from the <b>TSO</b> . |
| Black Start Shutdown   | In the event of a <b>Partial Shutdown</b> or <b>Total Shutdown</b> of the <b>Transmission System</b> , the <b>Controllable PPM</b> shall be sent a <b>Black Start Shutdown</b> signal by the <b>TSO</b> and upon receipt of the signal, the <b>Controllable PPM</b> shall trip the circuit breaker(s) at the <b>Connection Point</b> and shutdown the <b>Controllable PPM</b> in a controlled manner.  |

| Black Start Station              | A <b>Power Station</b> and/or <b>Interconnector</b> which is registered pursuant to <b>Grid Code</b> as having a <b>Black Start Capability</b>  |
|----------------------------------|---|
| Block Load                       | The level of output that a <b>Generating Unit</b> immediately produces following <b>Synchronisation</b> . For avoidance of doubt, <b>Block Load</b> can equal 0 MW.   |
| Block Load Cold                  | Block Load during a Cold Start.   |
| Block Load for an Interconnector | The level of output, in either flow direction, that an <b>Interconnector</b> immediately produces following energisation. For avoidance of doubt, <b>Block Load</b> can equal 0 <b>MW</b> and can be different in either flow direction.  |
| Block Load Hot                   | Block Load during a Hot Start.  |
| Block Load Warm                  | Block Load during a Warm Start.   |
| Blue Alert                       | An alert issued by the <b>TSO</b> signifying that either a <b>Partial Shutdown</b> or a <b>Total Shutdown</b> of the <b>Power System</b> has taken place.   |
| Business Day                     | Monday through Friday excluding public holidays and holidays observed by the <b>TSO</b> .   |
| Cancelled Start                  | A response by a <b>Generator</b> to an instruction from the <b>TSO</b> cancelling a previous instruction to <b>Synchronise</b> to the <b>Transmission System</b> .  |
| Capacity                         | The rated continuous load-carrying ability, expressed in megawatts (MW) or megavolt-amperes (MVA) of generation, transmission, or other electrical equipment.   |
| Capacity Adequacy                | When there is sufficient <b>Generation Capacity</b> to meet the <b>Demand</b> and <b>Reserve</b> requirements.  |
| Capacity Adequacy Indicator      | An indication issued by the <b>TSO</b> for each weekly peak of the year based on <b>Availability</b> and <b>Demand</b> forecasts whether or not there is sufficient <b>Generation Capacity</b> to meet <b>Demand</b> .  |
| Capacity Shortfall Warning       | A warning issued by the <b>TSO</b> that based on <b>Availability</b> and <b>Demand</b> forecasts there is insufficient <b>Generation Capacity</b> to meet the peak <b>Demand</b> .  |
| CCGT Installation                | A collection of <b>Generation Units</b> comprising one or more<br><b>Combustion Turbine Units</b> and one or more <b>Steam Units</b><br>where, in normal operation, the waste heat from the<br><b>Combustion Turbine Units</b> is passed to the water/steam<br>system of the associated <b>Steam Unit</b> or <b>Steam Units</b> and<br>where the component <b>Generation Units</b> within the <b>CCGT</b><br><b>Installation</b> are directly connected by steam or hot gas lines<br>which enable those <b>Units</b> to contribute to the efficiency of the<br>combined cycle operation of the <b>CCGT Installation</b> . |
| CCGT Installation Matrix         | The matrix which must be submitted by a <b>Generator</b> under the <b>Planning Code</b> and which is used by the <b>TSOs</b> for <b>Scheduling</b> and <b>Dispatch</b> purposes under the <b>SDCs</b> as a "look up" table determining which <b>CCGT Units</b> will be operating at any given <b>MW Dispatch</b> level subject to any updated <b>Availability</b> information submitted by a <b>Generator</b> to a <b>TSO</b> under <b>SDC1</b> . A <b>Generation Unit</b> within a <b>CCGT Installation</b>  |
| CCGT Unit                        |   |

| Central Dispatch                        | <ul> <li>The process of Scheduling and issuing Dispatch Instructions directly to a Control Facility by the TSO pursuant to the Grid Code.</li> <li>All Dispatchable PPMs, Interconnectors, Pumped Storage Plant Demand, Energy Storage Power Station Demand, Demand Side Units, and Aggregated Generating Units are subject to Central Dispatch. In relation to all other Generation Units, thresholds apply as follows: <ul> <li>all other Generation Units with a Registered Capacity of 10 MW or more are subject to Central Dispatch;</li> <li>all other Generation Units with a Registered Capacity of 5 MW or more and less than 10 MW are not subject to Central Dispatch unless required by the TSO; however, such Generation Units can elect to be subject to Central Dispatch;</li> <li>all other Generation Units with a Registered Capacity of less than 5 MW are not subject to Central Dispatch unless required by the TSO;</li> <li>any Power Station, which has an aggregate Registered Capacity of 10 MW or more, consisting of more than one Generation Unit that is not otherwise subject to Central Dispatch, is subject to Central Dispatch as an Aggregated Generating Unit;</li> <li>all Generation Units with a Registered Capacity of less than 10 MW can elect whether to comply with SDC1.4.4.5 relating to the submission of Commercial Offer Data.</li> </ul> </li> </ul> |
|---|--|
| Centrally Dispatched Generating<br>Unit | A Generating Unit within a Power Station subject to Central Dispatch, which comprises, unless specified otherwise in relation to a particular use of the term, a Thermal Plant including a CCGT Installation, a Dispatchable PPM, Hydro Unit and Pumped Storage Plant in respect of its Pumped Storage Generation.   |
| Charging Capacity                       | The maximum amount of Energy consumed by Energy Storage<br>Power Station when acting as an Energy Storage Power Station<br>Demand.   |
| Cold Start                              | Any Synchronisation of a Generating Unit that has previously<br>not been Synchronised for a period of time longer than its<br>submitted Warm Cooling Boundary.   |
| Collector Network                       | The network of cables and overhead lines within a Controllable<br>PPM used to convey electricity from individual Generation<br>Units to the Connection Point.  |
| Combustion Turbine Unit                 | A Generation Unit which compresses the inlet air and feeds<br>fuel to the combustion chamber. The fuel and air burn to form<br>hot gases which in turn forces these hot gases into the turbine,<br>causing it to spin. The turbine can be fuelled by natural gas, by<br>distillate or by other such fuels as technology may allow.   |
| Commercial Energy Metering              | Metering which is utilised to measure Energy for Tariff charging purposes.   |

| Commercial Offer Data           | The commercial offer data submitted to the MO pursuant to  |
|---------------------------------|--|
|                                 | the TSC.   |
| Commissioning                   | Activities involved in undertaking the Commissioning Test or<br>implementing the Commissioning Instructions pursuant to the<br>terms of the Connection Agreement or as the context requires<br>the testing of any item of users equipment required pursuant<br>to this Grid Code prior to connection or re-connection in order<br>to determine that it meets all requirements and standards for<br>connection to the Transmission System. It also includes<br>activities that determine the new values of parameters that<br>apply to it following a material alteration or modification and<br>in addition those activities involved in undertaking the<br>Commissioning Tests or implementing the Commissioning<br>Instructions as the context requires. |
| Commissioning Instructions      | A step-by-step test procedure for a Commissioning Test.  |
| Commissioning Test              | Testing of a CDGU, Controllable PPM, Pumped Storage Plant<br>Demand, Demand Side Units, Aggregated Generating Units,<br>Interconnector or an item of User's Equipment required<br>pursuant to the Connection Conditions prior to connection or<br>re-connection in order to determine whether or not it is<br>suitable for connection to the System and also to determine<br>the new values of parameters to apply to it following a<br>material alteration or modification of a CDGU, Controllable<br>PPM, Pumped Storage Plant Demand, Demand Side Units,<br>Aggregated Generating Units, Interconnector or of an item of<br>User's Equipment and the term "Commissioning Testing" shall<br>be construed accordingly.                                    |
| Committed Outage Programme      | A programme of Outages of the Generator's Generation Units<br>and of Interconnectors prepared by the TSO pursuant to<br>Section OC2 and covering year 1.   |
| Committed Project Planning Data | Data relating to a User Development once the offer for a Connection, Use of System Agreement and/or supplemental agreements are accepted.  |
| Communications and Control Room | The communications and control room to be provided by the User in accordance with the Connection Agreement   |
| Connection Agreement            | The bilateral agreement between the TSO and the User, which<br>contains the detail specific to the User's connection to the<br>Transmission System.  |
| Connection Conditions           | The section of this Grid Code which is identified as the Connection Conditions.  |
| Connection Date                 | The date on which the Commissioning Instructions have to the TSO's satisfaction been properly implemented in respect of every part of the User's Equipment, following which the TSO shall, as soon as reasonably practicable notify the User to that effect, specifying the date of completion of such implementation  |
| Connection Offer                | A quotation letter together with the unsigned <b>Connection</b><br><b>Agreement</b> which forms the <b>TSO's</b> offer for connection of the<br><b>Facility</b> to the <b>Transmission System</b> as the result of an  |

|   | application for connection of the <b>Facility</b> .  |
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| Connection Point                                | The physical point where the User's Plant Apparatus or System is joined to the Transmission System or the Distribution System.   |
| Connection Site                                 | The site at which the <b>Plant</b> and <b>Apparatus</b> of the <b>User</b> at the <b>User's</b> side of the <b>Connection Point</b> is to be installed including the land, spaces, roads and any surfaces.   |
| Constrained Group                               | A group of <b>Generating Units</b> located within a constrained part of the <b>System</b> as determined by the <b>TSO</b> .  |
| Contingency                                     | The unexpected failure or <b>Outage</b> of a system component, such as a <b>Generation Unit</b> , transmission line, circuit breaker, switch, or other electrical element. A <b>Contingency</b> also may include multiple components, which are related by situations leading to simultaneous component outages. |
| Contingency Reserve                             | The margin of available <b>Generation Capacity</b> over forecast<br><b>System Demand</b> which is required in the period of 24 hours<br>ahead down to real time, to cover against uncertainties in<br>availability of <b>Generation Capacity</b> and against <b>Demand</b><br>forecast errors or variations      |
| Continuous Parallel Mode                        | Unrestricted periods of Synchronised operation of Generation<br>Unit(s) to the Transmission System or Distribution System at<br>an Individual Demand Site of a Demand Side Unit, subject to<br>Connection Agreement or DSO Connection Agreement<br>conditions.   |
| Control Action                                  | An action, such as switching, whereby the <b>Transmission System</b> is operated.  |
| Control Centre                                  | A location used for the purpose of monitoring, control and operation of the <b>Transmission System</b> or a <b>User System</b> other than a <b>Generator's System</b> .  |
| Control Facility                                | A location used for the purpose of <b>Monitoring</b> , control and operation of the <b>User 's Plant</b> and <b>Apparatus</b> and for accepting <b>Dispatch Instructions</b> via <b>Electronic Interface</b> .   |
| Control Phase                                   | The <b>Control Phase</b> follows on from the <b>Programming Phase</b><br>and starts with the issue of the <b>Generation Schedule</b> for the<br>next day and covers the period down to the real time   |
| Control Synchronising                           | The coupling (by manual or automatic closing of the circuit breaker) of two asynchronous <b>Systems</b> by means of synchroscope.  |
| Controllable PPM                                | A site containing at least one <b>PPM</b> can automatically act upon<br>a remote signal from the <b>TSO</b> to change its <b>Active Power</b><br>output.   |
| Controllable PPM Availability                   | The amount of MW the <b>Controllable PPM</b> can produce given favourable resource conditions.   |
| Controllable PPM MW Availability<br>Declaration | A measure of the maximum Active Power output which can be<br>produced by a <b>Controllable PPM</b> given favourable resource<br>conditions. Account shall be taken of partial and/or full<br>outages of individual <b>Generation Units</b> within the <b>Controllable</b><br><b>PPM</b> .                        |

| Controllable PPM Operator          | The operator of the <b>Controllable PPM</b> .   |
|------------------------------------|---|
| Controlled Active Power            | The amount of <b>Active Power</b> that a <b>Controllable PPM</b> is permitted to export based on the <b>Active Power Control Set-point</b> signal sent by the <b>TSO</b> .  |
| Critical Fault Clearance Time      | The longest fault duration not leading to out-of-step conditions such as pole-slipping in a <b>Generating Unit</b> following a <b>Fault Disturbance</b> . <b>Critical Fault Clearance Time</b> will vary according to the active and reactive power output of the <b>Generating Unit</b> . The minimum <b>Critical Fault Clearance Time</b> for a particular <b>Fault Disturbance</b> is likely to occur when the <b>Generating Unit</b> is at maximum <b>Active Power</b> output and maximum leading <b>Reactive Power</b> output. |
| Current Source Technology          | Current source inverters include all static devices generating<br>an AC current from a rectified DC current source. The<br>intermediate DC current is kept constant with a controlled<br>rectifier and high inductance reactors, while the AC output is<br>of variable <b>Frequency</b> and <b>Voltage</b> .  |
| Customer                           | A person to whom electrical power is provided (whether or not this is the same person who provides the electrical power).   |
| Customer Demand Management         | Reducing the supply of electricity to a <b>Customer</b> or disconnecting a <b>Customer</b> in a manner agreed for commercial purposes between a <b>Supplier</b> and its <b>Customers</b>  |
| Cycle Operating Mode               | The <b>Open Cycle Mode</b> or combine cycle <b>Operating Mode</b> of a <b>CCGT Installation</b> which may need to be specified pursuant to a <b>Dispatch Instruction</b> under SDC2.4.2.4(j).   |
| Declaration                        | A notice prepared by the <b>User</b> in respect of a <b>User's Plant</b> submitted to the <b>TSO</b> in accordance with the requirements of SDC1 and setting out the values (and times applicable to those values) of <b>Availability</b> , <b>Ancillary Services</b> capabilities, <b>Operating Characteristics</b> , and " <b>Declared</b> " shall be construed accordingly.  |
| Declared Operating Characteristics | The <b>Operating Characteristics</b> which the <b>Generator</b> or <b>Demand Side Unit Operator</b> shall have informed the <b>TSO</b> under the provisions of <b>SDC1</b> and which shall reasonably reflect the true <b>Operating Characteristics</b> of the <b>Generation Unit</b> or <b>Demand Side Unit</b> .  |
| De-energise                        | Disconnect from the <b>Transmission System</b> utilising circuit switches etc to isolate the <b>Plant</b> and/or <b>Apparatus</b> , and " <b>De-energised</b> " and " <b>De-energising</b> " shall be construed accordingly.  |
| Deload Break Point                 | The point at which due to technical reason a <b>Generating Unit</b> may need to pause during its <b>MW Output</b> reduction process.  |
| De-Loading Rate                    | The rate at which a <b>Generation Unit</b> reduces <b>MW Output</b> from <b>Minimum Generation</b> to zero when it is instructed to cease output. There are up to two possible deloading rates, which shall be named accordingly: <b>De-Loading Rate</b> 1 and <b>De-Loading Rate</b> 2.  |
| Demand                             | The amount of electrical power consumed by the <b>Power System</b> comprising of both <b>Active</b> and <b>Reactive Power</b> , unless otherwise stated.  |
| Demand Control                     | All or any of the methods of achieving a <b>Demand</b> reduction or an increase in <b>Demand</b> as set out in OC5.   |

|   | A warning issued by the <b>TSO</b> when the <b>TSO</b> anticipates that it   |
|---|--|
| Demand Control Alert                        | will or may instruct the <b>DSO</b> to implement <b>Demand</b> reduction.  |
| Demand Customer                             | An person to whom electrical <b>Energy</b> is provided by means of a direct connection to the <b>Transmission System</b> .<br><b>Autoproducers</b> are to be considered both <b>Generators</b> and <b>Demand Customers</b> .   |
| Demand Disconnection                        | Disconnection of <b>Demand Customers</b>   |
| Demand Side Unit                            | An Individual Demand Site or Aggregated Demand Site with a Demand Side Unit MW Capacity of at least 4 MW. The Demand Side Unit shall be subject to Central Dispatch.   |
| Demand Side Unit Best Correlated<br>Profile | The four <b>Demand Side Unit Profiles</b> from one day to eighty-<br>four days prior to the <b>Dispatch Instruction</b> , offset to minimise<br>the average absolute error across all the <b>Meter</b> periods<br>comprising the <b>Demand Side Unit Profile</b> when compared to<br>the <b>Demand Side Unit Profile</b> which finishes with the <b>Dispatch</b><br>period, resulting in the four smallest average absolute errors,<br>averaged. |
| Demand Side Unit Energy Profile             | The estimated total <b>Energy</b> requirement for an <b>Individual</b><br><b>Demand Site</b> or aggregated consumption for each <b>Individual</b><br><b>Demand Site</b> which form part of an <b>Aggregated Demand</b><br><b>Site</b> for each <b>Imbalance Settlement Period</b> in the following<br><b>Trading Day</b> and which must be submitted to the <b>TSO</b> in the<br><b>Availability Notice</b> under SDC1.4.4.2.                    |
| Demand Side Unit Calculated MWh<br>Response | The value of the quarter-hour <b>Demand Side Unit Performance</b><br><b>Monitoring Baseline</b> less the sum of the quarter-hour <b>Meter</b><br>readings of all the <b>Individual Demand Sites</b> that comprise the<br><b>Demand Side Unit</b> aligned to a quarter-hour <b>Meter</b> period.  |
| Demand Side Unit MW Availability            | The forecasted change in <b>Active Power</b> which can be achieved<br>in one currency zone by a <b>Demand Side Unit</b> for each <b>Imbalance</b><br><b>Settlement Period</b> in the following <b>Trading Day</b> period and<br>which must be submitted by the User to the <b>TSO</b> in an<br><b>Availability Notice</b> under SDC1.4.1.2.  |
| Demand Side Unit MW Capacity                | The maximum change in Active Power that can be achieved by<br>a <b>Demand Side Unit</b> on a sustained basis for the duration of<br>the <b>Demand Side Unit's Maximum Down Time</b> by totalling the<br>potential increase in on-site Active Power Generation and the<br>potential decrease in on-site Active Power Demand at each<br>Individual Demand Site.  |
| Demand Side Unit MW Response                | The proportion (in <b>MW</b> ) of the <b>Demand Side Unit MW Capacity</b> that is delivered at a given time following a <b>Dispatch Instruction</b> from the <b>TSO</b> . This value will be zero unless dispatched by the <b>TSO</b> .  |
| Demand Side Unit MW Response<br>Time        | The time as specified by the <b>Demand Side Unit Operator</b> in<br>the <b>Technical Parameter</b> and is the time it takes for the<br><b>Demand Side Unit Operator</b> to be able to implement the<br><b>Demand Side Unit MW Response</b> from receipt of the <b>Dispatch</b><br><b>Instruction</b> from the <b>TSO.</b>  |
| Demand Side Unit MWh Response               | The equivalent Energy in a quarter-hour Meter period of a <b>Demand Side Unit MW Response</b> requested in a <b>Dispatch Instruction</b> .   |

| Demand Side Unit Notice Time                                | The time as specified by the <b>Demand Side Unit Operator</b> in<br>the <b>Technical Parameter</b> and is the time it takes for the<br><b>Demand Side Unit</b> to begin ramping to the <b>Demand Side Unit</b><br><b>MW Response</b> from receipt of the <b>Dispatch Instruction</b> from<br>the <b>TSO</b> .  |
|---|--|
| Demand Side Unit Operator                                   | A person who operates a <b>Demand Side Unit</b> , with an aggregated <b>Demand Side Unit MW Capacity</b> not less than 4 MW.   |
| Demand Side Unit Performance<br>Monitoring Baseline         | An <b>Energy</b> value for each quarter-hour <b>Meter</b> period while a <b>Demand Side Unit</b> is <b>Dispatched</b> . It is the <b>Demand Side Unit Best Correlated Profile</b> excluding the first forty-eight quarter-hour <b>Meter</b> periods.   |
| Demand Side Unit Performance<br>Monitoring Error            | The absolute value of the <b>Demand Side Unit Calculated MWh</b><br><b>Response</b> less the <b>Demand Side Unit MWh Response</b> .  |
| Demand Side Unit Performance<br>Monitoring Percentage Error | The absolute value of the <b>Demand Side Unit Calculated MWh</b><br><b>Response</b> less the <b>Demand Side Unit MWh Response</b> divided<br>by the <b>Demand Side Unit MWh Response</b> .   |
| Demand Side Unit Profile                                    | Consecutive aggregated Meter readings of all Individual<br>Demand Sites that comprise a Demand Side Unit for each of<br>the full quarter-hour Meter periods in a twelve-hour period<br>plus the duration of Dispatch. If the Demand Side Unit was<br>Dispatched during the period the Demand Side Unit<br>Calculated MWh Response in the same quarter-hour Meter<br>periods are added, except in the case of the Dispatch being<br>monitored. In this case the accumulated Energy calculated<br>from Demand Side Unit MW Response from Generation<br>operating in Continuous Parallel Mode or Shaving Mode<br>signal (CC.12.2 (I)) plus the Demand Side Unit MW Response<br>from avoided Demand consumption and Generation operating<br>in Lopping Mode, Standby Mode or Automatic Mains Failure<br>Mode signal (CC.12.2 (m)) are added. |
| Demand Side Unit Ramp Time                                  | The time it takes for a <b>Demand Side Unit</b> to ramp to the <b>Demand Side Unit MW Response.</b> It is equal to the <b>Demand Side Unit MW Response Time</b> less the <b>Demand Side Unit Notice Time</b> .   |
| Demand Side Unit SCADA Error                                | The <b>Demand Side Unit Calculated MWh Response</b> less the accumulated <b>Energy</b> calculated from <b>Demand Side Unit MW</b><br><b>Response</b> from <b>Generation</b> operating in <b>Continuous Parallel</b><br><b>Mode</b> or <b>Shaving Mode</b> signal (CC.12.2 (I) plus the <b>Demand</b><br><b>Side Unit MW Response</b> from avoided <b>Demand</b> consumption<br>and <b>Generation</b> operating in <b>Lopping Mode, Standby Mode</b> or<br><b>Automatic Mains Failure Mode</b> signal (CC.12.2 (m)) in the<br>same quarter-hour <b>Meter</b> period.  |
| Demand Side Unit SCADA<br>Percentage Error                  | The Demand Side Unit Calculated MWh Response less the accumulated Energy calculated from Demand Side Unit MW Response from Generation operating in Continuous Parallel Mode or Shaving Mode signal (CC.12.2 (I) plus the Demand Side Unit MW Response from avoided Demand consumption  |

|   | and Generation operating in Lopping Mode, Standby Mode or  |
|---|--|
|   | Automatic Mains Failure Mode signal (CC.12.2 (m)) divided by   |
|   | Demand Side Unit Calculated MWh Response the in the same   |
|   | quarter-hour <b>Meter</b> period.  |
| De-maximisation Instruction               | An instruction issued by the <b>TSO</b> to <b>Generators</b> to cease <b>Maximisation</b> .  |
| Design Minimum Operating Level<br>(DMOL): | The minimum Active Power output of Controllable PPM where all Generation Units are generating electricity and capable of ramping upwards at any of the specified ramp rates (given available resource), and shall not be greater than 12% of Registered Capacity.  |
| Designated Operator                       | The operators approved in writing by the relevant <b>User</b> as competent to carry out the procedures in the agreed <b>Operation Instructions</b> for parties connecting to the <b>Transmission System</b>  |
| De-Synchronise                            | The act of taking a <b>Generation Unit</b> which is <b>Synchronised</b> to the <b>Transmission System</b> off the <b>Transmission System</b> to which it has been Synchronised and the term " <b>De-Synchronised</b> ", and other like terms, shall be construed accordingly.  |
| De-Synchronising                          | The act of taking a <b>Generating Unit</b> off the <b>Network</b> , to which it has been <b>Synchronised</b> , and like terms shall be construed accordingly.  |
| Disconnection                             | The physical separation of Users (or Customers) from the Transmission System or a User System as the case may be.  |
| Dispatch                                  | The issue by the <b>TSO</b> of instructions to a <b>Generator</b> , <b>Pumped</b><br><b>Storage Generator</b> , <b>Interconnector Owner</b> , <b>Interconnector</b><br><b>Operator</b> , <b>Demand Side Unit Operator</b> or <b>Generator</b><br><b>Aggregator</b> in respect of its <b>CDGU</b> , <b>Pumped Storage Plant</b><br><b>Demand</b> , <b>Demand Side Unit</b> , <b>Aggregated Generating Units</b> , or<br><b>Interconnector</b> pursuant to <b>SDC2</b> and the term " <b>Dispatched</b> "<br>shall be construed accordingly. |
| Dispatch Instruction                      | An instruction given by the TSO to a CDGU, Demand Side Unit,<br>Interconnector and/or Pumped Storage Plant Demand to that<br>User's approved Control Facility to change the output, fuel or<br>manner of operation of the CDGU, Demand Side Unit,<br>Interconnector and/or Pumped Storage Plant Demand.<br>"Instruct" and "Instructed" shall be construed accordingly.   |
| Dispatch Instruction Test Flag            | The flag indicating that a <b>Dispatch Instruction</b> will not be deemed to be a <b>Dispatch Instruction</b> for settlement purposes, used when the <b>TSO</b> approves new or amended test proposals submitted by a <b>User</b> after <b>Gate Closure 2</b> (or there is insufficient time for the <b>TSO</b> to evaluate and approve the test proposal before <b>Gate Closure 2</b> ) and as a result, the <b>Dispatch Instructions</b> issued by the <b>TSO</b> diverge from the <b>Final Physical Notifications</b> .                 |
| Dispatchable PPM                          | A <b>Controllable PPM</b> which must have a <b>Control Facility</b> in order to be dispatched via an <b>Electronic Interface</b> by the <b>TSO</b>   |
| Disputes Resolution Procedure             | order to be dispatched via an <b>Electronic Interface</b> by the <b>TSO</b> .<br>The procedures described in the <b>Connection Agreement</b> , <b>Use</b><br><b>of System Agreement</b> and <b>Ancillary Services Agreement</b><br>relating to disputes resolution.  |

| Distribution Control Centre           | Control Centre of the Distribution System Operator  |
|---------------------------------------|---|
| Distribution System                   | The system consisting (wholly or mainly) of electric circuits, transformers and switchgear which are operated by and used for the distribution of electricity from <b>Grid Supply Points</b> or <b>Generating Units</b> or other entry points to the point of delivery to <b>Customers</b> or other <b>Users</b> and any <b>Plant</b> and <b>Apparatus</b> and meters used in connection with the distribution of electricity, but not including any part of the <b>Transmission System</b> . |
| Distribution System Operator<br>(DSO) | An entity unit within <b>ESB</b> which is responsible for, amongst other things, the planning, development, operation and maintenance of the <b>Distribution System</b> .   |
| Disturbance                           | An unplanned event that produces an abnormal <b>System</b> condition.   |
| Disturbing Loads                      | A load on the System that adversely affects Power Quality.  |
| DSO Connection Agreement              | The bilateral agreement between the DSO and the DSO Demand Customer, which contains the detail specific to the DSO Demand Customer's connection to the Distribution System.   |
| DSO Demand Customer                   | A person to whom electrical <b>Energy</b> is provided by means of a direct connection to the <b>Distribution System</b> .   |
| Dwell Time Down                       | The duration for which the <b>Generating Unit</b> must remain at the <b>Dwell Time Down Trigger Point</b> during a change in its <b>MW Output</b> while ramping down between instructed <b>MW Output</b> and <b>Minimum Generation</b> .  |
| Dwell Time Down Trigger Point         | A constant MW level at which a <b>Generating Unit</b> must remain<br>while ramping down between instructed <b>MW Output</b> and<br><b>Minimum Generation</b> . There may be circumstances where<br>more than one parameter applies and this is indicated by<br>adding a number at the end of the parameter.   |
| Dwell Time Up                         | The duration for which the <b>Generating Unit</b> must remain at the <b>Dwell Time Up Trigger Point</b> during a change in its <b>MW Output</b> while ramping up between <b>Minimum Generation</b> and instructed <b>MW Output</b> .  |
| Dwell Time Up Trigger Point           | A constant MW level at which a <b>Generating Unit</b> must remain<br>while ramping up between <b>Minimum Generation</b> and<br>instructed <b>MW Output</b> . There may be circumstances where<br>more than one parameter applies and this is indicated by adding<br>a number at the end of the parameter.   |
| Earthing                              | A way of providing a connection between conductors and earth by an <b>Earthing Device.</b>  |
| Earthing Device                       | A means of providing a connection between a conductor and<br>earth being of adequate strength and capability for the<br>intended purpose.   |
| Effect of Parallel Flows              | The effect of the flow of electricity on an electric system's transmission facilities resulting from scheduled electric power transfers between two electric systems. Electric power flows on all interconnected parallel paths in amounts inversely proportional to each paths resistance.   |

|                                | The primary means by which an Alent is transmitted by the                       |
|--------------------------------|---|
| Electronic Alert System        | The primary means by which an <b>Alert</b> is transmitted by the                |
|                                | <b>TSO</b> to <b>Users</b> (or to certain <b>Users</b> only) in accordance with |
|                                |   |
| Electronic Interface           | A system, in accordance with the requirements of the <b>TSO's</b>               |
|                                | data system, at the <b>Control Facility</b> , providing an electronic           |
|                                | interface between the TSO and a User, for issuing and                           |
|                                | receiving instructions, including Dispatch Instructions as                      |
|                                | provided for in the Grid Code and established pursuant to an                    |
|                                | agreement between the <b>TSO</b> and the <b>User</b> .                          |
|                                | Generation Units within a Power Station which are directly                      |
|                                | connected to a <b>Distribution System</b> or the system of any other            |
| Embedded Generation            | User , such connection being either a direct connection or a                    |
|                                | connection via a busbar of another User but with no other                       |
|                                | Connection to the Transmission System   |
|                                | Protection designed to disconnect Generation Units from the                     |
| Embedded Generator Interface   | Distribution System during abnormal system conditions by                        |
| Protection                     | tripping a dedicated circuit breaker or recloser located as close               |
| Protection                     | as practically possible to the interface between the DSO                        |
|                                | Demand Customer equipment and the Distribution System.                          |
|                                | Any abnormal system condition that requires automatic or                        |
| Fmorgono.                      | immediate manual action to prevent or limit loss of                             |
| Emergency                      | transmission facilities or generation supply that could                         |
|                                | adversely affect the reliability of the Transmission System                     |
|                                | A site, remote from the National Control Centre, providing at                   |
|                                | least the minimum level of control capabilities necessary for                   |
|                                | secure operation of the Power System, to be utilised in the                     |
| Emergency Control Centre (ECC) | event that an emergency situation or major failure of facilities at             |
|                                | the National Control Centre prevents operation from the                         |
|                                | National Control Centre, or otherwise as determined by the                      |
|                                | <b>TSO</b> (e.g. for <b>NCC</b> maintenance, testing or training).              |
|                                | A <b>Dispatch</b> instruction issued by the <b>TSO</b> , pursuant to SDC2.11    |
|                                | to a <b>CDGU</b> or an <b>Interconnector</b> which may require an action        |
| Emergency Instruction          | or response which is outside the limits implied by the then                     |
|                                | current <b>Declarations.</b>  |
|                                | The end point in MW of a Forbidden Zone. There may be                           |
|                                | circumstances where more than one parameter applies and                         |
| End of Restricted Range        | this is indicated by adding a number at the end of the                          |
|                                | parameter.  |
|                                | The time after which the rate of change of the <b>Generating Unit</b>           |
| End Point of Start-up Period   | Output is not dependent upon the initial Warmth of the                          |
|                                | Generating Unit.  |
|                                | The movement of any isolator, breaker or switch so as to enable                 |
|                                | active power and reactive power to be transferred to and from                   |
| Energise                       | the Facility through the <b>Generator's Plant</b> and <b>Apparatus</b> and      |
|                                | "Energised" and "Energising" shall be construed accordingly.                    |
|                                | Energised and Energising shall be construct accordingly.                        |

| Energy  | The electrical energy produced, flowing or supplied by an electrical circuit during a time interval and being the integral with respect to time of the instantaneous <b>Active Power</b> , measured in units of Watt-hours or standard multiples thereof.  |
|---|--|
| Energy Limit                                    | The forecasted maximum amount of <b>Energy</b> that can be generated by an <b>Energy Limited Generating Unit</b> within the <b>Trading Day</b> .   |
| Energy Limited Generating Unit                  | A <b>Generating Unit</b> with a limit on the <b>Energy</b> it can deliver in a specified time period.  |
| Energy Storage Generator                        | A Generator which owns and/or operates any Energy Storage Power Station.   |
| Energy Storage Power Station (ESPS)             | A site containing at least one <b>ESU</b> can automatically act upon a remote signal from the <b>TSO</b> to change its <b>Active Power</b> output.   |
| Energy Storage Power Station (ESPS)<br>Demand   | An <b>Energy Storage Power Station</b> in its operation of consuming <b>Energy</b> .   |
| Energy Storage Unit (ESU)                       | A <b>Generation Unit(s)</b> using storage devices to generate and consume electricity as, or as part of, a <b>PPM</b> .  |
| ESB Networks Electrical Safety Rules            | The current version of the document prepared by <b>ESB</b> and entitled "ESB Networks Electrical Safety Rules"   |
| ESB Power Generation Electrical<br>Safety Rules | The current version of the document prepared by <b>ESB</b> and entitled "ESB Power Generation Electrical Safety Rules".  |
| Estimated Registered Data                       | Those items of <b>Planning Data</b> which either upon connection<br>will become <b>Registered Data</b> , or which for the purposes of the<br><b>Plant</b> and/or <b>Apparatus</b> concerned as at the date of<br>submission are <b>Registered Data</b> , but in each case which for the<br>seven succeeding the <b>TSO</b> financial years will be an estimate of<br>what is expected. |
| Event   | An unscheduled or unplanned occurrence on, or relating to either the <b>Transmission System</b> or a <b>User's System</b> , including faults, incidents and breakdowns.  |
| External Interconnection                        | <b>Apparatus</b> for the transmission of electricity to (from) the <b>Transmission System</b> or the <b>Other Transmission System</b> from (to) a transmission or distribution system located outside the island of Ireland.   |
| External System                                 | In relation to an <b>External System Operator</b> means the transmission or distribution system which it operates which is located outside the island of Ireland and any <b>Apparatus</b> or <b>Plant</b> which connects that system to the <b>External Interconnection</b> and which is owned or operated by such <b>External System Operator</b> .                                   |
| External System Operator                        | A person who operates an <b>External System</b> which is connected<br>to the <b>Transmission System</b> or the <b>Other Transmission System</b><br>by an <b>External Interconnection.</b>  |
| Externally Interconnected Party                 | The operator of an electrical transmission or distribution system outside the island of Ireland which is connected to the <b>Transmission System</b> by an <b>External Interconnection</b> .   |

| Extraordinary AVR Response                             | Any response to a <b>Voltage Dip</b> that requires an extraordinary response from normal behaviour of the <b>Automatic Voltage Regulator</b> of a <b>Generation Unit</b> . For the avoidance of doubt any action of an <b>Automatic Voltage Regulator</b> , which results in anything other than an adjustment of the excitation field current is deemed to be an <b>Extraordinary AVR Response</b> . Where such schemes, including fast valving, are being considered by a <b>Generator</b> they need to be formally agreed with the <b>TSO</b> before implementation, such agreement not to be unreasonably withheld. |
|--|---|
| Extraordinary Governor Response                        | Any response to a Voltage Dip that requires an extraordinary<br>response from normal behaviour of the Governor Control<br>System of a Generation Unit. For the avoidance of doubt any<br>action other than Governor Control System with respect to<br>Frequency dips is deemed to be an Extraordinary Governor<br>Response. Where such schemes, including fast valving, are<br>being considered by a Generator they need to be formally<br>agreed with the TSO before implementation, such agreement<br>not to be unreasonably withheld.  |
| Facility   | The User's facility located at the Connection Site including the User's Plant and Apparatus plus the Plant and Apparatus to be installed at the User's side of the Connection Point necessary to effect the connection.   |
| Failure to Follow Notice to<br>Synchronise Instruction | An instruction given by the <b>TSO</b> to a <b>Generator</b> in respect of its <b>CDGU</b> confirming that it has failed to <b>Synchronise</b> more than 15 minutes after the time specified in the <b>Notice to Synchronise</b> .  |
| Failure to Reach Minimum<br>Generation Instruction     | An instruction given by the <b>TSO</b> to a <b>Generator</b> in respect of its <b>CDGU</b> confirming that it has <b>De-Synchronised</b> where it has tripped before reaching <b>Minimum Generation</b> .   |
| Fault Disturbance                                      | Any type of fault including, but not limited to, single line to ground, line to line and three-phase short-circuits, in any single item of <b>Plant</b> anywhere in the <b>Transmission System</b> where the operation of the <b>TSO</b> protection will not disconnect the <b>Generator Plant</b> from the existing or planned <b>Transmission System</b> under normal or <b>Scheduled Outages</b> conditions. For the avoidance of doubt this <b>Fault Disturbance</b> can include bus zone protection.   |
| Fault Inception  | The point in time at which the <b>Transmission System Voltage</b> at the <b>Connection Point</b> goes outside the range as defined in CC.8.3.2, on any or all phases. At nominal voltages less than 110 kV, this shall be the point in time at which the <b>Voltage</b> under construction is less than 0.9pu of the nominal <b>Voltage</b> .   |
| Fault Ride-Through                                     | The ability of a Generating Unit to stay Synchronised to the Transmission System during and following a Fault Disturbance.  |
| Fault Ride-Through Time                                | The required fault duration that a <b>Generating Unit</b> shall ride through for a particular <b>Fault Disturbance</b> , and is equivalent to the <b>Critical Fault Clearance Time</b> .  |
| Final Physical Notification                            | In respect of <b>Users</b> and the <b>Physical Notification</b> submitted in accordance with SDC1.4.4.6 for an <b>Imbalance Settlement</b>  |

|  | <b>Period</b> , the last <b>Physical Notification</b> received before <b>Gate</b><br><b>Closure 2</b> for that <b>Imbalance Settlement Period</b> .   |
|--|---|
| Flexible Outage                        | An Outage scheduled in the Committed Outage Programme as<br>a Flexible Outage which is not within four Business Days of<br>the scheduled start date and time  |
| Forbidden Zone                         | A <b>MW</b> range within which a <b>Generator</b> or <b>Interconnector</b> cannot operate in a stable manner due to an inherent technical limitation of the machine.  |
| Forced Outage Probability              | The probability, in percentage terms, of a <b>Generation Unit</b> or an <b>Interconnector</b> not being available to provide <b>Energy</b> or <b>Ancillary Services.</b>  |
| Forecast Minimum Generation<br>Profile | The User's forecast of the average level of Minimum Generation, in MW, for the User's Plant for each Imbalance Settlement Period in the Trading Day.  |
| Forecast Minimum Output Profile        | The User's forecast of the average level of minimum MW Output, in MW, for the Pumped Storage Plant for each Imbalance Settlement Period in the Trading Day.   |
| Forecast Statement                     | A statement as defined in Section 38 of the Act   |
| Frequency                              | The number of alternating current cycles per second (expressed in Hertz) at which a <b>System</b> is running.   |
| Frequency Control                      | The control of the Frequency on the Power System.   |
| Frequency Deadband                     | A Frequency range within which the Governor Control System<br>is not expected to respond to changes in Transmission System<br>Frequency. The purpose of the Frequency Deadband is to filter<br>out noise and not to restrict the normal Frequency response of<br>the Governor Control System. |
| Frequency Demand Disconnection         | <b>Disconnection</b> of <b>Demand Customers</b> when <b>Frequency</b> falls to a particular threshold.  |
| Frequency Regulation                   | The automatic adjustment of <b>Active Power</b> output by a <b>Generation Unit</b> , initiated by free governor action in response to continuous minor fluctuations of <b>Frequency</b> on the <b>Power System</b> .  |
| Frequency Response                     | The automatic adjustment of Active Power output from a Generation Unit(s) or Interconnector in response to Frequency changes  |
| Frequency Response Deadband            | <b>Frequency Response Deadband</b> means an interval used intentionally to make the <b>Frequency</b> control unresponsive.  |
| Frequency Response Insensitivity       | <b>Frequency Response Insensitivity</b> means the inherent feature of the control system, specified as the minimum magnitude of change in the <b>Frequency</b> or input signal that results in a  |
| Frequency Response Ramp Rate           | change of output power or output signal.<br>The minimum rate of increase or decrease of <b>Active Power</b><br>output of a <b>Controllable PPM</b> when acting to control<br><b>Transmission System Frequency</b> .   |
| Frequency Response System              | A facility providing the means to automatically adjust the <b>Active Power</b> output from a <b>Generation Unit(s)</b> in response to changes in <b>Frequency</b> .   |
| Frequency Sensitive Mode               | The operation of a <b>Generating Unit</b> whereby its generation level is varied automatically to compensate for variations in  |

|                                    | the Frequency of the System.   |
|------------------------------------|--|
| Gas Turbine Unit                   | A Generation Unit driven by gas  |
| Gate Closure 1                     | In respect of a <b>Trading Day</b> is 13.30 hours on the <b>Trading Day</b> prior to that <b>Trading Day</b> .   |
| Gate Closure 2                     | In respect of an Imbalance Settlement Period, 1 hour before the start of that Imbalance Settlement Period.   |
| General Conditions                 | The part of Grid Code which is defined as the General Conditions   |
| Generating Plant                   | A Power Station subject to Central Dispatch.   |
| Generating Unit                    | Has the same meaning as Generation Unit.   |
| Generation                         | The process of producing electrical energy from other forms of energy; also, the amount of electric energy produced, usually expressed in megawatthours (MWh).   |
| Generation Outage Programme        | Any or all of the Indicative Outage Programme, the Provisional Outage Programme and the Committed Outage Programme.  |
| Generation Unit                    | Any apparatus which produces electricity and, for the purpose of <b>SDC1</b> and <b>SDC2</b> , shall include a <b>CCGT Installation</b> or a <b>CCGT Unit</b> , where running arrangements and/or <b>System</b> conditions apply.  |
| Generation Unit Output             | The Active Power and Reactive Power produced by a Generation Unit net of Generation Unit Auxiliary Load  |
| Generator                          | A person or <b>Power Station</b> as the case may be who generates electricity and is subject to the <b>Grid Code</b> pursuant to any agreement with the <b>TSO</b> or otherwise.<br><b>Autoproducers</b> are to be considered both <b>Generators</b> and <b>Demand Customers</b> .   |
| Generator Aggregator               | A person who represents several <b>Generating Units</b> , each of<br>which does not have a <b>Registered Capacity</b> greater than 10<br>MW and the combined <b>Registered Capacity</b> of which is equal<br>to or greater than 4 <b>MW</b> , by in particular preparing notices<br>under SDC1, in relation to those <b>Generating Units</b> and<br>receiving <b>Dispatch Instructions</b> in relation to those <b>Generating<br/>Units</b> under SDC2. For the avoidance of doubt, a <b>Generator</b><br><b>Aggregator</b> cannot aggregate a <b>Generating Unit</b> with an<br>output equal to or above 10 MW. |
| Generator Declared Inflexibilities | The inflexibilities declared by a <b>Generator</b> to the <b>TSO</b> under SDC1 and which the <b>TSO</b> must take into account under SDC1.4.5.3 when compiling the <b>Indicative Operations Schedule</b> .  |
| Generator Site                     | The site owned (or occupied pursuant to a lease, licence or other agreement) by the <b>Generator</b> which contains the <b>Connection Point</b> .  |
| Generator Terminal                 | The stator terminals of a Generating Unit.   |
| Generator Transformer              | A transformer whose principal function is to provide the interconnection between the <b>Generation Unit</b> and the Network and to transform the <b>Generation Unit</b> voltage to the Network voltage. In the case of <b>Controllable PPMs</b> , this shall be interpreted to mean the <b>Controllable PPM's Grid Connected</b>   |

|                                   | Transformer rather than the individual WTG transformers.  |
|-----------------------------------|---|
| Good Industry Practice            | Those standards, practices, methods and procedures<br>conforming to safety and legal requirements which are attained<br>by exercising that degree of skill, diligence, prudence and<br>foresight which would reasonably and ordinarily be expected<br>from a skilled and experienced person engaged in the same type<br>of undertaking under the same or similar circumstances.                     |
| Governor Control System           | A system which will result in <b>Active Power</b> output of a <b>Generation Unit</b> changing, in response to a change in <b>System Frequency</b> , in a direction which assists in the recovery to <b>Target Frequency</b>   |
| Governor Droop                    | The percentage drop in the <b>Frequency</b> that would cause the <b>Generation Unit</b> under free governor action to change its output from zero to its full <b>Capacity</b> . In the case of a <b>Controllable PPM</b> , it is the percentage drop in the <b>Frequency</b> that would cause the <b>Controllable PPM</b> to increase its output from zero to its full <b>Registered Capacity</b> . |
| Grid Code                         | This code prepared by the <b>TSO</b> pursuant to section 33 of the <b>Act</b> , and approved by the <b>CRU</b> , as from time to time revised, amended, supplemented or replaced with the approval of or at the instance of the <b>CRU</b> .  |
| Grid Code Review Panel            | The panel as set out in GC5 of the General Conditions   |
| Grid Code Test                    | A test that is to be mutually agreed, with agreement not to be unreasonably withheld, and conducted in accordance with <b>Grid Code</b> .   |
| Grid Connected                    | Connected to the Transmission System  |
| Grid Connected Transformer        | Any transformer directly connected to the <b>Transmission</b><br><b>System</b> . In the case of <b>Controllable PPMs</b> where the <b>Connection</b><br><b>Point</b> is remote from the <b>Controllable PPM</b> , this shall be<br>interpreted to mean the HV transformer located at the<br><b>Controllable PPM</b> .   |
| Grid Connection Point             | The point at which a <b>Generating Unit</b> , <b>Interconnector</b> or a <b>CCGT Installation</b> or a <b>CCGT Unit</b> or a <b>Customer</b> or an <b>External System</b> , is directly connected to the <b>Transmission System</b> .   |
| Grid Supply Point or GSP          | A point of connection between the <b>Transmission System</b> and<br>the <b>Distribution System</b> or a <b>Demand Customer</b> or other<br>network operator.  |
| Harmonic Voltage Distortion Level | The Harmonic Voltage Distortion Level of the $h^{th}$ order is the RMS value of the steady-state sinusoidal Voltage waveform at a Frequency of (50 x h) Hz which is present in the Voltage waveform in addition to its fundamental Frequency component.<br>The Harmonic Voltage Distortion Level is expressed as a percentage of the RMS value of the fundamental Frequency Voltage.                |
| High Frequency Event              | An event where the <b>Transmission System Frequency</b> deviates to a value above 50.2 Hz.  |

|  | The period of time following <b>De Supervisetion</b> of a  |
|--|--|
| Hot Cooling Boundary                         | The period of time, following <b>De-Synchronisation</b> of a <b>Generating Unit</b> after which the <b>Warmth State</b> transfers from |
|  | being hot to being warm.   |
|  | Any <b>Synchronisation</b> of a <b>Generating Unit</b> that has previously   |
| Hot Start                                    | not been <b>Synchronised</b> for a period of time shorter than or  |
|  | equal to its submitted Hot Cooling Boundary.   |
|  | A <b>Generating Unit</b> which generates electricity from the  |
| Hydro Unit                                   | movement of water excluding <b>Pumped Storage Generation</b> .   |
| Imbalance Settlement Period                  | A thirty minute period beginning on the hour or the half-hour.   |
|  | A set of diagrams characterising the Transmission System   |
|  | impedance vector for a range of frequencies at the <b>Connection</b>   |
|  | <b>Point</b> from the 2 <sup>nd</sup> up to, and including, the 40 <sup>th</sup> harmonic.   |
| Impedance Loci                               | These diagrams will contain polygons, whose envelopes  |
|  | outline the bounds of the Transmission System impedance  |
|  | under intact network and appropriate single contingency  |
|  | conditions.  |
|  | This includes typewriting, printing, lithography, electronic mail,   |
| In Writing                                   | facsimile and other modes of reproducing words in a legible  |
|  | and non-transitory form;   |
|  | The incremental change in magnitude of the Harmonic  |
|  | Voltage Distortion Level attributed to the User's facility as  |
|  | measured at the Connection Point which is solely caused by   |
|  | the connection of the <b>User's</b> facility. The <b>Incremental</b>   |
| Incremental Harmonic Voltage                 | Harmonic Voltage Distortion Level is a combination of: (a)   |
| Distortion Level                             | Distortion caused by harmonic <b>Voltages</b> or currents generated  |
|  | by the <b>User's</b> facility and (b) Amplification of the existing  |
|  | Harmonic Voltage Distortion Level caused by an interaction   |
|  | between the <b>User's</b> facility and the <b>Transmission System</b> harmonic impedance (for example due to resonances).              |
|  | A person who has been authorised by the <b>TSO</b> to use the  |
| Independent Sector Users                     | interconnector pursuant to a valid Use of System Agreement.  |
|  | A schedule prepared by the <b>TSO</b> in conjunction with the <b>Other</b>   |
| Indicative Operations Schedule               | <b>TSO</b> pursuant to SDC1.4.8.1.   |
|  | A programme of <b>Outages</b> of the <b>Generator's Generation Units</b>   |
| Indicative Outage Programme                  | or <b>Interconnectors</b> prepared by the <b>TSO</b> pursuant to OC2 and   |
| malcative Outage Hogramme                    | covering years 4-7 ahead.  |
|  | A single premises of a <b>Demand Customer</b> connected to the   |
| In dividual Daman d City                     | Transmission System or a DSO Demand Customer connected   |
| Individual Demand Site                       | to the Distribution System with a Demand Side Unit MW  |
|  | Capacity.  |
| Initial Symmetrical Chart Circuit            | RMS value of the AC symmetrical component of a prospective   |
| Initial Symmetrical Short-Circuit<br>Current | (available) short-circuit current applicable at the instant of   |
|  | short circuit if the impedance remains at the zero time value.   |
|  | The size, nature and name plate rating of each fundamental   |
| Installed Plant                              | constituent part of the Generation Unit. For a conventional  |
|  | Generation Unit this should include, at a minimum,   |
|  | information on each constituent part of the alternator,  |
|  | excitation system and turbine. For a windfarm this should, at a  |
|  | minimum, include the individual <b>Wind Turbine Generator</b> size,  |
|  | make and model and the number of Wind Turbine  |

|                                   | Generator(s) installed. Relevant, additional information                   |
|-----------------------------------|--|
|                                   | should also be included, such as <b>Power System</b>                       |
|                                   |  |
|                                   | <b>Stabilisers</b> . Where a <b>User</b> is not clear on the requirements, |
|                                   | clarification must be sought from the <b>TSO</b> .                         |
| Interconnection Agreement         | An agreement between the TSO and an External System                        |
|                                   | <b>Operator</b> , which may include agreement with the                     |
|                                   | Interconnector Owner and/or Interconnector Operator.                       |
|                                   | A <b>HVDC</b> electrical transmission system as a means for the bulk       |
| Interconnector                    | transmission of electrical power to or from the Transmission               |
|                                   | System or Other Transmission System to or from an External                 |
|                                   | Transmission System.   |
|                                   | A tuned device within an Interconnector which prevents the                 |
|                                   | transmission of harmonics to the Transmission System to                    |
| Interconnector Filter             | which that Interconnector is connected and which also                      |
|                                   | provides a means of controlling the Mvar flow to and from                  |
|                                   | that Interconnector.   |
|                                   | In relation to an Interconnector transferring power into the               |
|                                   | Transmission System, it is the percentage drop in the                      |
|                                   | Frequency that would, under the action of the Interconnector               |
|                                   | Frequency Control system, cause a change in the                            |
|                                   | Interconnector's output from zero to its full Interconnector               |
|                                   | Registered Import Capacity. In relation to an Interconnector               |
| Interconnector Frequency Droop    | transferring power to an External System, it is the percentage             |
|                                   | drop in the <b>Frequency</b> that would, under the action of the           |
|                                   | Interconnector Frequency Control system, cause a change in                 |
|                                   | the Interconnector's output from its full Interconnector                   |
|                                   | Registered Export Capacity to zero. In both cases, it is                   |
|                                   | assumed that the Frequency Control system is regulating the                |
|                                   | Frequency in the Transmission System.                                      |
| Interconnector Minimum Export     | Minimum MW output an Interconnector can export                             |
| Load                              | continuously to a remote network while maintaining stability.              |
|                                   | Minimum MW output an Interconnector can import                             |
| Interconnector Minimum Import     | continuously from a remote network while maintaining                       |
| Load                              | stability.   |
|                                   | Absolute sum of the Interconnector Minimum Export Load                     |
| Interconnector Minimum Load       | and Interconnector Minimum Import Load representing the                    |
|                                   | minimum range of bi-directional power transfer.                            |
|                                   | The Interconnector Operating Protocol is a protocol for                    |
|                                   | specific operating conditions of the Interconnector which may              |
|                                   | exist between the Interconnector Operator, the TSO and the                 |
|                                   | External TSO. The Interconnector Operating Protocol shall be               |
| Interconnector Operating Protocol | agreed as appropriate by all parties before operation of the               |
|                                   | Interconnector. The Interconnector Operating Protocol shall                |
|                                   | include but is not limited by the following; planned outage                |
|                                   | coordination, Operating Procedures, Interconnector                         |
|                                   | Instructions, Interconnector Faults, Emergency Power                       |
|                                   | Functionality, Operational Liaison, System Testing, Operational            |
|                                   | and Safety Switching.  |
|                                   | A person who operates an <b>Interconnector</b> and is subject to the       |
| Interconnector Operator           | Grid Code pursuant to any agreement with the TSO or                        |
| Interconnector Operator           | otherwise.   |
|                                   |  |

| Interconnector Owner               | A person who owns an <b>Interconnector</b> . For the avoidance of doubt the <b>Interconnector Owner</b> shall be responsible for all            |
|------------------------------------|---|
|                                    | Grid Code requirements concerning the Interconnector Operator in relation to the Interconnector.  |
| Interconnector Ramp Rate           | The maximum rate of increase or decrease of the power   |
|                                    | transferred, in either flow direction, by an Interconnector.<br>The rate of decrease of an Interconnector. Ramp-down                            |
| Interconnector Ramp-down           | <b>Capabilities</b> apply over the bi-directional range from its  |
| Capability                         | Interconnector Registered Import Capacity to its  |
|                                    | Interconnector Registered Export Capacity.  |
|                                    | The rate of increase of an Interconnector. Ramp-up  |
| Interconnector Ramp-up Capability  | Capabilities apply over the bi-directional range from its   |
|                                    | Interconnector Registered Export Capacity to its  |
|                                    | Interconnector Registered Import Capacity.  |
|                                    | The maximum <b>Capacity</b> , in either flow direction, expressed in whole <b>MW</b> , that an <b>Interconnector</b> can deliver on a sustained |
| Interconnector Registered Capacity | basis, without accelerated loss of equipment life, at the   |
| interconnector registered capacity | <b>Connection Point</b> . This figure shall include transmission power  |
|                                    | losses for the Interconnector.  |
|                                    | The maximum <b>Capacity</b> , expressed in whole <b>MW</b> that an  |
| Interconnector Registered Export   | Interconnector may export (transfer energy from the Power   |
| Capacity                           | System to a remote network) on a sustained basis, without   |
|                                    | accelerated loss of equipment life, as registered with the <b>TSO</b> .   |
|                                    | The maximum Capacity, expressed in whole MW that an   |
| Interconnector Registered Import   | Interconnector may import (transfer energy from a remote  |
| Capacity                           | network into the <b>Power System</b> ) on a sustained basis, without  |
|                                    | accelerated loss of equipment life, as registered with the <b>TSO</b> .   |
| Interconnector Schedule Quantities | The quantities of <b>Active Power</b> which have been scheduled on  |
| Interconnector schedule Quantities | an <b>Interconnector</b> as a result of trading in day ahead and/or intraday markets and submitted by a <b>Scheduling Agent</b> .               |
|                                    | A transformer whose principal function is to provide the  |
|                                    | interconnection between the <b>Interconnector</b> and the Network   |
| Interconnector Transformer         | and to transform the <b>Interconnector</b> voltage to the Network   |
|                                    | voltage.  |
|                                    | An Interconnector subject to Central Dispatch which will  |
|                                    | include appropriate control and response of each converter  |
| Interconnector's Plant             | station and Apparatus upon Dispatch Instruction issued by the   |
|                                    | <b>TSO.</b> It shall consist of all aspects of the technology including,  |
|                                    | converter station, DC cable filter banks etc. up to the   |
|                                    | Connection Point.   |
| Inter jurisdictional Tickling      | The lines, facilities and equipment that connect the transmission system of the Bonublic of Iroland to the                                      |
| Inter-jurisdictional Tie Line      | transmission system of the Republic of Ireland to the transmission system of Northern Ireland.  |
|                                    | The person representing a <b>Generating Unit</b> for the purposes   |
| Intermediary                       | provided for in the <b>TSC</b> .  |
|                                    | provideu for in the foe.  |

|                                | Constant and the state of the s |
|--------------------------------|--|
| Interruptible Tariff           | Special tariff paid for <b>Energy</b> due to the arrangement that the <b>Customer</b> is automatically interruptible by use of <b>Under</b>  |
|                                | Frequency Relay or other means in accordance with  |
|                                | arrangements made between the <b>Customer</b> [and <b>Supplier</b> ].  |
|                                | <b>Customers</b> who purchase electricity under an <b>Interruptible</b>  |
| Interruptible Tariff Customers | Tariff.  |
| Investigation                  | Investigation carried out by the <b>TSO</b> under OC10, and "Investigate" shall be construed accordingly.  |
| Joint Grid Code Review Panel   | The panel as set out in GC.6 of the General Conditions   |
|                                | An electricity generation licence or an electricity supply licence,  |
| Licence                        | as the context requires, granted pursuant to Section 14 of the Act.  |
| Licence Standards              | The standards set out or referred to in the <b>TSO Licence</b> .   |
| Load                           | The <b>Active Power</b> or <b>Reactive Power</b> , as the context requires, generated, transmitted or distributed and all like terms shall be construed accordingly.   |
| Load Factor                    | The ratio of the actual electrical <b>Energy</b> produced by a <b>Generation Unit</b> to the possible maximum electrical <b>Energy</b> that could be produced by that <b>Generation Unit</b> in any defined period   |
|                                | The break point which defines the shared MW boundary   |
|                                | between the two Loading Rates Cold. The first Loading Rate   |
|                                | Cold applies from Block Load to the first Load Up Break Point  |
|                                | Cold, the second Loading Rate Cold applies from the first Load   |
| Load Up Break Point Cold       | Up Break Point Cold to the second Load Up Break Point Cold,  |
|                                | the third Loading Rate Cold applies from the second Load Up  |
|                                | Break Point Cold to the end point of the Start Up period,  |
|                                | which should be set equal to the Minimum Generation.   |
|                                | The break point which defines the shared MW boundary   |
|                                | between the Loading Rates Hot. The first Loading Rate Hot  |
|                                | applies from Block Load to the first Load Up Break Point Hot,  |
| Load Up Break Point Hot        | the second Loading Rate Hot applies from the first Load Up   |
|                                | Break Point Hot to the second Load Up Break Point Hot, the   |
|                                | third Loading Rate Hot applies from the second Load Up Break<br>Point Hot to the end point of the Start Up period, which   |
|                                | should be set equal to the <b>Minimum Generation</b> .   |
|                                | The break point which defines the shared MW boundary   |
|                                | between the Loading Rates Warm. The first Loading rate   |
|                                | applies from Block Load to the first Load Up Break Point   |
|                                | Warm, the second Loading Rate Warm applies from the first  |
| Load Up Break Point Warm       | Load Up Break Point Warm to the second Load Up Break   |
|                                | Point Warm, the third Loading Rate Warm applies from the   |
|                                | second Load Up Break Point Warm to the end point of the  |
|                                | Start Up period, which should be set equal to the Minimum  |
|                                | Generation.  |
| Loading Rate                   | The Loading Rate Cold, Loading Rate Hot or Loading Rate  |
|                                | Warm as the case may be.   |
| Loading Rate Cold              | The rate at which a Generating Unit increases Output from  |
|                                | Block Load to Minimum Generation when it is instructed to  |

|                           | <b>Cold Start</b> . There may be circumstances where more than one parameter applies and this is indicated by adding a number at the end of the parameter.  |
|---------------------------|---|
| Loading Rate Hot          | The rate at which a <b>Generating Unit</b> increases <b>Output</b> from <b>Block Load</b> to <b>Minimum Generation</b> when it is instructed to <b>Hot Start</b> . There may be circumstances where more than one parameter applies and this is indicated by adding a number at the end of the parameter.   |
| Loading Rate Warm         | The rate at which a <b>Generating Unit</b> increases <b>Output</b> from <b>Block Load</b> to <b>Minimum Generation</b> when it is instructed to <b>Warm Start</b> . There may be circumstances where more than one parameter applies and this is indicated by adding a number at the end of the parameter.  |
| Lopping Mode              | The operation of Generation Unit(s) at an Individual Demand<br>Site of a Demand Side Unit where the Generation Unit(s)<br>supplies the Demand Customer's or DSO Demand Customer's<br>Load while not Synchronised to the Transmission System or<br>Distribution System. The Generation Unit(s) is(are)<br>Synchronised to the Transmission System or Distribution<br>System for short periods of time not exceeding 180 seconds at<br>Start-Up and Shutdown of the Generation Unit(s) to facilitate<br>a smooth transfer of power. |
| Low Frequency Event       | An event where the <b>Transmission System Frequency</b> deviates to a value below 49.5Hz.   |
| Low Frequency Relay       | An electrical measuring relay intended to operate when its characteristic quantity ( <b>Frequency</b> ) reaches the relay settings by decrease in <b>Frequency</b> .  |
| Margin                    | The difference between maximum <b>Active Power</b> (net of <b>Auxiliary Loads</b> ) from <b>Available Generation Units</b> and net <b>System Demand</b> expressed in MW.  |
| Market Operator           | Shall have the meaning set out in the <b>TSC.</b>   |
| Maximisation              | An increase in <b>MW Output</b> above the <b>Registered Capacity</b> up to the level of the <b>Short Term Maximisation Capability</b> , and the terms " <b>Maximise</b> " and " <b>Maximised</b> " shall be construed accordingly.  |
| Maximisation Instruction  | An instruction issued by the <b>TSO</b> to the <b>Generator</b> to <b>Maximise</b> the <b>MW Output</b> of a <b>Generation Unit</b> .   |
| Maximum Charge Capacity   | The maximum amount of <b>Energy</b> that can be produced from the storage of an <b>Energy Storage Generator</b> for a <b>Trading Day</b> .  |
| Maximum Continuous Rating | The maximum capacity (MW) (or effective rating), modified for<br>ambient limitations, that a <b>Generation Unit</b> can sustain<br>indefinitely without loss of equipment life, less the capacity<br>used to supply the <b>Auxiliary Load</b> .   |
| Maximum Down Time         | In the case of a <b>Demand Side Unit</b> , the maximum period of time during which <b>Demand Side Unit MW Response</b> can be greater than zero.  |
| Maximum Export Capacity   | The value (in MW, MVA, kW and/or kVA) provided in accordance with the User's Connection Agreement or DSO  |

|                                    | Demand Customer's DSO Connection Agreement.   |
|------------------------------------|---|
| Maximum Import Capacity            | The values (kW and/ or kVA) provided in accordance with the User's Connection Agreement or DSO Demand Customer's DSO Connection Agreement.  |
| Maximum On Time                    | The maximum time that a <b>Generating Unit</b> can run following <b>Start Up</b> .  |
| Maximum Ramp Down Rate             | The maximum <b>Ramp Down Rate</b> of a <b>Demand Side Unit</b> . In the case of a <b>Demand Side Unit</b> which consists of an <b>Aggregated Demand Site</b> this shall be the aggregated maximum <b>Ramp Down Rate</b> of the <b>Individual Demand Sites</b> .   |
| Maximum Ramp Up Rate               | The maximum <b>Ramp Up Rate</b> of a <b>Demand Side Unit</b> . In the case of a <b>Demand Side Unit</b> which consists of an <b>Aggregated Demand Site</b> this shall be the aggregated maximum <b>Ramp Up Rate</b> of the <b>Individual Demand Sites</b> .   |
| Maximum Storage Capacity           | The maximum amount of <b>Energy</b> that can be produced from<br>the reservoir of a <b>Pumped Storage Generator</b> for a <b>Trading</b><br><b>Day.</b>   |
| Measurement Point                  | The <b>Measurement Point</b> shall be the <b>Connection Point</b> to the <b>Transmission System</b> or such other point or points as may be agreed between the <b>TSO</b> and the <b>User</b> .   |
| Merit Order                        | An order, compiled by the <b>TSO</b> in conjunction with the <b>Other</b><br><b>TSO</b> pursuant to SDC1 of <b>Commercial Offer Data</b> sorted in<br>price order.  |
| Meshed Transmission Station        | A Transmission Station which is looped into the Transmission System.  |
| Meteorological Mast                | A device erected at the <b>Controllable PPM</b> which has the capability measure representative wind speed, wind direction, air temperature and air pressure to a degree of accuracy corresponding to the appropriate prevailing European Standard at that time.  |
| Meter                              | A device for measuring and recording units of electrical energy.  |
| Metering Code                      | The code that specifies the minimum technical design and operational criteria to be complied with for metering and data collection equipment and associated procedures as required under the <b>Trading and Settlement Code</b> .   |
| Metering Equipment                 | <b>Meters</b> , time switches, measurement transformers, metering protection and isolation equipment, circuitry and their associated data storage and data communications equipment and wiring which are part of the <b>Active Energy</b> and <b>Reactive Energy</b> measuring equipment at or related to a <b>Site</b> . |
| Minimum Charge Capacity            | The minimum amount of <b>Energy</b> that must be produced from the storage of an <b>Energy Storage Generator</b> for a <b>Trading Day</b> .   |
| Minimum Demand Regulation<br>(MDR) | That minimum margin of <b>Active Power</b> to provide a sufficient regulating margin for adequate <b>Frequency Control</b> .  |
| Minimum Down Time                  | In the case of <b>Demand Side Units</b> , the minimum period of time during which <b>Demand Side Unit MW Response</b> at a <b>Demand Side Unit</b> can be greater than zero.  |
| Minimum Generation                 | The minimum <b>MW Output</b> which a <b>Generating Unit</b> can generate continuously, registered with the <b>TSO</b> under SDC1 as   |

|                          | a Technical Parameter.  |
|--------------------------|---|
| Minimum Load             | Minimum <b>MW Output</b> a <b>Generator</b> can maintain on a continuous basis, whilst providing <b>System Services</b> .   |
| Minimum off time         | The minimum time that must elapse from the time of a <b>Generation Unit De-synchronises</b> before it can be instructed to <b>Start-up.</b><br>In the case of <b>Demand Side Units</b> , the minimum time that must elapse while the <b>Demand Side Unit MW Response</b> is at zero until the next delivery of <b>Demand Side Unit MW Response</b> .  |
| Minimum on time          | The minimum time that must elapse from the time of a <b>Generation Unit Start-up</b> before it can be instructed to <b>Shutdown</b> .   |
| Minimum Storage Capacity | The minimum amount of <b>Energy</b> that must be produced from the reservoir of a <b>Pumped Storage Generator</b> for a <b>Trading Day.</b>   |
| Minimum Up Time          | The minimum time that must elapse from the time of a <b>Generation Unit Start-up</b> before it can be instructed to <b>Shutdown.</b>  |
| Minor Test               | <ul> <li>An Operational Test with a total duration of less than 6 hours in any Trading Day or were the active energy produced during the total duration of the test is less than:</li> <li>(i) 3 times the Active Energy which would be produced by the Test Proposer's Plant during 1 hour of operation at the Plant's Registered Capacity; and</li> <li>(ii) 500 MWh</li> </ul>   |
| Model(s)                 | A software representation(s) of a <b>User System</b> and/or <b>Plant</b> provided to the <b>TSO</b> for the purposes of <b>Power System</b> simulation.   |
| Modification             | Any actual or proposed replacement, renovation, modification,<br>alteration or construction by or on behalf of a <b>User</b> or the <b>TSO</b><br>to either that <b>User's Plant</b> or <b>Apparatus</b> or the <b>TSO's Plant</b> or<br><b>Apparatus</b> or the <b>TAO's Plant</b> or <b>Apparatus</b> , as the case may<br>be, or the manner of its operation which has or may have a<br>material effect on a <b>User</b> or the <b>TSO</b> , as the case may be, at a<br>particular <b>Connection Site</b> . |
| Monitoring               | Monitoring carried out by the <b>TSO</b> under OC10, and " <b>Monitor</b> " shall be construed accordingly.   |
| Mvar Output              | The <b>Reactive Power</b> produced or absorbed by a <b>Generation</b><br><b>Unit</b> net of <b>Generation Unit Auxiliary Load</b>   |
| MW Dispatch Instruction  | An instruction given by the <b>TSO</b> from its <b>National Control Centre</b> to the <b>Generator's</b> approved contact person or location regarding the <b>MW Output</b> of the <b>Generation Unit</b> .   |
| MW Output                | The actual <b>Active Power</b> output in MW of a <b>Generation Unit</b> at the <b>Connection Point</b> .  |
| National Control Centre  | The <b>TSO's</b> National Control Centre, as notified by the <b>TSO</b> to the <b>Generator</b> from time to time.  |

|   | The Transmission System and the Distribution System taken  |
|---|--|
| Network   | together.  |
| Network Control                                     | Network switching and <b>Control Actions</b> that the <b>TSO</b> needs to<br>carry out in implementing the <b>Transmission Outage</b><br><b>Programme</b> , in routine operation of the <b>Transmission System</b><br>and in responding to emergency and fault situations on the<br><b>Transmission System</b> , which may from time to time affect the<br>operations of <b>Users</b> or security of supply to <b>Users</b> .  |
| NI System   | Together, the <b>Other Transmission System</b> and the distribution system in Northern Ireland.  |
| No Load Cost  | A price which forms part of <b>Commercial Offer Data</b> expressed in<br>€ or £/hour and which is invariant in the level of <b>MW Output</b><br>and which applies at all times when the level of <b>MW Output</b> is<br>greater than zero.   |
| Non-Centrally Dispatched<br>Generation Unit (NCDGU) | A Generating Unit not subject to Central Dispatch.   |
| Non-RfG Generation Unit                             | <ul> <li>A Generation Unit with a signed Connection Agreement: <ul> <li>a) Connected to the Network on or before the 30th November 2018; or</li> <li>b) Whose owner has concluded a final and binding contract for the purchase of the main Plant on or before the 30th November 2018 and provides evidence of same, as acknowledged by the TSO, on or before the 31st May 2019. Such evidence shall at least contain the contract title, its date of signature and date of entry into force, and the specifications of the main Plant to be constructed, assembled, or purchased; or</li> <li>c) Is one of the exceptions to the applicability of the RfG Generation Unit requirements and is a Generation Unit as follows:</li> <li>(i) Installed to provide back-up power and operate in parallel with the Network for less than five minutes per calendar month while the system is in normal system state; or</li> <li>(ii) No permanent Connection Point and is used by the TSO to temporarily provide power when normal system capacity is partly or completely unavailable; or</li> <li>(iii) Energy Storage Units except for Pumped Storage Plant.</li> </ul> A Non-RfG Generation Unit that undergoes modernisation, refurbishment or replacement of equipment which drives a modification to its Connection Agreement, and had concluded a final and binding contract for the purchase of the Plant being modified after the 30th November 2018 will be deemed an RfG Generation Unit, unless the Plant being modified is one of the exceptions listed in c) above.</li></ul> |

| Normal Dispatch Condition      | The condition of the <b>Generation Unit</b> at the <b>End of the Start-</b><br>up Period.  |
|--------------------------------|--|
| Notice to Synchronise          | A Dispatch Instruction given by the TSO from its National<br>Control Centre to the Generator's approved contact person or<br>location to Synchronise the Generation Unit.  |
| Off-Site Storage Location      | The site in close vicinity to the <b>Generator Site</b> where (pursuant<br>to a lease, licence or other agreement) the <b>User</b> stores stocks<br>of <b>Primary Fuel</b> and/or <b>Secondary Fuel</b> . A dedicated pipeline<br>with a dedicated pump must be in place on this site between<br>the dedicated fuel tank off-site and the <b>Generating Plant</b> . As a<br>maximum, this <b>Off-Site Storage Location</b> should be no more<br>than 6 km from the <b>Generating Plant</b> .   |
| Open Cycle Gas Turbine Unit    | A Generation Unit driven by a gas turbine other than a CCGT Installation or CCGT Unit.   |
| Open Cycle Mode                | The mode of operation of a <b>CCGT Installation</b> where only the <b>Gas Turbine Unit</b> is operational (i.e. without operation of any associated <b>Steam Turbine Units</b> ).  |
| Operating Characteristics      | The technical capabilities, flexibilities and limitations for the operation of a <b>Generation Unit</b> or <b>Demand Side Unit</b> as registered or declared in accordance with the provisions of the <b>Grid Code</b> .   |
| Operating Code (OC)            | The part of Grid Code which is identified as the Operating Code  |
| Operating Margin               | Contingency Reserve and Operational Reserve.   |
| Operating Mode                 | An <b>Operating Mode</b> of a <b>Generating Unit</b> is a pre-defined method of operating that <b>Generating Unit</b> , as agreed between the <b>TSO</b> and the <b>User</b> .   |
|                                | The additional <b>MW Output</b> required from <b>Generation Units</b> or <b>Interconnector</b> import or <b>Interconnector</b> export adjustment or  |
| Operating Reserve              | Demand reduction which must be realisable in real time<br>operation to contain and correct any potential Power System<br>Frequency deviation to an acceptable level. It will include<br>Primary Operating Reserve, Secondary Operating Reserve and<br>Tertiary Operating Reserve   |
| Operating Reserve<br>Operation | operation to contain and correct any potential <b>Power System</b><br><b>Frequency</b> deviation to an acceptable level. It will include<br><b>Primary Operating Reserve, Secondary Operating Reserve</b> and  |
|                                | operation to contain and correct any potential <b>Power System</b><br><b>Frequency</b> deviation to an acceptable level. It will include<br><b>Primary Operating Reserve, Secondary Operating Reserve</b> and<br><b>Tertiary Operating Reserve</b><br>A scheduled or planned action relating to the operation of a<br><b>System</b> (including an <b>Embedded Independent Generating</b>   |
| Operation                      | operation to contain and correct any potential <b>Power System</b><br><b>Frequency</b> deviation to an acceptable level. It will include<br><b>Primary Operating Reserve, Secondary Operating Reserve</b> and<br><b>Tertiary Operating Reserve</b><br>A scheduled or planned action relating to the operation of a<br><b>System</b> (including an <b>Embedded Independent Generating</b><br><b>Plant</b> ).<br>Management instructions and procedures, both in support of<br>the <b>Safety Rules</b> and for the local and remote operation of<br><b>Plant</b> and <b>Apparatus</b> , issued in connection with the actual<br>operation of <b>Plant</b> and/or <b>Apparatus</b> at or from a <b>Connection</b> |

| Operational Date                  | When the <b>TSO</b> is satisfied that all of the <b>Grid Code Tests</b> have<br>been carried out correctly and satisfactorily completed the <b>TSO</b><br>will as soon as is practicable notify the <b>User</b> , specifying the time<br>and date of such completion.   |
|-----------------------------------|---|
| Operational Effect                | Any effect on the operation of the relevant other system that causes the <b>Transmission System</b> or the <b>User's System</b> to operate (or be at a materially increased risk of operating) differently to the way in which they would or may have normally operated in the absence of that effect. <b>Operationally Effected</b> shall be construed accordingly.  |
| <b>Operational Planning Phase</b> | The period from 1 week to the end of the 7 <sup>th</sup> year ahead of real time  |
| Operational Tests                 | <b>Tests</b> carried out by the <b>TSO</b> in order to maintain and develop operational procedures, to train staff and to acquire information in respect of <b>Transmission System</b> behaviour under abnormal <b>System</b> conditions, and also tests carried out by other <b>Users</b> for similar purposes in respect of their <b>Plant</b> .  |
| OPTEL                             | The operational telephony system owned by <b>ESB</b> and used by the <b>TSO</b> for voice communication with <b>Users</b> .   |
| Other Grid Code                   | The code prepared pursuant to the licence to carry out electricity transmission activities granted to the <b>Other TSO</b> pursuant to Article 10(1)(b) of the <b>Electricity (Northern Ireland) Order 1992</b> in Northern Ireland, as from time to time revised in accordance with such licence.  |
| Other Relevant Data               | The data referred to in SDC1.4.4.4.   |
| Other Transmission System         | The transmission system operated by the <b>Other TSO</b> in Northern Ireland.   |
| Other TSO                         | The holder of a licence granted pursuant to Article 10(1)(b) of<br>the Electricity (Northern Ireland) Order 1992 in Northern Ireland<br>to participate in the transmission of electricity in the capacity of<br>co-ordinating and directing the flow of electricity onto and over<br>the <b>Other Transmission System</b> .   |
| Outage                            | In relation to a Generation Unit, a total or partial reduction in<br>Availability such that the Generation Unit is unavailable to<br>achieve its full Registered Capacity in accordance with its<br>Registered Operating Characteristics.<br>In relation to a Demand Side Unit, a total or partial change in<br>Availability such that the Demand Side Unit is unavailable to<br>achieve its full Demand Side Unit MW Capacity in accordance<br>with its submitted Technical Parameters.<br>In relation to an Interconnector, a total or partial reduction in<br>Availability such that the Interconnector is unavailable to<br>achieve its full Interconnector Registered Capacity in<br>accordance with its Registered Operating Characteristics. |
| Outturn Availability              | The set of Availability data for the relevant CDGU, Controllable<br>PPM, Aggregated Generating Unit, Pumped Storage Plant<br>Demand or Demand Side Unit as declared pursuant to SDC1.4<br>and submitted by the TSO to SEM after the end of the Trading<br>Day.  |

| Outturn Availability Connection<br>Asset      | Any equipment that is part of the <b>Transmission System</b> between and including the <b>Connection Point</b> and the busbar clamps at the <b>Meshed Transmission Station</b> for which the <b>TSO</b> Schedules outages.  |
|---|---|
| Partial Shutdown                              | The situation existing when all generation has ceased in part of the <b>Power System</b> and there is no electricity supply from <b>External Interconnection</b> or any other part of the <b>System</b> .   |
| Phase Voltage                                 | Voltage measured between the line and System neutral.   |
| Physical Notifications                        | A declaration submitted by certain <b>Users</b> in accordance with SDC1.4.4.6 and the <b>TSC</b> indicating expected <b>MW Output</b> profile or <b>Active Power Demand</b> profile based on trading in day ahead and intraday markets.   |
| Planned Rota Load Shedding                    | Planned <b>De-Energisation</b> of <b>Customers</b> on a rota basis where there is a significant shortfall of <b>Generation</b> required to meet the <b>Total Demand</b> for a protracted period.  |
| Planning Code                                 | That part of Grid Code which is identified as the Planning Code   |
| Plant   | Fixed and movable items used in the generation and/or consumption of and/or supply and/or transmission of electricity other than <b>Apparatus</b> .   |
| Post Control Phase                            | The days following the <b>Control Phase</b>   |
| Post Event Notice                             | A notice issued by the <b>TSO</b> in accordance with OC10   |
| Power Factor                                  | The ratio of Active Power to Apparent Power.  |
| Power Park Module (PPM)                       | <ul> <li>A Generation Unit or ensemble of Generation Units generating electricity which;</li> <li>Is connected to the Network non-synchronously or through power electronics,</li> <li>Has a single Connection Point onshore to a Transmission System, Distribution System or HVDC System.</li> </ul> |
| Power Quality                                 | Target conditions for power quality and the variation in power quality that can be expected at <b>Grid Connection Points.</b>   |
| Power Station                                 | An installation consisting of Generation Unit(s).   |
| Power System                                  | The <b>Transmission System</b> and all <b>User System's</b> within the Republic of Ireland.   |
| Power System Restoration                      | The restoration of the <b>Power System</b> or part of the <b>Power</b><br><b>System</b> to a state of normal operation from a state of <b>Total</b><br><b>Shutdown</b> or <b>Partial Shutdown</b> as the context requires.  |
| Power System Restoration Plan                 | A plan, prepared and maintained by the <b>TSO</b> pursuant to OC9 setting out guidelines assisting those involved in <b>Power System Restoration</b> to achieve <b>Power System Restoration</b> as safely and as quickly as possible.   |
|   | Device that injects a supplementary signal into the AVR (Automatic Voltage Regulator) in order to improve Power   |
| Power System Stabiliser                       | System damping.   |
| Power System Stabiliser<br>PPM Control System |   |

|                                   | PPM.  |
|-----------------------------------|---|
| Pre-Incident Frequency            | The value is the average <b>Transmission System Frequency</b> between 60 and 30 seconds prior to the occurrence of a significant <b>Frequency</b> disturbance.  |
| Preliminary Project Planning Data | Data relating to a proposed User Development at the time the User applies for a Connection and Use of System Agreement and/or a supplemental Agreement but before an offer is made and accepted.  |
| Price Quantity Pairs              | <b>Prices</b> and their respective quantity ranges for <b>Generating</b><br><b>Units, Demand Side Units</b> and <b>Aggregated Generating Units</b> as<br>part of <b>Commercial Offer Data</b> indicating the price of<br>dispatching away from the relevant <b>Physical Notifications</b><br>profile.   |
| Price Sets                        | The <b>Price Quantity Pairs</b> , <b>Start-up Costs</b> , <b>Shutdown Costs</b> and <b>No Load Costs</b> submitted by a <b>User</b> under SDC1.   |
| Primary Frequency Control         | <ul> <li>Primary Frequency Control takes place in the period of up to 30 seconds after a change in Frequency and is achieved by automatic corrective responses to Frequency deviations occurring on the Transmission System. This automatic correction arises from: <ul> <li>(a) natural frequency demand relief of motor load;</li> <li>(b) automatic MW output adjustment of Generation Units initiated by Governor Droop or other responses including peaking of Combustion Turbine Units, condensate stop or frequency triggered response of pumped storage units; and</li> <li>(c) automatic load shedding.</li> </ul> </li> </ul>                               |
| Primary Fuel                      | The fuel or fuels registered in accordance with the <b>Grid Code</b><br>as the principal fuel(s) authorised for <b>Energy</b> production by<br>the <b>Generation Unit</b>   |
| Primary Fuel Switch Over Output   | The <b>MW Output</b> , not lower than <b>Minimum Load</b> at which a <b>Generation Unit</b> can achieve a switch over from <b>Primary Fuel</b> to <b>Secondary Fuel</b> .   |
| Primary Operating Reserve (POR)   | The additional <b>MW Output</b> (and/or reduction in <b>Demand</b> )<br>required at the <b>Frequency</b> nadir (minimum), compared to the<br>pre-incident output (or <b>Demand</b> ), which is fully available and<br>sustainable between 5 seconds and 15 seconds after an <b>Event</b><br>and where the nadir occurs between 5 and 15 seconds after the<br><b>Event</b> . If the actual <b>Frequency</b> nadir occurs less than 5 seconds<br>or more than 15 seconds after the <b>Event</b> , then for the purpose<br>of <b>POR</b> monitoring the nadir is deemed to be the lowest<br><b>Frequency</b> which occurred between 5 and 15 seconds after the<br>event. |
| Priority Customers                | <ul> <li>Customers which are either:</li> <li>exempt from load shedding under the rota load shedding scheme or</li> <li>exempt from load shedding under the technical under-frequency load shedding scheme or</li> <li>prioritised for supply under the technical under-frequency load shedding scheme.</li> </ul>  |

| Priority Dispatch            | The <b>Dispatch</b> given priority as afforded under governing   |
|------------------------------|--|
| Programming Phase            | legislation in either jurisdiction.The period between <b>Operational Planning Phase</b> and the <b>Control Phase</b> . It starts at the 1 week ahead stage and finisheswith the issue of the <b>Generation Schedule</b> for the day ahead  |
| Provisional Outage Programme | An <b>Outage</b> programme of the <b>Generator's Generation Units</b><br>and of <b>Interconnectors</b> , as prepared by the <b>TSO</b> pursuant to<br>OC2 and covering years 2-3 ahead.  |
| Provisional Running Orders   | A statement prepared and issued by the <b>TSO</b> to the <b>Generator</b> pursuant to SDC1, which indicates for each <b>Generation Unit</b> owned or controlled by the <b>Generator</b> , the expected load pattern, the required fuel or fuels and <b>Synchronising</b> and <b>De-Synchronising</b> times for the following day.  |
| Prudent Utility Practice     | Those standards, practices, methods and procedures<br>conforming to safety and legal requirements which are<br>attained by exercising that degree of skill, diligence, prudence<br>and foresight which would reasonably and ordinarily be<br>expected from skilled and experienced operatives engaged in<br>the same type of undertaking under the same or similar<br>circumstances.   |
| Pumped Storage Generation    | A <b>Pumped Storage Plant</b> in its operation of producing <b>Energy</b> by releasing water from an upper reservoir.  |
| Pumped Storage Generator     | A Generator which owns and/or operates any Pumped<br>Storage Plant.  |
| Pumped Storage Mode          | A mode of operation of a <b>Pumped Storage Unit</b> including  |
| Pumped Storage Plant         | A <b>Generation Plant</b> that produces <b>Active Energy</b> using water from an upper reservoir and takes energy by pumping water up to the same reservoir.   |
| Pumped Storage Plant Demand  | A <b>Pumped Storage Plant</b> in its operation of consuming <b>Energy</b> by pumping water to an upper reservoir.  |
| Pumped Storage Unit          | A Generation Unit within a Pumped Storage Plant.   |
| Ramp Down Break Point        | The MW level at which the <b>Ramp Down Rate</b> changes. There may be circumstances where more than one parameter applies and this is indicated by adding a number at the end of the parameter.  |
| Ramp Down Rate               | The maximum rate of decrease in a <b>Generating Unit's Output</b><br>after the <b>End Of Start-up Period</b> . The <b>Ramp Down Rate</b> applies<br>over the output range from its <b>Registered Capacity</b> to<br><b>Minimum Generation</b> . The rate of change is not dependent<br>upon the initial <b>Warmth</b> of the plant but may depend on the<br><b>MW Output</b> . There may be circumstances where more than<br>one parameter applies and this is indicated by adding a |
|                              | number at the end of the parameter.  |

| Ramp Up Rate                            | The maximum rate of increase in a <b>Generating Unit's Output</b><br>after the <b>End Of Start-up Period</b> . This rate of increase continues<br>until the <b>Generating Unit</b> reaches the level of output instructed<br>by the control room operator of its <b>Registered Capacity</b> . The<br>rate of increase is not dependent upon the initial <b>Warmth</b> of the<br>plant but may depend on the <b>MW Output</b> . There may be<br>circumstances where more than one parameter applies and this<br>is indicated by adding a number at the end of the parameter. |
|---|---|
| Ramp-down Capability                    | The rate of decrease in a <b>Generation Unit' Output</b> after the <b>End Of Start-up Period. Ramp-down Capabilities</b> apply over the output range from its <b>Registered Capacity</b> to <b>Minimum generation</b> . The rate of change is not dependent upon the initial warmth of the plant but may depend on the <b>MW Output.</b>  |
| Ramp-up Capability                      | The rate of increase in a <b>Generation Unit' Output</b> after the <b>End Of Start-up Period</b> . This rate of increase continues until the <b>Generation Unit</b> reaches the level of output instructed by the control room operator or its <b>Registered Capacity</b> . Following the <b>End Of Start-up Period</b> , the rate of increase is not dependent upon the initial warmth of the plant but may depend on the <b>MW Output</b> .   |
| Rate of Change of Frequency<br>(ROCOF)  | The rate of increase or decrease of <b>Frequency</b> as measured at the <b>User's Connection Point</b> over the time period as set out in CC.7.3.1.1(d) and PPM1.5.1(d).  |
| Reactive Power                          | Means the product of voltage and current and the sine of the<br>phase angle between them measured in units of volt-amperes<br>reactive and standard multiples thereof.  |
| Red Alert                               | An <b>Alert</b> issued by the <b>TSO</b> to the <b>User</b> in the circumstances set out in OC9   |
| Re-declaration                          | Notification to the <b>TSO</b> by the <b>User</b> of any revisions to data, pursuant to SDC1.4.5.   |
| Registered Capacity                     | The maximum <b>Capacity</b> , expressed in whole MW, that a <b>Generation Unit</b> can deliver on a sustained basis, without accelerated loss of equipment life, at the <b>Connection Point</b> which is under the dispatch (or control of a <b>Controllable PPM</b> ) of the <b>TSO</b> . This shall be the value at 10°C, 70 % relative humidity and 1013 hPa. The values of an <b>Interconnector's Operating Characteristics</b> for operation of the <b>Interconnector</b> pursuant to the <b>Grid Code</b> registered under the <b>Connection Conditions</b> .         |
| Registered Data                         | Those items of <b>Standard Planning Data</b> and <b>Detailed Planning</b><br><b>Data</b> that upon connection become fixed (subject to any<br>subsequent changes).  |
| Registered Fuel                         | The fuel(s) registered under the <b>Planning Code</b> of the <b>Grid Code</b>   |
| Registered Operating<br>Characteristics | The values of a <b>Generation Unit's Operating Characteristics</b> for operation of the <b>Generation Unit</b> pursuant to the <b>Grid Code</b> registered under the <b>Connection Conditions</b> .   |

|                              | The values of an Interconnector's Operating Characteristics for   |
|------------------------------|---|
|                              | operation of the <b>Interconnector</b> pursuant to the <b>Grid Code</b>   |
|                              | registered under the <b>Connection Conditions</b> .   |
|                              | The margin of generating <b>Capacity</b> that is <b>Synchronised</b> over   |
| Regulating Margin            | Demand which is required in order to maintain Frequency<br>Control.   |
| Regulatory Authorities       | Each Regulatory Authority taken together.   |
| Regulatory Authority         | The authority appointed under legislation to regulate the electricity industry in the respective jurisdiction. In the Republic of Ireland it is the <b>CRU</b> and in Northern Ireland it is NIAUR (Northern Ireland Authority for Utility Regulation).   |
| Remote Terminal Unit (RTU)   | A device that collects, codes and transmits data. An <b>RTU</b> collects information from a master device and implements processes that are directed by that master. <b>RTUs</b> are equipped with input channels for sensing or metering, output channels for control, indication or alarms and a communications port.   |
|                              | Any <b>Plant</b> and <b>Apparatus</b> or meters owned by the <b>TAO</b> which:  |
| Remote Transmission Assets   | <ul> <li>a) are Embedded in a User System and which are not directly connected by Plant and/or Apparatus owned by the TAO to a sub-station owned by the TAO; and</li> <li>b) are by agreement between the TAO and such User operated under the direction and control of such User.</li> </ul>   |
|                              | Replacement Reserve is the additional MW Output (and/or   |
| Replacement Reserve          | reduction in <b>Demand</b> ) required compared to the pre-incident<br>output (or <b>Demand</b> ) which is fully available and sustainable over<br>the period from 20 minutes to 4 hours following an <b>Event</b> .   |
| Reserve Characteristics      | The MW level of reserve available at any given MW <b>Output</b> of a <b>CDGU</b> or <b>Interconnector</b> as set out in the available <b>Ancillary Service Agreement</b> .  |
| Resource Following Mode      | A mode of operation of a <b>Controllable PPM</b> where the system frequency is within normal range and the <b>Controllable PPM</b> is not under <b>Active Power Control</b> by the <b>TSO</b> , allowing the <b>Controllable PPM</b> to produce up to 100% of its <b>Available Active Power</b> , depending on the Power-Frequency Curve in operation. When operating on Power-Frequency Curve 2, the <b>Controllable PPM</b> is required to maintain its <b>Active Power</b> output at a fixed percentage of its <b>Available Active Power</b> when <b>Transmission System Frequency</b> is within the range $F_{B^-}$ |
| Resource Following Ramp Rate | The maximum rate of increase of <b>Active Power</b> output of a <b>Controllable PPM</b> in response to an increase in resource availability.  |
| Responsible Manager          | A manager who has been duly authorised by a <b>User</b> or the <b>TSO</b> to deal with issues including matters related to the <b>Grid Code</b> on behalf of that <b>User</b> or the <b>TSO</b> , as the case may be.   |
| Responsible Operator         | A person nominated by a <b>User</b> to be responsible for control of <b>Plant</b> and <b>Apparatus</b> related to the <b>User's System</b>  |
| DiC Conception Unit          | A Generation Unit that is not a Non-RfG Generation Unit.  |
| RfG Generation Unit          |   |

| Rise Time         PPM, it is the length of time from Fault Inception for reactive<br>current to reach 90% of its steady-state value.           Rota Load Shedding Plan         A plan that provides for disconnection and reconnection of<br>defined blocks of demand on instruction from the TSO           Safety Rules         ESB Networks Electrical Safety Rules, ESB Power Generation<br>Helectrical Safety Rules or the rules of a User, compliance with<br>which ensures that persons working on Plant and/or Apparatus<br>to which the rules apply are safeguarded from hazards arising<br>from the System.           Scheduled Operational Date         Has the meaning set out in the Connection Agreement.           Scheduled Outage         In relation to an Interconnector, a person nominated to provide<br>Interconnector Schedule Quantities to the TSO.           Scheduling and Dispatch Code (SDC)         The parts of the Grid Code which specify the scheduling and<br>Dispatch process.           Secondary Frequency Control         Secondary Frequency Control takes place in the time scale from<br>Secondary Frequency Control           Secondary Frequency Control         The parts of the Grid Code which specify the scheduling and<br>Dispatch process.           Secondary Frequency Control         The parts of the Grid Code which specify the schedule of<br>a contribution from automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Units;<br>(c) manual action by Generation Units;<br>(b) manual action by Generation Units;<br>(c) manual action by Generation Units;<br>(c) manual action by the Generation Units;<br>(c) manual action by the Generation Units;<br>(c) maula action by the Generation Units;<br>(c) maula action by the Generation Unit |                                    | In relation to reactive surrent response from Controllable  |
|--|------------------------------------|---|
| Rota Load Shedding Plan         defined blocks of demand on instruction from the TSO           Safety Rules         ESB Networks Electrical Safety Rules, ESB Power Generation<br>Electrical Safety Rules or the rules of a User, compliance with<br>which ensures that persons working on Plant and/or Apparatus<br>to which the rules apply are safeguarded from hazards arising<br>from the System.           Scheduled Operational Date         Has the meaning set out in the Connection Agreement.           Scheduling Agent         In relation to an Interconnector, a person nominated to provide<br>Interconnector Schedule Quattites to the TSO.           Scheduling and Dispatch Code (SDC)         The parts of the Grid Code which specify the scheduling and<br>Dispatch process.           Secondary Frequency Control         Secondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Units in response to<br>Dispatch Instructions issued by the TSO in accordance<br>with SDC2.           Secondary Fuel         The fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Units.           Secondary Fuel Switchover Output         The MW Output, not lower than Minimum Load at which a<br>Generation Unit can achieve a switch over from Secondary<br>Fuel to Primary Fuel.           Secondary Operating Reserve (SOR)         The additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the tim       | Rise Time                          | current to reach 90% of its steady-state value.   |
| Safety RulesElectrical Safety Rules or the rules of a User, compliance with<br>which the nsures that persons working on Plant and/or Apparatus<br>to which the rules apply are safeguarded from hazards arising<br>from the System.Scheduled Operational DateHas the meaning set out in the Connection Agreement.Scheduled OutageAny Fixed Outage, Flexible Outage or Short Term Scheduled<br>Outage.Scheduling AgentIn relation to an Interconnector, a person nominated to provide<br>Interconnector Schedule Quantities to the TSO.Scheduling and Dispatch Code (SDC)The parts of the Grid Code which specify the scheduling and<br>Dispatch process.Secondary Frequency ControlSecondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic and manual actions.<br>These include:<br>(a) a contribution from automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Units in response to<br>Dispatch Instructions issued by the TSO in accordance<br>with SDC2.Secondary FuelThe fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.Secondary Fuel Switchover OutputThe MW output, not lower than Minimum Load at which a<br>Generation Unit.Secondary Operating Reserve (SOR)The WW output, not lower than Minimum Load at which a<br>Generation Unit.Secondary Operating Reserve (SOR)In order to support the efficient running of the SEM, certain<br>sections of the Grid Code are under common governance.Sections Under Common<br>GovernanceIn order to support the efficient running of the SEM, certain  | Rota Load Shedding Plan            |   |
| Scheduled Outage         Any Fixed Outage, Flexible Outage or Short Term Scheduled<br>Outage.           Scheduling Agent         In relation to an Interconnector, a person nominated to provide<br>Interconnector Schedule Quantities to the TSO.           Scheduling and Dispatch Code (SDC)         The parts of the Grid Code which specify the scheduling and<br>Dispatch process.           Secondary Frequency Control         Secondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Units in response to<br>Dispatch Instructions issued by the TSO in accordance<br>with SDC2.           Secondary Fuel         The fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.           Secondary Fuel Switchover Output         The Additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the time of the start<br>of the Frequency fall and sustainable up to 90 seconds following<br>an Event.           Sections Under Common<br>Governance         In order to support the efficient running of the SEM, certain  | Safety Rules                       | <b>Electrical Safety Rules</b> or the rules of a <b>User</b> , compliance with which ensures that persons working on <b>Plant</b> and/or <b>Apparatus</b> to which the rules apply are safeguarded from hazards arising   |
| Scheduled Outage         Outage.           Scheduling Agent         In relation to an Interconnector, a person nominated to provide<br>Interconnector Schedule Quantities to the TSO.           Scheduling and Dispatch Code (SDC)         The parts of the Grid Code which specify the scheduling and<br>Dispatch process.           Secondary Frequency Control         Secondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic and manual actions.<br>These include: <ul> <li>(a) a contribution from automatic governor action and<br/>other control systems on Generation Units;</li> <li>(b) manual action by Generation Units in response to<br/>Dispatch Instructions issued by the TSO in accordance<br/>with SDC2.</li> </ul> Secondary Fuel         The fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.           Secondary Fuel         The MW output, not lower than Minimum Load at which a<br>Generation Unit can achieve a switch over from Secondary<br>Fuel to Primary Fuel.           Secondary Operating Reserve (SOR)         The additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the time of the start<br>of the Frequency fall and sustainable up to 90 seconds following<br>an Event.           Sections Under Common<br>Governance         In order to support the efficient running of the SEM, certain<br>sections of the Grid Code are under common governance.   | Scheduled Operational Date         | Has the meaning set out in the <b>Connection Agreement.</b>   |
| Scheduling AgentInterconnector Schedule Quantities to the TSO.Scheduling and Dispatch Code (SDC)The parts of the Grid Code which specify the scheduling and<br>Dispatch process.Secondary Frequency ControlSecondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic and manual actions.<br>These include:<br>(a) a contribution from automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Unit operators altering<br>the MW Output of Generation Units in response to<br>Dispatch Instructions issued by the TSO in accordance<br>with SDC2.Secondary FuelThe fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.Secondary Fuel Switchover OutputThe MW output, not lower than Minimum Load at which a<br>Generation Unit can achieve a switch over from Secondary<br>Fuel to Primary Fuel.Secondary Operating Reserve (SOR)The additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the time of the start<br>of the Frequency fall and sustainable up to 90 seconds following<br>an Event.Sections Under Common<br>GovernanceIn order to support the efficient running of the SEM, certain<br>sections of the Grid Code are under common governance.<br>Modifications and derogations to these sections of the Grid<br>Code will effectively require agreement and direction from both   | Scheduled Outage                   |   |
| Scheduling and Dispatch Code (SDC)Dispatch process.Dispatch process.Secondary Frequency Control takes place in the time scale from<br>5 seconds up to 10 minutes after the change in Frequency. It is<br>provided by a combination of automatic and manual actions.<br>These include:<br>(a) a contribution from automatic governor action and<br>other control systems on Generation Units;<br>(b) manual action by Generation Unit operators altering<br>the MW Output of Generation Units in response to<br>Dispatch Instructions issued by the TSO in accordance<br>with SDC2.Secondary FuelThe fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.Secondary FuelThe fuel or fuels registered in accordance with the Grid Code<br>as the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.Secondary Fuel Switchover OutputThe MW output, not lower than Minimum Load at which a<br>Generation Unit can achieve a switch over from Secondary<br>Fuel to Primary Fuel.Secondary Operating Reserve (SOR)The additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the time of the start<br>of the Frequency fall and sustainable up to 90 seconds following<br>an Event.Sections Under Common<br>GovernanceIn order to support the efficient running of the SEM, certain<br>sections of the Grid Code are under common governance.  | Scheduling Agent                   | Interconnector Schedule Quantities to the TSO.  |
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| Secondary Fuelas the secondary or back-up fuel(s) authorised for Energy<br>production by the Generation Unit.Secondary Fuel Switchover OutputThe MW output, not lower than Minimum Load at which a<br>Generation Unit can achieve a switch over from Secondary<br>Fuel to Primary Fuel.Secondary Operating Reserve (SOR)The additional MW Output (and/or reduction in Demand)<br>required compared to the pre-incident output (or Demand),<br>which is fully available by 15 seconds from the time of the start<br>of the Frequency fall and sustainable up to 90 seconds following<br>an Event.Sections Under Common<br>GovernanceIn order to support the efficient running of the SEM, certain<br>sections and derogations to these sections of the Grid<br>Code will effectively require agreement and direction from both  | Secondary Frequency Control        | <ul> <li>5 seconds up to 10 minutes after the change in Frequency. It is provided by a combination of automatic and manual actions. These include:</li> <li>(a) a contribution from automatic governor action and other control systems on Generation Units;</li> <li>(b) manual action by Generation Unit operators altering the MW Output of Generation Units in response to Dispatch Instructions issued by the TSO in accordance</li> </ul> |
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| Sections Under Commonsections of the Grid Code are under common governance.Sections Under CommonModifications and derogations to these sections of the GridGovernanceCode will effectively require agreement and direction from both   | Secondary Operating Reserve (SOR)  | required compared to the pre-incident output (or <b>Demand</b> ), which is fully available by 15 seconds from the time of the start of the <b>Frequency</b> fall and sustainable up to 90 seconds following an <b>Event</b> .   |
| Common Governance.   |                                    | sections of the <b>Grid Code</b> are under common governance.<br>Modifications and derogations to these sections of the <b>Grid</b><br><b>Code</b> will effectively require agreement and direction from both<br><b>Regulatory Authorities.</b> SDC1 and SDC2 are the <b>Sections Under</b>   |
| Settlement Day The period from 0000 to 2400 hours in each day.   |                                    | The period from 0000 to 2400 hours in each day.   |

|                                    | In relation to reactive current response from <b>Controllable PPM</b> ,   |
|------------------------------------|---|
| Settling Time                      | it is the length of time from Fault Inception for reactive current  |
|                                    | to settle within +/-10% of its steady-state value.  |
|                                    | The <b>Synchronised</b> operation of <b>Generation Unit(s)</b> to the   |
|                                    | <b>Distribution System</b> at an <b>Individual Demand Site</b> of a   |
| Shaving Mode                       | <b>Demand Side Unit</b> where the <b>Generation Unit(s)</b> supplies part   |
|                                    | of, or, the <b>DSO Demand Customer's</b> entire <b>Load</b> . Normally the <b>Generation Unit(s)</b> would operate for 2 hours per day as |
|                                    | agreed with the <b>DSO</b> .  |
| Short Notice Re-declaration        | A <b>Re-declaration</b> where changes apply to values relating to   |
|                                    | <b>Imbalance Settlement Periods</b> occurring within 4 hours of   |
|                                    | receipt by the <b>TSO</b> of the <b>Re-declaration</b> .  |
|                                    | The capability of a Generating Unit to deliver, for a limited   |
| Short-Term Maximisation Capability | duration of time, MW Output greater than its Registered   |
|                                    | Capacity.   |
| Short-Term Maximisation Time       | The time that the Short-Term Maximisation Capability could  |
|                                    | be maintained.  |
| Shutdown                           | The condition of a <b>Generation Unit</b> where the generator rotor   |
|                                    | is at rest or on barring.   |
| Shutdown Costs                     | The costs associated with shutting down a <b>Demand Side Unit.</b>  |
|                                    | Events which have had or might have had or might have an  |
| Significant System Incident (SSI)  | operational effect on the Transmission System or a User's   |
|                                    | System.   |
|                                    | An <b>Operational Test</b> with a total duration of equal to or   |
|                                    | greater than 6 hours, or where the <b>Active Energy</b> produced during the total duration of the test is equal to or greater than:       |
|                                    | (i) 3 times the <b>Active Energy</b> which would be   |
| Significant Test                   | produced by the <b>Test Proposer's Plant</b> during 1   |
|                                    | hour of operation at the Plant's Registered   |
|                                    | Capacity; or  |
|                                    | (ii) 500 MWh;   |
|                                    | A tap change implemented on the generator step-up   |
|                                    | transformers of CDGUs, effected by Generators in response to  |
|                                    | a <b>Dispatch Instruction</b> from the <b>TSO</b> issued simultaneously to  |
| Simultaneous Tap Change            | the relevant <b>Power Stations</b> . The <b>Dispatch Instruction</b> , which  |
|                                    | is normally preceded by advance warning, must be effected within 1 minute of receipt from the <b>TSO</b> of the <b>Dispatch</b>           |
|                                    | Instruction.  |
|                                    | The wholesale all-island single electricity market established and  |
| Single Electricity Market (SEM)    | governed pursuant to the relevant legislation and the <b>TSC</b> .  |
| Site                               | A <b>TSO Site</b> , <b>TAO Site</b> or <b>User Site</b> , as the case may be.   |
|                                    | (i) Generators with Registered Capacity of 2MW or less (on a  |
|                                    | single <b>Site</b> ); and   |
|                                    | (ii) Generators with Registered Capacity less than 5MW (on a  |
| Small Scale Generators             | single <b>Site</b> ) and greater than 2MW (on a site basis) where   |
|                                    | the <b>TSO</b> consider that the <b>Generator</b> is in a location that   |
|                                    | does not make its operation particularly critical to the operation of the <b>Transmission System</b> .                                    |
|                                    | COORDINATION OF THE LEGACING SUCTOR   |

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|------------------------------|--|
| Soak Time Cold               | The duration of time for which the <b>Generating Unit</b> must<br>remain at the <b>Soak Time Trigger Point Cold</b> during a <b>Cold</b><br><b>Start</b> . There may be circumstances where more than one<br>parameter applies and this is indicated by adding a number at<br>the end of the parameter.  |
| Soak Time Hot                | The duration of time for which the <b>Generating Unit</b> must<br>remain at the <b>Soak Time Trigger Point Hot</b> during a <b>Hot Start</b><br>There may be circumstances where more than one parameter<br>applies and this is indicated by adding a number at the end of<br>the parameter.   |
| Soak Time Trigger Point Cold | A constant <b>MW</b> level at which a <b>Generating Unit</b> must remain<br>while loading up between <b>Block Load</b> and <b>Minimum</b><br><b>Generation</b> after a <b>Cold Start</b> . There may be circumstances<br>where more than one parameter applies and this is indicated<br>by adding a number at the end of the parameter.  |
| Soak Time Trigger Point Hot  | A constant <b>MW</b> level at which a <b>Generating Unit</b> must remain<br>while loading up between <b>Block Load</b> and <b>Minimum</b><br><b>Generation</b> after a <b>Hot Start</b> . There may be circumstances<br>where more than one parameter applies and this is indicated<br>by adding a number at the end of the parameter.   |
| Soak Time Trigger Point Warm | A constant <b>MW</b> level at which a <b>Generating Unit</b> must remain<br>while loading up between <b>Block Load</b> and <b>Minimum</b><br><b>Generation</b> after a <b>Warm Start</b> . There may be circumstances<br>where more than one parameter applies and this is indicated<br>by adding a number at the end of the parameter.  |
| Soak Time Warm               | The duration of time for which the <b>Generating Unit</b> must<br>remain at that <b>Soak Time Trigger Point Warm</b> during a <b>Warm</b><br><b>Start</b> . There may be circumstances where more than one<br>parameter applies and this is indicated by adding a number at<br>the end of the parameter.   |
| Special Action               | Those actions referred to in SDC2.4.3.   |
| Special Protection Scheme    | A control or protection scheme to facilitate <b>System</b> operation<br>by the intertripping of circuit breakers or other <b>Control</b><br><b>Actions</b> .   |
| Spin Generation              | A mode of operation of a <b>Pumped Storage Unit</b> where it is spinning in air in the same direction as it would if it was generating <b>Active Power</b>   |
| Spin Pump                    | A mode of operation of a <b>Pumped Storage Unit</b> which is intermediate between the <b>Unit</b> being at standstill and pumping.   |
| Stable/Stability             | A <b>Generation Unit</b> is adjudged to be stable if the various machine states and variables, including but not limited to rotor angle, active power output, and reactive power output, do not exhibit persistent or poorly damped oscillatory behaviour, when the <b>Generation Unit</b> is subjected to a <b>Fault Disturbance</b> or other transient event on the <b>Transmission System</b> . |
| Standard Planning Data       | The general data required by the <b>TSO</b> under the <b>PC</b> . It is generally also the data that the <b>TSO</b> requires from a new <b>User</b> in applications for <b>Connection</b> and <b>Use of System Agreements</b> .  |

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| Standby Mode                  | The operation of Generation Unit(s) at an Individual Demand<br>Site of a Demand Side Unit where the Generation Unit(s)<br>supplies the Demand Customer's or DSO Demand Customer's<br>Load while not Synchronised to the Transmission System or<br>Distribution System. The Generation Unit(s) is(are) never<br>Synchronised to the Transmission System or Distribution<br>System.  |
| Standing Technical Offer Data | Technical offer data provided on registration to the <b>TSC</b> , and updated in accordance with the <b>TSC</b> , by a <b>User</b> of each of its <b>Units</b> in accordance with the <b>TSC</b> . For <b>CDGUs</b> with a <b>Registered Capacity</b> of 10 MW or less, this data shall be advised directly to the <b>TSO</b> .  |
| Start of Restricted Range     | The start point in MW of a <b>Forbidden Zone</b> . There may be circumstances where more than one parameter applies and this is indicated by adding a number at the end of the parameter.  |
| Start-Up                      | The action of bringing a Generation Unit from Shutdown to Synchronous Speed.   |
| Start-Up Cost                 | The costs associated with <b>Start-Ups</b> .   |
| Station Board                 | A switchboard through which electrical power is supplied to<br>the Auxiliaries of a Power Station, and which is supplied by a<br>Station Transformer. It may be interconnected with a Unit<br>Board.   |
| Station Transformer           | A transformer supplying electrical power to the <b>Auxiliaries</b> of a <b>Power Station</b> , which is not directly connected to the <b>Generating Unit</b> terminals.  |
| Steam Unit                    | A <b>Generation Unit</b> whose prime mover converts the heat-<br>energy in steam to mechanical energy.   |
| Step Change                   | A step change is defined as a single, rapid change of the RMS voltage. <b>Transmission System</b> step changes can occur due to switching in and out of capacitors, lines, cables, transformers and other plant.   |
| Substitute Reserve            | The additional MW output (and/or reduction in <b>Demand</b> ) required compared to the pre-incident output (or <b>Demand</b> ) which is fully available and sustainable over the period from 4 hours to 24 hours following an <b>Event</b> .   |
| Supplier                      | The holder of a <b>Supply Licence</b> .  |
| Supply                        | The process of delivering electrical energy by a <b>Supplier</b> ; also, the amount of electric energy delivered, usually expressed in megawatthours (MWh).  |
| Synchronise                   | The condition where an incoming <b>Generation Unit</b> or system is<br>connected to another <b>System</b> so that the frequencies and phase<br>relationships of that <b>Generation Unit</b> or <b>System</b> , as the case<br>may be, and the <b>System</b> to which it is connected are identical<br>and the terms " <b>Synchronise</b> ", " <b>Synchronising</b> " and<br>" <b>Synchronisation</b> " shall be construed accordingly. |
| Synchronous Compensation      | The operation of rotating synchronous <b>Apparatus</b> for the specific purpose of either the <b>Generation</b> or absorption of <b>Reactive Power</b> .   |

|                                   | The time taken to bring a Concreting Unit to a Sumehreniand  |
|-----------------------------------|--|
| Synchronous Start-Up Time Cold    | The time taken to bring a <b>Generating Unit</b> to a <b>Synchronised</b> state from a <b>Cold</b> ( <b>De-Synchronised</b> ) state.   |
| Synchronous Start-Up Time Hot     | The time taken to bring a <b>Generating Unit</b> to a <b>Synchronised</b> state from a <b>Hot</b> ( <b>De-Synchronised</b> ) state.  |
| Synchronous Start-Up Time Warm    | The time taken to bring a <b>Generating Unit</b> to a <b>Synchronised</b> state from a <b>Warm (De-Synchronised)</b> state.  |
| System                            | Any User System and/or the Transmission System as the case may be.   |
| System Capacity Shortfall Warning | A warning issued by the <b>TSO</b> if, the <b>Availability</b> forecast and <b>Demand</b> forecast indicate that there will be a deficit in any week,  |
| System Emergency Condition        | <ul> <li>A Partial Shutdown or Total Shutdown or any other physical or operational condition and/or occurrence on the Power System which, in the TSO's opinion, is         <ul> <li>(i) imminently likely to endanger or is endangering life or property; or</li> <li>(ii) is imminently likely to impair or is impairing:                 <ul> <li>(a) the TSO's ability to discharge any statutory, regulatory or other legal obligation and/or</li> <li>(b) the safety and/or reliability of the Power System.</li> </ul> </li> </ul> </li> </ul> |
| System Model                      | A software representation of the <b>Transmission System</b><br>developed and maintained by the <b>TSO</b> for the purposes of<br><b>Power System</b> simulation. The <b>System Model</b> contains all data<br>relating to the <b>Transmission System</b> network, <b>User Registered</b><br><b>Operating Characteristics</b> and <b>User Models</b> .  |
| System Planning                   | The process by which the performance of the <b>System</b> is evaluated and future changes and additions to the <b>System</b> are determined.   |
| System Planning Data              | Data that must be submitted at regular periods by all <b>Users</b> , or other such data or information as requested by the <b>TSO</b> under <b>PC.6</b>  |
| System Services                   | Services which are required for <b>System</b> reasons and which<br>include those which must be provided by <b>Users</b> in accordance<br>with the <b>Connection Conditions</b> and those which must be<br>provided by a <b>User</b> if the <b>User</b> has agreed to provide them<br>under supplemental agreements   |
| System Support Agreement          | A bilateral agreement between the <b>TSO</b> and a <b>User</b> for services which are required for <b>System</b> reasons and which exclude those which must be provided by <b>Users</b> in accordance with the <b>Connection Conditions.</b>   |
| System Support Services           | Those services defined as <b>System Support Services</b> in Condition 1 of the <b>TSO Licence</b> granted to the <b>Other TSO</b> .  |
| System Test                       | <b>Tests</b> which involve simulating conditions, or the controlled application of irregular, unusual or extreme conditions, on the <b>System</b> , or any part of the <b>System</b> , but which do not include <b>Commissioning</b> or recommissioning tests or any other tests of a minor nature.  |

| Target Charge Level Percentage    | As defined in the <b>TSC</b> .  |
|-----------------------------------|---|
| Target Charge Levels              | Part of the <b>Commercial Offer Data</b> for an <b>Energy Storage Power</b><br><b>Station Generator</b> and means the target level of the storage for<br>the end of the <b>Trading Day</b> .  |
| Target Frequency                  | That <b>Frequency</b> determined by the <b>TSO</b> , in its reasonable opinion, as the desired operating <b>Frequency</b> of the <b>Power System</b> .  |
| Technical Parameters              | The technical capabilities, flexibilities and limitations for the operation of a <b>User's Plant</b> as registered or declared in accordance with the provisions of the <b>Grid Code</b> including those parameters listed in Appendix A to SDC1.   |
| Technical Parameters Notice       | A notification as submitted under SDC1.4.4.1.   |
| Temporary Voltage Depression      | A rapid change in fundamental <b>Frequency RMS</b> or peak<br><b>Voltage</b> over several cycles and remaining within the normal<br>operating voltage range. This form of disturbance can manifest<br>as an <b>RMS Voltage</b> depression with a slow recovery to nominal<br><b>Voltage</b> . The <b>RMS Voltage</b> depression is attributable to<br>starting motors or the energisation of transformers or reactors<br>and is characterised by the following diagram: |
| Tertiary Operating Reserve        | Tertiary Operating Reserve band 1 and Tertiary Operating<br>Reserve band 2  |
| Tertiary Operating Reserve band 1 | The additional <b>MW Output</b> (and/or reduction in <b>Demand</b> )<br>required compared to the pre-incident output (or <b>Demand</b> )<br>which is fully available and sustainable over the period from 90<br>seconds to 5 minutes following an event.  |
| Tertiary Operating Reserve band 2 | The additional <b>MW Output</b> (and/or reduction in <b>Demand</b> ) required compared to the pre-incident output (or <b>Demand</b> ) which is fully available and sustainable over the period from 5 minutes to 20 minutes following an event.   |
| Test Proposer                     | The <b>User</b> submitting proposal for a test under OC8.   |
| Testing                           | Testing carried out by the <b>TSO</b> pursuant to OC10 and/or CC and the term " <b>Test</b> " shall be construed accordingly.   |
| Thermal Overload                  | A <b>Thermal Overload</b> occurs when the designed thermal rating<br>of a transmission line or cable is exceeded. The thermal rating<br>of a transmission line is dictated by its physical construction<br>and varies with the ambient weather conditions, while the<br>thermal rating of a transmission cable is dependent solely on<br>its physical construction.   |

| Thermal Plant                                      | A Generating Unit that uses any source of thermal Energy.   |
|--|---|
| Total Harmonic Voltage Distortion<br>(THD)         | The <b>Total Harmonic Voltage Distortion</b> is the <b>RMS</b> value of the sum of all individual <b>Harmonic Voltage Distortion Levels</b> up to a specified order <i>H</i> , where H is set to be 40.   |
| Total Shutdown                                     | The situation existing when all generation has ceased and there is no electricity supply from <b>External Interconnection</b> .   |
| Total Transfer Capacity                            | The total amount of power that can be exchanged continuously<br>to or from the <b>Transmission System</b> over the <b>Interconnector</b><br>while ensuring the safe operation of the <b>Transmission System</b> .<br>It is set based on physical and electrical realities according to<br>system security requirements including thermal limits (including<br>single contingencies), voltage limits and stability limits.   |
| Trading and Settlement Code                        | The <b>Single Electricity Market</b> Trading and Settlement Code which the <b>Market Operator</b> is required to administer and maintain in force under the <b>Market Operator</b> licences issued by the <b>Regulatory Authorities</b> .   |
| Trading Day  | The period commencing at 23.00 each day and ending at 23.00 the next day.   |
| Transmission Asset Owner (TAO)                     | The ESB, acting in its capacity as the Transmission System Owner.   |
| Transmission Reliability Margin                    | A transmission transfer capacity margin which accounts for the security margin for regulation, reserve sharing, and <b>Rescue</b><br>Flows between the <b>Transmission System</b> and any <b>External</b><br><b>System</b> and may also take into account uncertainties of system<br>conditions and other assumptions made to produce <b>Total</b><br><b>Transfer Capacity</b> ex-ante.   |
| Transmission Station                               | A node in the electricity <b>Transmission System</b> with transmission circuit/s, transformer/s, circuit breakers and their associated protection and communications systems.   |
| Transmission System                                | The <b>System</b> consisting (wholly or mainly) of high <b>Voltage</b> electric<br>lines and cables operated by the <b>TSO</b> for the purposes of<br>transmission of electricity from one <b>Power Station</b> to a sub-<br>station or to another <b>Power Station</b> or between sub-stations or<br>to or from any <b>External Interconnection</b> including any <b>Plant</b> and<br><b>Apparatus</b> and meters owned or operated by the <b>TSO</b> or <b>TAO</b><br>in connection with the transmission of electricity. |
| Transmission System Operator (TSO)                 | The holder of the licence granted pursuant to Section 14 of the <b>Act</b> 1999 to operate a <b>Transmission System</b> .   |
| Transmission System Owner                          | The holder of the licence granted pursuant to Section 14 of the <b>Act</b> to own the <b>Transmission System</b> .  |
| Transmission System Security<br>Planning Standards | System Planning practices and considerations that the TSO follows. The application of Transmission System Security Planning Standards may vary to match local conditions and local System requirements. The Transmission System Security Planning Standards are available on the TSO's website.   |
| Transmission Use of System<br>Agreement            | An agreement between the <b>TSO</b> and a <b>User</b> setting out the terms relating to the use of the <b>Transmission System</b> .   |

| TSO Licence                                | A <b>Licence</b> authorising a <b>TSO</b> to carry out electricity transmission activities, granted either pursuant to Article 10(1)(b) of the Electricity (Northern Ireland) Order 1992 in Northern Ireland or pursuant to section 14 of the Electricity Regulation Act 1999 in the Republic of Ireland.   |
|--|---|
| TSO Telecommunication Interface<br>Cabinet | The physical interface point between the <b>TSO's</b> telecommunications equipment and the <b>Controllable PPM's</b> control equipment.   |
| Unit Board                                 | A switchboard through which electrical power is supplied to<br>the <b>Auxiliaries</b> of a <b>Generating Unit</b> and which is supplied by a<br><b>Unit Transformer.</b> It may be interconnected with a <b>Station</b><br><b>Board</b> .   |
| Unit Transformer                           | A transformer directly connected to a <b>Generating Unit's</b> terminals, and which supplies power to the <b>Auxiliaries</b> of a <b>Generating Unit</b> .  |
| Use of System Tariffs                      | Tariffs set by the <b>TSO</b> subject to approval by the <b>CRU</b> for use of the <b>Transmission System.</b>  |
| User                                       | A term utilised in various sections of the <b>Grid Code</b> to refer to<br>the persons using the <b>Transmission System</b> , as more<br>particularly identified in each section of the <b>Grid Code</b><br>concerned. The term means any person (other than the <b>TSO</b> ) to<br>whom the <b>Grid Code</b> applies.  |
| User Development                           | In the <b>Planning Code</b> means either <b>User's Plant</b> and/or <b>Apparatus</b> to be connected to the <b>Transmission System</b> , or a <b>Modification</b> relating to a <b>User's Plant</b> and/or <b>Apparatus</b> already connected to the <b>Transmission System</b> , or a proposed new connection or <b>Modification</b> to the connection within the <b>User System</b> . |
| User Site                                  | A site owned (or occupied pursuant to a lease, licence or other agreement) by a <b>User</b> in which there is a <b>Connection Point</b> .   |
|  | Any system owned or operated by a <b>User</b> comprising:-  |
|  | (i) Generating Units;   |
|  | (ii) Interconnectors;   |
|  | and/or  |
|  | (iii) systems consisting (wholly or mainly) of electric   |
|  | circuits used for the distribution of electricity from  |
| User System                                | Grid Supply Points or Generating Units or other   |
|  | entry points to the point of delivery to Customers,   |
|  | or other <b>Users</b> ;   |
|  | and Plant and/or Apparatus connecting:-   |
|  | (i) the system as described above; or   |
|  | (ii) <b>Demand Customers'</b> equipment;  |

|  | to the Transmission System or to the relevant other User  |
|--|---|
|  | System, as the case may be.   |
|  | The User System includes any Remote Transmission Assets   |
|  | operated by such User or other person and any Plant and/or  |
|  | Apparatus and meters owned or operated by the User or   |
|  | other person in connection with the distribution of electricity   |
|  | but does not include any part of the Transmission System.   |
| User System Entry Point                    | A point at which a <b>Generation Unit</b> , a <b>CCGT Installation</b> or a <b>CCGT Unit</b> , as the case may be, which is <b>Embedded</b> connects to the <b>User System</b> .  |
| Var  | A single unit of <b>Reactive Power</b> .  |
| Voltage                                    | Voltage of relevant section of <b>Transmission System</b> - nominally 400kV, 220kV or 110kV   |
| Voltage Control                            | The retention of the <b>Voltage</b> on the <b>System</b> within acceptable limits.  |
| Voltage Dip                                | The is a short-duration reduction in <b>Voltage</b> on any or all phases due to a <b>Fault Disturbance</b> or other <b>Significant System</b><br><b>Incident</b> , resulting in <b>Transmission System Voltages</b> outside the ranges as specified in CC.8.3.2, and more generally, bus <b>Voltages</b> or terminal <b>Voltages</b> of less than 90% of nominal voltage on any or all phases. Percentage <b>Voltage Dip</b> shall be calculated with respect to nominal voltage. |
| Voltage Flicker                            | The impression of unsteadiness of visual sensation induced by<br>a light stimulus whose luminance or spectral distribution<br>fluctuates with time.   |
| Voltage Regulation                         | The automatic adjustment of <b>Reactive Power</b> output from a <b>Generation Unit(s)</b> in response to <b>Voltage</b> changes (e.g. from a <b>Generation Unit</b> ).  |
| Voltage Regulation Set-point               | The Voltage in kV that the Voltage Regulation System will act<br>to regulate by continuous modulation of the Interconnector's<br>or Wind Farms Power Station's Reactive Power.  |
| Voltage Regulation System                  | A facility providing the means to automatically adjust the <b>Reactive Power</b> output (e.g. from a <b>Generation Unit)(s)</b> in response to changes in <b>Voltage</b> .  |
| Voltage Regulation System Slope<br>Setting | The percentage change in <b>Transmission System Voltage</b> that<br>would cause the <b>Reactive Power</b> output of the <b>Interconnector</b><br>to vary from maximum <b>Mvar</b> production to maximum <b>Mvar</b><br>absorption or vice-versa or <b>Controllable PPM</b> to vary from<br>maximum <b>Mvar</b> production capability of Q/Pmax of 0.33 to<br>maximum <b>Mvar</b> absorption capability of Q/Pmax of -0.33 or<br>vice-versa, as per Figure PPM1.4.                 |
| Warm Cooling Boundary                      | The period of time, which must be greater than that defined<br>by the Hot Cooling Boundary, post De-Synchronisation of a<br>Generating Unit after which the Generating Unit's Warmth<br>State transfers from being warm to cold.  |
| Warm Start                                 | Any Synchronisation of a Generating Unit that has previously  |

|                                 | not been <b>Synchronised</b> for a period of time longer than its submitted <b>Hot Cooling Boundary</b> and shorter than or equal to its submitted <b>Warm Cooling Boundary</b> .   |
|---------------------------------|---|
| Warmth                          | The temperature related condition of a <b>CDGU</b> which changes according to the length of time since the <b>CDGU</b> was last <b>De-Synchronised</b> , expressed as various levels of warmth (dependent upon the design of the <b>CDGU</b> ). |
| Warmth State                    | Either cold, warm or hot, as defined under the timeframes since last <b>De-Synchronisations</b> for <b>Cold Start, Warm Start</b> or <b>Hot Start</b> respectively.   |
| Warning                         | A warning as provided for in OC10.7.1.1   |
| Wind Turbine Generator(s) (WTG) | A Generation Unit(s) generating electricity from wind.  |